

# A characterization of linearized polynomials with maximum kernel

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## Abstract

We provide sufficient and necessary conditions for the coefficients of a  $q$ -polynomial  $f$  over  $\mathbb{F}_{q^n}$  which ensure that the number of distinct roots of  $f$  in  $\mathbb{F}_{q^n}$  equals the degree of  $f$ . We say that these polynomials have maximum kernel. As an application we study in detail  $q$ -polynomials of degree  $q^{n-2}$  over  $\mathbb{F}_{q^n}$  which have maximum kernel and for  $n \leq 6$  we list all  $q$ -polynomials with maximum kernel. We also obtain information on the splitting field of an arbitrary  $q$ -polynomial. Analogous results are proved for  $q^s$ -polynomials as well, where  $\gcd(s, n) = 1$ .

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## 1 Introduction

A  $q$ -polynomial over  $\mathbb{F}_{q^n}$  is a polynomial of the form  $f(x) = \sum_i a_i x^{q^i}$ , where  $a_i \in \mathbb{F}_{q^n}$ . We will denote the set of these polynomials by  $\mathcal{L}_{n,q}$ . Let  $\mathbb{K}$  denote

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the algebraic closure of  $\mathbb{F}_{q^n}$ . Then for every  $\mathbb{F}_{q^n} \leq \mathbb{L} \leq \mathbb{K}$ ,  $f$  defines an  $\mathbb{F}_q$ -linear transformation of  $\mathbb{L}$ , when  $\mathbb{L}$  is viewed as an  $\mathbb{F}_q$ -vector space. If  $\mathbb{L}$  is a finite field of size  $q^m$  then the polynomials of  $\mathcal{L}_{n,q}$  considered modulo  $(x^{q^m} - x)$  form an  $\mathbb{F}_q$ -subalgebra of the  $\mathbb{F}_q$ -linear transformations of  $\mathbb{L}$ . Once this field  $\mathbb{L}$  is fixed, we can define the *kernel* of  $f$  as the kernel of the corresponding  $\mathbb{F}_q$ -linear transformation of  $\mathbb{L}$ , which is the same as the set of roots of  $f$  in  $\mathbb{L}$ ; and the *rank* of  $f$  as the rank of the corresponding  $\mathbb{F}_q$ -linear transformation of  $\mathbb{L}$ . Note that the kernel and the rank of  $f$  depend on this field  $\mathbb{L}$  and from now on we will consider the case  $\mathbb{L} = \mathbb{F}_{q^n}$ . In this case  $\mathcal{L}_{n,q}$  considered modulo  $(x^{q^n} - x)$  is isomorphic to the  $\mathbb{F}_q$ -algebra of  $\mathbb{F}_q$ -linear transformations of the  $n$ -dimensional  $\mathbb{F}_q$ -vector space  $\mathbb{F}_{q^n}$ . The elements of this factor algebra are represented by  $\tilde{\mathcal{L}}_{n,q} := \{\sum_{i=0}^{n-1} a_i x^{q^i} : a_i \in \mathbb{F}_{q^n}\}$ . For  $f \in \tilde{\mathcal{L}}_{n,q}$  if  $\deg f = q^k$  then we call  $k$  the  $q$ -degree of  $f$ . It is clear that in this case the kernel of  $f$  has dimension at most  $k$  and the rank of  $f$  is at least  $n - k$ .

Let  $U = \langle u_1, u_2, \dots, u_k \rangle_{\mathbb{F}_q}$  be a  $k$ -dimensional  $\mathbb{F}_q$ -subspace of  $\mathbb{F}_{q^n}$ . It is well known that, up to a scalar factor, there is a unique  $q$ -polynomial of  $q$ -degree  $k$ , which has kernel  $U$ . We can get such a polynomial as the determinant of the matrix

$$\begin{pmatrix} x & x^q & \cdots & x^{q^k} \\ u_1 & u_1^q & \cdots & u_1^{q^k} \\ \vdots & \vdots & \ddots & \vdots \\ u_k & u_k^q & \cdots & u_k^{q^k} \end{pmatrix}.$$

The aim of this paper is to study the other direction, i.e. when a given  $f \in \tilde{\mathcal{L}}_{n,q}$  with  $q$ -degree  $k$  has kernel of dimension  $k$ . If this happens then we say that  $f$  is a  $q$ -polynomial with *maximum kernel*.

If  $f(x) \equiv a_0x + a_1x^\sigma + \cdots + a_kx^{\sigma^k} \pmod{x^{q^n} - x}$ , with  $\sigma = q^s$  for some  $s$  with  $\gcd(s, n) = 1$ , then we say that  $f(x)$  is a  $\sigma$ -polynomial (or  $q^s$ -polynomial) with  $\sigma$ -degree (or  $q^s$ -degree)  $k$ . Regarding  $\sigma$ -polynomials the following is known.

**Result 1.1.** [7, Theorem 5] *Let  $\mathbb{L}$  be a cyclic extension of a field  $\mathbb{F}$  of degree  $n$ , and suppose that  $\sigma$  generates the Galois group of  $\mathbb{L}$  over  $\mathbb{F}$ . Let  $k$  be an integer satisfying  $1 \leq k \leq n$ , and let  $a_0, a_1, \dots, a_k$  be elements of  $\mathbb{L}$ , not all them are zero. Then the  $\mathbb{F}$ -linear transformation defined as*

$$f(x) = a_0x + a_1x^\sigma + \cdots + a_kx^{\sigma^k}$$

*has kernel with dimension at most  $k$  in  $\mathbb{L}$ .*

Similarly to the  $s = 1$  case we will say that a  $\sigma$ -polynomial is of *maximum kernel* if the dimension of its kernel equals its  $\sigma$ -degree.

Linearized polynomials have been used to describe families of  $\mathbb{F}_q$ -linear *maximum rank distance codes* (MRD-codes), i.e.  $\mathbb{F}_q$ -subspaces of  $\tilde{\mathcal{L}}_{n,q}$  of order  $q^{nk}$  in which each element has kernel of dimension at most  $k$ . The first examples of MRD-codes found were the *generalized Gabidulin codes* [3, 5], that is  $\mathcal{G}_{k,s} = \langle x, x^{q^s}, \dots, x^{q^{s(k-1)}} \rangle_{\mathbb{F}_{q^n}}$  with  $\gcd(s, n) = 1$ ; the fact that  $\mathcal{G}_{k,s}$  is an MRD-code can be shown simply by using Result 1.1. It is important to have explicit conditions on the coefficients of a linearized polynomial characterizing the number of its roots. Further connections with projective polynomials can be found in [8].

Our main result provides sufficient and necessary conditions on the coefficients of a  $\sigma$ -polynomial with maximum kernel.

**Theorem 1.2.** *Consider*

$$f(x) = a_0x + a_1x^\sigma + \dots + a_{k-1}x^{\sigma^{k-1}} - x^{\sigma^k},$$

with  $\sigma = q^s$ ,  $\gcd(s, n) = 1$  and  $a_0, \dots, a_{k-1} \in \mathbb{F}_{q^n}$ . Then  $f(x)$  is of maximum kernel if and only if the matrix

$$A = \begin{pmatrix} 0 & 0 & \cdots & 0 & a_0 \\ 1 & 0 & \cdots & 0 & a_1 \\ 0 & 1 & \cdots & 0 & a_2 \\ \vdots & \vdots & & \vdots & \vdots \\ 0 & 0 & \cdots & 1 & a_{k-1} \end{pmatrix} \quad (1)$$

satisfies

$$AA^\sigma \cdots A^{\sigma^{n-1}} = I_k,$$

where  $A^{\sigma^i}$  is the matrix obtained from  $A$  by applying to each of its entries the automorphism  $x \mapsto x^{\sigma^i}$  and  $I_k$  is the identity matrix of order  $k$ .

An immediate consequence of this result gives information on the splitting field of an arbitrary  $\sigma$ -polynomial, cf. Theorem 4.1.

In Section 3.1 we study in details the  $\sigma$ -polynomials of  $\sigma$ -degree  $n - 2$  for each  $n$ . For  $n \leq 6$  we also provide a list of all  $\sigma$ -polynomials with maximum kernel cf. Sections 3.2, 3.3 and 3.4. These results might yield further classification results and examples of  $\mathbb{F}_q$ -linear MRD-codes.

## 2 Preliminary Results

In this section we recall some results of Dempwolff, Fisher and Herman from [4], adapting them to our needs in order to make this paper self-contained.

Let  $V$  be a  $k$ -dimensional vector space over the field  $\mathbb{F}$  and let  $T$  be a semilinear transformation of  $V$ . A  $T$ -cyclic subspace of  $V$  is an  $\mathbb{F}$ -subspace of  $V$  spanned by  $\{\mathbf{v}, T(\mathbf{v}), \dots\}$  over  $\mathbb{F}$  for some  $\mathbf{v} \in V$ , which will be denoted by  $[\mathbf{v}]$ . We first recall the following lemma.

**Lemma 2.1.** [4, Theorem 1] *Let  $V$  be an  $n$ -dimensional vector space over the field  $\mathbb{F}$ ,  $\sigma$  an automorphism of  $\mathbb{F}$  and  $T$  an invertible  $\sigma$ -semilinear transformation on  $V$ . Then*

$$V = [\mathbf{u}_1] \oplus \dots \oplus [\mathbf{u}_r]$$

for  $T$ -cyclic subspaces satisfying  $\dim[\mathbf{u}_1] \geq \dim[\mathbf{u}_2] \geq \dots \geq \dim[\mathbf{u}_r] \geq 1$ .

