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A framework for predicting the effects of climate change on the annual distribution of Lyme borreliosis incidences

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23 Abstract: Global climate change is predicted to affect both the spatial and annual 24 distributions of vector-borne diseases. Tick-borne diseases are particularly sensitive to 25 the changing climatic conditions. Modeling them is, however, challenging due to the 26 input-intensity of these models. A framework with low number of inputs (easily 27 accessible weekly temperature data and week numbers) on modeling the seasonality of 28 Lyme borreliosis incidences is presented. The modelling framework enables predicting 29 the annual distribution of *Ixodes ricinus* tick's biting activity and Lyme borreliosis in 30 two cascading phases, incorporating a population dynamics approach. The model is 31 calibrated for Hungary as a case study, for the period of 1998–2008, using tick-borne 32 encephalitis series as a proxy for biting activity. Prediction to the future period of 2081-33 2100 is also provided. Climate change may significantly alter both the annual 34 distribution of *I. ricinus* activity and that of the Lyme borreliosis incidences. The 35 currently unimodal annual distribution of Lyme borreliosis is predicted to become 36 bimodal with a long summer pause and a spring maximum shifted 8 weeks earlier.

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Keywords: Lyme borreliosis; climate change; *Ixodes ricinus*; tick-borne encephalitis;
 prediction

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52 **1. INTRODUCTION**

53 The anthropogenic climate change, a gradual, long-term alteration of worldwide 54 weather patterns caused by the increasing concentration of greenhouse gases (Jaha and 55 Ekumah 2015; Zhong 2016; Aleixandre-Tudó et al. 2019), influences the complex 56 society-biosphere-climate-economy-energy system (Akhtar et al. 2019), including 57 diseases and their prevalence (Ofulla et al. 2016). Climate affects the human behaviors 58 and activities, the structure of the settlements, the population of the host and reservoir 59 mammals, the conditions of the potential tick habitats, and therefore, these mankind-60 induced effects change the pathogen transmission and, finally, the incidence of human 61 tick-borne diseases (Lindgren 1998). Tick-borne diseases are the products of a complex 62 chain of environmental factors (Epstein 1999). Changing climatic and other 63 environmental factors affect the seasonality of the acquiring of tick-borne diseases via 64 the alteration of the daily, the inter-annual and the long-term patterns in risk of infected 65 tick bites (Lindgren and Jaenson 2006).

66 Ticks are small ectoparasite arachnid arthropods living by feeding on the blood 67 of different homoiotherm and poikilotherm tetrapods. More than two dozen tick species 68 occur in Hungary, but the sheep tick (Ixodes ricinus L. (Acari: Ixodidae)) is the most 69 important in the aspect of environmental health. I. ricinus is the most common vector of 70 Lyme borreliosis and also one of the most common ticks in many parts of Europe 71 (Földvári and Farkas 2005; Rizzoli et al. 2014). The observed temporal and spatial 72 expansion of the species in the past decades has been correlated to changes in climate of 73 Europe (Lindgren and Jaenson 2006). It was concluded by several authors that climate 74 change will lengthen the vegetation season and, consequently, the activity period of the 75 different vector species (Hunter 2003; Rogers and Randolph 2006).

76 In the aspect of the adaptation strategies of medical and personal practices (i.e. 77 the seasonal use of tick repellents, vaccines, the behavioral avoidance strategies) the distribution (i.e. seasonality, length and the peak) of the incidence of tick-borne diseases 78 79 is more important than the total yearly incidence of them. In Hungary, the questing 80 activity of *I. ricinus* nymphs and adults starts in March, reaches its maximum in April, 81 shows its summer minimum in August and its second, less expressed peak in October 82 (Széll et al. 2006; Egyed et al. 2012; Trájer and Földvári unpublished data). Despite of 83 the bimodal distribution of tick activity and tick-borne encephalitis the distribution of 84 Lyme borreliosis is unimodal (Zöldi et al. 2013; Trájer et al. 2014). Gray (2008) 85 forewarns that the annual distribution of both I. ricinus activity and Lyme borreliosis 86 may change significantly in the future due to climate change. It is therefore required to 87 investigate the impact of climate change on their annual distribution (Ogden et al. 88 2005).

The development and activity of *I. ricinus* ticks, and the number of the questing ticks are related to the seasonal variation of temperature, in addition to that of other abiotic factors (e.g. humidity and photoperiodicity) that are hard to access or incorporate in a model (Randolph 2009; Jore et al. 2014; Cat et al. 2017). The relationship between temperature and both the interstadial development rates and the daily questing rate is non-linear (Randolph 2004; Trájer et al. 2014).

The aim of our study is to (1) build a framework with low number of inputs on modeling the annual distribution (seasonality) of Lyme borreliosis incidences based on week number and temperature, using human-tick interaction and *I. ricinus* tick activity as hidden modeling modules; to (2) calibrate this modeling framework for the period 1998-2008 for Hungary as a case study; and to (3) predict annual distribution of Lyme borreliosis incidence for a selected future period (2081-2100). Since absolute incidence

101 depends on many factors that are not well studied (e.g. acorn production in the previous 102 year (Ostfeld et al. 2006), rodent or mice population dynamics (Ostfeld et al. 2001; 103 Schauber et al. 2005), and the overwintering rate of the different stages of ticks 104 (Lindsay et al. 1995)), we aimed to model the distribution of the relative incidences (i.e. 105 the sum of incidences per year is 100). Since absolute Lyme borreliosis incidences have 106 nearly been doubled in the studied period in Hungary and the cause of this increase is 107 not well understood (c.f. Trájer et al. 2013b; Zöldi et al. 2013), relativisation of 108 incidence data is unavoidable in our study domain. Another benefit of the use of relative 109 incidence values is the possibility of determining the notable dates of the distribution 110 (season start, peak and end), and compare them between years. The model and its 111 predictions have weekly temporal resolution.

