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## **A deep learning-based approach for high-throughput hypocotyl phenotyping**

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### **Short title:**

Deep learning for high-throughput phenotyping

### **One-sentence summary:**

A deep learning-based algorithm provides an adaptable tool for determining hypocotyl or coleoptile length of different plant species.

### **Keywords:**

plant phenotyping, Arabidopsis, computer vision, machine learning, deep learning

### **Author contributions:**

O.D., T.D., P.H., F.N. and A.V. conceived the original research plans; O.D. performed the experiments; A.V. supervised the experiments, T.D. developed the algorithm; F.N. commented on the manuscript, O.D., T.D. and A.V. analysed the data and wrote the article with contributions of all the authors.

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## 41 **Abstract**

42 Hypocotyl length determination is a widely used method to phenotype young seedlings. The  
43 measurement itself has advanced from using rulers and millimetre papers to assessing  
44 digitized images but remains a labour-intensive, monotonous and time-consuming  
45 procedure. To make high-throughput plant phenotyping possible, we developed a deep  
46 learning-based approach to simplify and accelerate this method. Our pipeline does not  
47 require a specialized imaging system but works well with low-quality images produced with  
48 a simple flatbed scanner or a smartphone camera. Moreover, it is easily adaptable for a  
49 diverse range of datasets not restricted to *Arabidopsis* (*Arabidopsis thaliana*). Furthermore,  
50 we show that the accuracy of the method reaches human performance. We not only provide  
51 the full code at <https://github.com/biomag-lab/hypocotyl-UNet>, but also give detailed  
52 instructions on how the algorithm can be trained with custom data, tailoring it for the  
53 requirements and imaging setup of the user.  
54

## 55 **Introduction**

56 Monitoring different aspects of seedling development requires determining certain physical  
57 dimensions of the plantlet. Among these, measurement of hypocotyl length is a key  
58 phenotypic trait to monitor and quantify different responses. Hypocotyl cells are formed in  
59 the embryo and their eventual number set after only a few cell divisions. During seedling  
60 growth, the length of the hypocotyl is determined by no further cell divisions but by the  
61 elongation of hypocotyl cells (Gendreau et al., 1997). Hypocotyl growth is regulated by a  
62 complex network of external and internal factors. Different hormones (auxins, ethylene,  
63 cytokinins, abscisic acid, gibberellins and brassinosteroids) are involved in the response  
64 (Vandenbussche et al., 2005; Hayashi et al., 2014). Among external cues, gravity not only  
65 determines the direction of growth (away from the soil surface) but also affects the hypocotyl  
66 elongation (Soga et al., 2018). Our knowledge about how light regulates hypocotyl  
67 elongation is much more detailed. Without light, etiolated plants develop elongated  
68 hypocotyls, whereas light triggers photomorphogenic development with characteristic,  
69 fluence rate-dependent inhibition of hypocotyl elongation, which is one of the key features of  
70 the so-called photomorphogenic growth (Fankhauser and Casal, 2004; Arsovski et al.,  
71 2012). The role of different light-sensing molecules (photoreceptors) has been revealed in  
72 this response: phytochrome B (phyB) is the dominant photoreceptor in red (R), phyA in far-  
73 red (FR) and cryptochrome 1 and 2 in blue (B) light (Lin et al., 1996; Nagy and Schäfer,  
74 2002). Photomorphogenic ultraviolet B (UV-B) radiation also induces inhibition of hypocotyl  
75 elongation (Kim et al., 1998) involving pathways controlled by UV RESISTANCE 8 (UVR8)  
76 UV-B receptor (Favory et al., 2009). Fluence rate response curves are used to depict  
77 hypocotyl length change over broad light fluences, demonstrating the involvement of  
78 specific receptors and their signalling partners in the examined responses. Temperature is  
79 the third external cue affecting hypocotyl length. It was recently shown how lower  
80 temperature shortens hypocotyl length via phyB in light (Jung et al., 2016; Legris et al.,  
81 2016; Casal and Qüesta, 2018).  
82 These examples show that hypocotyl length is a seedling phenotypic trait of particular  
83 importance. On one hand it indicates the functionality of the examined signalling pathway(s),  
84 and on the other hand it is relatively easy to measure, generating quantified data of the  
85 observed response. Thus researchers measure hypocotyl length (i) to compare the effect of  
86 different light, hormone, etc. treatments, (ii) to analyse the role of signalling components

87 using mutants and overexpressor lines and (iii) to perform different reverse and forward  
88 genetic (screening) approaches.  
89 The methodology of the hypocotyl measurement has changed over time. In early studies  
90 hypocotyls were simply measured by hand one-by-one using a ruler or millimetre paper, in  
91 many cases rounding the observed value to the nearest millimetre (Köhler, 1978; Liscum  
92 and Hangarter, 1991; Pepper et al., 2001; Dieterle et al., 2005). A more precise and most  
93 widely applied quantification procedure involves the arrangement of seedlings on sticky  
94 surfaces or agar plates, subsequent scanning or photographing and measurement of  
95 hypocotyl length using a digital image processing software (Young et al., 1992; Borevitz and  
96 Neff, 2008; Ádám et al., 2013; Das et al., 2016). This approach gives the opportunity to  
97 store hypocotyl images and measure them at a later time while involving other  
98 experimenters in the measurement procedure. To speed up this process and reduce the  
99 invested work-time, different applications have been created to automate the quantification  
100 of hypocotyl length (Sangster et al., 2008; Wang et al., 2009; Cole et al., 2011; Spalding and  
101 Miller, 2013). These image processing tools have the potential to replace error prone and  
102 labour intensive manual image processing and to advance plant phenotyping by enabling  
103 high-throughput data analysis. A cornerstone of these algorithms is the plant segmentation,  
104 that is, the separation of the plant from the background. This is a difficult task due to the  
105 diversity of images, which can be caused, for example, by different image acquisition setups  
106 and conditions. However, good segmentation is key to downstream analyses, such as  
107 object boundary detection and midline tracking (Spalding and Miller, 2013). In addition to  
108 overall plant segmentation, fully automated identification of different plant subparts, such as  
109 cotyledons, roots and seedcoats, is a significant challenge, which has not been solved  
110 reassuringly in the previous efforts. For hypocotyl length measurement, a major difficulty is  
111 the localization of hypocotyl-root junction and robust identification of the cotyledons. Tools  
112 based on classical segmentation algorithms have troubles identifying these parts for several  
113 reasons, including high variance in phenotypes, variable imaging conditions or noisy  
114 images. Since imaging methods are very different from lab to lab and no gold standard is  
115 available, it is essential to provide a data analysis pipeline which works robustly for a  
116 diverse set of images.

117

118 Up until the recent introduction of deep convolutional neural networks (CNN), a robust  
119 image analysis pipeline was extremely difficult to achieve. In contrast to classical methods,  
120 modern deep convolutional networks can surpass human performance in many image  
121 processing tasks, including object classification and detection (Geirhos et al., 2018). Instead  
122 of relying on hand crafted filters and features, a neural network learns the optimal  
123 representation of the data. This makes its performance exceptionally good, and given  
124 enough data, a well-trained neural network can generalize for a wide range of datasets. For  
125 plant phenotyping, these developments have yielded advances in trait identification and  
126 genotype/phenotype classification (Pound et al., 2017; Namin et al., 2018).