**Theorem 2.2.** *Let  $T$  be an invertible semilinear transformation of  $V = V(k, q^n)$  of order  $n$ , with companion automorphism  $\sigma \in \text{Aut}(\mathbb{F}_{q^n})$  such that  $\text{Fix}(\sigma) = \mathbb{F}_q$ . Then  $\text{Fix}(T)$  is a  $k$ -dimensional  $\mathbb{F}_q$ -subspace of  $V$  and  $\langle \text{Fix}(T) \rangle_{\mathbb{F}_{q^n}} = V$ .*

*Proof.* First assume that the companion automorphism of  $T$  is  $x \mapsto x^q$  and that there exists  $\mathbf{v} \in V$  such that

$$V = \langle \mathbf{v}, T(\mathbf{v}), \dots, T^{k-1}(\mathbf{v}) \rangle_{\mathbb{F}_{q^n}}.$$

Following the proof of [4, Main Theorem], consider the ordered basis  $\mathcal{B}_T = (\mathbf{v}, T(\mathbf{v}), \dots, T^{k-1}(\mathbf{v}))$  and let  $A$  be the matrix associated with  $T$  with respect to the basis  $\mathcal{B}_T$ , i.e.

$$A = \begin{pmatrix} 0 & 0 & \cdots & 0 & \alpha_0 \\ 1 & 0 & \cdots & 0 & \alpha_1 \\ 0 & 1 & \cdots & 0 & \alpha_2 \\ \vdots & \vdots & & \vdots & \vdots \\ 0 & 0 & \cdots & 1 & \alpha_{k-1} \end{pmatrix} \in \mathbb{F}_{q^n}^{k \times k}, \quad (2)$$

where  $T^k(\mathbf{v}) = \sum_{i=1}^k \alpha_{i-1} T^i(\mathbf{v})$  with  $\alpha_0, \dots, \alpha_{k-1} \in \mathbb{F}_{q^n}$  and, since  $T$  is invertible, we have  $\alpha_0 \neq 0$ . Denote by  $\overline{T}$  the semilinear transformation of  $\mathbb{F}_{q^n}^k$  having  $A$  as the associated matrix with respect to the canonical ordered basis  $\mathcal{B}_C = (\mathbf{e}_1, \dots, \mathbf{e}_k)$  of  $\mathbb{F}_{q^n}^k$  and companion automorphism  $x \mapsto x^q$ . Note

that  $c_{\mathcal{B}_T}(\text{Fix}(T)) = \text{Fix}(\overline{T})$ , where  $c_{\mathcal{B}_T}$  is the coordinatization with respect to the basis  $\mathcal{B}_T$ . Also, since  $T$  has order  $n$ , we have

$$AA^q \dots A^{q^{n-1}} = I_k, \quad (3)$$

where  $A^{q^i}$ , for  $i \in \{1, \dots, n-1\}$ , is the matrix obtained from  $A$  by applying to each of its entries the automorphism  $x \mapsto x^{q^i}$ . A vector  $\mathbf{z} = (z_0, \dots, z_{k-1}) \in \mathbb{F}_{q^n}^k$  is fixed by  $\overline{T}$  if and only if

$$\begin{cases} \alpha_0 z_{k-1}^q = z_0 \\ z_0^q + \alpha_1 z_{k-1}^q = z_1 \\ \vdots \\ z_{k-2}^q + \alpha_{k-1} z_{k-1}^q = z_{k-1} \end{cases}$$

Eliminating  $z_0, \dots, z_{k-2}$ , we obtain the equation

$$\alpha_0^{q^{k-1}} z_{k-1}^{q^k} + \alpha_1^{q^{k-2}} z_{k-1}^{q^{k-1}} + \dots + \alpha_{k-1} z_{k-1}^q - z_{k-1} = 0,$$

which has  $q^k$  distinct solutions in the algebraic closure  $\mathbb{K}$  of  $\mathbb{F}_{q^n}$  by the derivative test. Each solution determines a unique vector of  $\text{Fix}(\overline{T})$  in  $\mathbb{K}^k$ . Also, the set  $\text{Fix}(\overline{T})$  is an  $\mathbb{F}_q$ -subspace of  $\mathbb{K}^k$  and hence  $\dim_{\mathbb{F}_q} \text{Fix}(\overline{T}) = k$ . Let  $\{\mathbf{w}_1, \dots, \mathbf{w}_k\}$  be an  $\mathbb{F}_q$ -basis of  $\text{Fix}(\overline{T})$  and note that since  $|\text{Fix}(\overline{T})| = q^k$ , a vector  $\sum_{i=1}^k a_i \mathbf{w}_i$  is fixed by  $\overline{T}$  if and only if  $a_i \in \mathbb{F}_q$ . This implies that

$\mathbf{w}_1, \dots, \mathbf{w}_k$  are also  $\mathbb{K}$ -independent. Thus  $\langle \text{Fix}(\overline{T}) \rangle_{\mathbb{K}} = \mathbb{K}^k$  and  $\{\mathbf{w}_1, \dots, \mathbf{w}_k\}$  is also a  $\mathbb{K}$ -basis of  $\mathbb{K}^k$ . Denote by  $\phi$  the  $\mathbb{K}$ -linear transformation such that  $\phi(\mathbf{w}_i) = \mathbf{e}_i$  and by  $P$  the associated matrix with respect to the canonical basis  $\mathcal{B}_C$ , so  $P \in \text{GL}(k, \mathbb{K})$ . The semilinear transformation  $\phi \circ \overline{T} \circ \phi^{-1}$  has companion automorphism  $x \mapsto x^q$ , order  $n$  and associated matrix with respect to the canonical basis  $P \cdot A \cdot P^{-q}$ , where  $P^{-q}$  is the inverse of  $P$  in which the automorphism  $x \mapsto x^q$  is applied entrywise. Note that  $\phi \circ \overline{T} \circ \phi^{-1}(\mathbf{e}_i) = \phi(\overline{T}(\mathbf{w}_i)) = \phi(\mathbf{w}_i) = \mathbf{e}_i$ , hence

$$P \cdot A \cdot P^{-q} = I_k, \quad (4)$$

i.e.

$$P^q = P \cdot A. \quad (5)$$

By Equations (3) and (5) and using induction we get

$$P^{q^n} = P \cdot A \cdot A^q \cdot \dots \cdot A^{q^{n-1}} = P,$$

i.e.  $P \in \mathbb{F}_{q^n}^{k \times k}$ . This implies that  $\text{Fix}(\overline{T})$  is an  $\mathbb{F}_q$ -subspace of  $\mathbb{F}_{q^n}^k$  of dimension  $k$  and hence  $\text{Fix}(T) = c_{\mathcal{B}_T}^{-1}(\text{Fix}(\overline{T}))$  is a  $k$ -dimensional subspace of  $V(k, q^n)$  with the property that  $\langle \text{Fix}(T) \rangle_{\mathbb{F}_{q^n}} = V$ .

Consider now the general case, i.e. suppose  $T$  as in the statement, that is  $T$  is an invertible semilinear map of order  $n$  with companion automorphism  $x \mapsto x^{q^s}$  and  $\gcd(s, n) = 1$ . Since  $\gcd(s, n) = 1$  there exist  $l, m \in \mathbb{N}$  such that  $1 = sl + mn$ , and hence  $\gcd(l, n) = 1$ . Then the semilinear transformation  $T^l$  has order  $n$ , companion automorphism  $x \mapsto x^q$  and  $\text{Fix}(T) = \text{Fix}(T^l)$ . By Lemma 2.1, we may write

$$V = [\mathbf{u}_1] \oplus \dots \oplus [\mathbf{u}_r],$$

where  $[\mathbf{u}_i]$  is a  $T^l$ -cyclic subspace of  $V$  of dimension  $m_i \geq 1$ , for each  $i \in \{1, \dots, r\}$ , and  $\sum_{i=1}^r m_i = k$ . Then we can restrict  $T^l$  to each subspace  $[\mathbf{u}_i]$  and by applying the previous arguments we get that  $U_i = \text{Fix}(T^l|_{[\mathbf{u}_i]})$  is an  $\mathbb{F}_q$ -subspace of  $[\mathbf{u}_i]$  of dimension  $m_i$  with the property that  $\langle U_i \rangle_{\mathbb{F}_{q^n}} = [\mathbf{u}_i]$ . Thus

$$\text{Fix}(T) = \text{Fix}(T^l) = U_1 \oplus \dots \oplus U_r$$

is an  $\mathbb{F}_q$ -subspace of dimension  $k$  of  $V$  with the property that  $\langle \text{Fix}(T) \rangle_{\mathbb{F}_{q^n}} = V$ .  $\square$

The existence of a matrix  $P \in \text{GL}(k, \mathbb{K})$ , with  $\mathbb{K}$  the algebraic closure of a finite field of order  $q$ , satisfying (4) is also a consequence of the celebrated Lang's Theorem [9] on connected linear algebraic groups. More precisely, by Lang's Theorem, since  $\text{GL}(k, \mathbb{K})$  is a connected linear algebraic group, the map  $M \in \text{GL}(k, \mathbb{K}) \mapsto M^{-1} \cdot M^q \in \text{GL}(k, \mathbb{K})$  is onto. In Theorem 2.2 it is proved that, if the semilinear transformation of  $V(k, q^n)$  having  $A$  as associated matrix has order  $n$ , then  $P \in \text{GL}(k, \mathbb{F}_{q^n})$ .