112 **2. MATERIALS AND METHODS**

113 **2.1. Data sources and data preprocessing**

114 2.1.1. Weekly mean temperature (T)

115 The daily mean temperature data of the reference period (1998-2008) were derived from 116 the E-OBS 7.0 database of the European Climate Assessment & Dataset (Haylock et al 117 2008), while data of the future prediction period (2081-2100) were derived from the 118 MRI CGCM 2.3.2a model driven by the SRES A1B emission scenario (Yukimoto et al. 119 2006). Since the climatic and the geographical conditions are relatively homogenous in 120 Hungary (Trájer et al. 2013b, Trájer et al. 2014) we could handle the country as a single 121 unit in climatic terms. Pertinence of this simplification is proven by our previous 122 findings on the homogeneity of LB seasonality within Hungary (Trájer et al. 2013a). 123 Average values were calculated from the 0.25° and 2.81° grids (in case of reference and 124 prediction periods, respectively) within the domain including almost the entire area of Hungary (45.77°N–48.56°N, 16.15°E–22.85°E in WGS-84 coordinate system). Weekly 125 126 mean temperature (T hereinafter) values were calculated by simple averaging of daily 127 data.

128 2.1.2. Human-tick interaction: holiday multiplier (HM)

129 Socio-economic factors, such as the annual pattern of human activity and human-tick 130 interaction, may have a great influence on the annual Lyme borreliosis incidence 131 (Šumilo et al. 2008). For a detailed review please refer to Pfäffle et al. (2013) and the 132 studies cited within. According to our previous findings (Trájer et al 2014), human-tick 133 interaction can be estimated by human outdoor activity patterns related to camping 134 guest night data. Although camping data may cover a limited part of outdoor activities, 135 it can serve as a proxy for approximation. Holiday multiplier (HM hereinafter) is a 136 measure of human willingness to stay in nature (and therefore a measure of the potential 137 human-tick interaction) in the summer holiday period, calculated as the ratio of the 138 camping guest night data (observation) and the normal distribution of temperature dependent human outdoor activity (model). HM values of the 25-36th weeks (Table 1) 139 were interpolated from the results of Trájer et al. (2014) (original temporal resolution: 140 141 two weeks). HMs were set to 1 in all the other weeks.

142 2.1.3. Relative tick-borne encephalitis incidence (TBE)

The weekly incidence data of tick-borne encephalitis for the period 1998–2008 were gained from the National Database of Epidemiological Surveillance System (OEK 2013), based on serological tests. Relative incidences were calculated from absolute ones using technical years starting from the 11th to the next year's 10th week (total incidences of all the technical years were 100%). Weekly relative tick-borne encephalitis incidences (*TBE* hereinafter) were averaged from the 11-years study period.

149 2.1.4. Relative Lyme borreliosis incidence (LB)

150 The weekly incidence data of Lyme borreliosis for the period 1998–2008 were gained 151 from the National Database of Epidemiological Surveillance System (OEK 2013). Since 152 the Hungarian mandatory system does not distinguish between the infection forms, we defined the "case" as any type of early or late infection form of Lyme borreliosis. The 153 154 diagnosis in our database may be based on three main criteria: persons with typical 155 erythema migrans (EM) symptoms (most of the recorded cases), persons with late 156 clinical manifestations (arthritis and/or cardiac, neurological disorders, late phase EM), 157 and persons with laboratory confirmed Lyme borreliosis due to different serological 158 tests. Weekly relative Lyme borreliosis incidences (LB hereinafter) were calculated in 159 similar way than TBEs were.

160 2.1.5. Observed latency of Lyme infection

161 To build a lag model used further in our research (please refer to Model II. A) we 162 determined the lags between tick bites and the first manifestations sampled from the 163 serological registration forms of the Hungarian National Reference Laboratory of 164 Bacterial Zoonoses from the period of March 2012-August 2012. Less than the 10% of 165 the serological registration forms contained both the data of the time of tick bites and 166 the appearance of the EM symptoms (n=26). Since most of the cases appeared 2-3 167 weeks after the tick bite it is plausible that these symptoms belonged to the early 168 manifestation forms (e.g. EM, neuroborreliosis). A lag model, forming a lognormal-like 169 shape, was built by approximating the observed lags between tick bites and onsets of the 170 early manifestation form (Fig 1.). Model values were found to be negligible after the 171 ninth week, therefore we used the first nine weeks later on.

172 **2.2. Modeling method**

173 2.2.1. Model overview

174 A two-phase model was built to estimate relative Lyme borreliosis incidence (LB) as an 175 output from two input parameters that are week number (n) (started from January) and 176 weekly average of the daily mean temperatures (T). All the other (hidden) parameters, 177 such as holiday multiplier (HM), tick activity (A), and biting activity (BA), are 178 calculated by the model from these two inputs. The reason of building a two-phase 179 model instead of a one-phase one was our aim to improve model reliability by a two-180 phase calibration. The structure of the model and the sources of calibration are shown in 181 Fig 2. All the parameters of the model have weekly temporal resolution. For using the 182 model for real-time prediction one has to have the input T parameter for all the 52 183 weeks before the studied week.