127

128 In this paper, we present a deep learning-based approach which is able to provide  
129 quantified seedling phenotype data in a high-throughput manner. Compared to earlier tools,  
130 ours is fully-automated and achieves human expert accuracy on length measurement tasks  
131 for various plant species, such as *Arabidopsis* (*Arabidopsis thaliana*), mustard (*Sinapis alba*)  
132 and stiff brome (*Brachypodium distachyon*). The method does not require expensive  
133 imaging setups, and accurate results can be obtained with a simple flatbed scanner or a  
134 smartphone camera. In addition, the measurement itself requires only a few seconds per

135 image, thus reducing the time spent by several orders of magnitude. We provide full access  
136 to our algorithm as it is open source and also give detailed instructions how to perform  
137 training for customised hypocotyl length determination approaches.  
138

## 139 **Results**

### 140 **The architecture of the algorithm**

141 To extract the length data from images, first we perform segmentation, followed by the  
142 skeletonization of the segmented objects to be measured (Fig. 1A). In the case of a typical  
143 seedling, each image is segmented into three non-overlapping parts: 1) background 2)  
144 hypocotyl 3) non-hypocotyl seedling area. (The latter category differs between species, thus  
145 different non-hypocotyl parts should be defined accordingly.) Central to our approach is the  
146 U-Net deep CNN for segmentation, which is particularly excellent for finding thin objects. It  
147 has been applied on various problems with success, such as detecting cell nuclei in  
148 microscopic images or identifying subparts of the brain on MRI scans (Ronneberger et al.,  
149 2015; Buda et al., 2019). U-Net is able to identify specific parts of the plants in images and  
150 separate them from the background. On a provided image, U-Net applies convolution  
151 operations with various filters followed by maximum pooling repeatedly, producing the  
152 segmentation masks. The major difference, as opposed to classical image processing  
153 algorithms, is that the filters used by the network are not given in advance but learned from  
154 the data during the so-called training phase. In this phase, the segmentation masks  
155 provided by the expert are shown for the algorithm several times, which is then able to learn  
156 how to classify each pixel either as background or as a specific plant organ. This training  
157 process gives rise to filters which are best suited for the task and data, resulting in an  
158 extremely robust and adaptable method.  
159

160 After the specific plant parts are segmented and identified, the binary images of all identified  
161 hypocotyls are skeletonized (Lee et al., 1994). Skeletonization is the reduction of binary  
162 shapes to 1 pixel-wide representations, a curve in the case of hypocotyls. This operation  
163 allows the length measurement of spatial objects. On the skeleton image, components  
164 representing hypocotyls were measured by calculating the number of pixels for each  
165 identified object and then converted from pixel unit to *mm*. Pixel to *mm* calculations were  
166 performed by either scaling directly with the DPI (dots-per-inch) value of the image or using  
167 a reference object on each image. After the measurement, very small objects, which are  
168 most likely due to segmentation errors, are filtered out. Finally, the obtained results are  
169 exported as an RGB image (Fig. 1B) and a csv file, ready for downstream analysis.  
170

### 171 **The choice of the convolutional network architecture**

172 In general, a CNN repeatedly performs convolutional, pooling and in some instances, batch  
173 normalizing operations, eventually extracting a feature-level representation of the image.  
174 This is called encoding. During this part, information is compressed and can be lost during  
175 the pooling steps. For tasks such as image classification, this is not a problem (Pound et al.,  
176 2017). However, for semantic segmentation tasks, the network is required to reconstruct the  
177 pixel-level segmentation mask, which is achieved by upsampling the feature-level  
178 representation. In this decoding step, the information lost during encoding cannot be  
179 recovered and will result in suboptimal results for small or thin objects, such as hypocotyls in  
180 our case. This problem was solved with the introduction of U-Net (Ronneberger et al.,  
181 2015), originally created to find cells in microscopic images where the cells can grow on

182 each other, having only a thin (occasionally 1-2 pixel wide) region separating them. This is  
183 achieved by storing the intermediate feature-level representations before each pooling in the  
184 encoding step, then feeding this data to the corresponding upsampling layer. Ever since its  
185 inception, U-Net has become a state-of-the-art architecture for semantic segmentation.  
186 Because of its performance on small or thin objects, this choice of architecture was ideal for  
187 our purposes. To add a regularizing term and accelerate training speed, we have added  
188 batch normalizing layers after convolutional blocks (Ioffe and Szegedy, 2015).

189

### 190 **Phenotypic analysis of Arabidopsis seedlings**

191 Determining hypocotyl length of Arabidopsis seedlings is a key phenotyping procedure in  
192 myriads of studies; thus it was obvious to test our algorithm on this model plant first. We  
193 simply grew seedlings on wet filter papers under different fluences of monochromatic light  
194 sources, laid them on agar plates, scanned them and then used these images to train the  
195 algorithm. Altogether we annotated about 2500 hypocotyls and corresponding non-  
196 hypocotyl plant parts during this procedure. To test the trained algorithm, we grew seedlings  
197 under different fluences of monochromatic R light as a routine treatment for phytochrome  
198 studies. Fig. 2A and Supplemental Fig. S1 show how the algorithm recognized long and  
199 short hypocotyls belonging to those plants which grow under low or high fluences of light,  
200 respectively. The fluence rate graph plotting of the measured hypocotyl length values  
201 demonstrates that the algorithm determined values similar to the human experimenters (Fig.  
202 2B). To further test the versatility of the algorithm we analysed hypocotyls of seedlings  
203 grown in FR and B light when the inhibition of hypocotyl elongation is mediated by phyA and  
204 cryptochrome photoreceptors, respectively. Additionally we analysed etiolated seedlings  
205 grown in darkness, which are used as important controls in photobiological studies. We  
206 found the performance of the algorithm is comparable to humans under these conditions,  
207 and the measurement works well even with pale, almost colourless etiolated seedlings  
208 (Supplemental Fig. S2, Fig S3, Fig. S4, Fig S5). It was tempting to further examine  
209 seedlings which have completely different body architecture. For this purpose, we grew  
210 plantlets on plant medium containing sugar with white light illumination. These seedlings  
211 have thick hypocotyls, fully developed and opened green cotyledons and long roots. Our  
212 results show that the algorithm is capable of measuring the hypocotyls of seedlings grown  
213 under light/dark cycles or under continuous white light supplemented with or without  
214 photomorphogenic (non-damaging) UV-B irradiation (Supplemental Fig. S6 and Fig. S7).

215

### 216 **Application of the algorithm on different plant species**

217 To test the usability of our algorithm on other species besides Arabidopsis, we chose  
218 mustard (*Sinapis alba*). *Sinapis alba* was an experimental object widely used a few decades  
219 ago to examine the dependency of hypocotyl elongation on different irradiation protocols.  
220 These works revealed the basic mechanisms of phytochrome action many years before  
221 identifying the involved molecular pathways or even the genes coding the photoreceptors  
222 (Schopfer and Oelze-Karow, 1971; Wildermann et al., 1978a; Wildermann et al., 1978b). A  
223 recent study demonstrates that determining the hypocotyl elongation of *Sinapis alba*  
224 seedlings as a phenotypic marker is still in use to monitor hormonal changes under different  
225 irradiation conditions (Procko et al., 2014).