**Remark 2.3.** *Let  $T$  be an invertible semilinear transformation of  $V = V(k, q^n)$  with companion automorphism  $x \mapsto x^q$  and let  $\mathbb{K}$  be the algebraic closure of  $\mathbb{F}_{q^n}$ . Denote by  $\overline{T}$  the semilinear transformation of  $\mathbb{K}^k$  associated with  $T$  as in the proof of Theorem 2.2. If  $\lambda \in \mathbb{K}$ , then the set  $E(\lambda) := \{\mathbf{v} \in \mathbb{K}^k : \overline{T}(\mathbf{v}) = \lambda \mathbf{v}\}$  is an  $\mathbb{F}_q$ -subspace of  $\mathbb{K}^k$ . By [4, page 293], it follows that  $E(\lambda) = \lambda^{\frac{1}{q-1}} \text{Fix}(\overline{T})$  and by [4, Main Theorem]  $E(\lambda)$  is a  $k$ -dimensional  $\mathbb{F}_q$ -subspace of  $\mathbb{K}^k$ . Also, when  $T$  has order  $n$  and  $\lambda^{\frac{1}{q-1}} \in \mathbb{F}_{q^n}$ , by Theorem 2.2,  $E(\lambda)$  is a  $k$ -dimensional  $\mathbb{F}_q$ -subspace contained in  $\mathbb{F}_{q^n}^k$  such that  $\langle E(\lambda) \rangle_{\mathbb{F}_{q^n}} = \mathbb{F}_{q^n}^k$ .*

### 3 Main Results

Now we are able to prove our main result:

*Proof of Theorem 1.2.* First suppose  $\dim_{\mathbb{F}_q} \ker f = k$ . Then there exist  $u_0, u_1, \dots, u_{k-1} \in \mathbb{F}_{q^n}$  which form an  $\mathbb{F}_q$ -basis of  $\ker f$ . Put  $\mathbf{u} := (u_0, u_1, \dots, u_{k-1}) \in \mathbb{F}_{q^n}^k$ . Since  $u_0, u_1, \dots, u_{k-1}$  are  $\mathbb{F}_q$ -linearly independent, by [10, Lemma 3.51], we get that  $\mathcal{B} := (\mathbf{u}, \mathbf{u}^{q^s}, \dots, \mathbf{u}^{q^{s(k-1)}})$  is an ordered  $\mathbb{F}_{q^n}$ -basis of  $\mathbb{F}_{q^n}^k$ . Also,  $\mathbf{u}^{q^{sk}} = a_0\mathbf{u} + a_1\mathbf{u}^{q^s} + \dots + a_{k-1}\mathbf{u}^{q^{s(k-1)}}$ . It can be seen that the matrix (1) represents the  $\mathbb{F}_{q^n}$ -linear part of the  $\mathbb{F}_{q^n}$ -semilinear map  $\bar{\sigma}: \mathbf{v} \in \mathbb{F}_{q^n}^k \mapsto \mathbf{v}^{q^s} \in \mathbb{F}_{q^n}^k$  w.r.t. the basis  $\mathcal{B}$ . Since  $\gcd(s, n) = 1$ ,  $\bar{\sigma}$  has order  $n$  and hence the assertion follows.

Viceversa, let  $\tau$  be defined as follows

$$\tau: \begin{pmatrix} x_0 \\ x_1 \\ \vdots \\ x_{k-1} \end{pmatrix} \in \mathbb{F}_{q^n}^k \mapsto A \begin{pmatrix} x_0 \\ x_1 \\ \vdots \\ x_{k-1} \end{pmatrix}^{q^s} \in \mathbb{F}_{q^n}^k, \quad (6)$$

where  $A$  is as in (1) with the property  $AA^{q^s} \dots A^{q^{s(n-1)}} = I_k$ . Then  $\tau$  has order  $n$  and, by Theorem 2.2, it fixes a  $k$ -dimensional  $\mathbb{F}_q$ -subspace  $\mathcal{S}$  of  $\mathbb{F}_{q^n}^k$  with the property that  $\langle \mathcal{S} \rangle_{\mathbb{F}_{q^n}} = \mathbb{F}_{q^n}^k$ .

Let  $\mathcal{B}_{\mathcal{S}} = (\mathbf{s}_0, \dots, \mathbf{s}_{k-1})$  be an  $\mathbb{F}_q$ -basis of  $\mathcal{S}$  and note that, since  $\langle \mathcal{S} \rangle_{\mathbb{F}_{q^n}} = \mathbb{F}_{q^n}^k$ ,  $\mathcal{B}_{\mathcal{S}}$  is also an  $\mathbb{F}_{q^n}$ -basis of  $\mathbb{F}_{q^n}^k$ , then denoting by  $\mathcal{B}_C$  the canonical ordered basis of  $\mathbb{F}_{q^n}^k$ , there exists a unique isomorphism  $\phi$  of  $\mathbb{F}_{q^n}^k$  such that  $\phi(\mathbf{s}_i) = \mathbf{e}_i$  for each  $i \in \{1, \dots, k\}$ . Then  $\bar{\sigma} = \phi \circ \tau \circ \phi^{-1}$ , where  $\bar{\sigma}: \mathbf{v} \in \mathbb{F}_{q^n}^k \mapsto \mathbf{v}^{q^s} \in \mathbb{F}_{q^n}^k$ . Also,

$$\bar{\sigma}^i = \phi \circ \tau^i \circ \phi^{-1}, \quad (7)$$

for each  $i \in \{1, \dots, n-1\}$ . Also, by (6)

$$\begin{aligned} \tau(\mathbf{e}_0) &= \mathbf{e}_1, \\ \tau(\mathbf{e}_1) &= \tau^2(\mathbf{e}_0) = \mathbf{e}_2, \\ &\vdots \\ \tau(\mathbf{e}_{k-1}) &= \tau^k(\mathbf{e}_0) = (a_0, \dots, a_{k-1}) = a_0\mathbf{e}_0 + \dots + a_{k-1}\mathbf{e}_{k-1}. \end{aligned}$$

So, we get that

$$\tau^k(\mathbf{e}_0) = a_0\mathbf{e}_0 + a_1\tau(\mathbf{e}_0) + \dots + a_{k-1}\tau^{k-1}(\mathbf{e}_0),$$

and applying  $\phi$  it follows that

$$\phi(\tau^k(\mathbf{e}_0)) = a_0\phi(\mathbf{e}_0) + a_1\phi(\tau(\mathbf{e}_0)) + \cdots + a_{k-1}\phi(\tau^{k-1}(\mathbf{e}_0)).$$

By (7) the previous equation becomes

$$\bar{\sigma}^k(\phi(\mathbf{e}_0)) = a_0\phi(\mathbf{e}_0) + a_1\bar{\sigma}(\phi(\mathbf{e}_0)) + \cdots + a_{k-1}\bar{\sigma}^{k-1}(\phi(\mathbf{e}_0)).$$

Put  $\mathbf{u} = \phi(\mathbf{e}_0)$ , then

$$\mathbf{u}^{q^{sk}} = a_0\mathbf{u} + a_1\mathbf{u}^{q^s} + \cdots + a_{k-1}\mathbf{u}^{q^{s(k-1)}}.$$

This implies that  $u_0, u_1, \dots, u_{k-1}$  are elements of  $\ker f$ , where  $\mathbf{u} = (u_0, \dots, u_{k-1})$ . Also, they are  $\mathbb{F}_q$ -independent since  $\mathcal{B} = (\mathbf{u}, \dots, \mathbf{u}^{q^{s(k-1)}}) = (\phi(\mathbf{e}_0), \dots, \phi(\mathbf{e}_{k-1}))$  is an ordered  $\mathbb{F}_{q^n}$ -basis of  $\mathbb{F}_{q^n}^k$ . This completes the proof.  $\square$

As a corollary we get the second part of [6, Theorem 10], see also [12, Lemma 3] for the case  $s = 1$  and [11] for the case when  $q$  is a prime. Indeed, by evaluating the determinants in  $AA^{q^s} \cdots A^{q^{s(n-1)}} = I_k$  we obtain the following corollary.<sup>1</sup>

**Corollary 3.1.** *If the kernel of a  $q^s$ -polynomial  $f(x) = a_0x + a_1x^{q^s} + \cdots + a_{k-1}x^{q^{s(k-1)}} - x^{q^{sk}}$  has dimension  $k$ , then  $N(a_0) = (-1)^{n(k+1)}$ .*

**Corollary 3.2.** *Let  $A$  be a matrix as in Theorem 1.2. The condition*

$$AA^{q^s} \cdots A^{q^{s(n-1)}} = I_k$$

*is satisfied if and only if  $AA^{q^s} \cdots A^{q^{s(n-1)}}$  fixes  $\mathbf{e}_0 = (1, 0, \dots, 0)$ .*

*Proof.* The only if part is trivial, we prove the if part by induction on  $0 \leq i \leq k-1$ . Suppose  $AA^{q^s} \cdots A^{q^{s(n-1)}} \mathbf{e}_i^T = \mathbf{e}_i^T$  for some  $0 \leq i \leq k-1$ . Then by taking  $q^s$ -th powers of each entry we get  $A^{q^s} A^{q^{2s}} \cdots A \mathbf{e}_i^T = \mathbf{e}_i^T$ . Since  $A \mathbf{e}_i^T = \mathbf{e}_{i+1}^T$  this yields  $A^{q^s} A^{q^{2s}} \cdots A^{q^{s(n-1)}} \mathbf{e}_{i+1}^T = \mathbf{e}_i^T$ . Then multiplying both sides by  $A$  yields  $AA^{q^s} A^{q^{2s}} \cdots A^{q^{s(n-1)}} \mathbf{e}_{i+1}^T = \mathbf{e}_{i+1}^T$ .  $\square$

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<sup>1</sup>For  $x \in \mathbb{F}_{q^n}$  and for a subfield  $\mathbb{F}_{q^m}$  of  $\mathbb{F}_{q^n}$  we will denote by  $N_{q^n/q^m}(x)$  the norm of  $x$  over  $\mathbb{F}_{q^m}$  and by  $\text{Tr}_{q^n/q^m}(x)$  we will denote the trace of  $x$  over  $\mathbb{F}_{q^m}$ . If  $n$  is clear from the context and  $m = 1$  then we will simply write  $N(x)$  and  $\text{Tr}(x)$ .



