

23 **Influence of pressure and temperature on molar volume and retention**  
24 **properties of peptides in ultra-high pressure liquid chromatography**

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27 Szabolcs Fekete<sup>\*a</sup>, Krisztián Horváth<sup>b</sup>, Davy Guillarme<sup>a</sup>,

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29 <sup>a</sup>School of Pharmaceutical Sciences, University of Geneva, University of Lausanne, Bd d'Yvoy 20, 1211 Geneva  
30 4, Switzerland

31  
32 <sup>b</sup> Department of Analytical Chemistry, University of Pannonia, Egyetem u. 10, 8200 Veszprém, Hungary  
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34  
35 **ABSTRACT**

36 In this study, pressure induced changes in retention were measured for model peptides  
37 possessing molecular weights between ~1 and ~4 kDa. The goal of the present work was to  
38 evaluate if such changes were only attributed to the variation of molar volume and if they  
39 could be estimated prior to the experiments, using theoretical models. Restrictor tubing was  
40 employed to generate pressures up to 1000 bar and experiments were conducted for mobile  
41 phase temperatures comprised between 30 and 80 °C. As expected, the retention increases  
42 significantly with pressure, up to 200% for glucagon at around 1000 bar compared to ~ 100  
43 bar. The obtained data were fitted with a theoretical model and the determination coefficients  
44 were excellent ( $r^2 > 0.9992$ ) for the peptides at various temperatures. On the other hand, the  
45 effect was not identical whatever the temperature and the pressure induced change in  
46 retention was surprisingly more pronounced at 30 °C vs. 60 or 80 °C.

47 Finally, using the proposed model, it was possible to easily estimate the pressure induced  
48 increase in retention for any peptide and mobile phase temperature. This allows to easily  
49 estimating the expected change in retention, when increasing the column length under  
50 UHPLC conditions.

51  
52 **KEYWORDS:**

53 UHPLC, ultra-high pressure, retention, molar volume, pressure effect, peptides

## 54 1. INTRODUCTION

55 Liquid solutions are normally considered to be incompressible, however several studies  
56 showed that in conventional HPLC pressure ranges (< 400 bar), solute retention can be  
57 significantly altered [1-6]. Obviously, the pressure effects are much more important in ultra-  
58 high pressure LC (UHPLC) conditions [7-11]. In order to study the pure effect of pressure,  
59 data are generally gathered using a restriction capillary at the column outlet to increase  
60 pressure, while avoiding frictional heating effects related to high mobile phase flow rates.  
61 Generally, pressure influences the mobile phase density, viscosity and temperature,, the  
62 analytes' diffusion rate and the strength of interactions between solute, stationary phase and  
63 mobile phase [12]. Therefore, the pressure in LC can significantly influence the retention (k)  
64 of any type of analytes.

65 First, Giddings showed that pressure could induce important changes in molecular volume  
66 and also alter the ability of molecules to crowd together, to reduce molecular volume [13,14].  
67 Numerous theoretical aspects of the influence of pressure on the chromatographic process  
68 have been explained and described by Martin and Guiochon [15]. Several publications have  
69 shown that k increases with a pressure enhancement [16,17]. While the increase for low-  
70 molecular-weight analytes is relatively modest, the increase for large analytes (e.g. peptides,  
71 proteins) has been found to be much larger [18-20]. This effect is primarily related to the  
72 changes in molecular molar volume ( $V_M$ ). However, pressure also has a strong influence on  
73 the solvation layer of the alkyl-bonded phase; on the solvation shell of the hydrophobic  
74 regions of the protein; and on the hydration shell of the hydrophilic parts [18]. A reduced  
75 solvation layer increases molecule hydrophobicity, and therefore increases k under reversed-  
76 phase conditions. Pressure can also affect other intrinsic parameters of chromatographic  
77 separation, such as column void volume and intrinsic column porosity [15,21].

78 The effects of pressure on thermodynamic equilibrium were studied and it was reported that  
79 changes in column pressure could produce equilibrium changes in the distribution of the  
80 analyte between stationary and mobile phases. The magnitude of these changes depends on  
81 the analyte-solvent interconnection and on the changes in solvent structure within the mobile  
82 and stationary phase [7, 22-24]. It was also demonstrated that analyte molecular volume  
83 changes under elevated pressure were among the major variables affecting analyte retention  
84 [24]. Other studies have shown that the variation of retention with pressure can be related to  
85 changes in mobile phase pH and to the extent of analyte ion-dissociation [25].

86 Numerous works have demonstrated the importance of pressure on the analyte retention for  
87 small molecular weight compounds. Under conventional HPLC conditions, an increase in  
88 retention factors between 9-24% was observed for fatty acids when increasing the pressure  
89 from 100 to 350 bar [5,6]. An important change in retention and selectivity of weak acids and  
90 bases, and even a change in elution order were also demonstrated [25]. Another study

91 showed retention changes between -7 and +12% under conventional HPLC conditions as  
92 well [3]. With short, narrow bore columns packed with conventional 5  $\mu\text{m}$  particles, 2 to 12%  
93 increase in retention was reported for neutral solutes, while the most important changes (35-  
94 50%) were observed for acidic and basic analytes [7,8]. The changes in retention were  
95 significantly larger (by a factor of 3) when ion-pairing reagent was added to the mobile phase  
96 in RPLC mode [26]. Finally, the effect of pressure was also demonstrated in normal phase  
97 mode and chiral separations [2,27].

98 For large molecules such as peptides and proteins, it has been observed that pressure can  
99 have a rather strong influence on retention [18-20]. Even in gradient elution mode, important  
100 changes in retention and slight changes in selectivity and resolution were reported [20]. A  
101 recent study demonstrated that pressure induced conformational changes of proteins are  
102 highly probable under RP conditions [20]. As mentioned, the change in  $V_M$  caused by the  
103 pressure is a suitable parameter to model the effect of pressure on solute retention.  
104 However,  $\Delta V_M$  is complex as it can be correlated with several associated phenomena taking  
105 place during the adsorption process [18]. It should be expected, especially in the case of  
106 macromolecules that changes in  $V_M$  may originate from different sources, such as the  
107 variations in the energy of molecular interactions, solvation, aggregation or changes in the  
108 energy density of these interactions [28]. These effects probably play a key role in the  
109 retention of peptides or proteins. Conformational changes induced by pressure, besides  
110 affecting  $V_M$  directly, can also modify the surface hydrophobicity of the molecule [18]. The  
111 conformational change (folding or unfolding) of a protein molecule upon adsorption is a well-  
112 known phenomenon that leads to the exposure of its hydrophobic core. At higher pressures,  
113 the adsorption of proteins onto the stationary phase could therefore be more pronounced.  
114 Therefore, modelling the pressure effects on retention could become very complex for  
115 proteins.

116 The goal of this study was to experimentally measure the pressure induced changes in  
117 retention for model peptides possessing molecular weights between ~1 and ~4 kDa. These  
118 experiments were performed using restrictor tubing, to evaluate the pure effect of pressure,  
119 while neglecting as much as possible the influence of frictional heating. Finally, the  
120 experimental work was conducted for pressures up to ~1000 bar and for various mobile  
121 phase temperatures comprised between 30 and 80°C. The experimental data were then  
122 fitted with theoretical models, to evaluate if theory was able to predict pressure induced  
123 changes in retention, only taking into account the variation of molar volume.

124

## 125 **2. THEORY**

126 The dependence of the retention factor,  $k$ , of a compound on the pressure,  $P$ , can be  
127 derived from Gibbs free energy as follows:

128 
$$\ln k = -\frac{\Delta G}{RT} + \ln \phi = -\frac{\Delta E}{RT} - p \frac{\Delta V_m}{RT} + \frac{\Delta S}{R} + \ln \phi \quad (1)$$

129 where  $\Delta G$  is the change of Gibbs free energy,  $\Delta E$  the change of internal energy of the  
 130 system,  $\Delta V_m$  the change of molar volume of solute during adsorption,  $\Delta S$  the change of  
 131 system entropy,  $\phi$  the phase ratio,  $R$  the universal gas constant, and  $T$  the absolute  
 132 temperature.