226 The *Sinapis alba* plantlets were grown on agar plates under constant white light for 4 days.  
227 These seedlings were too bulky to scan them with a flatbed scanner like we did with  
228 Arabidopsis seedlings. For this reason, images were taken with a smartphone. We used  
229 these images to train our algorithm to identify pixels belonging to *Sinapis alba* hypocotyls

230 and to determine hypocotyl length. During the training phase we annotated about 250  
231 hypocotyls and corresponding non-hypocotyl plant parts before performing the presented  
232 measurement. Fig. 3 and Supplemental Fig. S8 demonstrate that even low numbers of  
233 seedlings were sufficient to train the algorithm and determine hypocotyl length with high  
234 accuracy, which is comparable to the performance of human experts.  
235 We further tested the versatility of the algorithm by analysing monocotyledonous plants. In  
236 monocots, the coleoptile growth is a widely used phenotypic trait instead of the more  
237 difficultly observable hypocotyl. We chose stiff brome (*Brachypodium distachyon*), which is a  
238 small-sized model plant having a compact and sequenced genome (International  
239 Brachypodium Initiative, 2010) and an existing transformation system (Alves et al., 2009).  
240 These make it an ideal grass model species with emerging importance (Scholthof et al.,  
241 2018). We grew the (*Brachypodium distachyon*) plants under different light fields for 4 days  
242 and took photos of them with a smartphone camera. In this case we used 8 images  
243 containing about 100 plants to train the algorithm. Fig. 3 and Supplemental Fig. S9 show  
244 how the algorithm processed the images and how it measured coleoptile length on the test  
245 images. The obtained values do not differ from those measured by the human experts,  
246 demonstrating the usability of the algorithm to analyse *Brachypodium distachyon*  
247 coleoptiles.

248

#### 249 **Accuracy of the algorithm**

250 To quantitatively assess the performance of our algorithm, we decided to compare the  
251 obtained results to the performance of humans. Each measurement was repeated by two  
252 human experimenters. For each seedling identified by the algorithm, we calculated  
253 measurement accuracy by matching the seedling to the ground truth data provided by the  
254 experts (Fig. 4) and calculating the relative error of the measurement. For matching, we first  
255 calculated the bounding boxes for each object identified by the algorithm, which is the  
256 smallest box containing the segmented object (Fig. 1B). Then the expert provided ground  
257 truth segmentation masks were used to check whether there was an actual object in the  
258 same spatial location. To see this, bounding boxes of the ground truth masks were also  
259 calculated and their position was matched against the position of the algorithm identified  
260 object. If a bounding box with at least 10% overlap was found, we matched the two objects  
261 and calculated the relative error of the measurement, defined by  $|L - M|/L$ , where L is the  
262 actual length of the hypocotyl (measured by the experts) and M is the result of the  
263 measurement (provided by the algorithm). Since the seedlings were placed apart from each  
264 other, the possibility of a false matching was minimal. (The 10% overlap criterion was  
265 deliberately chosen to be permissive, since requiring larger overlaps essentially guarantees  
266 that the relative error is low, thus biasing the accuracy evaluation and masking flaws.) After  
267 matching the plants, the false positive (FP) and true positive (TP) ratios were calculated. For  
268 a more detailed view on the detection performance, we also calculated the precision and  
269 recall values. Precision is defined by  $TP/(TP + FP)$ , whereas recall was calculated by  
270  $TP/(TP + FN)$ , with FN denoting the number of false negatives. We calculated accuracy,  
271 recall and precision individually for each plant, compared them to the measurement of each  
272 expert, then averaged the values. For all of our metrics, a higher value implies a better  
273 result (Fig. 4). To put this in perspective, a high precision means that most identified objects  
274 are indeed plants (as opposed to segmentation errors), whereas a high recall means that  
275 most plants were indeed detected in the image. In general, there is a tradeoff between recall  
276 and precision, which is controlled by the strictness of our criteria to accept a match. A too  
277 loose criteria lead to an abundance of false detections, resulting in potentially high recall but

278 very low precision. On the other hand, an excessively strict criteria would result in a high  
279 false negative rate, leading to low recall and potentially high precision. Thus, the  
280 combination of recall and precision together provides a good description on the performance  
281 of the algorithm.

282 To obtain further data to characterize the hypocotyl measurement, as the method itself, both  
283 human experimenters measured each plant once more, having one month between their  
284 two measurements. Using these repeated measurements, we calculated the intra-expert  
285 accuracy exactly as we outlined above, using the two measurements provided by the same  
286 expert (Fig. 5). The inter-expert accuracy was calculated using the first measurement of  
287 both experts. The algorithm performs exceptionally well on plants with long hypocotyls but  
288 with slightly lower reliability in case of the very short seedlings grown under strong FR or B  
289 light. We also noted that (i) the performance of humans is also poorer when analysing these  
290 plantlets both in the case of intra- or inter-expert comparisons (Fig. 5) and that (ii) the  
291 algorithm only gives significant difference between groups when the expert measurements  
292 also show significant difference according to Student t-test (Fig. S10).

293

## 294 **Discussion**

### 295 **Usability of the method**

296 Hypocotyl growth is controlled by the interplay of different external and internal cues, many  
297 of them with reciprocal effects. It follows that hypocotyl length is used (i) to characterise  
298 activity of numerous signalling pathways, including those controlled by light, hormones,  
299 temperature and gravity and that (ii) determination of hypocotyl length is a widely used basic  
300 seedling phenotyping assay. Here we report the development of a deep learning-based  
301 algorithm to simplify this measurement and save valuable time for the experimenter. There  
302 have been computer-based tools published earlier, but here we demonstrate the suitability  
303 of deep learning for quantitative plant phenotyping. This method is applicable to a diverse  
304 set of image-based phenotyping problems, not restricted to hypocotyl measurement. Our  
305 method uses the U-Net CNN architecture for segmentation and can identify not only  
306 hypocotyls, but also roots and cotyledons with previously unprecedented detail. To  
307 demonstrate the power of the algorithm, we have shown how it performs on other dicot or  
308 monocot seedlings. The method possesses several advantages: (i) no image preprocessing  
309 is needed; (ii) the algorithm can handle low quality images, i.e. ones made with a simple  
310 smartphone camera; (iii) the algorithm works with different imaging conditions; and (iv) its  
311 performance matches human accuracy. Moreover, the whole measurement pipeline is semi-  
312 automated, and hypocotyl detection and measurement do not require manual intervention at  
313 all. This decreases the execution time with several orders of magnitude: while the expert  
314 spends 45 minutes on average manually measuring a complete image containing 270  
315 seedlings having different hypocotyl length and recording the data, our method performs the  
316 same task under a minute. With this speedup, high-throughput assays (testing numerous  
317 lines, phenotype-based screenings, etc.) are enabled for a wide array of questions.

318

### 319 **Assessing our results**

320 To assess the performance of our algorithm, first we focused on Arabidopsis, being the  
321 most widely used model plant. Our algorithm performed quite well on seedlings with various  
322 body architectures. We tested it on seedlings having short or long, thick or thin hypocotyls;  
323 opened or unopened cotyledons with different thickness, size and colour; roots with different  
324 length, shape and thickness (Fig. 2 and Supplemental Fig. S1-S7). The accuracy, the

325 precision and recall values, compared to the results of a human experimenter indicate that  
326 the algorithm is suitable to replace manual measurements for a wide array of scenarios (Fig.  
327 4 and Fig. 5). Our data also show that under specific circumstances, when the plants are  
328 short (under strong FR and B light), the accuracy of the algorithm is slightly lower compared  
329 to human experimenters. The reasons are quite diverse.