Consider a  $q^s$ -polynomial  $f(x) = a_0x + a_1x^{q^s} + \cdots + a_{k-1}x^{q^{s(k-1)}} - x^{q^{sk}}$ , the matrix  $A \in \mathbb{F}_{q^n}^{k \times k}$  as in Theorem 1.2 and the semilinear map  $\tau$  defined in (6).

Note that

$$\begin{aligned} \mathbf{e}_0^\tau &= (0, 1, 0, \dots, 0) = \mathbf{e}_1 \\ \mathbf{e}_0^{\tau^2} &= (0, 0, 1, \dots, 0) = \mathbf{e}_2 \\ &\vdots \\ \mathbf{e}_0^{\tau^{k-1}} &= (0, 0, 0, \dots, 1) = \mathbf{e}_{k-1} \\ \mathbf{e}_0^{\tau^k} &= (a_0, a_1, a_2, \dots, a_{k-1}) \\ \mathbf{e}_0^{\tau^{k+1}} &= (a_0a_{k-1}^{q^s}, a_0^{q^s} + a_1a_{k-1}^{q^s}, a_1^{q^s} + a_2a_{k-1}^{q^s}, \dots, a_{k-2}^{q^s} + a_{k-1}^{q^s+1}). \end{aligned} \quad (8)$$

Hence, if

$$\mathbf{e}_0^{\tau^i} = (Q_{0,i}, Q_{1,i}, \dots, Q_{k-1,i})$$

where  $Q_{j,i}$  can be seen as polynomials in  $a_0, a_1, \dots, a_{k-1}$ , for  $i \geq 0$ , then

$$\mathbf{e}_0^{\tau^{i+1}} = (a_0Q_{k-1,i}^{q^s}, Q_{0,i}^{q^s} + a_1Q_{k-1,i}^{q^s}, \dots, Q_{k-2,i}^{q^s} + a_{k-1}Q_{k-1,i}^{q^s}),$$

i.e. the polynomials  $Q_{j,i}$  for  $0 \leq j \leq k-1$  can be defined by the following recursive relations for  $0 \leq i \leq k-1$ :

$$Q_{j,i} = \begin{cases} 1 & \text{if } j = i, \\ 0 & \text{otherwise,} \end{cases}$$

and by the following relations for  $i \geq k$ :

$$\begin{aligned} Q_{0,i+1} &= a_0Q_{k-1,i}^{q^s} \\ Q_{j,i+1} &= Q_{j-1,i}^{q^s} + a_jQ_{k-1,i}^{q^s}. \end{aligned} \quad (9)$$

Now, we are able to prove the following.

**Theorem 3.3.** *The kernel of a  $q^s$ -polynomial  $f(x) = a_0x + a_1x^{q^s} + \cdots + a_{k-1}x^{q^{s(k-1)}} - x^{q^{sk}} \in \mathbb{F}_{q^n}[x]$ , where  $\gcd(s, n) = 1$ , has dimension  $k$  if and only if*

$$Q_{j,n}(a_0, a_1, \dots, a_{k-1}) = \begin{cases} 1 & \text{if } j = 0, \\ 0 & \text{otherwise.} \end{cases} \quad (10)$$

*Proof.* Relations (9) can be written as follows

$$\begin{pmatrix} Q_{0,i+1} \\ Q_{1,i+1} \\ \vdots \\ Q_{k-1,i+1} \end{pmatrix} = \begin{pmatrix} 0 & 0 & \cdots & 0 & a_0 \\ 1 & 0 & \cdots & 0 & a_1 \\ 0 & 1 & \cdots & 0 & a_2 \\ \vdots & \vdots & & \vdots & \vdots \\ 0 & 0 & \cdots & 1 & a_{k-1} \end{pmatrix} \begin{pmatrix} Q_{0,i}^{q^s} \\ Q_{1,i}^{q^s} \\ \vdots \\ Q_{k-1,i}^{q^s} \end{pmatrix},$$

with  $i \in \{0, \dots, n-1\}$ . Also,  $(Q_{0,0}, Q_{1,0}, \dots, Q_{k-1,0}) = (1, 0, \dots, 0)$  and  $\mathbf{e}_0^t = (Q_{0,t}, \dots, Q_{k-1,t})$  for  $t \in \{0, \dots, n\}$ . By Theorem 1.2 and by Corollary 3.2, the kernel of  $f(x)$  has dimension  $k$  if and only if  $\mathbf{e}_0 = (Q_{0,0}, Q_{1,0}, \dots, Q_{k-1,0})$  is fixed by  $AA^{q^s} \cdots A^{q^{s(n-1)}}$ , so this happens if and only if

$$\mathbf{e}_0^{\tau^n} = (Q_{0,n}, Q_{1,n}, \dots, Q_{k-1,n}) = (1, 0, \dots, 0).$$

□

Theorem 3.3 with  $k = n - 1$  and  $s = 1$  gives the following well-known result as a corollary.

**Corollary 3.4.** [10, Theorem 2.24] *The dimension of the kernel of a  $q$ -polynomial  $f(x) \in \mathbb{F}_{q^n}[x]$  is  $n - 1$  if and only if there exist  $\alpha, \beta \in \mathbb{F}_{q^n}^*$  such that*

$$f(x) = \alpha \operatorname{Tr}(\beta x).$$

Again from Theorem 3.3 we can deduce the following.

**Corollary 3.5.** [10, Ex. 2.14] *The  $q^s$ -polynomial  $a_0x - x^{q^{sk}} \in \mathbb{F}_{q^n}[x]$ , with  $\gcd(s, n) = 1$  and  $1 \leq k \leq n - 1$ , admits  $q^k$  roots if and only if  $k \mid n$  and  $N_{q^n/q^k}(a_0) = 1$ .*

### 3.1 When the $q^s$ -degree equals $n - 2$

In this section we investigate  $q^s$ -polynomials

$$f(x) = a_0x + a_1x^{q^s} + \cdots + a_{n-3}x^{q^{s(n-3)}} - x^{q^{s(n-2)}}$$

with  $\gcd(s, n) = 1$ . By Theorem 3.3,  $\dim \ker f(x) = n - 2$  if and only if  $a_0, a_1, \dots, a_{n-3}$  satisfy the following system of equations

$$\begin{cases} Q_{0,n} = a_0(a_{n-4}^{q^{2s}} + a_{n-3}^{q^{2s}+q^s}) = 1, \\ Q_{1,n} = a_0^{q^s} a_{n-3}^{q^{2s}} + a_1(a_{n-4}^{q^{2s}} + a_{n-3}^{q^{2s}+q^s}) = 0, \\ Q_{2,n} = a_0^{q^{2s}} + a_{n-3}^{q^{2s}} a_1^{q^s} + a_2(a_{n-4}^{q^{2s}} + a_{n-3}^{q^{2s}+q^s}) = 0, \\ Q_{3,n} = a_1^{q^{2s}} + a_{n-3}^{q^{2s}} a_2^{q^s} + a_3(a_{n-4}^{q^{2s}} + a_{n-3}^{q^{2s}+q^s}) = 0, \\ \vdots \\ Q_{n-3,n} = a_{n-5}^{q^{2s}} + a_{n-3}^{q^{2s}} a_{n-4}^{q^s} + a_{n-3}(a_{n-4}^{q^{2s}} + a_{n-3}^{q^{2s}+q^s}) = 0, \end{cases} \quad (11)$$

which is equivalent to

$$\begin{cases} a_0(a_{n-4}^{q^{2s}} + a_{n-3}^{q^{2s}+q^s}) = 1, \\ a_1 = -a_0^{q^s+1} a_{n-3}^{q^{2s}} =: g_1(a_0, a_{n-3}), \\ a_j = -a_{j-2}^{q^{2s}} a_0 - a_{n-3}^{q^{2s}} a_{j-1}^{q^s} a_0 =: g_j(a_0, a_{n-3}), \text{ for } 2 \leq j \leq n-3. \end{cases} \quad (12)$$

So,  $\dim_{\mathbb{F}_q} \ker f(x) = n-2$  if and only if  $a_0$  and  $a_{n-3}$  satisfy the equations

$$\begin{cases} a_0(g_{n-4}(a_0, a_{n-3})^{q^{2s}} + a_{n-3}^{q^{2s}+q^s}) = 1, \\ a_{n-3} = g_{n-3}(a_0, a_{n-3}), \end{cases}$$

and  $a_j = g_j(a_0, a_{n-3})$  for  $j \in \{1, \dots, n-4\}$ .

**Theorem 3.6.** *Suppose that  $f(x) = a_0x + a_1x^q + \dots + a_{n-3}x^{q^{n-3}} - x^{q^{n-2}}$  has maximum kernel. Then for  $t \geq 2$  with  $\gcd(t-1, n) = 1$  the coefficients  $a_{t-2}$  and  $a_{n-t}$  are non-zero and, with  $s = n-t+1$ ,*

$$a_{n-2t+1} a_{t-2}^{q^{2s}+q^s} = -a_{n-t}^{q^s+1} a_{2t-3}^{q^{2s}}. \quad (13)$$

Also, it holds that

$$-a_{n-t}(-a_{t-2}^{q^s} a_{3t-4}^{q^{2s}} + a_{2t-3}^{q^{2s}+q^s}) = a_{t-2}^{q^{2s}+q^s+1}. \quad (14)$$

In particular, for  $t \geq 2$  with  $\gcd(t-1, n) = 1$  we get

$$N(a_{n-t}) = (-1)^n N(a_{t-2}) \quad (15)$$

and

$$N(a_{n-2t+1}) = (-1)^n N(a_{2t-3}), \quad (16)$$

where  $n-2t+1$  and  $2t-3$  are considered modulo  $n$ .