133 By rearranging Eq. (1),  $k$  can be calculated at any pressure as:

134 
$$k = k_0 \exp\left(-\frac{\Delta V_m}{RT} p\right) \quad (2)$$

135 where  $k_0$  is the limiting value of the retention factor at zero pressure:

136 
$$k_0 = \phi \exp\left(-\frac{\Delta E}{RT} + \frac{\Delta S}{R}\right) \quad (3)$$

137 Eqs. (2) and (3) cannot be used directly for the determination of the compound retention time  
 138 since the retention factor changes gradually during the compound migration, due to the  
 139 pressure gradient inside the column. In the case of isocratic elution, a linear pressure  
 140 gradient can be assumed. In this case, the local pressure at any position,  $z$ , in the column is  
 141 given as:

142 
$$p[z] = p_{in} - \frac{\Delta p}{L} z \quad (4)$$

143 where  $p_{in}$  is the inlet pressure (pressure at the head of column), and  $\Delta p$  the pressure drop  
 144 across the column. Note, that Eq. (4) is valid only in case of isocratic elution assuming that  
 145 the phase ratio of the column dependencies constant throughout the column.

146 By combining Eqs. (2) - (4), the local value of the compound retention factor can be written  
 147 as:

148 
$$k[z] = k_0 \exp\left[-\frac{\Delta V_m}{RT} \left(p_{in} - \frac{\Delta p}{L} z\right)\right] = k_{in} \exp\left(\frac{\Delta V_m}{RT} \frac{\Delta p}{L} z\right) \quad (5)$$

149 where  $k_{in}$  is the retention factor of solute at the head of column (at the inlet pressure,  $p_{in}$ ).

150 The local migration velocity of the zone of a compound at any position,  $z$ , in the column can

151 be calculated with the knowledge of  $k[z]$ .

152 
$$\frac{dz}{dt} = \frac{u_0}{(1+k[z])} \quad (6)$$

153 where  $u_0$  is the linear velocity of the eluent ( $u_0 = L/t_0$ , where  $t_0$  is the hold-up time of the  
154 column). Accordingly, the retention time,  $t_R$ , can be calculated by integrating Eq. (8).

$$t_R = \int_0^{t_R} dt = \frac{1}{u_0} \int_0^L (1 + k[z]) dz \quad (7)$$

155  
156 The integration can be accomplished by substituting Eq. (7) in Eq. (9). Accordingly,

$$t_R = \frac{1}{u_0} \int_0^L \left( 1 + k_0 \exp \left[ -\frac{\Delta V_m}{RT} \left( p_{in} - \frac{\Delta p}{L} z \right) \right] \right) dz \quad (8)$$

157  
158 and

$$t_R = t_0 \left[ 1 + \frac{k_0 RT}{\Delta p \Delta V_m} \exp \left[ -\frac{p_{in} \Delta V_m}{RT} \right] \left( \exp \left[ \frac{\Delta p \Delta V_m}{RT} \right] - 1 \right) \right] \quad (9)$$

160 Eq. (9) can be used for the migration time calculation of any compounds through the  
161 separator column with the knowledge of operating parameters of the chromatographic  
162 system and fundamental molecular and thermodynamic properties.

163

### 164 3. EXPERIMENTAL

165

#### 166 3.1. Chemicals, columns

167 Water was obtained from a Milli-Q Purification System from Millipore (Bedford, MA, USA).  
168 Acetonitrile and Methanol (gradient grade) were purchased from Sigma-Aldrich (Buchs,  
169 Switzerland). Model peptides such as CH-866 (MW=1311.5 g/mol), CH-868 (MW=1311.5  
170 g/mol), CH-869 (MW=1277.5 g/mol) and CH-870 (1295.5 g/mol) decapeptides were  
171 purchased from ChinaPeptides Co., Ltd (Shanghai, China). These model peptides are  
172 analogues of the commercial therapeutic peptide, triptorelin in which only one amino acid  
173 was altered. Glucagon as the largest test peptide (MW=3485 g/mol) was purchased from  
174 Sigma-Aldrich. Trifluoroacetic acid (TFA) and uracil were also purchased from Sigma-  
175 Aldrich.

176 Waters Acquity BEH C4 columns packed with 1.7  $\mu\text{m}$  particles (50  $\times$  2.1 mm and 150  $\times$  2.1  
177 mm) were purchased from Waters (Milford, MA, USA).

178

#### 179 3.2. Equipment, software

180 The measurements were performed using a Waters Acquity UPLC™ I-Class system  
181 equipped with a binary solvent delivery pump, an autosampler and UV detector and/or  
182 fluorescence detector (FL). The system includes a flow through needle (FTN) injection  
183 system with 15  $\mu\text{L}$  needle, a 0.5  $\mu\text{L}$  UV flow-cell and a 2  $\mu\text{L}$  FL flow-cell. The connection tube  
184 between the injector and column inlet was 0.003" I.D. and 200 mm long (active preheating

185 included), and the capillary located between the column and detector was 0.004" I.D. and  
186 200 mm long. The overall extra-column volume ( $V_{ext}$ ) was about 8.5  $\mu\text{L}$  and 11  $\mu\text{L}$  as  
187 measured from the injection seat of the auto-sampler to the detector cell (UV and FL,  
188 respectively). The average extra-column peak variance of our system was found to be  
189 around  $\sigma_{ec}^2 \sim 0.5\text{-}4 \mu\text{L}^2$  (depending on the flow rate, injected volume, mobile phase  
190 composition and solute). Data acquisition and instrument control was performed by Empower  
191 Pro 2 Software (Waters).

192 Column backpressure was increased by connecting capillary tubes of 25  $\mu\text{m}$  I.D. and lengths  
193 of 5, 15, and 20 cm (it was possible to couple up to 45-50 cm tubing length to generate the  
194 required pressure). The capillary tubes were purchased from SGE Analytical Science (Kiln  
195 Farm Milton Keynes, UK). The tubes were connected between the end of the columns and  
196 the detector cell using zero dead volume connectors. The volume of the longest tube was  
197 0.24  $\mu\text{L}$  which is negligible compared to the total extra-column volume of the instrument.

198 Retention data were corrected for system transit time (the time that solutes spend in the  
199 extra-column volume) and offset time (the difference between the moment when the zero  
200 time is recorded and the moment when the sample leaves the injection needle). Pressure  
201 data were also corrected for system pressure.

202 Calculations were achieved by using Mathematica 8.0 (Wolfram Research) ran under Debian  
203 GNU Linux operating system (v. 6.0.6).

204

### 205 **3.3. Apparatus and methodology**

206 In this study the effect of very high pressure was dissociated from frictional heating effects.  
207 Indeed, all the measurements were performed at constant flow rate and the column head  
208 pressure was varied by adding restrictors to the column outlet. This experimental setup  
209 allowed studying the effect of pressure only, independently from frictional heating. Moreover,  
210 narrow-bore columns (2.1 mm I.D.) were used to minimize as much as possible the possible  
211 frictional heating effects.

212

#### 213 **3.3.1. Effect of pressure on decapeptides**

214 Decapetides (MW = 1277.5 – 1311.5 g/mol) were eluted isocratically with 25:75 v/v ACN :  
215  $\text{H}_2\text{O}$  (containing 0.1 % TFA). 2  $\mu\text{L}$  of test solution was injected on the Acquity BEH300 wide  
216 pore C4 (5 cm x 2.1 mm, 1.7  $\mu\text{m}$ ) column and the chromatograms were acquired in both UV  
217 ( $\lambda=210 \text{ nm}$ , 40 Hz) and fluorescence emission mode (excitation at 280 nm, emission at 360  
218 nm, 20 Hz). The flow rate was set to 100  $\mu\text{L}/\text{min}$ . This flow rate generated relatively small  
219 pressure and provided reasonable retention times. The effect of pressure on retention  
220 properties and molar volume was studied at different temperatures, namely 30, 60 and 80°C.

