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# Pairwise preferences in the stable marriage problem 

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#### Abstract

We study the classical, two-sided stable marriage problem under pairwise preferences. In the most general setting, agents are allowed to express their preferences as comparisons of any two of their edges and they also have the right to declare a draw or even withdraw from such a comparison. This freedom is then gradually restricted as we specify six stages of orderedness in the preferences, ending with the classical case of strictly ordered lists.We study all cases occurring when combining the three known notions of stability-weak, strong and super-stabilityunder the assumption that each side of the bipartite market obtains one of the six degrees of orderedness. By designing three polynomial algorithms and two NPcompleteness proofs we determine the complexity of all cases not yet known, and thus give an exact boundary in terms of preference structure between tractable and intractable cases.


JEL codes: C63, C78

Keywords: stable marriage, intransitivity, acyclic preferences, poset, weakly stable matching, strongly stable matching, super stable matching

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# Páronkénti preferenciák a stabil párosítás problémában 

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## ÖSSZEFOGLALÓ

A klasszikus stabil párosítás problémát tanulmányozzuk páronként megadott preferenciákkal. A legáltalánosabb esetben a játékosok úgy közlik a preferenciáikat, hogy tetszőleges két élüket összehasonlítják egymással. Egy ilyen összehasonlítás eredménye lehet döntetlen is, sőt, a játékos azt is kinyilatkoztathatja, hogy nem képes összehasonlítani a két élt. Ezt a nagy szabadságfokot lépésről lépésre csökkentjük, ahogy hat fokozat definiálásával eljutunk a klasszikus, szigorú listás rendezésig. Minden esetet tanulmányozunk a három ismert (gyenge, erős, és szuper) stabilitásdefiníció esetében - feltételezvén, hogy a páros gráf egyik osztálya az egyik, míg a másik osztály egy másik rendezettségi fokozatban adja meg a preferenciáit. Három polinomiális algoritmus és két NP-teljességi bizonyítás segítségével az összes, eddig még ismeretlen eset bonyolultságát meghatározzuk, ezzel pontos határvonalat húzva a kezelhető és a nehéz feladatok közé.

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# Pairwise preferences in the stable marriage problem 


#### Abstract

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We study the classical, two-sided stable marriage problem under pairwise preferences. In the most general setting, agents are allowed to express their preferences as comparisons of any two of their edges and they also have the right to declare a draw or even withdraw from such a comparison. This freedom is then gradually restricted as we specify six stages of orderedness in the preferences, ending with the classical case of strictly ordered lists. We study all cases occurring when combining the three known notions of stability-weak, strong and super-stability-under the assumption that each side of the bipartite market obtains one of the six degrees of orderedness. By designing three polynomial algorithms and two NP-completeness proofs we determine the complexity of all cases not yet known, and thus give an exact boundary in terms of preference structure between tractable and intractable cases.


CCS Concepts: • Mathematics of computing $\rightarrow$ Combinatorial algorithms;
Additional Key Words and Phrases: stable marriage, intransitivity, acyclic preferences, poset, weakly stable matching, strongly stable matching, super stable matching

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## 1 INTRODUCTION

In the 2016 USA Presidential Elections, polls unequivocally reported Democratic presidential nominee Bernie Sanders to be more popular than Republican candidate Donald Trump [39, 40]. However, Sanders was beaten by Hillary Clinton in their own party's primary election cycle, thus the 2016 Democratic National Convention endorsed Clinton to be the Democrat's candidate. In the Presidential Elections, Trump defeated Clinton. This recent example demonstrates well how inconsistent pairwise preferences can be.

Preferences play an essential role in the stable marriage problem and its extensions. In the classical setting [14], each man and woman expresses their preferences on the members of the opposite gender by providing a strictly ordered list. A set of marriages is stable if no pair of agents blocks it. A man and a woman form a blocking pair if they mutually prefer one another to their respective spouses.

Requiring strict preference orders in the stable marriage problem is a strong assumption, which rarely suits real world scenarios [6]. The study of less restrictive preference structures has been

[^1]flourishing [ $3,11,19,23,26,32$ ] for decades. As soon as one allows for ties in preference lists, the definition of a blocking edge needs to be revisited. In the literature, three intuitive definitions are used, each of which defines weakly, strongly and super stable matchings. According to weak stability, a matching is blocked by an edge $u w$ if agents $u$ and $w$ both strictly prefer one another to their partners in the matching. A strongly blocking edge is preferred strictly by one end vertex, whereas it is not strictly worse than the matching edge at the other end vertex. A blocking edge is at least as good as the matching edge for both end vertices in the super stable case. Super stable matchings are strongly stable and strongly stable matchings are weakly stable by definition.