330 (i) The accuracy value is heavily affected by the absolute size of the plant. For example, a 5  
331 pixel error on a 100-pixel-long plant has 0.95 accuracy, whereas on a 20-pixel-sized one,  
332 the same absolute error yields 0.75 accuracy. (In our images, a typical hypocotyl length of a  
333 seedling grown under high light intensities appeared as only approximately 20 pixels.)

334 (ii) In case of short and thick hypocotyls, human experts cannot position their region of  
335 interest (ROI) at the middle of the hypocotyl. In this case skeletonization can be different  
336 from the human ROI placement.

337 (iii) Misplaced seedlings (hypocotyls touching each other, roots laying over the hypocotyl,  
338 etc) or image problems (reflecting plastic plate edges, scratches of the agar surface) disturb  
339 the segmentation process but to a lesser extent as with the human experts. These issues  
340 can be corrected manually on the generated data, and also a certain carefulness is required  
341 during seeding placement onto the agar before the scanning. Another potential source of  
342 inaccuracy is the skeletonization of the segmented hypocotyls. Especially for more  
343 complicated shapes and cusps, the skeletons may have small additional branches or may  
344 not be simply connected at all, which can distort the length measurements.

345 (iv) Especially in the case of seedlings having short and thick hypocotyls, it is not obvious  
346 how to define the border between the hypocotyl and the root. For that, images with higher  
347 magnification (i.e. microscopy) should be obtained (Fahn, 1990), which is not manageable  
348 when working with a high number of seedlings. This problem is a general caveat of the  
349 method: the observable morphological traits at the resolution of the scanned images are not  
350 sufficient sometimes to mark precisely where the hypocotyl ends and the root begins.

351 Taken together, the inaccuracy generated in these ways is an inevitable component of  
352 hypocotyl measurement leading to the errors, not only in case of the algorithm, but also in  
353 case of measurements made by humans (Fig. 4 and Fig. 5). Similarly to the algorithm, the  
354 expert accuracy also decays when working with small seedlings. However, under these  
355 conditions, the expert performance is 10-20% better than the algorithm, although at some  
356 points the inter-expert (experts compared to each other) accuracy is not better than the  
357 accuracy of the algorithm compared to the experts (Fig. 5). To see if we could improve the  
358 accuracy, we trained a new model exclusively on these seedlings and achieved 81%  
359 accuracy, 78% precision and 81% recall on the test set. This performance is on par with the  
360 experts and points out the importance of the carefully chosen training dataset (Fig S3 and  
361 Fig S11). Conclusively, without having solid ground truth data, the training of the algorithm is  
362 unavoidably impaired. During the training procedure we annotated about 2500 *Arabidopsis*  
363 hypocotyls, whereas annotating approximately 250 *Sinapis alba* seedlings and about 100  
364 *Brachypodium distachyon* coleoptiles was sufficient to reach similar recognition metric  
365 parameters. These data indicate that *Arabidopsis* is a 'difficult' experimental object in terms  
366 of hypocotyl measurement, although we must note that our algorithm trained for *Arabidopsis*  
367 is suitable to analyse seedlings with diverse plant architecture, whereas in the case of the  
368 two other species we worked with plantlets were grown under only certain conditions.

369

### 370 **Future outlook**

371 In recent years, the introduction of deep learning and CNNs revolutionized computer vision-  
372 based research, making the automation of various tasks and precise high-throughput

373 phenotyping available for many disciplines. In plant biology, several advances have been  
374 made with these methods regarding qualitative phenotyping (Pound et al., 2017; Namin et  
375 al., 2018; Pineda et al., 2018; Singh et al., 2018; Ramcharan et al., 2019). With these tools  
376 however, quantitative phenotypic traits can also be assessed as we demonstrated in this  
377 work. The presented segmentation pipeline is not only applicable to length measurements,  
378 but in principle it can also be used to measure other parameters, such as cotyledon area,  
379 hypocotyl hook opening, angle of cotyledons, etc. With the elimination of manual  
380 measurements, the current bottleneck in the phenotyping workflow is the ordered laying of  
381 the plantlets onto agar plates with special care to avoid overlaps between the plants. This  
382 labour-intensive step can be eliminated using object detection frameworks such as Mask-  
383 RCNN (He et al., 2017); however, at present these may cause additional segmentation  
384 errors, thus reducing accuracy.

385 While different technical aspects still remain to be overcome, we believe that increasing  
386 application and improvement of CNNs for image-based analysis of plants are laying the  
387 foundation for the next generation of plant phenotyping tools.

388

## 389 **Materials and methods**

### 390 **Code and data availability**

391 The algorithm was implemented in Python, where the PyTorch framework was used for  
392 deep learning and the scikit-image library was used for image processing (van der Walt et  
393 al., 2014). The code is fully open source and available at GitHub ([https://github.com/biomag-](https://github.com/biomag-lab/hypocotyl-U-Net)  
394 [lab/hypocotyl-U-Net](https://github.com/biomag-lab/hypocotyl-U-Net)). Images used for training are also available at  
395 <https://www.kaggle.com/tivadardanka/plant-segmentation>. All trained models used in this  
396 study are available upon request.

397

### 398 **Image acquisition and data preparation**

399 *Arabidopsis* (*Arabidopsis thaliana*) seedlings were laid manually onto the surface of 1% w/v  
400 agar plates. To ensure optimal algorithm performance, the seedlings were arranged without  
401 any overlap. During scanning, a black matte cardboard sheet was used as a reflective  
402 document mat. The scanning was done using an EPSON PERFECTION V30 scanner at  
403 800 dpi and 24-bit colour setting, and pictures were saved as .tif or .jpg. After the  
404 acquisition, hypocotyls, cotyledons, seedcoats and roots were annotated using Fiji  
405 (Schindelin et al., 2012). Using the digitizer tablet (WACOM Intuos) instead of a mouse or a  
406 touchpad sped up the procedure. The annotated data then were used to create the mask for  
407 training the segmentation algorithm. Before training, the images were padded by mirroring a  
408 256 pixel-wide strip next to the border. The padded images were cropped up to non-  
409 overlapping pieces with 800x800 pixel resolution, which were used to train the neural  
410 network. During training, 10% of the images were held out for validation purposes.  
411 Experts generated data (Expert 1 and Expert 2) by selecting the midline of the hypocotyls  
412 with a single piecewise linear curve, from which the length was measured by ImageJ/Fiji.

413

### 414 **Training the neural network**

415 To train the U-Net CNN for plant segmentation, about 2500 *Arabidopsis* hypocotyls, 250  
416 mustard (*Sinapis alba*) seedlings and 100 stiff brome (*Brachypodium distachyon*) plantlets  
417 were annotated. For each of the plant species, a different U-Net model was trained. More  
418 details on the U-Net architecture can be found in (Ronneberger et al., 2015). As additional  
419 regularization, batch normalization layers were used after the convolutional blocks, which

420 was shown to be highly effective for such CNN architectures (Ioffe and Szegedy, 2015).  
421 During training, the smooth Dice coefficient loss was used, introduced by (Milletari et al.,  
422 2016; Sudre et al., 2017). The model was trained to classify each pixel as (i) background, (ii)  
423 hypocotyl (or coleoptile in the case of *Brachypodium distachyon*) or (iii) plant parts not  
424 included in the measurement (root, cotyledon, seedcoat, etc.). The output of the UNet model  
425 was an RGB image, where every pixel encoded the probability of belonging to one of the  
426 three categories (background: red; hypocotyl (or coleoptile): blue; non-hypocotyl plant parts:  
427 green). All connected components of the hypocotyl class were skeletonized, followed by  
428 pixel counting. No smoothing function was applied. To assure that the plant parts were  
429 precisely segmented, their corresponding term in the loss function was weighted fivefold  
430 compared to the background. Training was run for 1000 epochs with initial learning rate  $1e-$   
431  $4$ , which was consequently decreased during training to  $1e-5$ ,  $1e-6$  and  $1e-7$  after epochs  
432 200, 600 and 900. The algorithm was trained using a single nVidia Titan XP GPU. For  
433 optimization, the Adam optimizer was used (Kingma and Ba, 2014). To prevent overfitting,  
434 batch normalization and image augmentation was used. The augmentation transform was  
435 composed as a series of random 512x512 pixel crops, affine transforms with flips and a  
436 colour jitter transform. The detailed procedure of reproducing the workflow is described as  
437 an instructional help document in the Supplemental Method S1-S2. All presented hypocotyl  
438 and coleoptile length data were measured on images which were not involved in the training  
439 procedure. We recommend the potential users train the algorithm anew using images  
440 depicting plants similar to those to be measured and imaged using the same setup.