221 The very high pressure was generated by adding restrictor capillaries to the column outlet. At  
222 30°C, around 193, 295, 590, 750, 870 and 1030 bar column head-pressure were generated,  
223 while at 60 and 80°C the head-pressure was set at about 115, 154, 234, 310, 387, 474, 592,  
224 723, 821, 915 bar and 163, 194, 238, 401, 597, 710, 861, 976 bar, respectively. The stock  
225 solution of peptides was prepared in the mobile phase (~1 mg/ml) and uracil (1 mg/ml) was  
226 also added as column dead time marker. The solutions for the chromatographic runs were  
227 diluted from the stock solutions with mobile phase. The final concentration of the test solution  
228 was 100 µg/ml.

229

### 230 **3.3.2. Effect of pressure on glucagon**

231 In this current study, glucagon was selected as the largest model peptide (MW = 3485  
232 g/mol). It was eluted with 32:68 v/v ACN : H<sub>2</sub>O (containing 0.1 % TFA). 3 µL of test solution  
233 was injected. The chromatograms were recorded in both UV ( $\lambda=210$  nm, 40 Hz) and  
234 fluorescence emission mode (excitation at 280 nm, emission at 360 nm, 20 Hz). The flow  
235 rate was set to 100 µL/min. The mobile phase temperature was set to 30, 60 and 80°C. At  
236 30°C, around 220, 300, 359, 508, 576, 657, 910 and 993 bar column head-pressure were  
237 generated. At 60 and 80°C, the head-pressure was increased to about 183, 360, 485, 574,  
238 687, 855, 1040 bar and 134, 400, 440, 573, 733, 761, 960 bar, respectively. The stock  
239 solution of glucagon and uracil was prepared in water (~1 mg/ml). The solutions for the  
240 chromatographic runs were diluted from the stock solutions with mobile phase. The final  
241 concentration of the test solution was approximately 100 µg/ml.

242

### 243 **3.3.3. Validity of the model, coupling columns in series**

244 To verify our theoretical model, the retention times estimated for different column head  
245 pressures were experimentally verified. For this purpose, columns were coupled in series  
246 and operated at the same flow rate that was applied in the previous measurements when  
247 creating the model (100 µL/min). In this study, 50, 150, 200 and 300 mm column lengths  
248 were employed to generate different head pressures. The observed retention factors were  
249 compared to the predicted values. These experiments were achieved with three different  
250 decapeptides at 30°C.

251

## 252 **4. RESULTS AND DISCUSSION**

253

### 254 **4.1. The effect of pressure and temperature on the retention of peptides (1.2 - 1.3 kDa)**

255 A mixture of four decapeptides was analyzed to evaluate the impact of pressure on solute  
256 retention. Figure 1 shows chromatograms recorded at 190, 540 and 1010 bar, while figure 2

257 illustrates the changes in retention afforded by using various narrow restrictor capillaries  
258 generating a variety of pressure at three different temperatures. Since all peptides showed  
259 similar behaviour, only one representative (CH-870) peptide is discussed in figure 2. A  
260 significant increase in retention was observed for all peptides between a pressure drop of  
261 100 up to 1100 bar. In this range, ~80% relative increase in retention was observed at a  
262 temperature of 30°C. Surprisingly at higher temperature, the change in retention was  
263 somewhat lower. At 60 °C only 55% relative increase was noticed, while at 80 °C around 35  
264 % increase in retention was observed. Because the change in retention was significantly  
265 higher at 30 °C vs. 60 or 80 °C, it appears that the pressure induced increase in retention is  
266 temperature dependent. This observation suggests that temperature has an influence on the  
267 mechanism of the pressure induced perturbations in the molecular structure of these  
268 decapeptides. In contrast, Szabelski *et al.* observed that pressure and temperature affect the  
269 retention behaviour of insulin (5.8 kDa) in a separate and different way [29]. They concluded  
270 that temperature has no (or very little) influence on the pressure induced retention change.  
271 Probably, insulin shows different behaviour due to a possible conformational change at  
272 elevated pressure, that cannot be observed with the investigated decapeptides (no  
273 secondary or tertiary structures for such small peptides). Indeed, the temperature induced  
274 conformational changes of insulin is well known but it remains hard to believe that different  
275 conformations (molar volumes) are equally sensitive to the pressure induced changes.  
276 Moreover, in that study, the authors investigated only a narrow pressure range, between 47  
277 and 147 bar and narrow temperature range (25 – 50°C) [29]. On figure 2 A, the slopes of the  
278 fitted curves are indeed very similar in the range of 50 – 150 bar, suggesting that for  
279 relatively low pressures (e.g.< 200 bar), temperature does not affect significantly the  
280 retention changes attributed to pressure alteration. However, in the ultra-high pressure range  
281 (> 400 bar), temperature has a clear impact on the mechanism of the pressure induced  
282 perturbations in molecular structure.

283 At different temperatures, the retention observed without restrictors at the same flow rate are  
284 obviously different, because of the mobile phase polarity reduction with temperature. For  
285 correctness – and for better visualizing the influence of temperature on pressure induced  
286 changes – the relative increase in retention was plotted against the relative increase in  
287 pressure, on figure 2 B. The reference values of retention and pressure were selected as the  
288 lowest observed values (without restrictor). Since the same relative pressure change  
289 corresponds to different absolute pressures at different temperatures, the absolute pressure  
290 was also indicated on figure 2 B. This plot clearly shows that the pressure induced retention  
291 increase is more important at lower than at higher temperature. As example, increasing the  
292 pressure by a factor 3 at 30 °C manifests in 35 % retention increase, while at 60 and 80°C, it



293 corresponds to 13 and 10 %, respectively. When increasing the pressure by a factor of 6, the  
294 retention increases by 90 % at 30 °C, and only 27 and 20 % at 60 and 80 °C, respectively.  
295 The same conclusion can be drawn by calculating the derivative of  $k$  (Eq. 2), with respect to  
296 pressure and temperature.

$$297 \quad \frac{\partial^2 k}{\partial p \partial T} = \left( \Delta V_m - T \frac{\partial \Delta V_m}{\partial T} \right) \frac{(RT - p \Delta V_m)}{R^2 T^3} k \quad (10)$$

298 Since  $k$  is positive, and  $\Delta V_m$  is negative, the last two terms of the above expression are  
299 positive and the sign of Eq. (10) depends on the sign of the first term. It will be shown later  
300 on (see section 4.3) that  $\Delta V_m$  increases as the temperature increases  $\left( \frac{\partial \Delta V_m}{\partial T} > 0 \right)$ .

301 Accordingly, the first term of Eq. (10) is negative, as well as the whole expression. It means  
302 that the change in retention as a function of pressure decreases at higher temperature, just  
303 as it was shown above for the four model decapeptides.

304

#### 305 **4.2. The effect of pressure and temperature on the retention of glucagon (3.5 kDa)**

306 Glucagon was injected to evaluate the impact of pressure and temperature on solute  
307 retention. This molecule is a 29 amino acids polypeptide that can form slightly different  
308 conformational states depending on the conditions. This is the reason why it was interesting  
309 to study its behaviour under different pressures and temperatures conditions. Figure 3  
310 represents the changes in retention caused by the pressure at different temperatures. A  
311 more significant retention increase was observed at elevated pressure compared to the  
312 smaller decapeptides. The relative retention increase reached ~ 200 % at around 1000 bar  
313 compared to ~ 100 bar at 30 °C. At higher temperature, the change in retention was again  
314 lower, similarly to what was observed with decapeptides. At 60 and 80 °C, about 130 and 60  
315 % relative increase in retention were measured. In agreement with our previous findings, the  
316 pressure induced retention shift depends on the temperature. Probably, this observation can  
317 be attributed to a variation of the glucagon conformation (molar volume) with temperature.  
318 Figure 3 A also suggests this assumption since the absolute retention at 60 and 80 °C, in the  
319 conventional pressure range (100 – 400 bar) is practically the same (unchanged). However,  
320 if there is no change in molecular structure, generally a decrease in retention is expected  
321 when increasing the temperature (due to the reduction of mobile phase polarity and strength  
322 of interactions). In the case of large biomolecules, the effect of temperature on retention is  
323 not as simple, and it was previously observed that little or even no change in retention  
324 occurred with some therapeutic peptides and the 5.7 kDa insulin [30]. In some cases, an  
325 increase or no change in retention as a function of temperature can be observed, depending  
326 on the investigated compounds and the temperature range. In the case of peptides or

327 proteins, which contain many charged amino acids, it is much more difficult to predict the  
328 evolution of retention with temperature. On the other hand PKa values can also be modified  
329 with temperature and can explain this type of behavior. Depending on the stability of the  
330 secondary structure, the molecules unfold to various extents at different temperatures and  
331 hence interact with the stationary phase with various strength [31]. Due to the different  
332 conformation-dependent responses of peptides and proteins at elevated temperatures, the  
333 change in retention can be unexpected [32,33]. Another study demonstrated the thermally  
334 induced inter-conversion of insulin by temperature-dependent changes in the retention  
335 parameters [34]. The authors reported irreversible conformational changes for insulin at  
336 temperatures between 65 and 85°C.