Weak stability is an intuitive notion that is most aligned with the classical blocking edge definition in the model defined by Gale and Shapley [14]. However, reaching strong stability is the goal to achieve in many applications, such as college admission programs. In most countries, students need to submit a strict ordering in the application procedure, but colleges are not able to rank all applicants strictly, hence large ties occur in their lists. According to the equal treatment policy used in Chile and Hungary for example, it may not occur that a student is rejected from a college preferred by him, even though other students with the same score are admitted [7, 35]. Other countries, such as Ireland [9], break ties with lottery, which gives way to a weakly stable solution. Super stable matchings are admittedly less relevant in applications, however, they represent worstcase scenarios if uncertain information is given about the agents' preferences. If two edges are incomparable to each other due to incomplete information derived from the agent, then it is exactly the notion of a super stable matching that guarantees stability, no matter what the agent's true preferences are.

The goal of our present work is to investigate the three cases of stability in the presence of preference structures that are more general than ties.

### 1.1 Related work

It is an empirical fact that cyclic and intransitive preferences often emerge in the broad topic of voting and representation, if the set of voters differs for some pairwise comparisons [2], such as in our earlier example with the polls on the Clinton-Sanders-Trump battle. Preference aggregation is another field that often yields intransitive group preferences, as the famous Condorcet-paradox [10] also demonstrates.

It might be less known that nontrivial preference structures naturally emerge in the preferences of individuals as well. The study of cyclic and intransitive preferences of a person has been inspiring scientists from a wide range of fields for decades. Blavatsky [8] demonstrated that in choice situations under risk, the overwhelming majority of individuals expresses intransitive choice and violation of standard consistency requirements. Humphrey [17] found that cyclic preferences persist even when the choice triple is repeated for the second time. Using MRI scanners, neuroscientists identified brain regions encoding 'local desirability', which led to clear, systematic and predictable intransitive choices of the participants of the experiment [25]. Cyclic and intransitive preferences occur naturally in multi-attribute comparisons [12,34]. May [34] studied the choice on a prospective partner and found that a significant portion of the participants expressed the same cyclic preference relations if candidates lacking exactly one of the three properties intelligence, looks, and wealth were offered at pairwise comparisons. In this paper, we investigate the stable marriage problem equipped with the ubiquitous and well-studied preference structures of pairwise preferences that might be intransitive or cyclic.

Regarding the stable marriage problem, all three notions of stability have been thoroughly investigated if preferences are given in the form of a partially ordered set, a list with ties, or a strict list [14, 19, 23, 26, 32, 33]. Weakly stable matchings always exist and can be found in polynomial time [32], and a super stable matching or a proof for its non-existence can also be
produced in polynomial time [19, 33]. The most sophisticated ideas are needed in the case of strong stability, which turned out to be solvable in polynomial time if both sides have tied preferences [19]. Irving [19] remarked that "Algorithms that we have described can easily be extended to the more general problem in which each person's preferences are expressed as a partial order. This merely involves interpreting the 'head' of each person's (current) poset as the set of source nodes, and the 'tail' as the set of sink nodes, in the corresponding directed acyclic graph." Together with his coauthors, he refuted this statement for strongly stable matchings and showed that exchanging ties for posets actually makes the strongly stable marriage problem NP-complete [23]. We show in this paper that the intermediate case, namely when one side has ties, while the other side has posets, is solvable in polynomial time.

Beyond posets, studies on the stable marriage problem with general preferences occur sporadically. These we include in Table 1 to give a structured overview on them. Intransitive, but acyclic preference lists were permitted by Abraham [1], who connects the stable roommates problem with the maximum size weakly stable marriage problem with intransitive, acyclic preference lists in order to derive a structural perspective. Aziz et al. [3] discussed the stable marriage problem under uncertain pairwise preferences. They also considered the case of certain, but cyclic preferences and showed that deciding whether a weakly stable matching exists is NP-complete if both sides can have cycles in their preferences. Strongly and super stable matchings were discussed by Farczadi et al. [11]. Throughout their paper they assumed that one side has strict preferences, and proved that finding a strongly or a super stable matching (or proving that none exists) can be done in polynomial time if the other side has cyclic lists, where cycles of length at least 3 are permitted to occur, but the problems become NP-complete as soon as cycles of length 2 are also allowed.

### 1.2 Our contribution

This paper aims to provide a coherent framework for the complexity of the stable marriage problem under various preference structures. We consider the three known notions of stability: weak, strong and super. In our analysis we distinguish six stages of entropy in the preference lists; strict lists, lists with ties, posets, acyclic pairwise preferences, asymmetric pairwise preferences and arbitrary pairwise preferences. All of these have been defined in earlier papers, along with some results on them. Here we collect and organize these known results in all three notions of stability, considering six cases of orderedness for each side of the bipartite graph. Table 1 summarizes these results. Rows and columns distinguish between preference relations considered on the two sides of the graph. The cell itself shows the complexity class of determining whether the specified problem admits a stable matching.