Script (function) of the model that can be run in R statistical software (R Core Team 2017) is provided (Github 2019). Although among the input T values all the internal parameters and weights can be passed to the function, calibrated values are automatically used if they are not specified.

188 The first phase of the model (hereinafter *Model I*) is able to estimate tick activity 189 and therefore the result of Model I may have relevance without the second phase 190 (hereinafter Model II), i.e. for estimating tick density, tick-borne encephalitis incidence 191 or the incidence of other tick-borne diseases. Model I is a composite of two models: the first one (hereinafter season 1) is responsible for the tick activity in the first half of the 192 193 year, the second one (hereinafter season 2) is responsible for that of the second half of 194 the year. The division of the year is not strict and is done automatically by the model 195 based on n and T values. The calculation of season 1 is more complex than that of 196 season 2, since season 1 takes the size of the active population – those ticks that have 197 not yet bitten - into consideration. Tick activity is calculated by summarizing season 1 198 and season 2, since they may overlap each other (Eq. 1).

$$A_n = A_n^{season \, 1} + A_n^{season \, 2} \tag{Eq. 1}$$

200 Since *I. ricinus* uses ambush strategy for host finding (Sonenshine 1991), the

201 probability of the encounter and therefore that of the disease transmission, depends not 202 only on tick activity but on human activity as well. Hence, infection is not directly

203 linked to tick activity but to the human-tick interaction. Biting activity is calculated

from tick activity and holiday multiplier (Eq. 2).

$$BA_n = A_n * HM_n \tag{Eq. 2}$$

Model II is able to estimate relative Lyme borreliosis incidence from biting activity. If
its input is available, Model II can be calibrated and used independently form Model I.
Model II has three alternative versions (model A, model B, and model C) that differ
from each other in terms of the calibration method. Model I and Model II is now going
to be explained in detail. After that model calibration will be discussed.

211 2.2.2. Model I, season 1

212 Season 1 in Model I inevitably contains the spring activity of adult ticks, but the nymph 213 activity seems to be dominant in causing Lyme infection from spring to late summer in 214 Hungary (Egyed et al. 2012). Tick activity in season 1 is estimated according to that 215 hard ticks take one blood meal per life stage (Randolph 2004) and therefore not all the 216 nymphs (and adults) are unfed in a certain week. Hence, the value of population entirety 217 (or active population, P) has to be taken into account and continuously diminished week 218 by week. P means the size of the active, unfed population (those ticks that ambush to 219 bite) between 0 and 1, where P of the first week of the year is 1 (Eq. 3).

220
$$P \in [0,1]; P_1 = 1$$
 (Eq. 3)

Tick activity is the function of the potential activity of the entire population (temperature dependent activity, TDA) and the size of the actual unfed tick population. The model calculates the active population of the current week iteratively from TDA and the active population of the previous week. The subtrahend (S) is estimated from 225 the tick activity and a weight parameter (δ) (Eq. 4, Eq. 5).

226
$$P_n = \begin{cases} 0, if P_{n-1} - S_{n-1} \le 0\\ P_{n-1} - S_{n-1}, if P_{n-1} - S_{n-1} > 0 \end{cases}$$
(Eq. 4)

$$S_n = TDA_n * \delta \tag{Eq. 5}$$

228 TDA means potential activity of the ticks that is dependent on temperature but 229 independent on the population size. Therefore, TDA means the tick activity that can be measured if none of the specimens have been fed yet (if P=1). TDA is calculated from 230 231 the input temperature value and is based on a left-skewed lognormal distribution with 232 axis (α) that separates the lognormal distribution in the left side and the constant 0 233 function in the right side. The lognormal distribution has a mean (μ) and standard 234 deviation value (σ) and is multiplied with a factor (c) and then is increased with another 235 factor (d). The input of the lognormal distribution is the difference of T and α . TDA 236 starts to have a non-zero value when the temperature is above 5 °C in two consecutive 237 weeks (Eq. 6).

238
$$TDA_{n} = \begin{cases} 0, if \ T_{n} \ge \alpha \lor T_{n} \le 5 \lor (n \ne 1 \land T_{n-1} \le 5) \\ c * \frac{1}{(\alpha - T_{n}) * \sqrt{2\pi} * \sigma} * e^{-\frac{(ln(\alpha - T_{n}) - \mu)^{2}}{2\sigma^{2}}} + d, else \end{cases}$$
(Eq. 6)

Tick activity (A) is calculated by the multiplication of TDA with the population entirety (P) as shown in Eq. 7.

$$A_n = P_n * TDA_n \tag{Eq. 7}$$

Although TDA is usually a positive number (except in early spring and when the temperature is greater than the axis), A is going to constantly be 0 after the week when the P starts to be 0 (since all the specimens have been fed). Since the end of season 1 and the beginning of season 2 are not directly related to each other there may be a period in summer when both of them or none of them have positive value.