337 This example with glucagon also suggests that temperature has an impact on the  
338 mechanism of the pressure induced changes in the molecular structure of peptides. On  
339 figure 3 A, the slopes of the fitted curves are again quite similar in the range of 100 – 300  
340 bar, but becomes significantly different in the ultra-high pressure range.

341 Figure 3 B shows the relative change in retention vs. relative head pressure increase. This  
342 type of representation highlights that the pressure induced retention increase is strongly  
343 temperature dependent. When injecting this 3.5 kDa polypeptide, increasing the pressure by  
344 a factor of 3 at 30 °C resulted in 130 % increase while at 60 and 80 °C, it caused only 45 and  
345 20 %, respectively.

346

#### 347 **4.3. Determination of molar volume change and limiting retention factors**

348 Eq. (9) allows the determination of molar volume change values,  $\Delta V_m$ , and limiting retention  
349 factors,  $k_0$ , by least squares fitting. Figure 2A and 3A show the fitted curves (based on our  
350 model) applied to our experimental dataset of retention times versus column head pressure  
351 for a decapeptide (870) and glucagon, respectively. The model fits well with the experimental  
352 data as the corresponding determination coefficients,  $r^2$ , were higher than 0.9992 in each  
353 case.

354 The calculated values of molar volume change and limiting retention factors for the four  
355 decapeptides and glucagon at three temperatures have been reported in Table 1. The typical  
356 values for the molar volume change of decapeptides ranged between -10 and -16 cm<sup>3</sup>/mol,  
357 while it was clearly larger (between -16 and -33 cm<sup>3</sup>/mol) for glucagon. This is in agreement  
358 with our expectations as typical molar volume changes around 5 – 10 cm<sup>3</sup>/mol were reported  
359 in the literature for small molecular weight test analytes and around 100 cm<sup>3</sup>/mol for insulin  
360 [12,29]. Based on our experimental results, the molar volume change seems to be also  
361 temperature dependent. Compared to 30°C (303 K), the absolute value of molar volume  
362 change for decapeptides was 15-25 % lower at 60°C and 20-35% lower at 80°C. For the 3.5

363 kDa polypeptide, the differences between molar volume changes at different temperatures  
364 seem to be much more important. At 60 and 80°C, 28 and 52% lower change of molar  
365 volume were calculated. These observations can probably be explained by the possible  
366 temperature dependent changes of molecular conformation. In addition, Fig. 4 demonstrates  
367 clearly, that there is a linear relationship between the change of molar volume and  
368 temperature. The derivative of  $\Delta V_m$  with respect to temperature is positive that means a  
369 decrease in the molar volume change.

370 If the  $\Delta V_m$  and  $k_0$  values are known, (1) the retention time of a compound, (2) the local  
371 retention factor at any position on the column, and (3) the position of the band at any time,  
372 can be predicted for any inlet pressures and pressure drops. In the case of isocratic elution,  
373 a linear pressure gradient occurs along the column, assuming that the phase ratio is constant  
374 throughout the column. Therefore, in this case, the pressure for any column length or for any  
375 position can be predicted. To validate the reliability of our model, retention times of three  
376 decapeptides were predicted for 15, 20 and 30 cm column length, based on initial  
377 measurements performed on a 5 cm long column. Columns were coupled in series and  
378 operated at the same flow rate. In this case, the various head pressures were generated by  
379 applying different column lengths. The retention times were calculated for the observed head  
380 pressures and were compared with the experimental retention times. Figure 5 shows the  
381 predicted retention times (lines) and the experimentally observed data. Please note that  
382 instead of retention times, the retention time per column length ratio was considered for the  
383 correct comparison since different pressures correspond to different column lengths. The  
384 experimental and predicted values were in good agreement as the deviation between  
385 experimental and calculated retention times was lower than 1% for the less retained peptides  
386 (866 and 869). The difference was somewhat larger with the most retained peptide (870), but  
387 the relative error of prediction was lower than 4.5 % that can be considered as accurate  
388 value. Based on our results, the proposed model enables the accurate prediction of peptide  
389 retention for various head pressures or column length.

390

#### 391 **4.4. Determination of fundamental thermodynamic parameters**

392 According to Eq. (3), the limiting retention factor at zero pressure,  $k_0$ , depends on the  
393 temperature,  $T$ , the phase ratio,  $\phi$ , the change of internal energy,  $\Delta E$ , and the change of  
394 entropy,  $\Delta S$ , of the system during adsorption. Eq. (3) can be linearized as

$$395 \quad R \ln k_0 = -\frac{\Delta E}{T} + \Delta S + R \ln \phi \quad (11)$$

396  $\Delta E$  and  $\Delta S$  can be determined by fitting Eq. (11) on a  $R \ln k_0$  vs.  $1/T$  dataset. The slope of  
397 the curve is equal to the negative change of internal energy, while the intercept includes the  
398 change of entropy and the phase ratio. Fig. 6 shows the  $R \ln k_0$  values of the four  
399 decapeptides as a function of reciprocal temperatures. Fig. 6 clearly demonstrates the linear  
400 relationship between  $R \ln k_0$  vs.  $1/T$ . The lines in the figure represent the fitted curves. It can  
401 be seen that Eq. (11) fitted well on the measured data, and determination coefficients,  $r^2$   
402 varied between 0.9957 and 0.9977. The fundamental thermodynamic parameters ( $\Delta E$  and  
403  $\Delta S$ ) of four decapeptides calculated from the fitted parameters have been reported in Table  
404 2. As expected, the results clearly show that the retention of decapeptides is controlled by  
405 molecular forces rather than the degree of randomness. It can also be seen that values of  
406  $\Delta E$  correlates well with the retention order of decapeptides.  
407 Finally, the determined  $\Delta E$  and  $\Delta S$  values and the linear relationship between  $\Delta V_m$  and  $T$   
408 can be used to predict the retention behavior of the investigated decapeptides at any  
409 temperature, inlet pressure and pressure drop.

410

## 411 5. CONCLUSION

412 UHPLC is a well established strategy and allows improving significantly kinetic performance,  
413 compared to old-fashion HPLC. However, the effect of pressure on thermodynamic  
414 parameters may be of importance when dealing with pressure range between 400 and 1200  
415 bar. In this study, we focused on the effect of pressure on the retention of therapeutic  
416 peptides possessing molecular weights between ~1 and ~4 kDa. For this purpose, restrictor  
417 tubing was located between the column outlet and the UV detector, to evaluate the pure  
418 effect of pressure, while neglecting as much as possible the influence of frictional heating.  
419 Under such conditions, the retention increases with pressure. As example, the relative  
420 retention increase of glucagon reached ~ 200 % at around 1000 bar compared to ~ 100 bar.  
421 This is in agreement with the theoretical expectations, because of the change in molar  
422 volume with pressure. In parallel, a model was developed to estimate the change in molar  
423 volume and it was experimentally observed that this model fits perfectly the experimental  
424 data points (determination coefficients,  $r^2$ , were higher than 0.9992 in each case).  
425 Additionally, these experiments were conducted at various temperatures comprised between  
426 30 and 80 °C. Surprisingly, the pressure induced change in retention was found to be  
427 temperature dependent and was more pronounced at 30 °C vs. 60 or 80 °C. Again, the  
428 models were tested at different temperatures and still remain valid.  
429 Using the proposed models, it was possible to easily calculate the expected increase in  
430 retention with pressure for any mobile phase temperature. This is particularly useful to