*Proof.* Let  $t \geq 2$  with  $\gcd(t-1, n) = 1$  and consider the polynomial  $F(x) = f(x^{q^t})$ , that is,

$$F(x) = a_0x^{q^t} + a_1x^{q^{t+1}} + \cdots + a_{n-3}x^{q^{n+t-3}} - x^{q^{n+t-2}}.$$

Clearly  $\dim_{\mathbb{F}_q} \ker F = \dim_{\mathbb{F}_q} \ker f = n - 2$ . By renaming the coefficients,  $F(x)$  can be written as

$$\begin{aligned} F(x) &= \alpha_0x + \alpha_1x^{q^{n-t+1}} + \alpha_2x^{q^{2(n-t+1)}} + \cdots + \alpha_{n-3}x^{q^{(n-t+1)(n-3)}} + \alpha_{n-2}x^{q^{(n-t+1)(n-2)}} \\ &= \alpha_0x + \alpha_1x^{q^{n-t+1}} + \cdots + \alpha_{n-3}x^{q^{3t-3}} + \alpha_{n-2}x^{q^{2t-2}}. \end{aligned}$$

Since  $F(x)$  has maximum kernel, by the second equation of (12) we get  $\alpha_0 \neq 0$ ,  $\alpha_{n-2} \neq 0$  and the following relation

$$-\frac{\alpha_1}{\alpha_{n-2}} = -\left(-\frac{\alpha_0}{\alpha_{n-2}}\right)^{q^s+1} \left(-\frac{\alpha_{n-3}}{\alpha_{n-2}}\right)^{q^{2s}}. \quad (17)$$

The coefficient  $\alpha_j$  of  $F(x)$  equals the coefficient  $a_i$  of  $f(x)$  with  $i \equiv n - t + j(1 - t) \pmod{n}$ , in particular

$$\begin{cases} \alpha_0 = a_{n-t}, \\ \alpha_1 = a_{n-2t+1}, \\ \alpha_{n-3} = a_{2t-3}, \\ \alpha_{n-2} = a_{t-2}, \\ \alpha_{n-4} = a_{3t-4}, \end{cases} \quad (18)$$

and by (17), we get that  $a_{t-2}$  and  $a_{n-t}$  are nonzero, and

$$a_{n-2t+1}a_{t-2}^{q^{2s}+q^s} = -a_{n-t}^{q^s+1}a_{2t-3}^{q^{2s}},$$

which gives (13). The first equation of (12) gives

$$-\frac{\alpha_0}{\alpha_{n-2}} \left( \left( -\frac{\alpha_{n-4}}{\alpha_{n-2}} \right)^{q^{2s}} + \left( -\frac{\alpha_{n-3}}{\alpha_{n-2}} \right)^{q^{2s}+q^s} \right) = 1,$$

that is,

$$-\alpha_0(-\alpha_{n-2}^{q^s}\alpha_{n-4}^{q^{2s}} + \alpha_{n-3}^{q^{2s}+q^s}) = \alpha_{n-2}^{q^{2s}+q^s+1}.$$

Then (18) and  $\alpha_{n-4} = a_{3t-4}$  imply

$$-a_{n-t}(-a_{t-2}^{q^s}a_{3t-4}^{q^{2s}} + a_{2t-3}^{q^{2s}+q^s}) = a_{t-2}^{q^{2s}+q^s+1},$$

which gives (14). By Corollary 3.1 with  $s = n - t + 1$  we obtain

$$N\left(-\frac{\alpha_0}{\alpha_{n-2}}\right) = 1,$$

and taking (18) into account we get

$$N(a_{n-t}) = (-1)^n N(a_{t-2}).$$

Then (13) and the previous relation yield

$$N(a_{n-2t+1}) = (-1)^n N(a_{2t-3}).$$

□

**Proposition 3.7.** *Let  $f(x)$  be a  $q^s$ -polynomial with  $q^s$ -degree  $n - 2$  and with maximum kernel. If the coefficient of  $x^{q^s}$  is zero, then  $n$  is even and  $f(x) = \alpha \operatorname{Tr}_{q^n/q^2}(\beta x)$  for some  $\alpha, \beta \in \mathbb{F}_{q^n}^*$ .*

*Proof.* We may assume  $f(x) = a_0x + a_1x^{q^s} + \dots + a_{n-3}x^{q^{s(n-3)}} - x^{q^{s(n-2)}}$  with  $a_1 = 0$ . By the second equation of (12), it follows that  $a_{n-3} = 0$ . By the third equation of (12), we get that  $a_j = 0$  for every odd integer  $j \in \{3, \dots, n-3\}$ . If  $j$  is even then we have

$$a_j = (-1)^{\frac{j}{2}} a_0^{q^{sj+q^{s(j-2)}+\dots+q^{2s}+1}}. \quad (19)$$

If  $n - 3$  is even, then this gives us a contradiction with  $j = n - 3$ . It follows that  $n - 3$  is odd and hence  $n$  is even. By  $N(a_0) = (-1)^n$ , there exists  $\lambda \in \mathbb{F}_{q^n}^*$  such that  $a_0 = -\lambda^{1-q^{s(n-2)}}$ . So, by (19) we get  $a_j = \lambda^{q^{js}-q^{s(n-2)}}$ , and hence

$$f(x) = \frac{\operatorname{Tr}_{q^n/q^2}(\lambda x)}{\lambda^{q^{s(n-2)}}}.$$

□

In the next sections we list all the  $q^s$ -polynomials of  $\mathbb{F}_{q^n}$  with maximum kernel for  $n \leq 6$ . By Corollaries 3.4 and 3.5 the  $n \leq 3$  case can be easily described hence we will consider only the  $n \in \{4, 5, 6\}$  cases.

For  $f(x) = \sum_{i=0}^{n-1} a_i x^{q^i} \in \tilde{\mathcal{L}}_{n,q}$  we denote by  $\hat{f}(x) := \sum_{i=0}^{n-1} a_i^{q^{n-i}} x^{q^{n-i}}$  the adjoint (w.r.t. the symmetric non-degenerate bilinear form defined by  $\langle x, y \rangle = \operatorname{Tr}(xy)$ ) of  $f$ .

By [1, Lemma 2.6], see also [2, pages 407–408], the kernel of  $f$  and  $\hat{f}$  has the same dimension and hence the following result holds.

**Proposition 3.8.** *If  $f(x) \in \tilde{\mathcal{L}}_{n,q}$  is a  $q^s$ -polynomial with maximum kernel, then  $\hat{f}(x)$  is a  $q^{n-s}$ -polynomial with maximum kernel.*

This will allow us to consider only the  $s \leq n/2$  case.

### 3.2 The $n = 4$ case

In this section we determine the linearized polynomials over  $\mathbb{F}_{q^4}$  with maximum kernel. Without loss of generality, we can suppose that the leading coefficient of the polynomial is  $-1$ .

Because of Proposition 3.8, we can assume  $s = 1$ . Corollaries 3.4 and 3.5 cover the cases when the  $q$ -degree of  $f$  is 1 or 3 so from now on we suppose  $f(x) = a_0x + a_1x^q - x^{q^2}$ . If  $a_1 = 0$  then we can use again Corollary 3.5 and we get  $a_0x - x^{q^2}$ , with  $N_{q^4/q^2}(a_0) = 1$ . Suppose  $a_1 \neq 0$ . By Equation (12), we get the conditions

$$\begin{cases} a_0(a_0^{q^2} + a_1^{q^2+q}) = 1, \\ a_1 = -a_0^{q+1}a_1^{q^2}, \end{cases}$$

which is equivalent to

$$\begin{cases} N_{q^4/q}(a_0) = 1, \\ a_1^{q+1} = a_0^{q^2+q+1} - a_0^q, \end{cases}$$

see (A1) of Section 5.

Here we list the  $q$ -polynomials of  $\mathcal{L}_{4,q}$  with maximum kernel, up to a non-zero scalar in  $\mathbb{F}_{q^4}^*$ . Applying the adjoint operation we can obtain the list of  $q^3$ -polynomials over  $\mathbb{F}_{q^4}$  with maximum kernel. In the following table the  $q$ -degree will be denoted by  $k$ .

$k$	polynomial form	conditions
3	$\text{Tr}(\lambda x)$	$\lambda \in \mathbb{F}_{q^4}^*$
2	$a_0x - x^{q^2}$	$N_{q^4/q^2}(a_0) = 1$
2	$a_0x + a_1x^q - x^{q^2}$	$\begin{cases} N_{q^4/q}(a_0) = 1 \\ a_1^{q+1} = a_0^{q^2+q+1} - a_0^q \end{cases}$
1	$a_0x - x^q$	$N_{q^4/q}(a_0) = 1$

Table 1: Linearized polynomials of  $\mathbb{F}_{q^4}$  with maximum kernel with  $s = 1$

### 3.3 The $n = 5$ case

In this section we determine the linearized polynomials over  $\mathbb{F}_{q^5}$  with maximum kernel. Without loss of generality, we can suppose that the leading coefficient of the polynomial is  $-1$ . Because of Proposition 3.8, we can assume  $s \in \{1, 2\}$ . Corollaries 3.4 and 3.5 cover the cases when the  $q^s$ -degree of  $f$  is 1 or 4. First we suppose that  $f$  has  $q^s$ -degree 3, i.e.

$$f(x) = a_0x + a_1x^{q^s} + a_2x^{q^{2s}} - x^{q^{3s}}.$$

From (12),  $f(x)$  has maximum kernel if and only if  $a_0$ ,  $a_1$  and  $a_2$  satisfy the following system:

$$\begin{cases} a_1 = -a_0^{q^s+1}a_2^{q^{2s}}, \\ -a_0^{q^{3s}+q^{2s}+1}a_2^{q^{4s}} + a_2^{q^{2s}+q^s}a_0 = 1, \\ a_2 = -a_0^{q^{2s}+1} + a_2^{q^{3s}+q^{2s}}a_0^{q^{2s}+q^s+1}, \end{cases}$$

which is equivalent to

$$\begin{cases} N(a_0) = 1, \\ a_1 = -a_0^{q^s+1}a_2^{q^{2s}}, \\ -a_0^{q^{3s}+q^{2s}+1}a_2^{q^{4s}} + a_0a_2^{q^{2s}+q^s} = 1, \end{cases}$$

see (A2) of Section 5.