247 2.2.3. Model I, season 2

248 The model of season 2 is simpler than that of season 1 since no population is taken into 249 account. It is thought that not the exhausted population but the cold temperature 250 together with the change of photoperiod has impact on the finishing of season 2, and 251 therefore there is no need to build a more complex model. Hence, TDA and A are 252 synonyms of each other in case of season 2 and P is set to be always 1. Tick activity is 253 calculated in a similar way to the equation shown in Eq. 6, except the conditions of the 254 two branches. In addition to that the temperature must be lower than the axis and greater 255 than 5 °C, A has a positive value from a certain week. This positive period begins when 256 the temperature drops below 20 °C (after the warmest week of the year and after the 28. week) (Eq. 8). Since in case of real-time prediction the start of the period cannot be 257 258 calculated from the temperature values of the studied year, one can estimate maximum 259 temperature from the previous 52 weeks.

260
$$A_n =$$

$$(0, if T_n > \alpha \lor T_n < 5 \lor \max_{i=1} r_i \not\in \bigcup_{i=1} r_i \lor n < 28 \lor \forall i \in [29, n]; T_i > 20$$

261
$$\begin{cases} c * \frac{1}{(\alpha - T_n) * \sqrt{2\pi} * \sigma} * e^{-\frac{(\ln(\alpha - T_n) - \mu)^2}{2\sigma^2}} + d, else \end{cases}$$
262 (Eq. 8)

263 2.2.4. Model II

Model II has the capability to estimate the LB based on the sum of the product of BA and the weight factor (ω) of some of the previous weeks. The three versions of Model II use different number of weeks. While model A uses exactly 9 weeks, model B and C are able to use much more data and the exact number of the important weeks is gained during the model calibration. The difference is detailed in the next chapter. To be consistent in mathematical terms ω =0 weights are used when a model cannot calculate with that certain week. Hence, all the three models have the similar equation (Eq. 9).

271
$$LB_n = \sum_{i=1..52} (BA_{n-53+i} * \omega_i)$$
(Eq. 9)

272 **2.3. Model calibration**

The model was calibrated with input data averaged in the 11 years long period of 1998– 274 2008. Therefore, future prediction needs input data from a similarly long period. In case 275 of prediction with input data available from a shorter period (especially in case of real-276 time prediction) the model has to be recalibrated.

277 The advantage of the two-phase model is that it has the possibility to calibrate 278 the model in two independent phases. In addition to the model inputs and the expected 279 output, we estimated BA that is a hidden parameter of the model. BA was approximated 280 by TBE data as proxy using a one-week shift (Eq. 10), since, in contrast to TBE, the 281 distribution of *I. ricinus* biting activity in weekly resolution is not known. Prodromal 282 symptoms of TBE appears about one week after tick bite and in general persist to the 283 second week before the neurological symptoms appear in the third week. Thus, shifting 284 TBE by one week may provide a well-established estimation of BA. In contrast to TBE, 285 using LB for calibration of BA would be less straightforward due to the complex and 286 multiphase nature of the manifestations of Lyme borreliosis infection.

$$BA_n = TBE_{n+1} \tag{Eq. 10}$$

288 In case of Model II the so called model A was calibrated by using the observed latency 289 according to the serological application forms (in detail see Chapter 2.1.5). For 290 calibrating Model I, and Model II versions called B and C Solver add-in of Microsoft 291 Excel 2010 was used. Solver can find optimal solution (reduce the error of the model) 292 by adjusting parameters, subject to constraints. We used Generalized Reduced Gradient 293 nonlinear optimization from the several alternative optimization methods that Solver 294 provides. In case of Model I Solver calibrated 11 parameters simultaneously that are the 295 weight parameter (δ), and axis (α), mean (μ), standard deviation (σ), multiplier (c) and 296 difference (d) in case of season 1 and season 2. The set objective of the calibration was 297 to reduce the sum of squared errors of prediction (SSE) of tick activity (A). It should be 298 noted that calibration with such a high number of parameters has difficulties in case of 299 any automatic calibration processes. Therefore, iteratively more and more parameters 300 had been included in the calibration before the final calibration was done to ensure that 301 Solver finds the best solution not a local extreme value of SSE.

302 In case of Model II the set objective was to reduce the sum of squared errors of 303 prediction (SSE) of LB while changing the values of the weight factors (ω). In case of 304 model B all the 52 w values were adjusted and in case of model C only 20 values were 305 adjusted (ω_{33} ... ω_{52}). Both of the models were calibrated from the start stage that was 306 similar to model A. Thus, Solver could find optimal solution in spite of the fact that 307 52/20 parameters are not few to work with simultaneously. Model B is logically 308 incorrect since it is able to give non-zero values to ω_i , where i is near to zero. This 309 means that the model uses the BA data from almost a year before the studied week 310 which is done because those data from the far past are statistically correlated to the data 311 of the near future (the next weeks) in all likelihood. Hence we suggest preferring model 312 C to model B since the former one uses only the real past for estimating LB.

313 **3. RESULTS**

314 3.1. Model calibration results

315 Weight parameter (δ) of Model I was calibrated to be 0.0078, while the other calibrated 316 parameters can be found in Table 2. Equations of the lognormal distribution of season 1 317 and season 2 (Eq. 6, Eq. 8) are now updated with the calibrated parameters (Eq. 11, Eq. 318 12).