431 estimate the alteration of retention due to pressure, when increasing column length under  
432 UHPLC conditions.  
433 Finally, it was demonstrated that the retention increase with pressure was much more  
434 pronounced for glucagon (MW of ~4 kDa) than triptorelin derivatives (MW of ~1 kDa). To  
435 move one step forward, we are currently working on small proteins under isocratic mode, to  
436 evaluate if  $V_m$  is the only parameter explaining the change in retention with pressure or if  
437 conformational changes could also play a role. For this purpose, our models may be helpful.  
438  
439

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485

486

487

488 **FIGURE CAPTIONS**

489

490 Figure 1: Effect of pressure on the retention of related peptides (1.1 – 1.3 kDa). Column:  
491 Acquity BEH300 C4 (50 x 2.1 mm, 1.7  $\mu\text{m}$ ), mobile phase: water (0.1% TFA) / acetonitrile  
492 (0.1% TFA) : 75 / 25 v/v, flow-rate: 100  $\mu\text{L}/\text{min}$ , temperature: 30  $^{\circ}\text{C}$ , injected volume: 2  $\mu\text{L}$ ,  
493 detection: fluorescence ex.: 280 nm, em.: 360 nm. Peaks: P-868 (1), P-866 (2), P-870 (3),  
494 P-869 (4).

495

496 Figure 2: Pressure induced absolute (A) and relative (B) change in retention of 1.3 kDa  
497 peptide (CH-870) at 30, 60 and 80  $^{\circ}\text{C}$ .

498

499 Figure 3: Pressure induced absolute (A) and relative (B) change in retention of 3.5 kDa  
500 glucagon at 30, 60 and 80  $^{\circ}\text{C}$ .

501

502 Figure 4: Change of molar volume for two decapeptides (diamonds: CH-868, circle: CH-870)  
503 as a function of temperature.

504

505 Figure 5: Predicted and measured retention of decapeptides for 15, 20 and 30 cm column  
506 length. Please note that retention time/column length was considered on the y-axis to make  
507 the data comparable.

508

509 Figure 6:  $R \ln k_0$  values of the four decapeptides as a function of reciprocal temperatures.  
510 The lines represents Eq. (10) fitted on the measured datapoints.