Suppose now that the  $q^s$ -degree is 2, i.e.

$$f(x) = a_0x + a_1x^{q^s} - x^{q^{2s}}.$$

By Theorem 3.3 the polynomial  $f(x)$  has maximum kernel if and only if its coefficients satisfy

$$\begin{cases} a_0(a_0^{q^{2s}}a_1^{q^{3s}} + a_1^{q^s}(a_0^{q^{3s}} + a_1^{q^{3s}+q^{2s}})) = 1, \\ a_0^{q^s+1}(a_0^{q^{3s}} + a_1^{q^{3s}+q^{2s}}) + a_1 = 0, \end{cases}$$

which is equivalent to

$$\begin{cases} N(a_0) = -1, \\ a_0^{q^s} + a_1^{q^s+1} = a_0^{q^{2s}+q^s+1}a_1^{q^{3s}}, \end{cases}$$

see (A3) of Section 5.

Here we list the  $q^s$ -polynomials,  $s \in \{1, 2\}$  of  $\mathcal{L}_{5,q}$  with maximum kernel, up to a non-zero scalar in  $\mathbb{F}_{q^5}^*$ . Applying the adjoint operation we can obtain the list of  $q^t$ -polynomials,  $t \in \{3, 4\}$ , over  $\mathbb{F}_{q^5}$  with maximum kernel. As before, the  $q^s$ -degree is denoted by  $k$ .

k	polynomial form	conditions
4	$\text{Tr}(\lambda x)$	$\lambda \in \mathbb{F}_{q^5}^*$
3	$a_0x + a_1x^{q^s} + a_2x^{q^{2s}} - x^{q^{3s}}$	$\begin{cases} N(a_0) = 1 \\ a_1 = -a_0^{q^s+1} a_2^{q^{2s}} \\ -a_0^{q^{3s}+q^{2s}+1} a_2^{q^{4s}} + a_0 a_2^{q^{2s}+q^s} = 1 \end{cases}$
2	$a_0x + a_1x^{q^s} - x^{q^{2s}}$	$\begin{cases} N(a_0) = -1 \\ a_1^{q^s+1} + a_0^{q^s} = a_1^{q^{3s}} a_0^{q^{2s}+q^s+1} \end{cases}$
1	$a_0x - x^{q^s}$	$N(a_0) = 1$

Table 2: Linearized polynomials of  $\mathbb{F}_{q^5}$  with maximum kernel with  $s \in \{1, 2\}$

### 3.4 The $n = 6$ case

In this section we determine the linearized polynomials over  $\mathbb{F}_{q^6}$  with maximum kernel. Without loss of generality, we can suppose that the leading coefficient of the polynomial is  $-1$ . Because of Proposition 3.8, we can assume  $s = 1$ . Corollaries 3.4 and 3.5 cover the cases when the  $q$ -degree of  $f$  is 1 or 5. As before, denote by  $k$  the  $q^s$ -degree of  $f$ .

We first consider the case  $k = 2$ , i.e.  $f(x) = a_0x + a_1x^{q^s} - x^{q^{2s}}$ . By Theorem 3.3,  $f(x)$  has maximum kernel if and only if the coefficients satisfy

$$\begin{cases} N(a_0) = 1, \\ (a_0^q + a_1^{q+1})^{q^3} = a_0^{q^5+q^4+q^3} (a_0^q + a_1^{q+1}), \\ a_1^{q^4} a_0^{q^3} + a_1^{q^2} (a_0^{q^4} + a_1^{q^4+q^3}) = -\frac{a_1}{a_0^{q+1}}, \end{cases}$$

see (A4) of Section 5.

If  $k = 3$ , then  $f(x) = a_0x + a_1x^{q^s} + a_2x^{q^{2s}} - x^{q^{3s}}$ , and by Theorem 3.3 it has maximum kernel if and only if the coefficients fulfill

$$\begin{cases} N(a_0) = 1, \\ a_0^{q^3+q+1} + a_2^{q^3} a_1^{q^2} a_0^{q+1} - a_2^q a_1 = a_0^q, \\ a_2^{q+1} = -a_0^{q^3+q^2+q+1} a_1^{q^4} - a_1^q, \\ a_1^{q+1} = a_2 a_0^q + a_0^{q^2+q+1} a_2^{q^3}, \end{cases}$$

see (A5) of Section 5. Note that  $a_1 = 0$  if and only if  $a_2 = 0$  and in this case we get the trace over  $\mathbb{F}_{q^3}$ .



Finally, let  $k = 4$ . Then the polynomial  $f(x) = a_0x + a_1x^{q^s} + a_2x^{q^{2s}} + a_3x^{q^{3s}} - x^{q^{4s}}$  has maximum kernel if and only if the coefficients satisfy

$$\begin{cases} N(a_0) = 1, \\ a_0(-a_0^{q^4+q^2} + a_3^{q^5+q^4} a_0^{q^4+q^3+q^2} + a_3^{q^2+q}) = 1, \\ a_1 = -a_0^{q+1} a_3^{q^2}, \\ a_2 = -a_0^{q^2+1} + a_3^{q^3+q^2} a_0^{q^2+q+1}, \\ a_3 = a_3^{q^4} a_0^{q^3+q^2+1} + a_3^{q^2} a_0^{q^3+q+1} - a_0^{q^3+q^2+q+1} a_3^{q^4+q^3+q^2}, \end{cases}$$

see (A6) of Section 5.

Here we list the  $q$ -polynomials of  $\mathcal{L}_{6,q}$  with maximum kernel, up to a non-zero scalar in  $\mathbb{F}_{q^6}^*$ . Applying the adjoint operation we can obtain the list of  $q^5$ -polynomials over  $\mathbb{F}_{q^6}$  with maximum kernel.

Table 3: Linearized polynomials of  $\mathbb{F}_{q^6}$  with maximum kernel with  $s = 1$

$k$	polynomial form	conditions
5	$\text{Tr}_{q^6/q}(\lambda x)$	$\lambda \in \mathbb{F}_{q^6}^*$
4	$a_0x + a_1x^q + a_2x^{q^2} + a_3x^{q^3} - x^{q^4}$	$\left\{ \begin{array}{l} a_1 \neq 0 \\ N(a_0) = 1 \\ a_0(-a_0^{q^4+q^2} + a_3^{q^5+q^4} a_0^{q^4+q^3+q^2} + a_3^{q^2+q}) = 1 \\ a_1 = -a_0^{q+1} a_3^{q^2} \\ a_2 = -a_0^{q^2+1} + a_3^{q^3+q^2} a_0^{q^2+q+1} \\ a_3 = a_3^{q^4} a_0^{q^3+q^2+1} + a_3^2 a_0^{q^3+q+1} - a_0^{q^3+q^2+q+1} a_3^{q^4+q^3+q^2} \end{array} \right.$
4	$\text{Tr}_{q^6/q^2}(\lambda x)$	$\lambda \in \mathbb{F}_{q^6}^*$
3	$a_0x + a_1x^q + a_2x^{q^2} - x^{q^3}$	$\left\{ \begin{array}{l} N(a_0) = 1 \\ a_0^{q^3+q+1} + a_2^3 a_1^{q^2} a_0^{q^2+q+1} - a_2^q a_1 = a_0^q \\ a_2^{q+1} = -a_0^{q^3+q^2+q+1} a_1^q - a_1^q \\ a_1^{q+1} = a_2 a_0^q + a_0^{q^2+q+1} a_2^3 \end{array} \right.$
3	$\text{Tr}_{q^6/q^3}(\lambda x)$	$\lambda \in \mathbb{F}_{q^6}^*$
2	$a_0x + a_1x^q - x^{q^2}$	$\left\{ \begin{array}{l} a_1 \neq 0 \\ N(a_0) = 1 \\ (a_0^q + a_1^{q+1})^{q^3} = a_0^{q^5+q^4+q^3} (a_0^q + a_1^{q+1}) \\ a_1^q a_0^3 + a_1^2 (a_0^{q^4} + a_1^{q^4+q^3}) = -\frac{a_1}{a_0^{q+1}} \end{array} \right.$
2	$a_0x - x^{q^2}$	$N_{q^6/q^2}(a_0) = 1$
1	$a_0x - x^q$	$N_{q^6/q}(a_0) = 1$

## 4 Application

As an application of Theorem 1.2 we are able to prove the following result on the splitting field of  $q$ -polynomials.