319

$$TDA_{n} = \begin{cases} 0, if \ T_{n} \ge 26.3302 \lor T_{n} \le 5 \lor (n \ne 1 \land T_{n-1} \le 5) \\ 82.7165 * \frac{1}{(26.3302 - T_{n}) * \sqrt{2\pi} * 0.4804} * e^{-\frac{(\ln(26.3302 - T_{n}) - 2.0337)^{2}}{2 * 0.4804^{2}}}, else (Eq. 11) \end{cases}$$

$$\begin{array}{l}
321 & A_{n} = \\
322 & \begin{cases}
0, if \ T_{n} \ge 123.8382 \lor T_{n} \le 5 \lor \max_{i=1..52} T_{i} \notin \bigcup_{i=1..n} T_{i} \lor n \le 28 \lor \forall i \in [29, n] : T_{i} \ge 20 \\
6.8409 \ast \frac{1}{(123.8382 - T_{n}) \ast \sqrt{2\pi} \ast 0.0145} \ast e^{-\frac{(\ln(123.8382 - T_{n}) - 4.7124)^{2}}{2 \ast 0.0145^{2}}} + 0.4931, else \\
323 & (Eq. 12)
\end{array}$$

324 Calibration results of Model II can be seen in Table 3. The zero values that were not 325 calibrated but fixed are marked. Sums of the weights should be near 1. Sums of squared 326 errors of prediction (SSE) of relative Lyme borreliosis incidence can be found in Table 3 for making a comparison between the three models. Note that the set objective of 327 328 Solver was to minimize SSE in case of model B and model C. Model A seems to be 329 worse than model B and C in one order of magnitude. Model C is found to be the best 330 of the three model version, although model B (with 52 adjustable parameters instead of 331 20) had the ability to take precedence over model C. This result shows that Solver found 332 local extreme during calibration of model B. The authors suggest using model C instead 333 of the other ones. The provided R function (Github 2019) uses automatically model C 334 and the calibrated parameters and weights if they are not passed to the function.

335 **3.2.** Prediction of relative biting activity

336 The modeled distribution of relative tick biting activity (BA; Fig 3) in the reference 337 period is bimodal with a major peak in the second part of May and a clear but minor 338 peak in late September. According to the similar run of the tick activity curves of the 339 model and the calibration data, the model was calibrated well. The prediction to the 340 period of 2081–2100 shows that the two parts of the biting activity curve will be 341 separated more markedly. This finding is consistent with the expectations. Maximum of 342 the activity is predicted to be shifted 8 weeks earlier, while the tick season may start 6-7 343 weeks earlier than in the reference period. Significant prolongation of the fall season is 344 not predicted, therefore the whole tick season seems to become 6-7 weeks longer in the 345 future. However, if summer diapause is taken into account, the length of the period 346 when ticks are effectively active will not be changed. The fall local maximum may shift from the 40th to the 33rd-34th weeks and become more pronounced according to the 347 prediction. In terms of its scale, the fall maximum may almost reach the spring one 348 349 causing bimodality of relative tick activity become more explicit.

350 **3.3. Prediction of relative lyme borreliosis incidence**

The three predictions to the reference period (Fig 4, black lines) prove the findings of the calibration about model errors. Model B and model C, those that were trained algorithmically, fit better to the observed Lyme borreliosis curve than model A does. While prediction of model B and that of model C are largely similar to each other, advantage of model C over the other one can be seen in the weeks 24–29. Unimodal annual distribution of the Lyme borreliosis incidences are obviously shown by all the three predictions.

358 Future predictions (Fig 4, gray lines) demonstrate the bimodalization of the annual incidence distribution by the end of the 21st century. The bimodal distribution 359 shows similar characteristics to that of the predicted future relative tick biting activity, 360 especially in case of model A. All the three models predict the elongation of the total 361 362 Lyme borreliosis season by about 8 weeks. However, the effective length of the season 363 seems to be shortened in the future by some weeks, due to the narrowing of the main curve. Although predictions to the future and the reference period are somewhat similar 364 to each other after the 38th week, they are largely different before. From 26th to 34th 365 weeks LBs are close to zero, while the period of the weeks no. 13-24 may be highly 366 367 endangered by Lyme borreliosis. The maximum relative incidence will shift from the currently observed 27th week to the 18th-19th weeks, according to the predictions. The 368 three models agree that fall local maximum will occur in the 36th week but the LB is 369 370 predicted to be one and a half time higher by model A than by model B and C. With 371 reference to the previously written calibration results, we may conclude that model A is 372 performing poorly for the future period too and overestimates maxima of LB.

373 **4. DISCUSSION**

374 4.1. Model advantages and improvements

Although a lot of model parameters had been calibrated by Solver simultaneously in case of Model I. and Model II., calibration found optimal solution in both cases and the calibrated model predicted the annual distribution of Lyme borreliosis incidences with low error values. Hence, the complexity of the model is thought to be not too high but not too low either, since the model can estimate the expected output parameter (i.e. the relative Lyme borreliosis incidence of a certain week) well. An important advantage of our model is that it needs temperature data only as input parameter in addition to the week numbers (c.f. Wu et al.'s (2010) model on *I. scapularis* population). Observed or predicted daily/weekly temperatures are easily available data with high horizontal and temporal resolution for a great part of the world and for a wide range of past and future time periods. Therefore, our modeling framework is thought to be a not input-intensive, easy-to-use estimator of Lyme borreliosis infection.