441

#### 442 **Plant growth conditions and light treatments**

443 *Arabidopsis* (Columbia 0 ecotype) seeds were sown on 4 layers of wet filter paper and were  
444 kept at 4 °C for 3 days. To promote homogeneous germination, plates were exposed to 70-  
445  $100 \mu\text{mol m}^{-2} \text{s}^{-1}$  white light for 8 h (LUMILUX XT T8 L 36 W/865 fluorescent tubes, Osram),  
446 followed by exposure to continuous R ( $\lambda_{\text{max}} = 660\text{nm}$ ), FR ( $\lambda_{\text{max}} = 735 \text{ nm}$ ) or B ( $\lambda_{\text{max}} = 470$   
447 nm) light for 4 days at 22 °C (SNAP-LITE LED light sources, Quantum Devices). Plates  
448 containing dark-grown seedlings plates were wrapped in aluminium foil and kept in dark for  
449 4 days at 22°C.

450 Seeds sown on  $\frac{1}{2}$  Murashige and Skoog (MS, Sigma-Aldrich) medium containing 1% w/v  
451 sucrose and 0.8% w/v agar were surface sterilised and kept at 4 °C for 3 days. Seedlings  
452 were grown under 12 h white light ( $80 \mu\text{mol m}^{-2} \text{s}^{-1}$ ) / 12 h dark photocycles at 22 °C in a  
453 growth chamber (MLR-350H, SANYO, Gallenkamp) for 7 days. Alternatively, after 3 days,  
454 the plates were placed under continuous white light (PHILIPS TL- D 18 W/33- 640 tubes,  
455  $10 \mu\text{mol m}^{-2} \text{s}^{-1}$ ) supplemented with UV- B (PHILIPS ULTRAVIOLET- B TL20W/01RS  
456 tubes,  $1.5 \mu\text{mol m}^{-2} \text{s}^{-1}$ ) for 4 days at 22 °C. The seedlings were covered with transmission  
457 cut-off filters (WG series, Schott) using the WG305 filter for UV-B-treated seedlings (+UV-  
458 B), and the WG385 filter for the control (-UV-B) seedlings as providing half maximal  
459 transmission at 305 or 385 nm, respectively (Bernula et al., 2017).

460 *Brachypodium distachyon* (Bd21) seeds were sown on 1% w/v agar and kept at 4 °C for 5  
461 days and were treated with 24 h white light ( $130 \mu\text{mol m}^{-2} \text{s}^{-1}$ ) to induce even germination.

462 Seedlings were grown either in darkness or under  $50 \mu\text{mol m}^{-2} \text{s}^{-1}$  R light or  $10 \mu\text{mol m}^{-2} \text{s}^{-1}$   
463 FR light or  $130 \mu\text{mol m}^{-2} \text{s}^{-1}$  white light for 4 days. Subsequently, they were placed on a

464 matte black cardboard sheet and illuminated with even diffused light. Images of the  
465 seedlings were taken with a smartphone (iPhone SE, Apple) using the default settings of the  
466 camera. Every image contained a millimetre paper for scaling.  
467 *Sinapis alba* seeds were sown on 1% w/v agar and kept at 4 °C for 5 days. Seedlings were  
468 grown under 130  $\mu\text{mol m}^{-2} \text{s}^{-1}$  white light at 22 °C for 4 days. Seedlings were photographed  
469 as described for *Brachypodium distachyon* plants.  
470

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479

## 480 **Supplemental Data**

481 Supplemental Figure S1. U-Net segmentation of red light-grown Arabidopsis seedlings.  
482 Supplemental Figure S2. Hypocotyl measurements of Arabidopsis seedlings grown under  
483 far-red illumination.  
484 Supplemental Figure S3. U-Net segmentation of far-red light-grown Arabidopsis seedlings.  
485 Supplemental Figure S4. Hypocotyl measurements of Arabidopsis seedlings grown under  
486 blue illumination.  
487 Supplemental Figure S5. U-Net segmentation of blue light-grown Arabidopsis seedlings.  
488 Supplemental Figure S6. Hypocotyl measurements of Arabidopsis seedlings grown in the  
489 dark or under different white light illumination protocols.  
490 Supplemental Figure S7. Complete U-Net segmentation of Arabidopsis seedlings grown  
491 under white light supplied with photomorphogenic UV-B.  
492 Supplemental Figure S8. U-Net segmentation of *Sinapis* plantlets.  
493 Supplemental Figure S9. U-Net segmentation of *Brachypodium* plantlets.  
494 Supplemental Figure S10. Student t-test p values for testing effect size between groups.  
495 Supplemental Figure S11. U-Net segmentation of small far-red light-grown Arabidopsis  
496 seedlings, using the model trained on small hypocotyls only.  
497 Supplemental Method S1. Creating custom training data.  
498 Supplemental Method S2. Training and using the algorithm.  
499  
500  
501

## 502 **Figures**

503

### 504 **Figure 1. Overview of the method.**

- 505 (a) Arabidopsis seedlings were placed on agar plate surface and scanned, resulting in  
506 the original image. This image was then processed by the previously trained U-Net  
507 algorithm (see *Materials and Methods* chapter for details), which determines plant  
508 parts: hypocotyls (marked with blue colour) and non-hypocotyl plant parts (depicted  
509 by green colour). The background pixels appear in red. This step is called  
510 segmentation. During the next step, the algorithm determines a 1-pixel-wide line in  
511 the middle of the segmented hypocotyls. This procedure is called skeletonization,  
512 and the number of pixels consisting of the 1-pixel-wide lines is proportional to the  
513 hypocotyl length. White scale bar represents 1 mm.
- 514 (b) An example of the graphical representation of the algorithm's output. Besides the  
515 quantitative parameters of the detected hypocotyls exported to a .csv file, this kind of  
516 visualization of the results is also available for the identification of each seedling and  
517 for general quality checking of the measurement. The black characters indicate the  
518 index of the seedlings in the .csv output (N.1., N.2. etc.) whereas the red numbers  
519 show the corresponding hypocotyl length in mm.