Figure

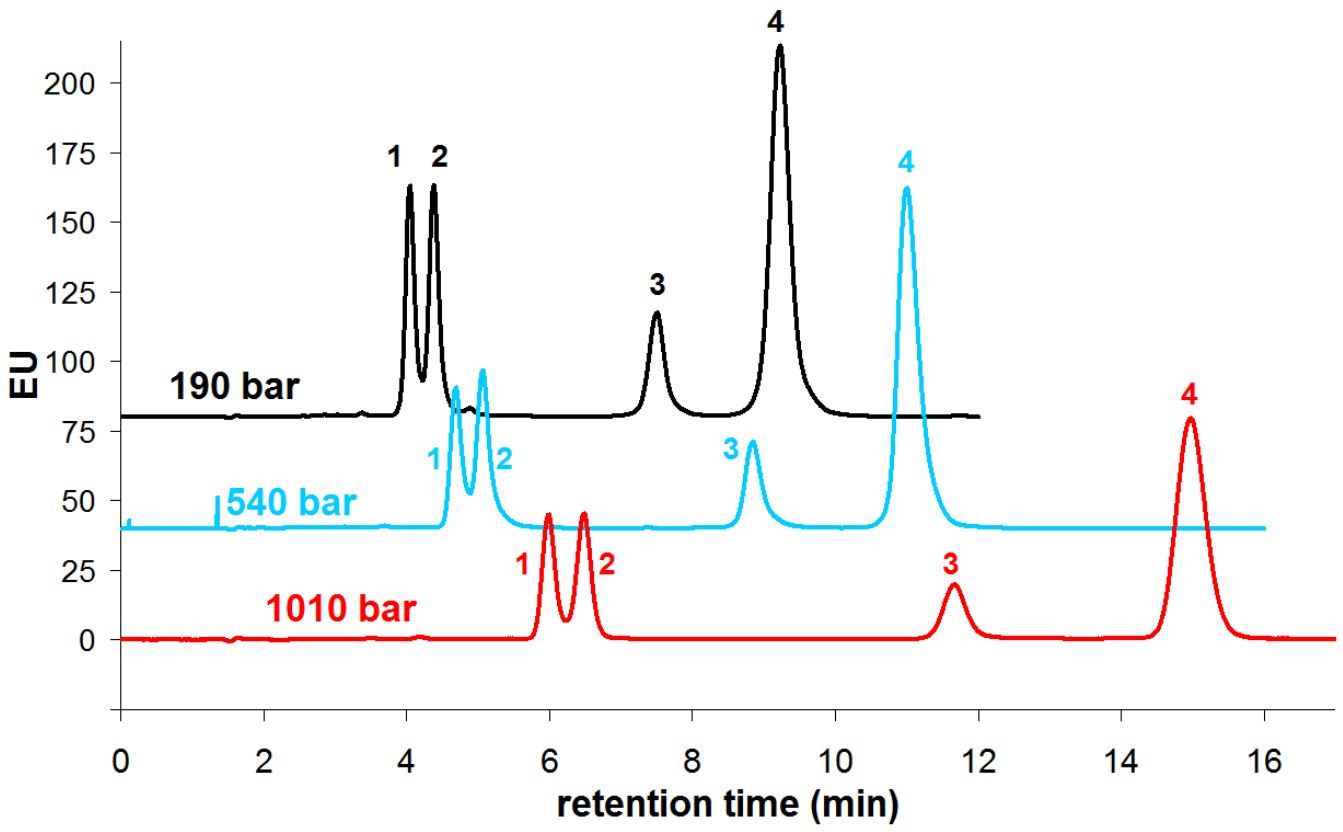


Figure 1

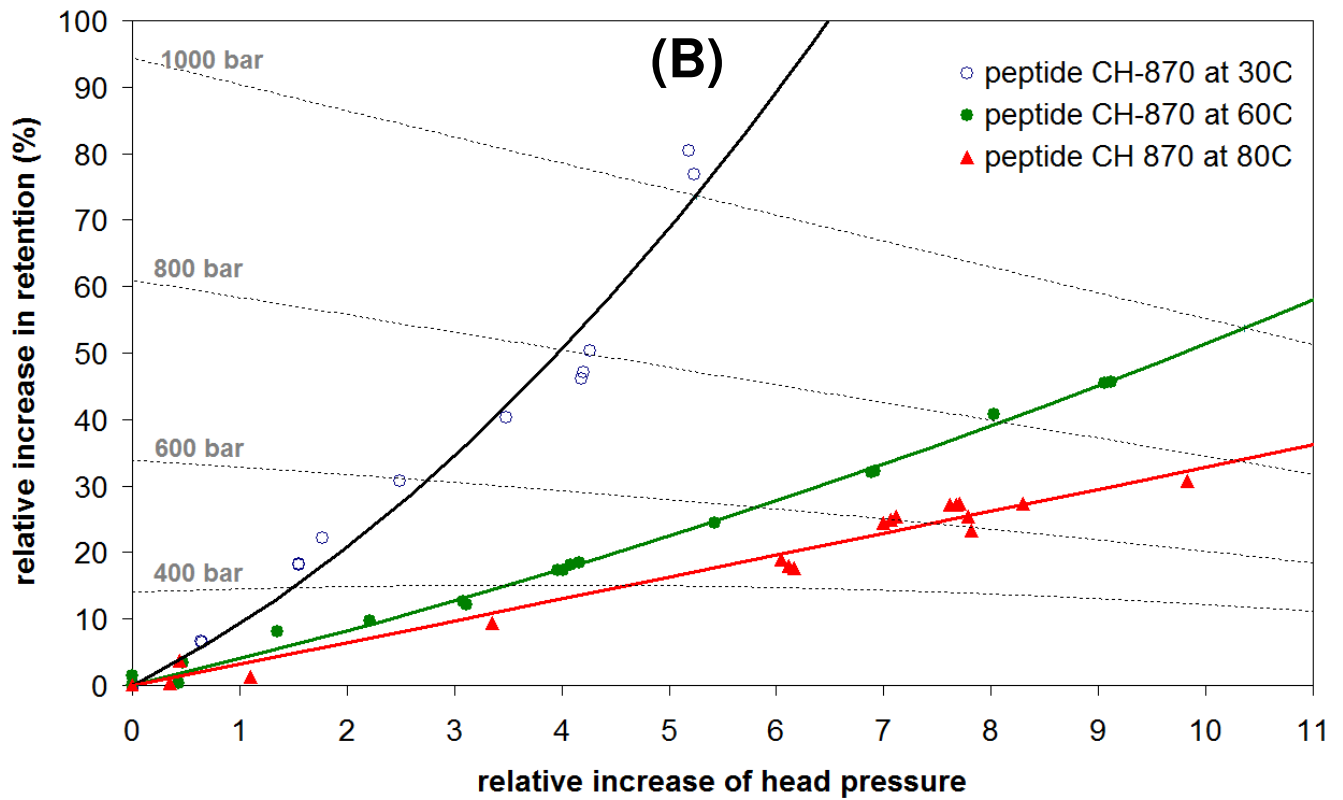
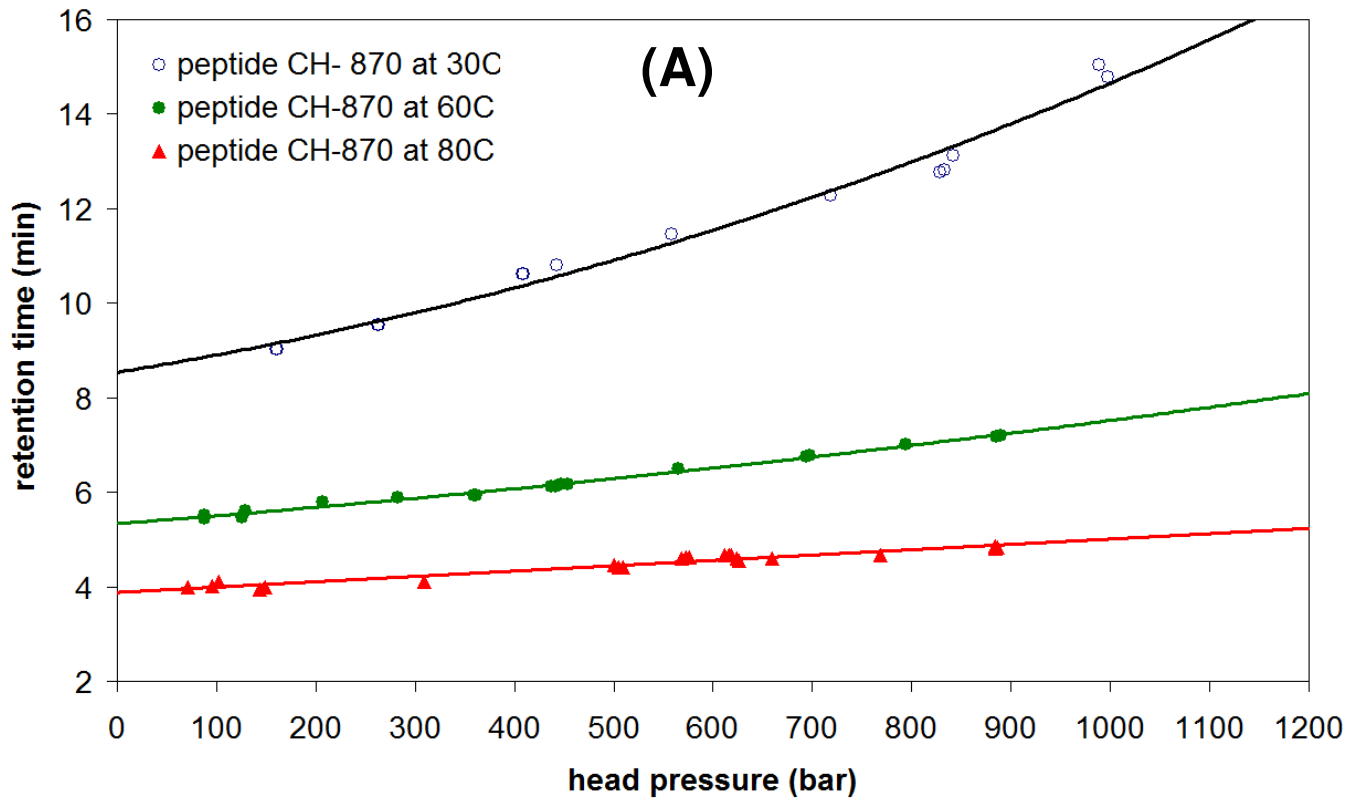