Each of the three tables contained unfilled cells, i.e. cases with unknown complexity so far. These are denoted by colored cells in Table 1. We fill all gaps, providing two NP-completeness proofs and three polynomial time algorithms. Interestingly, the three tables have the border between polynomial time and NP-complete cases at very different places. As a byproduct of our new proofs, we are able to answer all analogous complexity questions in the non-bipartite stable roommates problem as well (see Table 2 in Section 6).

Structure of the paper. We define the problem variants formally in Section 2. Weak, strong and super stable matchings are then discussed in Sections 3, 4 and 5, respectively. In Section 6, we focus on non-bipartite instances, and then conclude with an open problem in Section 7.

## 2 PRELIMINARIES

In the stable marriage problem we are given a not necessarily complete bipartite graph $G=$ ( $U \cup W, E$ ), where vertices in $U$ represent men, vertices in $W$ represent women, and edges mark the acceptable relationships between them. Each person $v \in U \cup W$ specifies a set $\mathcal{R}_{v}$ of pairwise

| WEAK | strict | ties | poset | acyclic | asymmetric or arbitrary |
| :--- | :---: | :---: | :---: | :---: | :---: |
| strict | $O(m)[14]$ | $O(m)[19]$ | $O(m)[32]$ | $O(m)$ | NPC |
| ties |  | $O(m)[19]$ | $O(m)[32]$ | $O(m)$ | NPC |
| poset |  |  | $O(m)[32]$ | $O(m)$ | NPC |
| acyclic |  |  |  | $O(m)$ | NPC |
| asymmetric or arbitrary |  |  |  |  | NPC [3] |


| STRONG | strict | ties | poset | acyclic | asymmetric | arbitrary |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
| strict | $O(m)[14]$ | $O(m)[19,26]$ | $O(m)[11]$ | $O(m)[11]$ | $O(m)[11]$ | NPC [11] |
| ties |  | $O(n m)[19,26]$ | $O\left(m^{2}\right)$ | $O\left(m^{2}\right)$ | $O\left(m^{2}\right)$ | NPC [11] |
| poset |  |  | NPC [23] | NPC [23] | NPC [23] | NPC [23] |
| acyclic |  |  |  | NPC [23] | NPC [23] | NPC [23] |
| asymmetric |  |  |  |  | NPC [23] | NPC [23] |
| arbitrary |  |  |  |  |  | NPC [23] |


| SUPER | strict | ties | poset | acyclic | asymm. | arbitrary |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
| strict | $O(m)[14]$ | $O(m)[19]$ | $O(m)[19,33]$ | $O(m)[11]$ | $O(m)[11]$ | NPC [11] |
| ties |  | $O(m)[19]$ | $O(m)[19,33]$ | $O\left(m^{2}\right)$ | $O\left(m^{2}\right)$ | NPC [11] |
| poset |  |  | $O(m)[19,33]$ | $O\left(m^{2}\right)$ | $O\left(m^{2}\right)$ | NPC [11] |
| acyclic |  |  |  | NPC | NPC | NPC [11] |
| asymmetric |  |  |  |  | NPC | $\mathrm{NPC}[11]$ |
| arbitrary |  |  |  |  | $\mathrm{NPC}[11]$ |  |

Table 1. The complexity tables for weak, strong and super-stability. For the sake of conciseness, NPcompleteness is shortened to NPC. The number of agents in the input is denoted by $n$, while $m$ stands for the number of acceptable pairs. Each blank cell under the diagonal of the table represents the same case-and thus has the same complexity-as the cell mirrored to the diagonal. All of our positive results also deliver a stable matching or a proof for its nonexistence.
comparisons on the vertices adjacent to them. These comparisons as ordered pairs define four possible relations between two vertices $a$ and $b$ in the neighborhood of $v$.
(1) $a$ is preferred to $b$, while $b$ is not preferred to $a$ by $v: a \prec_{v} b$;
(2) $a$ is not preferred to $b$, while $b$ is preferred to $a$ by $v: a>_{v} b$;
(3) $a$ is not preferred to $b$, neither is $b$ preferred to $a$ by $v: a \|_{v} b$;
(4) $a$ is preferred to $b$, so is $b$ preferred to $a$ by $v: a \sim_{v} b$.

In words, the first two relations express that an agent $v$ prefers one agent strictly to the other. The third option is interpreted as incomparability, or a not yet known relation between the two agents. The last relation indicates that $v$ is certain that the two options are equally good. For example, if $v$ is a sports sponsor considering to offer a contract to exactly one of players $a$ and $b$, then $v$ 's preferences are described by these four relations in the following scenarios: $a$ has beaten $b, b$ has beaten $a, a$ and $b$ have not yet played against each other, and finally, $a$ and $b$ have played a draw. A more precise mathematical interpretation of the four relations is based on the set $\mathcal{R}_{v}$ of pairwise comparisons: the first one is equivalent to $(a, b) \in \mathcal{R}_{v}$, but $(b, a) \notin \mathcal{R}_{v}$, the second one is exactly the other way round: $(a, b) \notin \mathcal{R}_{v}$, but $(b, a) \in \mathcal{R}_{v}$, the third one indicates that $(a, b) \notin \mathcal{R}_{v}$, and $(b, a) \notin \mathcal{R}_{v}$, and finally, the last one is $(a, b) \in \mathcal{R}_{v}$, and $(b, a) \in \mathcal{R}_{v}$.