387 Our framework contains several innovations in modeling the annual distribution 388 of Lyme borreliosis incidence: (1) the model is calibrated in two phases, where the first 389 phase describes the biting activity of ticks; (2) human-tick interaction is taken into 390 account and estimated using camping guest night data (c.f. Šumilo et al. 2008; Pfäffle et al. 2013); (3) the spring and fall seasons are modeled separately due to their different 391 392 activity patterns and their different dependence on climate; (4) a simple and 393 straightforward population dynamics module is implemented in Model I, season 1. 394 Although it is clear that activity patterns of the two modeled seasons differ from each 395 other in the region of our study, it is not yet known if they are related only with the 396 different seasonal activity of the nymph and adult ticks. Although findings of Hornok 397 and Farkas (2009) and Egyed et al. (2012) for Hungary, and also Randolph et al. (2002), 398 Takken et al. (2016) and Cayol et al. (2017) for other regions cannot strengthen our 399 supposition, there is evidence on the dominance of nymphs in spring and that of the 400 adults in fall (Trájer and Földvári unpublished data). Since adults are active in spring as 401 well (Randolph et al. 2002; Hornok and Farkas 2009; Egyed et al. 2012), Model I, 402 season 1 was built to deal with nymphs and adults jointly. However, the higher infection 403 rate of the nymphs (Olsén et al. 1995) and their more efficient Borrelia transmission 404 due to their less perceptibility support that the population dynamics module based on 405 the questing behavior of nymphs was implemented in Season 1. This module can 406 describe the abundance-meditated probability of questing, similarly to the model of 407 Dobson et al. (2011).

408 Even if Model I cannot substitute for tick flagging, the indirect biting activity data derived from tick-borne encephalitis used in the our study may be more suitable for 409 410 analyzing temperature-related seasonal tick activity patterns than field surveys due to 411 their high temporal resolution, accessibility, and higher sample size. Although from an 412 unconventional aspect (i.e. backward conclusion from incidence data), our model 413 highlights the significance of the nosocomial surveillance systems. Determination of the 414 exact time of tick bite based on the notification system of Lyme infection is biased, 415 since (1) erythema migrans begins after a delay of 3 to 30 days after tick bite (in 416 average 2 weeks latency); (2) the time of the human-tick encounter that enable tick bite 417 is often not known exactly; (3) the reported Lyme borreliosis cases contain the mixture 418 of different stages that have different latencies; (4) the notification probability of the 419 different stages is different. Our modeling framework provides a simple workaround 420 that eliminates these uncertainties. Biting activity is calculated from temperature, week 421 number and the probability of human-tick interaction (i.e. holiday multiplier), and is 422 calibrated by the much more consistent, reliable and predictable tick-borne encephalitis 423 (Gray et al. 2009) instead of Lyme borreliosis data (c.f. the suggestion of Bózsik (2004) 424 on the use of tick-borne encephalitis series to predict Lyme borreliosis series). The 425 modeling framework needs another calibration method in countries without tick-borne 426 encephalitis incidence. Three different latency models are then used to convert biting 427 activity series to LB series, among them two models are calibrated automatically. These 428 enhancements ensure that biting activity is highly independent from Lyme borreliosis 429 incidences and is calibrated with low uncertainty. The predicted annual distribution of 430 biting activity in the reference period is highly similar to the results of field studies 431 (Széll et al. 2006; Egyed et al. 2012; Trájer and Földvári unpublished data).

432 **4.2. Interpretation of predictions**

433 According to our results, start of the tick biting activity and Lyme borreliosis season, 434 length of the season, and other seasonal characteristics of the annual distribution are 435 highly sensitive to temperature, and hence, to climate change. Our findings underpin 436 those of previous researches on the impact of climate on the vector (e.g. Lindgren et al. 437 2000; Gray et al. 2009; Jaenson and Lindgren 2011; Trájer et al. 2013a; Li et al. 2016), 438 the disease (Jaenson and Lindgren 2011; Li et al. 2016), and the bacteria Borrelia 439 burgdorferi (Estrada-Peña et al. 2011). Hornok and Farkas (2009) found, however, that 440 the spring timing of the peak activity of *I. ricinus* was unaffected by the warm weather 441 of 2007 in the Hungary. It has been observed that the increasing length of the vegetation 442 period elongated the Lyme borreliosis season in the 2000's in Hungary (Trájer et al. 443 2013b), which trend is predicted by our model to continue in the future.

444 Although our model might be biased and its future prediction might be 445 inaccurate, the significant change of the annual distribution is clear and inevitable. Such 446 change of the climatic patterns may also cause future shift in the geographical 447 distribution of I. ricinus (Lindgren et al. 2000; Jore et al. 2014; Sormunen et al. 2016; Li 448 et al. 2016) and its close relative, the blacklegged tick, Ixodes scapularis (Estrada-Peña 449 2002; Brownstein et al. 2003; Ogden et al. 2008), which has already been observed in 450 the last decades (Daniel 1993; Daniel and Dusbabek 1994; Lindgren et al. 2000). Please 451 refer to Estrada-Peña (2008) for a critical review of these findings. It is an open 452 question how climate change will trigger the northward move of Mediterranean tick 453 species, however, the European range and distribution of the population of such 454 Mediterranean tick species like of *Dermacentor reticulatus* shows a stable increasing 455 trend in Europe and the Carpathian Basin (Földvári et al. 2016).

456 Since spring is predicted to be warmer, and the summer will be drier and hotter 457 in Hungary according to the climate models (Pieczka et al. 2018), the forecasted bimodal distribution of tick biting activity and Lyme borreliosis incidence is consistent 458 459 with our expectations. Our findings underpinned that the apparent contradiction 460 between the unimodal distribution of Lyme borreliosis and bimodal distribution of tick-461 borne encephalitis might be the result of the different incubation periods of the organic 462 manifestations rather than the consequence of the different seasonal infection rate or the 463 difference of the vector species.