### 520 **Figure 2. Hypocotyl measurement of red light-grown Arabidopsis seedlings.**

- 521 (a) Arabidopsis seedlings were grown on wet filter papers in red light for 4 days, placed  
522 on an agar plate and scanned. A close-up image shows a few seedlings grown  
523 under high or low fluences of red light and the U-Net segmented and skeletonized  
524 images generated from the original by our algorithm. Scale bars represent 1 mm.
- 525 (b) This box-and-whisker diagram shows the distribution of seedling hypocotyl length  
526 values determined by the algorithm and two human experimenters. Median is  
527 marked by a horizontal line inside the box, boxes depict the quartiles, and whiskers  
528 extend to show the rest of the distribution. Black diamonds represent outliers.  
529 Sample number at every data point is n=30.

530 **Figure 3. *Sinapis alba* hypocotyl and *Brachypodium distachyon* coleoptile**  
531 **measurements by the algorithm.**  
532 (a) Original images of light-grown *Sinapis alba* and *Brachypodium distachyon* plantlets  
533 (left side). Image panels at the right side depict the segmentation made by the  
534 algorithm. The original images also contain a millimetre paper for size scale.  
535 (b) Box-and-whisker diagrams show coleoptile and hypocotyl length values determined  
536 by the U-net algorithm and two human experts. Boxes depict the quartiles, whiskers  
537 extend to show the rest of the distribution, median is marked by a horizontal line  
538 inside the box, whereas black diamonds represent outliers. Sample number for  
539 *Sinapis alba* seedlings is n=91 and for *Brachypodium distachyon* plantlets is n≥14 in  
540 each light treatment.  
541

542 **Figure 4. Accuracy, recall and precision metrics for the algorithm for each light**  
543 **condition.**

544 Further analysis of the data what are presented in Fig. 2, Fig. 3 and Supplemental Figures  
545 S2, S4, S6. Metrics were obtained by matching the plants identified by the algorithm to the  
546 ground truth given by the experts. (A match is required to have at least 10% overlap  
547 between the bounding boxes of the objects.) *Accuracy* is the relative accuracy of the  
548 measurement defined by  $1 - |M - L|/L$ , where L is the ground truth length and M is the  
549 measured length. The *precision* of the algorithm is defined as  $TP/(TP + FP)$ , where TP and  
550 FP denote the number of true and false positives, respectively. A high precision implies the  
551 majority of identified objects are indeed plants, not false detections. Finally, *recall* is given  
552 by  $TP/(TP + FN)$ , where FN is the number of false negatives. The higher the recall, the more  
553 plants were identified by the algorithm.

554 (a) Analysis of the data obtained on Arabidopsis seedlings. On the left side of the graph, the  
555 applied growth conditions are marked: the numbers indicate light intensity in  $\mu\text{mol m}^{-2} \text{s}^{-1}$ ,  
556 LD= 12 h light/12 h dark cycles, WL±UVB= white light supplied with or without UV-B, Dark=  
557 etiolated seedlings.

558 (b) The same metrics were calculated from the data obtained on *Brachypodium distachyon*  
559 and *Sinapis alba* seedlings.  
560

561

562 **Figure 5. Intra- and inter-expert accuracies vs the algorithm.**

563 *Intra-expert accuracy* was calculated by averaging the accuracies between the two  
564 measurements from the same expert. *Inter-expert accuracy* (Expert 1 vs Expert 2) was  
565 determined by comparing the first measurements of the two human experts. For  
566 comparison, the accuracy of the algorithm is also presented.

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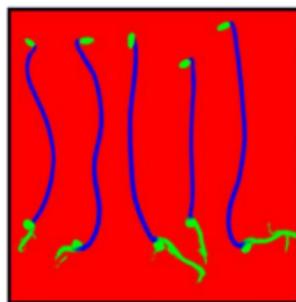
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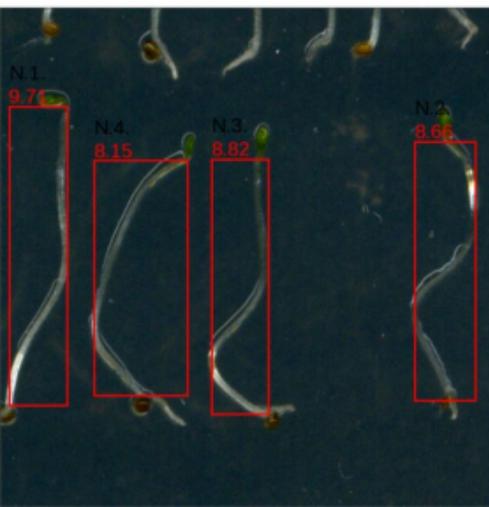


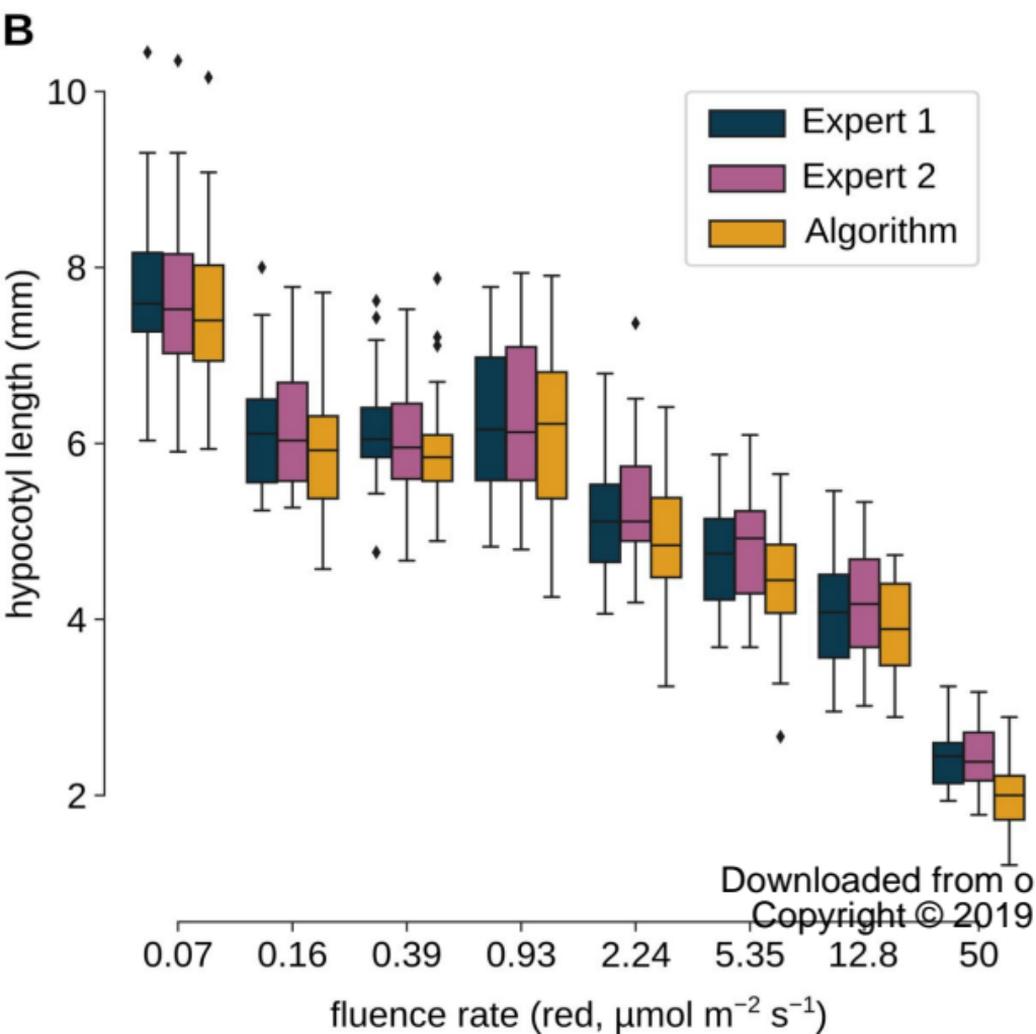
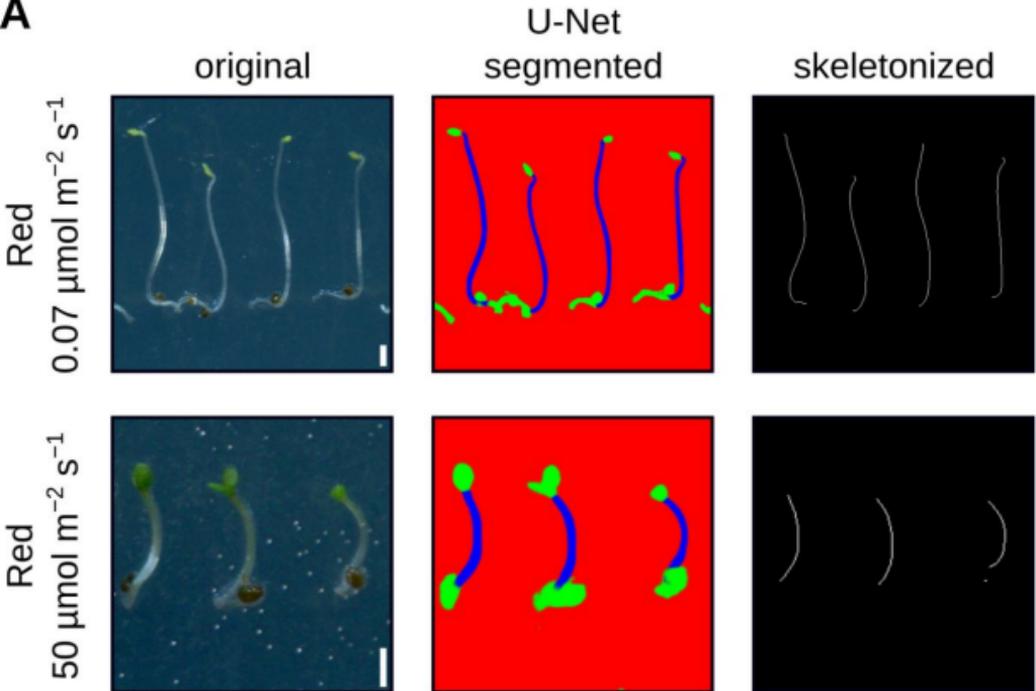
U-Net