We say that edge $v a$ is strictly preferred to edge $v b$ if $a<_{v} b$. If $a<_{v} b$ or $a \|_{v} b$, then $b$ is not preferred to $a$. This happens if and only if $(b, a) \notin \mathcal{R}_{v}$. For our previous example with players $a$ and $b$, this relation delivers the information that either $a$ has beaten $b$ or they have not yet played. With this amount of somewhat uncertain information, the sports sponsor has no reason to choose $b$, but choosing $a$ also involves risk, because it might still be the case that the two players have not played against each other yet, and $b$ could beat $a$. For two out of the three notions of stability, we will define blocking based on this risk. Another choice would be to replace $a \|_{v} b$ by $a \sim_{v} b$ in the definition above, which then would be equivalent to $(a, b) \in \mathcal{R}_{v}$. While it would lead to an equally correct model, we chose incomparability over being equally good consciously. Some early papers $[19,20]$ do not distinguish between two agents being incomparable and equally good, while some others in the more recent literature $[3,11]$ motivate strong and super-stability with uncertain information. Our definition fits the more recent framework.

The partner of vertex $v$ in matching $M$ is denoted by $M(v)$. The neighborhood of $v$ in graph $G$ is denoted by $\mathcal{N}_{G}(v)$ and it consists of all vertices that are adjacent to $v$ in $G$. Analogously, $\mathcal{N}_{G}(X)$ denotes the neighborhood of vertex set $X \subseteq V$ and it consists of all vertices that are adjacent to at least one vertex $x \in X$ in $G$. To ease notation, we introduce the empty set as a possible partner to each vertex, symbolizing the vertex remaining unmatched in a matching $M(M(v)=\emptyset)$. As usual, being matched to any acceptable vertex is preferred to not being matched at all: $a<_{v} \emptyset$ for every $a \in \mathcal{N}(v)$. Edges to unacceptable partners do not exist, thus these are not in a pairwise relation to each other or to edges incident to $v$.

We differentiate six degrees of preference orderedness in our study.
(1) The strictest, classical two-sided model [14] requires each vertex to rank all of its neighbors in a strict order of preference. For each vertex, this translates to a transitive, antisymmetric and complete set of pairwise relations $(a, b) \in \mathcal{R}_{v}$ on all adjacent vertices of $v$.
(2) This model has been relaxed very early to lists admitting ties [19]. The pairwise preferences of vertex $v$ form a preference list with ties if the neighbors of $v$ can be clustered into some sets $N_{1}, N_{2}, \ldots, N_{k}$ so that vertices in the same set are incomparable, while for any two vertices in different sets, the vertex in the set with the lower index is strictly preferred to the other one.
(3) Following the traditions [13, 20, 23, 32], the third degree of orderedness we define is when preferences are expressed as partially ordered sets (posets). Any set of antisymmetric and transitive pairwise relations $(a, b) \in \mathcal{R}_{v}$ by definition forms a poset.
(4) By dropping transitivity of $(a, b) \in \mathcal{R}_{v}$, but still keeping the structure cycle-free, we arrive to acyclic preferences [1]. This category allows for example $a \|_{v} c$, if $a<_{v} b<_{v} c$, but it excludes $a \sim_{v} c$ and $a>_{v} c$.
(5) Asymmetric preferences [11] may contain cycles of length at least 3 . This is equivalent to dropping acyclicity from the previous cluster, but still prohibiting the indifference relation $a \sim_{v} b$, which is essentially a 2 -cycle in the form $(a, b) \in \mathcal{R}_{v},(b, a) \in \mathcal{R}_{v}$.
(6) Finally, an arbitrary set of pairwise preferences can also be allowed [3, 11].

A matching is stable if it admits no blocking edge. For strict preferences, a blocking edge was defined in the seminal paper of Gale and Shapley [14]: an edge $u v \notin M$ blocks matching $M$ if both $u$ and $v$ prefer each other to their partner in $M$ or they are unmatched. Already when extending this notion to preference lists with ties, one needs to specify how to deal with incomparability. Irving [19] defined three notions of stability. We extend them to pairwise preferences in the coming three sections. We omit the adjectives weakly, strongly, and super wherever there is no ambiguity about the type of stability in question.