**Theorem 4.1.** *Let  $f(x) = a_0x + a_1x^q + \cdots + a_{k-1}x^{q^{k-1}} - x^{q^k} \in \mathbb{F}_{q^n}[x]$  with  $a_0 \neq 0$  and let  $A$  be defined as in (1). Then the splitting field of  $f(x)$  is  $\mathbb{F}_{q^{nm}}$  where  $m$  is the (multiplicative) order of the matrix  $B := AA^q \cdots A^{q^{n-1}}$ .*

*Proof.* The derivative of  $f(x)$  is non-zero and hence  $f(x)$  has  $q^k$  distinct roots in some algebraic extension of  $\mathbb{F}_{q^n}$ . Suppose that  $\mathbb{F}_{q^{nm}}$  is the splitting field of  $f(x)$  and let  $t$  denote the order of  $B$ . Then the kernel of the  $\mathbb{F}_q$ -linear  $\mathbb{F}_{q^{nm}} \rightarrow \mathbb{F}_{q^{nm}}$  map defined as  $x \mapsto f(x)$  has dimension  $k$  over  $\mathbb{F}_q$  and hence by Theorem 1.2 we have

$$AA^q \cdots A^{q^{nm-1}} = I_k.$$

Since the coefficients of  $A$  are in  $\mathbb{F}_{q^n}$ , this is equivalent to  $B^m = I_k$  and hence  $t \mid m$ . On the other hand

$$B^t = AA^q \cdots A^{q^{nt-1}} = I_k$$

and hence again by Theorem 1.2 the kernel of the  $\mathbb{F}_q$ -linear  $\mathbb{F}_{q^{nt}} \rightarrow \mathbb{F}_{q^{nt}}$  map defined as  $x \mapsto f(x)$  has dimension  $k$  over  $\mathbb{F}_q$ . It follows that  $\mathbb{F}_{q^{nm}}$  is a subfield of  $\mathbb{F}_{q^{nt}}$  from which  $m \mid t$ .  $\square$

A further application of Theorem 1.2 is the following.

**Theorem 4.2.** *Let  $n, m, s$  and  $t$  be positive integers such that  $\gcd(s, nm) = \gcd(t, nm) = 1$  and  $s \equiv t \pmod{m}$ . Let  $f(x) = a_0x + a_1x^{q^s} + \cdots + a_{k-1}x^{q^{s(k-1)}} - x^{q^{sk}}$  and  $g(x) = a_0x + a_1x^{q^t} + \cdots + a_{k-1}x^{q^{t(k-1)}} - x^{q^{tk}}$ , where  $a_0, a_1, \dots, a_{k-1} \in \mathbb{F}_{q^m}$ . The kernel of  $f(x)$  considered as a linear transformation of  $\mathbb{F}_{q^{nm}}$  has dimension  $k$  if and only if the kernel of  $g(x)$  considered as a linear transformation of  $\mathbb{F}_{q^{nm}}$  has dimension  $k$ .*

*Proof.* Denote by  $A$  the matrix associated with  $f(x)$  as in (1). By hypothesis,  $A \in \mathbb{F}_{q^m}^{k \times k}$  and it is the same as the matrix associated with  $g(x)$ . By Theorem 1.2 the kernel of  $f(x)$ , considered as a linear transformation of  $\mathbb{F}_{q^{nm}}$ , has dimension  $k$  if and only if

$$AA^{q^s} \cdots A^{q^{s(nm-1)}} = I_k.$$

Since  $s \equiv t \pmod{m}$ , we have

$$AA^{q^s} \cdots A^{q^{s(nm-1)}} = AA^{q^t} \cdots A^{q^{t(nm-1)}} = I_k,$$

and, again by Theorem 1.2, this holds if and only if the kernel of  $g(x)$ , considered as a linear transformation of  $\mathbb{F}_{q^{nm}}$ , has dimension  $k$ .  $\square$

## Addendum

During the “Combinatorics 2018” conference, the fourth author presented the results of this paper in the talk entitled “On  $q$ -polynomials with maximum kernel”. In the same conference John Sheekey presented a joint work with Gary McGuire [8] in his talk entitled “Ranks of Linearized Polynomials and Roots of Projective Polynomials”. It turned out that, independently from the authors of the present paper, they also obtained similar results.

## 5 Appendix

In this section we develop some calculations regarding the relations on the coefficients of a linearized polynomials with maximum kernel presented in Sections 3.2, 3.3 and 3.4, see also [13].

(A1) By Equation (11) with  $n = 4$ ,  $s = 1$  and  $k = 2$ , we get the conditions

$$\Sigma: \begin{cases} a_0(a_0^{q^2} + a_1^{q^2+q}) = 1, \\ a_1 = -a_0^{q+1}a_1^{q^2}. \end{cases}$$

By Corollary 3.1, the system  $\Sigma$  is equivalent to the following system

$$\Sigma': \begin{cases} N_{q^4/q}(a_0) = 1, \\ a_0(a_0^{q^2} + a_1^{q^2+q}) = 1, \\ a_1 = -a_0^{q+1}a_1^{q^2}, \end{cases}$$

which can be rewritten as follows

$$\Sigma': \begin{cases} N_{q^4/q}(a_0) = 1, \\ a_1^{q^2-1} = -\frac{1}{a_0^{q+1}}, \\ a_1^{q+1} = a_0^{q^2+q+1} - a_0^q. \end{cases}$$

Now consider the system

$$\Sigma^*: \begin{cases} N_{q^4/q}(a_0) = 1, \\ a_1^{q+1} = a_0^{q^2+q+1} - a_0^q. \end{cases}$$

Clearly,  $S(\Sigma') \subseteq S(\Sigma^*)$ , where  $S(\Sigma')$  and  $S(\Sigma^*)$  denote the set of solutions of  $\Sigma'$  and  $\Sigma^*$ , respectively. Let  $(a_0, a_1) \in S(\Sigma^*)$ , then by using the norm condition on  $a_0$

$$\begin{aligned} a_1^{q^2-1} &= \left( \frac{1}{a_0^{q^3}} - a_0^q \right)^{q-1} = \left( \frac{1 - a_0^{q+q^3}}{a_0^{q^3}} \right)^{q-1} = \\ &= \frac{1 - a_0^{1+q^2}}{1 - a_0^{q+q^3}} a_0^{q^3-1} = \frac{1 - \frac{1}{a_0^{q+q^3}}}{1 - a_0^{q+q^3}} a_0^{q^3-1} = -\frac{1}{a_0^{q+1}}, \end{aligned}$$

i.e.  $(a_0, a_1) \in S(\Sigma')$  and hence  $S(\Sigma^*) = S(\Sigma') = S(\Sigma)$ .

(A2) From (11) with  $n = 5$ ,  $\gcd(s, 5) = 1$  and  $k = 3$ , we get the following conditions:

$$\Sigma: \begin{cases} a_0(a_1^{q^{2s}} + a_2^{q^{2s}+q^s}) = 1, \\ a_1 = -a_0^{q^s+1} a_2^{q^{2s}}, \\ a_2 = -a_0^{q^{2s}+1} - a_2^{q^{2s}} a_1^{q^s} a_0. \end{cases}$$

By Corollary 3.1,  $\Sigma$  is equivalent to

$$\Sigma': \begin{cases} N_{q^5/q}(a_0) = 1, \\ a_0(a_1^{q^{2s}} + a_2^{q^{2s}+q^s}) = 1, \\ a_1 = -a_0^{q^s+1} a_2^{q^{2s}}, \\ a_2 = -a_0^{q^{2s}+1} - a_2^{q^{2s}} a_1^{q^s} a_0. \end{cases}$$

which can be rewritten as follows

$$\Sigma': \begin{cases} N_{q^5/q}(a_0) = 1, \\ a_1 = -a_0^{q^s+1} a_2^{q^{2s}}, \\ -a_0^{q^{3s}+q^{2s}+1} a_2^{q^{4s}} + a_2^{q^{2s}+q^s} a_0 = 1, \\ a_2 = -a_0^{q^{2s}+1} + a_2^{q^{3s}+q^{2s}} a_0^{q^{2s}+q^s+1}. \end{cases}$$

By raising the third equation to  $q^s$  and multiplying by  $a_0^{q^{2s}+1}$ , since  $N(a_0) = 1$ , we get the fourth equation. Therefore  $\Sigma'$ , and hence  $\Sigma$ , is equivalent to

$$\begin{cases} N_{q^5/q}(a_0) = 1, \\ a_1 = -a_0^{q^s+1} a_2^{q^{2s}}, \\ -a_0^{q^{3s}+q^{2s}+1} a_2^{q^{4s}} + a_0 a_2^{q^{2s}+q^s} = 1. \end{cases}$$

(A3) Applying Theorem 3.3 with  $n = 5$  and  $k = 2$ , we get that the polynomial  $f(x)$  has maximum kernel if and only if its coefficients satisfy

$$\Sigma: \begin{cases} Q_{0,5} = a_0(a_0^{q^{2s}} a_1^{q^{3s}} + a_1^{q^s}(a_0^{q^{3s}} + a_1^{q^{3s}+q^{2s}})) = 1, \\ Q_{1,5} = a_0^{q^s}(a_0^{q^{3s}} + a_1^{q^{3s}+q^{2s}}) + a_1(a_0^{q^{2s}} a_1^{q^{3s}} + a_1^{q^s}(a_0^{q^{3s}} + a_1^{q^{3s}+q^{2s}})) = 0, \end{cases}$$

which is equivalent to

$$\begin{cases} N_{q^5/q}(a_0) = -1, \\ a_0(a_0^{q^{2s}} a_1^{q^{3s}} + a_1^{q^s}(a_0^{q^{3s}} + a_1^{q^{3s}+q^{2s}})) = 1, \\ a_0^{q^s+1}(a_0^{q^{3s}} + a_1^{q^{3s}+q^{2s}}) + a_1 = 0, \end{cases}$$

because of Corollary 3.1 and since  $a_0^{q^{2s}} a_1^{q^{3s}} + a_1^{q^s} (a_0^{q^{3s}} + a_1^{q^{3s+q^{2s}}}) = \frac{1}{a_0}$ .