464 Extreme events (e.g. heat) might become more intensive and frequent in the 465 future (Bai et al. 2016), which trend is attributed to global climate change by 466 researchers (Göndöcs et al. 2018) and stakeholders (Malatinszky et al. 2013) as well. 467 Their increasing frequency creates an uncertain basis for environmental predictions 468 (Sen 2018). Therefore, understanding and, if necessary, reducing their impact is an 469 important topic of climate change studies (Birkmann and Welle 2015). Our framework 470 can predict the tick biting activity in the periods of extreme heat more reliably than the 471 models that are prone to overestimate it (e.g. Cat et al. 2017). Previous findings on the 472 accelerated phenology of ticks in the warming future climate (Süss et al. 2008; Levi et 473 al. 2015; Li et al. 2016) is strengthen by our results.

474 **4.3.** Usability of the model and limitations of the result interpretation

Model I is suggested to be used to calculate tick biting activity (and indirectly tick
activity, tick density, and more indirectly relative incidence of tick-borne encephalitis),
while the authors recommend using Model II to estimate relative Lyme borreliosis
incidence (and indirectly absolute Lyme borreliosis incidence). The provided R script

479 (Github 2019) is thought to enhance the usability of our model since it need only
480 weekly temperature series and returns the result of both modeling phases (relative biting
481 activity, relative Lyme borreliosis incidence). It provides an effective tool for those who
482 need quick prediction (by using default, calibrated parameters) and for those, as well,
483 who have recalibrated the model and could pass the recalculated parameters to the
484 function.

485 Despite that some other environmental factors (e.g. precipitation, humidity) 486 might have role on determining the distribution of Lyme borreliosis incidence 487 (Randolph 2009; Jore et al. 2014; Cat et al. 2017), we presented a highly input-488 extensive, simple modeling framework that uses, among the calibration data, only 489 temperature and week number as input parameters. Since humidity is highly affected by 490 vegetation, nearness of water bodies, and urbanization level, fine resolution humidity 491 data that are free from these biases is hardly accessible. Since both the present (i.e. 492 reference period) and future predictions of our model meet our previous expectations, 493 we conclude that the observed summer decrease of the Lyme borreliosis incidence is not 494 necessarily or solely the consequence of the low summer precipitation or reduced 495 humidity as many author claimed (e.g. Schauber et al. 2005; Ostfeld et al. 2006). Tick 496 population dynamics, which was applied in Model I, season 1, can be an alternative 497 explanation of the observed patterns of the summer distribution, at least in Hungary. 498 Although the decreasing numbers of questing ticks might be the consequence of several 499 factors, such as increased mortality due to changing meteorological conditions, our 500 model confirmed that one and major determinant of the decrease is the loss of hungry 501 tick population due to their previous bite.

502 Climate is not the only one important environmental factor which can have 503 impact on tick-borne diseases in Hungary. It was also found that inexperienced farmers 504 who have a lower rate of preventive actions are likely to experience greater exposure to 505 tick bites in Hungary (Li et al. 2018). It cannot be excluded that the reduced use of 506 pesticides in tick control in the urban environment also influenced the abundance of the 507 urban tick populations in the last decades in Hungary.

508 Since the main objectives of model building includes simplification of reality by 509 making assumptions and generalizations, its tradeoffs are amplified when reduction of 510 model complexity and the number of input parameters is aimed. Therefore, we should 511 list the weaknesses and limitations of the model:

512 1) camping guest night data can serve only as a proxy of human outdoor 513 activities: its annual distribution may differ from that of all the outdoor activities and 514 cannot cover people of occupational risk groups, e.g. foresters;

515 2) complex ecology of *I. ricinus* can approximated but not fully described if no 516 other environmental factors than temperature are considered. Although temperature is 517 correlated to photoperiodicity, relative humidity and saturation deficit, it cannot replace 518 the other abiotic factors. For simplicity, we must accept the improper assumption that 519 temperature can describe the tick's annual distribution;

520 3) the tick-borne encephalitis data used for calibration is limited to part of the 521 geographic range of *I. ricinus*. Hence, other data source is required for calibration in 522 such territories. Surveillance data is prone to several type of biases, including 523 geographical bias, reporting bias and inaccurate diagnosis etc.;

4) both Lyme borreliosis and tick-borne encephalitis data may suffer from the difficulties in case definition criteria, latency of infection, great variability of human response and that of the pathogenicity of the agents; 527 5) instead of a reasonable but more complex birth-rate distribution, all 528 individuals enter the population at the beginning of the year in our model, which cannot 529 describe the real nature of population dynamics of the species;

6) the used population dynamics approach (Model I, season 1) can only partly explain the observed abundance changes, since, beyond the disappearing of active individuals due to successful feeding, natural mortality and diapause are not taken into account.

534 Predicted annual distributions of both tick biting activity and relative Lyme 535 borreliosis incidence to the reference and future periods are in agreement with literature 536 (e.g. Gray 2008; Gray et al. 2009; Jaenson and Lindgren 2011; Zöldi et al. 2013; Li et 537 al. 2016). The forecasted remarkable summer decrease of tick biting activity and Lyme 538 incidence in the future underpins the findings of Burtis et al. (2016) on I. scapularis 539 activity. From the predicted changes in the annual distribution of relative Lyme 540 incidence the absolute annual incidence cannot be estimated directly. Note that 541 according to some researchers (e.g. Shope 1991) absolute number of incidences might 542 decrease in the future. Our framework, similarly to other climate-based modeling 543 approaches, is sensitive to the selection of the emission scenario and regional climate 544 model (c.f. Cat et al. 2017). However, compared with the less complex models that are 545 based on additive warming terms, there is a need for such regional climate model driven 546 approaches to better understand the future of the disease (Li et al. 2016).