We define the NP-complete [4] satisfiability problem (2,2)-E3-sAT here, because it will be used in the proofs of Theorems 3.4 and 5.5 later. Its input is a Boolean formula $B$ in conjunctive normal form, in which each clause comprises exactly 3 literals and each variable appears exactly twice in positive and exactly twice in negated form. The decision question is whether there exists a truth assignment that satisfies $B$.

## 3 WEAK STABILITY

In weak stability, an edge outside of $M$ blocks $M$ if it is strictly preferred to the matching edge by both of its end vertices. From this definition follows that $w \sim_{u} w^{\prime}$ and $w \|_{u} w^{\prime}$ are exchangeable in weak stability, because blocking occurs only if the non-matching edge is strictly preferred to the matching edges at both end vertices. Therefore, an instance with arbitrary pairwise preferences can be assumed to be asymmetric.

Definition 3.1 (blocking edge for weak stability). Edge $u w$ blocks $M$, if
(1) $u w \notin M$;
(2) $w \prec_{u} M(u)$;
(3) $u \prec_{w} M(w)$.

For weak stability, preference structures up to posets have been investigated, see Table 1. A stable solution is guaranteed to exist in these cases [19, 32]. Here we extend this result to acyclic lists, and complement it with a hardness proof for all cases where asymmetric lists appear, even if they do so on one side only.

Theorem 3.2. Any instance of the stable marriage problem with acyclic pairwise preferences for all vertices admits a weakly stable matching, and there is a polynomial time algorithm to determine such a matching.

Proof. We utilize a widely used argument [19] to show this. A linear extension of an acyclic set $\mathcal{R}$ of pairwise relations on a finite set $V=\left(v_{1}, v_{2}, \ldots, v_{n}\right)$ of $n$ elements is a total ordering $\pi=\pi_{1}, \pi_{2}, \ldots, \pi_{n}$ of $V$ such that $\pi_{i}<\pi_{j}$ whenever $v_{i}<v_{j}$ appears in $\mathcal{R}$. For acyclic relations $\mathcal{R}_{v}$, a linear extension $\mathcal{R}_{v}^{\prime}$ of $\mathcal{R}_{v}$ exists. The extended instance with linear preferences is guaranteed to admit a stable matching [14]. Compared to $\mathcal{R}_{v}$, relations in $\mathcal{R}_{v}^{\prime}$ impose more constraints on stability, therefore, they can only restrict the original set of weakly stable solutions. If both sides have acyclic lists, a stable matching is thus guaranteed to exist and a single run of the Gale-Shapley algorithm on the extended instance delivers one.

The time complexity of the Gale-Shapley algorithm in the instance with extended preferences is $O(m)$. Constructing a linear extension to a set of acyclic relations at each vertex is part of a pre-processing phase, as in [32]. The complexity of this phase heavily depends on the format of $\mathcal{R}_{v}^{\prime}$ in the input, and $O\left(n^{3}\right)$ serves as an upper bound for the whole graph [24].

Stable matchings are not guaranteed to exist as soon as a cycle appears in the preferences, as Example 3.3 demonstrates. Theorem 3.4 shows that the decision problem is in fact hard from that point on.

Example 3.3. No stable matching can be found in the following instance with strict lists on one side and asymmetric lists on the other side. There are three men $u_{1}, u_{2}, u_{3}$ adjacent to one woman $w$. The woman's pairwise preferences are cyclic: $u_{1} \prec u_{2}, u_{2} \prec u_{3}, u_{3} \prec u_{1}$. Any stable matching $M$ must consist of a single edge. Since the men's preferences are identical, we can assume that $M=\left\{u_{1} w\right\}$ without loss of generality. Then $u_{3} w$ blocks $M$.

Theorem 3.4. If one side has strict lists, while the other side has asymmetric pairwise preferences, then determining whether a weakly stable matching exists is NP-complete, even if each agent finds at most four other agents acceptable.

Proof. The NP-complete problem we reduce to our problem is (2,2)-E3-sat [4], defined in Section 2. When constructing graph $G$ to a given Boolean formula $B$, we keep track of the three literals in each clause and the two positive and two negated appearances of each variable. Each appearance is represented by an interconnecting edge, running between the corresponding variable and clause gadget. The graphs underlying our gadgets resemble gadgets in earlier hardness proofs [5], but the preferences are designed specifically for our problem. Figure 1 illustrates our construction, in particular, the preference relations in it.

| $t:$ | strict list: $x<\bar{x}$ |
| :--- | :--- |
| $f:$ | strict list: $\bar{x} \prec x$ |
| $x:$ | $f<t, t<u, u \prec f$ |
| $\bar{x}:$ | $t<f, t<u, u<f$ |


| $u_{1}:$ | strict list: $w_{3}<w_{2}<x / \bar{x}<w_{1}$ |
| :--- | :--- |
| $u_{2}:$ | strict list: $w_{3}<w_{2}<x / \bar{x}<w_{1}$ |
| $u_{3}:$ | strict list: $w_{3}<w_{2}<x / \bar{x}<w_{1}$ |
| $w_{1}:$ | $\emptyset$ |
| $w_{2}:$ | $\emptyset$ |
| $w_{3}:$ | $\emptyset$ |