Figure 2

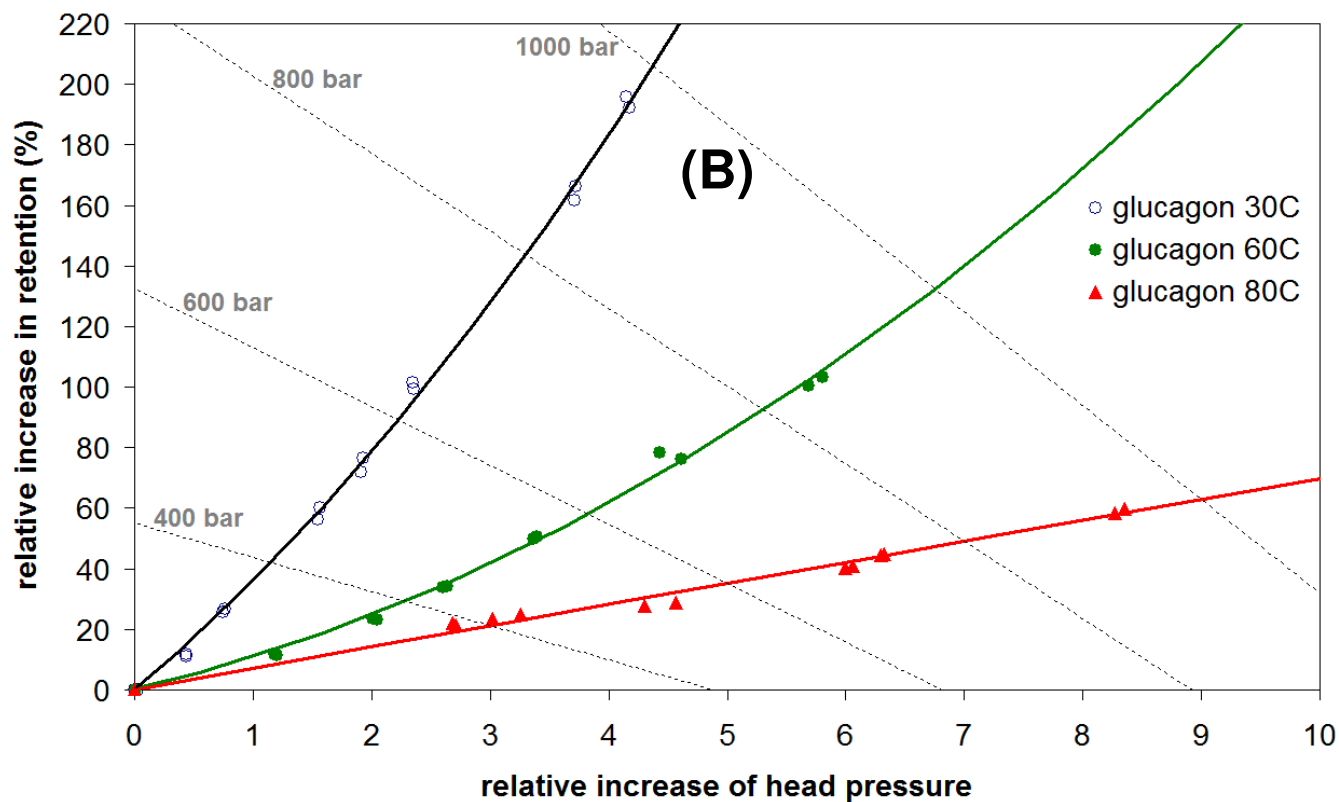
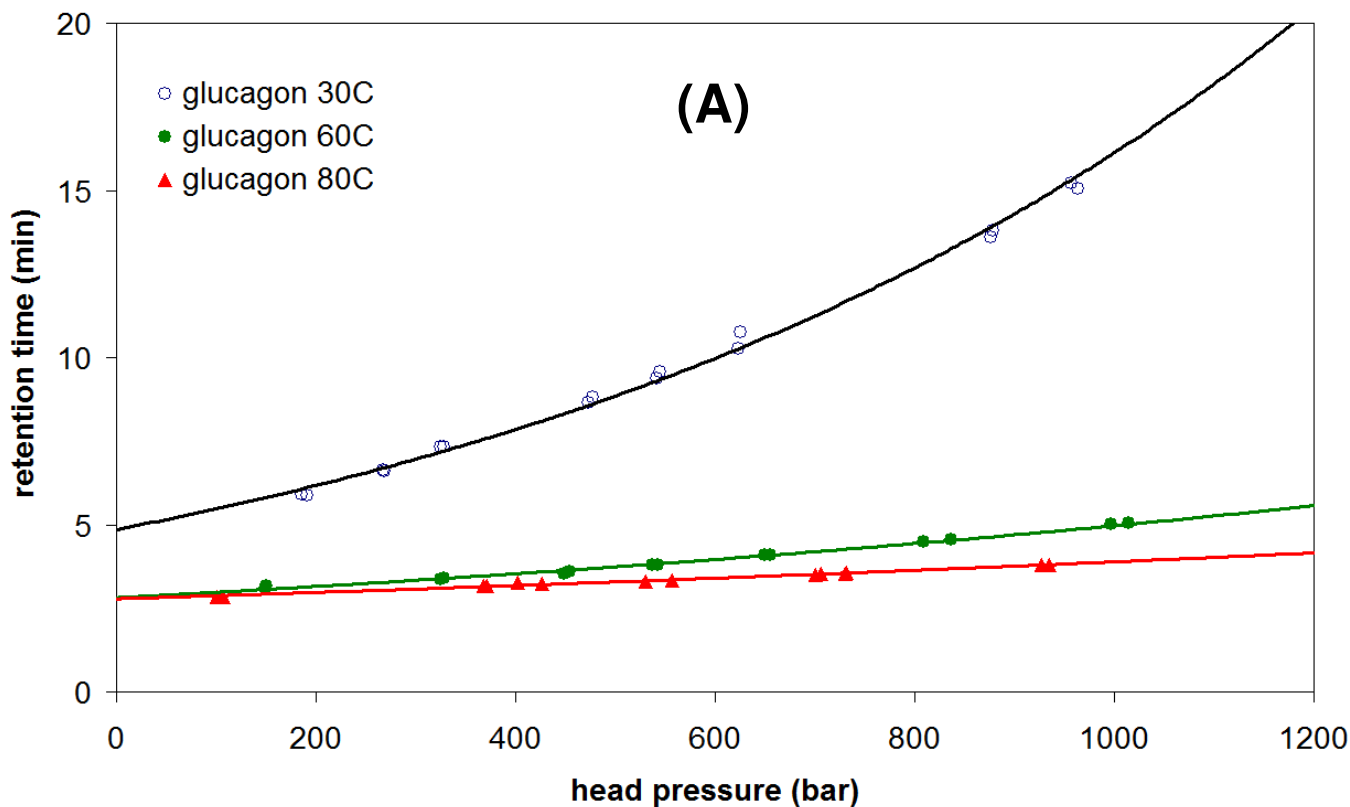


Figure 3

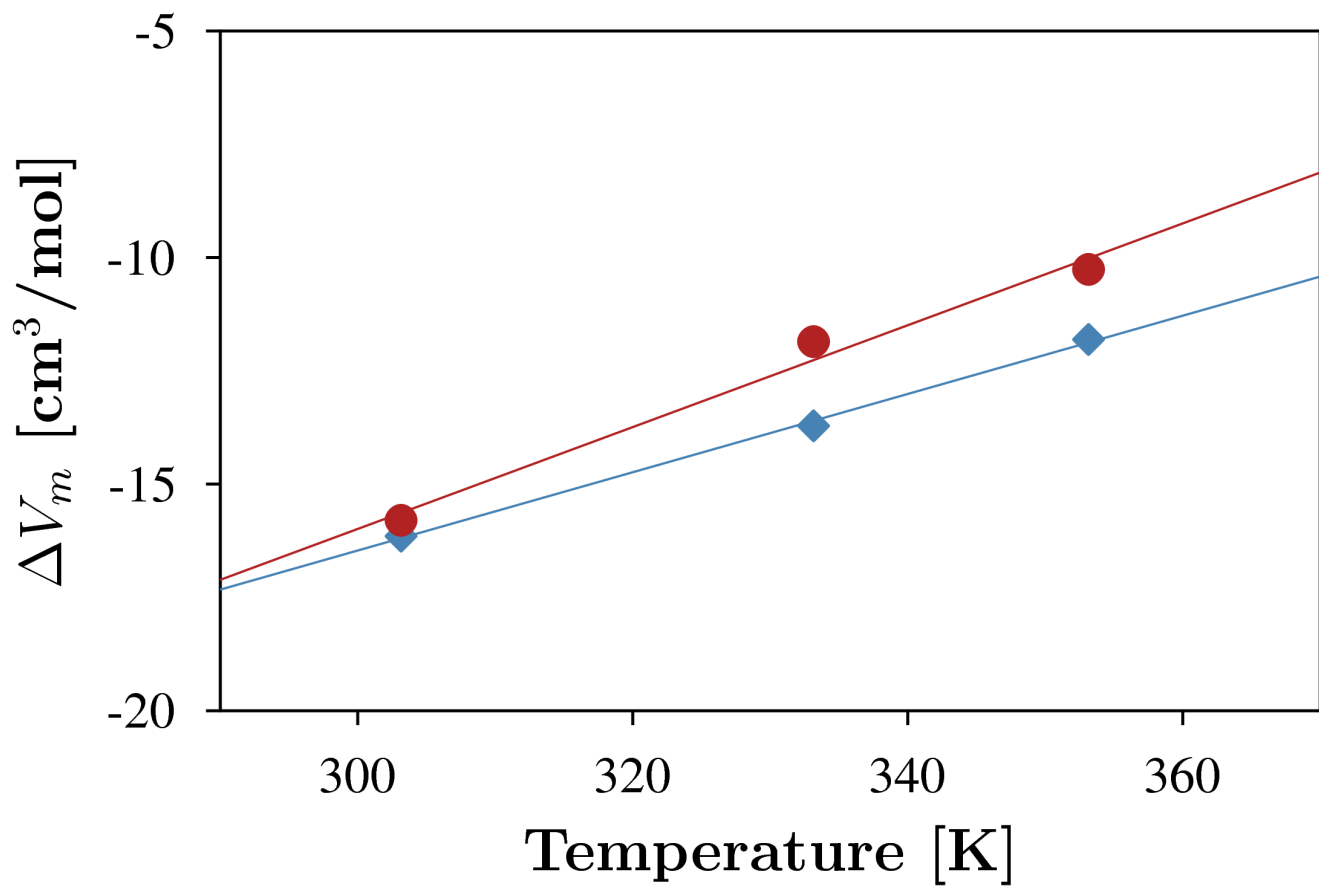


Figure 4.