547 Our predictions are extrapolations in terms of the climatic space. Since tick 548 biting activity in such climatic conditions that are predicted to occur in Hungary in 549 2081–2100 is not well studied yet, our future predictions should be interpreted with 550 caution and need further evaluation. More research on the future seasonality of Lyme 551 incidence and *I. ricinus* activity is needed for the regions where hot summers may limit 552 tick abundance and activity (i.e. Southern Europe).

553 **5.** CONCLUSION

554 The presented framework with low number of inputs on modeling the seasonality of 555 Lyme borreliosis incidences enables predicting the annual distribution of *Ixodes ricinus* 556 tick's biting activity and Lyme borreliosis in two cascading phases, using only the easily 557 accessible weekly temperature data and week numbers as input parameters. Based on 558 the implemented innovations incorporated in our model (i.e. two phases; population 559 dynamics model of the spring season; tick-borne encephalitis series as a proxy for tick 560 biting activity during the calibration; human-tick interaction approximated by camping 561 data), it provides a simple workaround for several known issues of modeling Lyme 562 seasonality, including the hardly available data on tick activity. According to the 563 prediction to the future period of 2081-2100 based on MRI CGCM regional climate 564 model and A1B emission scenario, climate change may significantly alter both the 565 annual distribution of *I. ricinus* activity and that of the Lyme borreliosis incidences. 566 While the currently unimodal annual distribution of Lyme borreliosis is predicted to 567 become bimodal with a long summer pause and a spring maximum shifted 8 weeks 568 earlier, the bimodality of *I. ricinus* activity may also become more expressed.

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798 TABLES

Table 1. Weekly values of holiday multiplier (HM) other than 1

number of week	holiday multiplier (HM)	
25	1.29	
26	1.59	
27	1.91	
28	2.24	
29	2.36	
30	2.49	
31	2.74	
32	2.99	
33	2.61	
34	2.22	
35	1.65	
36	1.08	

Tuble 2. Cultorated model parameters of model 1. in cuse of season 1 and season 2		
season	1.	2.
α (axis)	26.3302	123.8382
μ (mean)	2.0337	4.7124
σ (standard deviation)	0.4804	0.0145
c (multiplier)	82.7165	6.8409
d (difference)	0.0000	0.4931

Table 2. Calibrated model parameters of Model I. in case of season 1 and season 2

802

803 Table 3. Calibrated weight factors (ω_i) of Model II, where i is the ordinal number of the

804 weeks of the previous one year period, the sum of the weights, and the sum of squared 805 errors of prediction (SSE) of relative Lyme borreliosis incidence in case of the three

model versions. *: the zero value was fixed instead of estimated by calibration. **:

weights that seem to refer to the near future instead of the far past (see Chapter 2.3 for

808

details).	-		
i	model A	model B	model C
1	0*	0.0263**	0*
2	0*	0	0*
3	0*	0,0121**	0*
4	0*	0.0163**	0*
5	0*	0.0035**	0*
6	0*	0.0039**	0*
7	0*	0.0036**	0*
8-14	0*	0	0*
15	0*	0.0029	0*
16	0*	0.0008	0*
17	0*	0.0003	0*
18	0*	0.0088	0*
19	0*	0.0007	0*
20	0*	0.0012	0*
21	0*	0.0005	0*
22	0*	0.0001	0*
23–32	0*	0	0*
33–37	0*	0	0
38	0*	0.0194	0
39	0*	0.0035	0.0025
40	0*	0.0017	0.0029
41	0*	0.0188	0
42	0*	0.0076	0
43	0*	0.0022	0.0991
44	0.0062	0.0224	0
45	0.0150	0.0502	0.0001
46	0.0182	0.1106	0.1328
47	0.0328	0.0674	0.0403
48	0.0607	0.1067	0.1130

49	0.1152	0.0656	0.0514
50	0.2162	0.1308	0.1476
51	0.3463	0.1866	0.1813
52	0.1947	0.1470	0.2213
sum of $\boldsymbol{\omega}$	1.0053	1.0216	0.9923
SSE	12.2184	1.9732	1.8191



809 FIGURES

- 810 Figure 1. Relative frequency (%) of observed (gray columns) and modeled (black line)
- 811 lags between tick bites and onsets of the early manifestation form
- 812



- 813 Figure 2. The model and its calibration. Input and output parameters are filled with gray
- 814 color. Although Model I and Model II follow each other sequentially, they form two
- 815 parts of the framework that can be used independently from each other. 'Model A',
- 816 'model B' and 'model C' are the three alternative versions of Model II.
- 817



Figure 3. Annual distribution of relative tick biting activity (BA; %) calculated from the
calibration dataset (black continuous line), predicted for the reference period (1998–
2008, black dashed line), and predicted for the future period (2081–2100, gray dashed

821 line)



822

Figure 4. Observed (continuous line) and predicted (non-continuous lines) annual distribution of relative Lyme borreliosis incidence (LB; %) in the reference period (1998–2008, black lines) and in the future period (2081–2100, gray lines), according to model A (dash-dot lines), model B (dashed lines), and model C (dotted lines)