Fig. 1. A variable gadget to the left and a clause gadget to the right. Strict lists are to be found at $t, f$, and $u$-vertices, while the rest of the vertices have asymmetric relations. The elements $u$ and $x / \bar{x}$ in the lists stand for the corresponding $u_{i}$ and $x$ or $\bar{x}$ vertices, respectively. Interconnecting edges are dashed. The exact clause is expressed by the connections established by these interconnecting edges. The arrows point to the strictly preferred edge, while dotted lines denote incomparability.

To each variable $x$ in $B$, we create 4 vertices: $t, \bar{x}, f$, and $x$. To each clause in $B$, we create 6 vertices: $u_{1}, u_{2}, u_{3}, w_{1}, w_{2}$, and $w_{3}$.

In each variable gadget, $x$ symbolizes the two positive occurrences of the variable, while $\bar{x}$ stands for the two negated occurrences. The edge set of each variable gadget comprises a 4-cycle $t, \bar{x}, f, x$ and four interconnecting edges, two of which are incident to $x$, and the remaining two are adjacent to $\bar{x}$. These four interconnecting edges are responsible for the communication between clause and variable gadgets and they connect the vertices $x$ and $\bar{x}$ to $u$-vertices in clause gadgets.

The clause gadget consists of a complete bipartite graph on six vertices $u_{1}, u_{2}, u_{3}, w_{1}, w_{2}$, and $w_{3}$, where each vertex on the $u$-side is equipped with exactly one interconnecting edge. This side represents the three literals in the clause. Each interconnecting edge runs from the $u$-vertex to
vertex $x$ or $\bar{x}$ in the variable gadget of the literal. If the literal is positive, then the other end vertex of this edge is $x$ in the corresponding variable gadget, otherwise it is $\bar{x}$.

The two sides of the bipartite graph will be formed by vertices $t, f, u_{1}, u_{2}, u_{3}$ from all gadgets on one side, and $x, \bar{x}, w_{1}, w_{2}, w_{3}$ from all gadgets on the other side. Edges inside variable gadgets connect vertices $t, f$ with vertices $x, \bar{x}$, edges inside clause gadgets connect vertices $u_{1}, u_{2}, u_{3}$ with vertices $w_{1}, w_{2}, w_{3}$, and finally, interconnecting edges connect vertices $u_{1}, u_{2}, u_{3}$ with vertices $x, \bar{x}$. This guarantees the bipartite property of the constructed graph.
Claim 1. If there is a weakly stable matching $M$ in $G$, then there is a truth assignment that satisfies $B$.
Proof. We first show that $M$ does not contain any interconnecting edge by proving that all stable matchings include either $\{t x, f \bar{x}\}$ or $\{f x, t \bar{x}\}$, for each variable gadget. Two cases may occur.

- If $t x \in M$, then $f \bar{x} \in M$, otherwise $f x$ blocks $M$.
- If $t x \notin M$, then $f x \in M$, otherwise $t x$ blocks $M$. Furthermore, $t \bar{x} \in M$, otherwise $t \bar{x}$ blocks $M$.

We know that all weakly stable matchings are inclusionwise maximal by definition. From this fact and our arguments above follows that $M$ restricted to an arbitrary clause gadget must be a perfect matching. The preferences in the clause gadgets are set so that out of the three interconnecting edges running to a clause gadget, exactly one is strictly preferred to $M$ at the clause gadget, namely the edge incident to vertex $u_{i}$ matched to $w_{1}$. We know that $M$ is stable, therefore, this interconnecting edge $x u_{i}$ or $\bar{x} u_{i}$ may not be strictly preferred to the matching edge at its other end vertex $x$ or $\bar{x}$. This is only possible if the variable represented by the vertex $u_{i}$ is set to

- true if the literal was positive in the clause to which $u_{i}$ belongs, and the end vertex above was $x$;
- false if the literal was in negated form in the clause to which $u_{i}$ belongs, and the end vertex above was $\bar{x}$.
Thus, we have found a satisfied literal in each clause.
Claim 2. If there is a truth assignment that satisfies B, then there is a stable matching $M$ in $G$.
Proof. In each variable gadget that belongs to a true variable, $\{t x, f \bar{x}\}$ is chosen, whereas all gadgets corresponding to a false variable contribute the edges $\{f x, t \bar{x}\}$. In each clause, there is at least one true literal. We match the vertex representing the appearance of this literal to $w_{1}$ and match $w_{2}$ and $w_{3}$ arbitrarily.