segmentation



skeletonization

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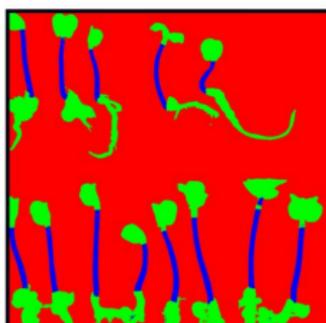
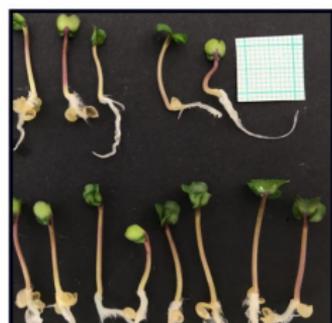
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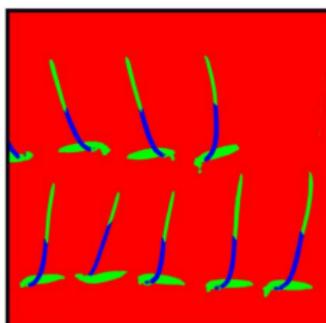
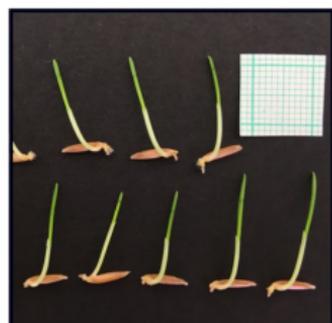
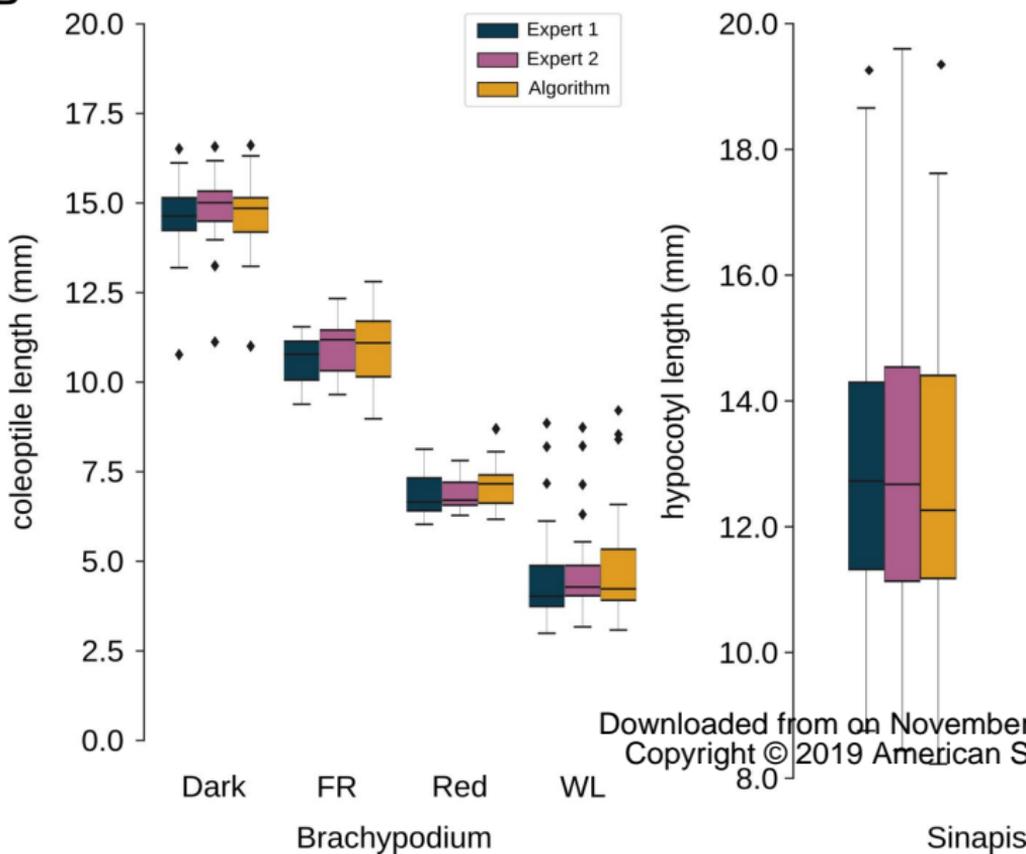