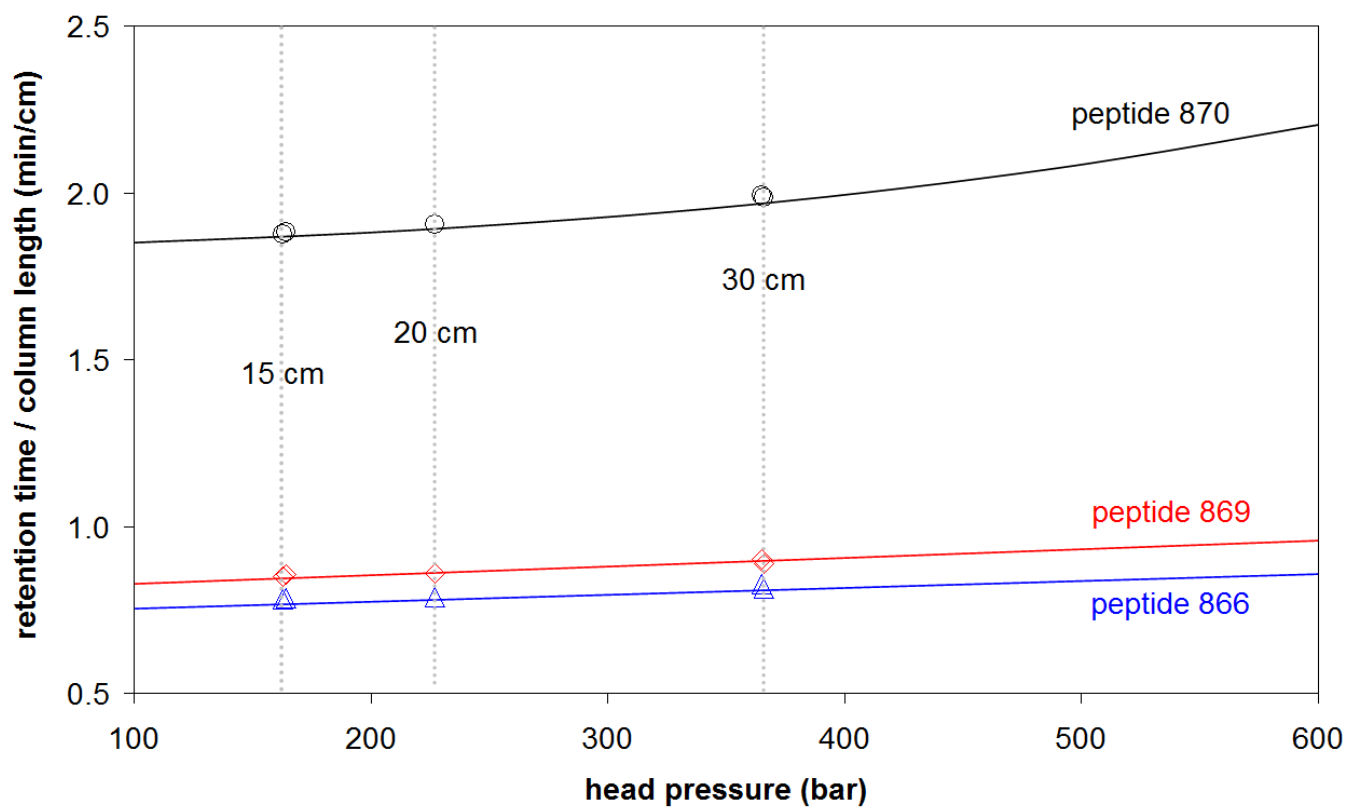


Figure 5

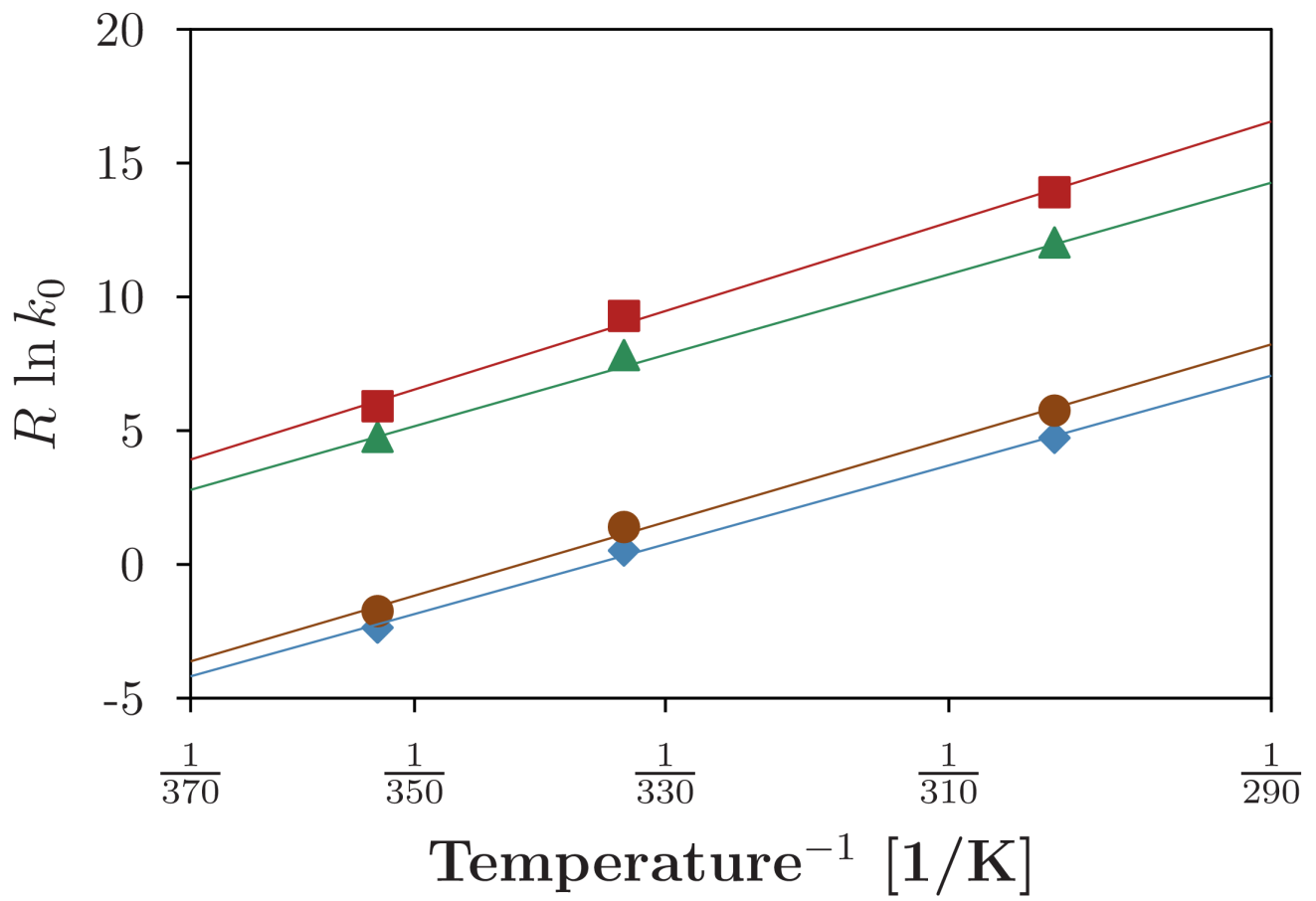


Figure 6

Table 1

$k_0$  and  $\Delta V_m$  ( $\text{cm}^3/\text{mol}$ ) values of peptides determined by fitting Eq. (9) to the retention database

Compound	T (K)	$k_0$	$\Delta V_m$
CH-868	303	1.77	-16.15
	333	1.06	-13.71
	353	0.75	-11.81
CH-866	303	2.00	-15.60
	333	1.18	-12.74
	353	0.81	-11.79
CH-869	303	4.17	-14.97
	333	2.51	-11.87
	353	1.74	-11.57
CH-870	303	5.33	-15.80
	333	3.06	-11.86
	353	2.04	-10.26
Glucagon	303	3.06	-33.15
	333	1.16	-23.95
	353	1.13	-15.91

Table 2

$\Delta E$  (kJ mol<sup>-1</sup>) and  $\Delta S$  (J mol<sup>-1</sup> K<sup>-1</sup>) values of decapeptides determined by fitting Eq. (11) to the retention database

Compound	$\Delta E$	$\Delta S$
CH-868	15.07	-34.95
CH-866	15.89	-36.60
CH-869	15.40	-28.86
CH-870	16.95	-31.91