No edge inside of a gadget blocks $M$, because it is a perfect matching inside each gadget and the preferences either form a cycle (variable gadget), or one side is indifferent (clause gadget). An interconnecting edge is strictly preferred to $M$ at the clause gadget if and only if it corresponds to the chosen literal satisfying the clause. Our rules set exactly this literal to be satisfied in the variable gadget, i.e. this literal is matched to $t$, which is strictly preferred to the corresponding interconnecting edge.

With this, we have completed our hardness proof.

## 4 STRONG STABILITY

In strong stability, an edge outside of $M$ blocks $M$ if it is strictly preferred to the matching edge by one of its end vertices, while the other end vertex does not prefer its matching edge to it.

Definition 4.1 (blocking edge for strong stability). Edge $u w$ blocks $M$, if
(1) $u w \notin M$;
(1) $u w \notin M$;
(2) $w<_{u} M(u)$ or $w \|_{u} M(u)$;
or
(2) $w<_{u} M(u)$;
(3) $u<_{w} M(w)$,
(3) $u<_{w} M(w)$ or $u \|_{w} M(w)$.

The largest set of relevant publications has appeared on strong stability, yet gaps were present in the complexity table, see Table 1. In this section we present a polynomial algorithm that is valid in all cases not yet resolved. We assume men to have preference lists with ties, and women to have asymmetric relations. Our algorithm returns a strongly stable matching or a proof for its nonexistence. It can be seen as an extended version of Irving's algorithm for strongly stable matchings in instances with ties on both sides [19]. Our contribution is a sophisticated rejection routine, which is necessary here, because of the lack of transitivity of preferences on the women's side. The algorithm in [11] solves the problem for strict lists on the men's side, and it is much simpler than ours. It was designed for super stable matchings, but strong and super stability do not differ if one side has strict lists. As soon as we allow ties instead of strict lists on the men's side, the two sets of matchings differ, and thus it will not be sufficient to apply an algorithm designed for super-stability.

### 4.1 Our algorithm

Intuitively, our algorithm alternates between two phases, both of which iteratively eliminate edges that cannot occur in a strongly stable matching. In the first phase, Gale-Shapley proposals and rejections happen, while the second phase focuses on finding a vertex set violating the Hall condition in a specified subgraph. Finally, if no edge can be eliminated any more, then we show that every maximum matching is either stable or it is a proof for the non-existence of stable matchings. Algorithm 1 and it subroutine Algorithm 2 below provide a pseudocode.

The second phase of the algorithm relies on the notion of the critical set in a bipartite graph, also utilized in [19], which we sketch here. For an exhaustive description we refer the reader to [30]. The well-known Hall-condition [16] states that there is a matching covering the entire vertex set $U$ if and only if for each $X \subseteq U,|\mathcal{N}(X)| \geq|X|$. Intuitively, the reason for no matching being able to cover all the vertices in $U$ is that a subset $X$ of them has too few neighbors in $W$ to cover $X$. The difference $\delta(X)=|X|-|N(X)|$ is called the deficiency of $X$. It is straightforward that for any $X \subseteq U$, at least $\delta(X)$ vertices in $X$ cannot be covered by any matching in $G$, if $\delta(X)>0$. Let $\delta(G)$ denote the maximum deficiency over all subsets of $U$. Since $\delta(\emptyset)=0$, we know that $\delta(G) \geq 0$. Moreover, it can be shown that the size of a maximum matching is $v(G)=|U|-\delta(G)$. If we let $Z_{1}, Z_{2}$ be two arbitrary subsets of $U$ realizing the maximum deficiency, then $Z_{1} \cap Z_{2}$ has maximum deficiency as well (see [30, Lemmas 1.3.2 and 1.3.3]). Therefore, the intersection of all maximum-deficiency subsets of $U$ is the unique set with maximum deficiency with the following properties: it has the smallest cardinality and it is contained in all other subsets with maximum deficiency. This set is called the critical set of $G$. Last but not least, it is computationally easy to determine the critical set, since for any maximum matching $M$ in $G$, the critical set consists of vertices in $U$ not covered by $M$ and vertices in $U$ reachable from the uncovered ones via an alternating path.

We are now ready to state our theorem on our algorithm.
Theorem 4.2. If one side has tied preferences, while the other side has asymmetric pairwise preferences, then deciding whether the instance admits a strongly stable matching (and outputting one, if so) can be done in $O\left(m^{2}\right)$ time.