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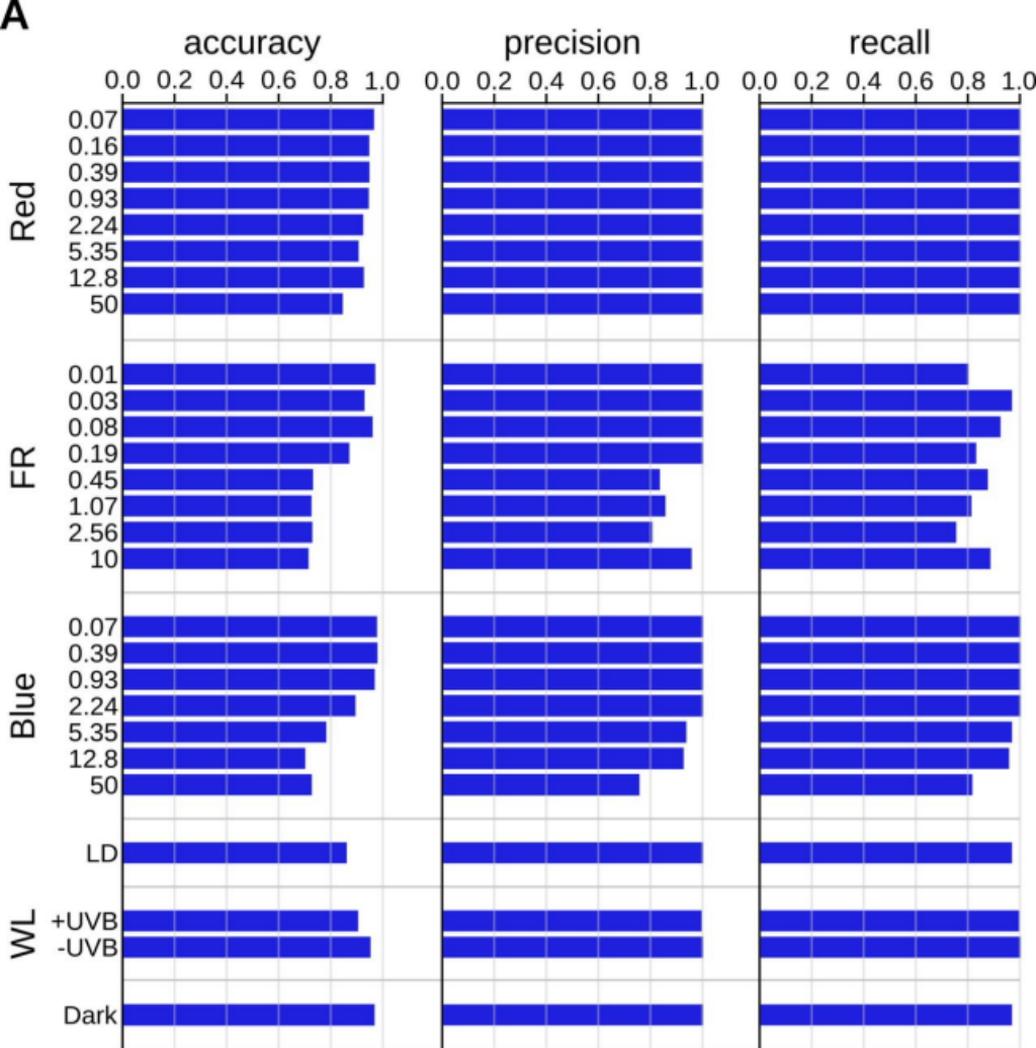
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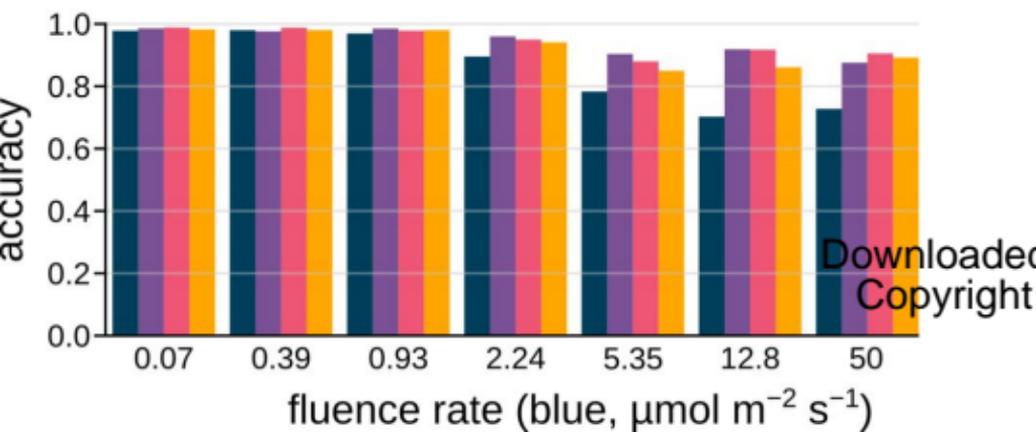
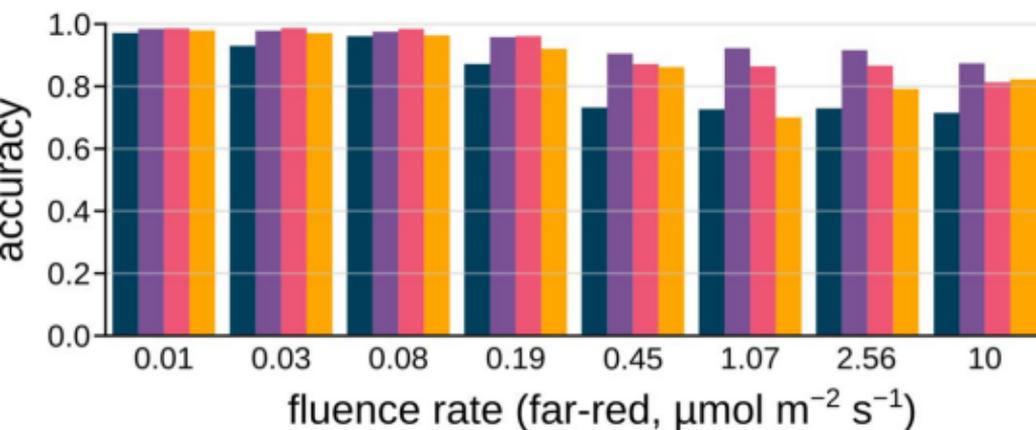
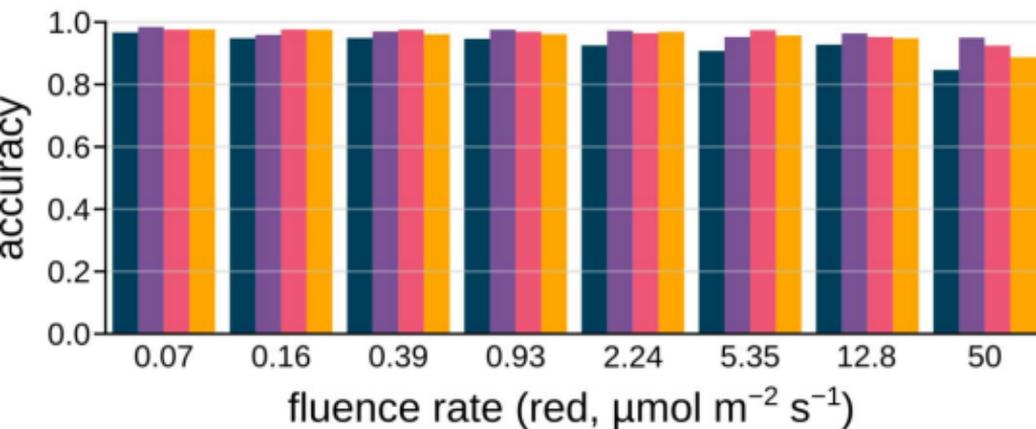
Sinapis



Brachypodium

**B**





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