The above system can be rewritten as follows

$$\begin{cases} N_{q^5/q}(a_0) = -1, \\ a_0^{q^{3s}} + a_1^{q^{3s+q^{2s}}} = -\frac{a_1}{a_0^{q^s+1}}, \\ a_1^{q^{3s}} a_0^{q^{2s+q^s+1}} - a_1^{q^s+1} = a_0^{q^s}, \end{cases}$$

which is equivalent to

$$\begin{cases} N_{q^5/q}(a_0) = -1, \\ a_1^{q^s+1} + a_0^{q^s} = a_1^{q^{3s}} a_0^{q^{2s+q^s+1}}, \\ a_1 a_0^{q^{4s+q^{3s}+q^{2s}}} = -\frac{a_1}{a_0^{q^s+1}}. \end{cases}$$

If the first and the second equations are satisfied, clearly also the last one is fulfilled, hence  $\Sigma$  is equivalent to the following system

$$\begin{cases} N_{q^5/q}(a_0) = -1, \\ a_1^{q^s+1} + a_0^{q^s} = a_1^{q^{3s}} a_0^{q^{2s+q^s+1}}. \end{cases}$$

(A4) By Theorem 3.3, with  $n = 6$ ,  $s = 1$  and  $k = 2$ , we get

$$\begin{cases} Q_{0,6} = a_0(a_0^{q^2}(a_0^{q^4} + a_1^{q^4+q^3}) + a_1^q(a_0^{q^3} a_1^{q^4} + a_1^{q^2}(a_0^{q^4} + a_1^{q^4+q^3}))) = 1, \\ Q_{1,6} = a_0^{q^2} a_1(a_0^{q^4} + a_1^{q^4+q^3}) + (a_1^{q+1} + a_0^q)(a_0^{q^3} a_1^{q^4} + a_1^{q^2}(a_0^{q^4} + a_1^{q^4+q^3})) = 0, \end{cases}$$

which is equivalent to

$$\begin{cases} a_0^{q^2}(a_0^{q^4} + a_1^{q^4+q^3}) + a_1^q(a_0^{q^3} a_1^{q^4} + a_1^{q^2}(a_0^{q^4} + a_1^{q^4+q^3})) = \frac{1}{a_0}, \\ \frac{a_1}{a_0} + a_0^q(a_0^{q^3} a_1^{q^4} + a_1^{q^2}(a_0^{q^4} + a_1^{q^4+q^3})) = 0, \end{cases}$$

i.e.

$$\begin{cases} a_0^{q^2}(a_0^{q^4} + a_1^{q^4+q^3}) + a_1^q(a_0^{q^3} a_1^{q^4} + a_1^{q^2}(a_0^{q^4} + a_1^{q^4+q^3})) = \frac{1}{a_0}, \\ a_0^{q^3} a_1^{q^4} + a_1^{q^2}(a_0^{q^4} + a_1^{q^4+q^3}) = -\frac{a_1}{a_0^{q+1}}. \end{cases}$$

By Corollary 3.1, the previous system is equivalent to

$$\begin{cases} N(a_0) = 1, \\ a_0^{q^2}(a_0^{q^4} + a_1^{q^4+q^3}) + a_1^q(a_0^{q^3} a_1^{q^4} + a_1^{q^2}(a_0^{q^4} + a_1^{q^4+q^3})) = \frac{1}{a_0}, \\ a_0^{q^3} a_1^{q^4} + a_1^{q^2}(a_0^{q^4} + a_1^{q^4+q^3}) = -\frac{a_1}{a_0^{q+1}}, \end{cases}$$

which is equivalent to

$$\begin{cases} N(a_0) = 1, \\ a_0^{q^2}(a_0^q + a_1^{q+1})^{q^3} - \frac{a_1^{q+1}}{a_0^{q+1}} = \frac{1}{a_0}, \\ a_0^{q^3}a_1^{q^4} + a_1^{q^2}(a_0^{q^4} + a_1^{q^4+q^3}) = -\frac{a_1}{a_0^{q+1}}, \end{cases}$$

hence it is equivalent to

$$\begin{cases} N(a_0) = 1, \\ (a_0^q + a_1^{q+1})^{q^3} = a_0^{q^5+q^4+q^3}(a_0^q + a_1^{q+1}), \\ a_1^{q^4}a_0^{q^3} + a_1^{q^2}(a_0^{q^4} + a_1^{q^4+q^3}) = -\frac{a_1}{a_0^{q+1}}. \end{cases}$$

(A5) By Theorem 3.3 with  $n = 6$ ,  $s = 1$  and  $k = 3$ , we get

$$\begin{cases} a_0Q_{2,5}^q = 1, \\ Q_{0,5}^q + a_1Q_{2,5}^q = 0, \\ Q_{1,5}^q + a_2Q_{2,5}^q = 0, \end{cases}$$

where

$$\begin{aligned} Q_{0,5} &= a_0(a_1^{q^2} + a_2^{q^2+q}), \\ Q_{1,5} &= a_0^q a_2^{q^2} + a_1(a_1^{q^2} + a_2^{q^2+q}), \\ Q_{2,5} &= a_0^{q^2} + a_2^q a_1^q + a_2(a_1^{q^2} + a_2^{q^2+q}), \end{aligned}$$

hence we obtain the following system

$$\begin{cases} a_0(a_0^{q^3} + a_2^{q^3}a_1^{q^2} + a_2^q(a_1^{q^3} + a_2^{q^3+q^2})) = 1, \\ \frac{a_1}{a_0} + a_0^q(a_1^{q^3} + a_2^{q^3+q^2}) = 0, \\ \frac{a_2}{a_0} + a_2^{q^3}a_0^{q^2} + a_1^q(a_1^{q^3} + a_2^{q^3+q^2}) = 0. \end{cases}$$

By Corollary 3.1 it is equivalent to

$$\begin{cases} N(a_0) = 1, \\ a_0(a_0^{q^3} + a_2^{q^3}a_1^{q^2} + a_2^q(a_1^{q^3} + a_2^{q^3+q^2})) = 1, \\ a_1^{q^3} + a_2^{q^3+q^2} = -\frac{a_1}{a_0^{1+q}}, \\ \frac{a_2}{a_0} + a_2^{q^3}a_0^{q^2} + a_1^q(a_1^{q^3} + a_2^{q^3+q^2}) = 0, \end{cases}$$



by substituting the third equation into the others we get

$$\begin{cases} N(a_0) = 1, \\ a_0(a_0^{q^3} + a_2^{q^3} a_1^{q^2} - \frac{a_2^q a_1}{a_0^{1+q}}) = 1, \\ a_1^{q^3} + a_2^{q^3+q^2} = -\frac{a_1}{a_0^{1+q}}, \\ \frac{a_2}{a_0} + a_2^{q^3} a_0^{q^2} - \frac{a_1^{q+1}}{a_0^{1+q}} = 0, \end{cases}$$

i.e.

$$\begin{cases} N(a_0) = 1, \\ a_0^{q^3+q+1} + a_2^{q^3} a_1^{q^2} a_0^{q+1} - a_2^q a_1 = a_0^q, \\ a_2^{q+1} = -a_0^{q^3+q^2+q+1} a_1^{q^4} - a_1^q, \\ a_1^{q+1} = a_2 a_0^q + a_0^{q^2+q+1} a_2^{q^3}. \end{cases}$$

(A6) Equations (11) with  $n = 6$ ,  $s = 1$  and  $k = 4$  are

$$\begin{cases} a_0(a_2^{q^2} + a_3^{q^2+q}) = 1, \\ a_0^q a_3^{q^2} + a_1(a_2^{q^2} + a_3^{q^2+q}) = 0, \\ a_0^{q^2} + a_3^{q^2} a_1^q + a_2(a_2^{q^2} + a_3^{q^2+q}) = 0, \\ a_1^{q^2} + a_3^{q^2} a_2^q + a_3(a_2^{q^2} + a_3^{q^2+q}) = 0, \end{cases}$$

which, by Corollary 3.1, is equivalent to

$$\begin{cases} N(a_0) = 1, \\ a_0(a_2^{q^2} + a_3^{q^2+q}) = 1, \\ a_0^q a_3^{q^2} + a_1(a_2^{q^2} + a_3^{q^2+q}) = 0, \\ a_0^{q^2} + a_3^{q^2} a_1^q + a_2(a_2^{q^2} + a_3^{q^2+q}) = 0, \\ a_1^{q^2} + a_3^{q^2} a_2^q + a_3(a_2^{q^2} + a_3^{q^2+q}) = 0, \end{cases}$$

thus it can be rewritten as follows

$$\begin{cases} N(a_0) = 1, \\ a_2^{q^2} + a_3^{q^2+q} = \frac{1}{a_0}, \\ a_0^q a_3^{q^2} + \frac{a_1}{a_0} = 0, \\ a_0^{q^2} + a_3^{q^2} a_1^q + \frac{a_2}{a_0} = 0, \\ a_1^{q^2} + a_3^{q^2} a_2^q + \frac{a_3}{a_0} = 0, \end{cases}$$

and hence

$$\begin{cases} N(a_0) = 1, \\ a_0(a_2^{q^2} + a_3^{q^2+q}) = 1, \\ a_1 = -a_0^{q+1} a_3^{q^2}, \\ a_2 = -a_0^{q^2+1} - a_3^{q^2} a_1^q a_0, \\ a_3 = -a_1^{q^2} a_0 - a_3^{q^2} a_2^q a_0, \end{cases}$$

i.e.

$$\begin{cases} N(a_0) = 1, \\ a_0(-a_0^{q^4+q^2} + a_3^{q^5+q^4} a_0^{q^4+q^3+q^2} + a_3^{q^2+q}) = 1, \\ a_1 = -a_0^{q+1} a_3^{q^2}, \\ a_2 = -a_0^{q^2+1} + a_3^{q^3+q^2} a_0^{q^2+q+1}, \\ a_3 = a_3^{q^4} a_0^{q^3+q^2+1} + a_3^{q^2} a_0^{q^3+q+1} - a_0^{q^3+q^2+q+1} a_3^{q^4+q^3+q^2}. \end{cases}$$

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