Initialization. We assume men to have tied lists, while women provide asymmetric pairwise preferences. For the clarity of our proofs, we add a dummy partner $w_{u}$ to the bottom of the list of each man $u$, where $w_{u}$ is not acceptable to any other man (line 1 ). We call the modified instance $I^{\prime}$. This standard technical modification is to ensure that all men are matched in all stable matchings. At start, all edges are inactive (line 2). The possible states of an edge and the transitions between them are illustrated in Figure 2.

```
Algorithm 1 Strongly stable matching with ties and asymmetric relations
Input: \(\mathcal{I}=\left(U, W, E, \mathcal{R}_{U}, \mathcal{R}_{W}\right) ; \mathcal{R}_{U}\) : lists with ties, \(\mathcal{R}_{W}\) : asymmetric.
    INITIALIZATION
```

    for each \(u \in U\) add an extra woman \(w_{u}\) at the end of his list; \(w_{u}\) is only acceptable for \(u\)
    set all edges to be inactive
    PHASE 1
    while there exists a man with no active edge do
        Strong_reject()
        propose along all edges of each \(u\) with no active edge in the next tie on his list
        for each new proposal edge \(u w\) do
            reject all edges \(u^{\prime} w\) such that \(u<_{w} u^{\prime}\)
        end for
    end while
    PHASE 2
let $G_{A}$ be the graph of active edges with $V\left(G_{A}\right)=U \cup W$
let $U^{\prime} \subseteq U$ be the critical set of men with respect to $G_{A}$
if $U^{\prime} \neq \emptyset$ then
all active edges of each $u \in U^{\prime}$ are rejected
goto PHASE 1
end if
UTPUT
let M be a maximum matching in $G_{A}$
if $M$ covers all women who have ever had an active edge then
STOP, OUTPUT $M \cap E$ and "There is a strongly stable matching."
else
STOP, OUTPUT "There is no strongly stable matching."
end if

```
Algorithm 2 STRONG_REJECT()
    let \(R=U\)
    while \(R\) has an element \(u\) with no active edge do
        reject all \(u^{\prime} w\) such that \(w\) is in the proposal tie of \(u\) and \(u^{\prime} \|_{w} u\)
        if \(u^{\prime} w\) was active, then let \(R:=R \cup\left\{u^{\prime}\right\}\)
        let \(R:=R \backslash\{u\}\)
    end while
```

First phase. The first phase of our algorithm (lines 3-9) imitates the classical Gale-Shapley deferred acceptance procedure. In the first round, line 4 induces no change, and each unmatched man simultaneously proposes to all women in his top tie (line 5). The so far inactive edges that now carry a proposal are called active proposal edges, or just active edges. Active edges stay active as long as they are accepted by the woman they run to, and they become rejected proposal edges as soon as they are rejected by her. The tie that a man has just proposed along is called the man's proposal tie. If all edges in the proposal tie are rejected (or more precisely, they become rejected proposal edges), then the man steps down on his list and proposes along all edges in the tie following the rejected tie in the man's preference order (lines 3 and 5).

Proposals cause two types of rejections in the graph (lines 4 and 7), based on the rules below. Notice that these rules are more sophisticated than in the Gale-Shapley or Irving algorithms [14, 19]. The most striking difference may be that rejected edges are not deleted from the graph, since they can very well carry a proposal later, which proposal then affects the state of other edges by triggering rejections. To be fully accurate, inactive edges that are rejected become rejected inactive edges (see Figure 2). Upon carrying a proposal later, they are converted to a rejected proposal edge. This latter is the same state an edge ends up in if it is first proposed along and then rejected.

Edges that carry a proposal in the current round, but have not carried a proposal in earlier rounds, i.e. edges that moved along the solid arrows in Figure 2 in this round, are called new proposal edges (lines 5-6). We remind the reader that these edges might or might not be active, depending on whether they have been rejected earlier.

- Rejections in line 7: For each new proposal edge $u w, w$ rejects all edges to which $u w$ is strictly preferred (lines 6-8). Note again that $u w$ might have been rejected earlier than being proposed along, in which case $u w$ is a proposal edge without being active.
- Rejections in line 4: A precise rejection routine is described in the procedure strong_reject() in our pseudocode (Algorithm 2), where women reject edges incomparable to their already rejected proposal edges coming from men who are on the verge of proposing to women in their next tie (line 5). More precisely, we search for a man in the set $R$ of men, whose set of active edges is empty (line 23). If such a man $u$ is found, then all edges that are incident to any neighbor $w$ of $u$ in his-now fully rejected-proposal tie and incomparable to $u w$ at $w$ are rejected. If man $u^{\prime}$ has lost an active edge in the previous operation, then $u^{\prime}$ is added back to the set $R$ of men to be investigated in later rounds (line 25).
As mentioned earlier, men without any active edge proceed to propose along the next tie in their list. These operations are executed until there is no more edge to propose along or to reject, which marks the end of the first phase. It is straightforward that this process will eventually halt and the execution will undoubtedly proceed to the second phase, since each dummy woman finds her sole adjacent man acceptable, who thus cannot be rejected by this woman.

Second phase. In the second phase, the set of active edges induce the graph $G_{A}$, on which we examine the critical set $U^{\prime} \subseteq U$ (lines 10-11). If $U^{\prime}$ is not empty, then all active edges of each $u \in U^{\prime}$ are rejected (line 13). The mass rejections in line 13 generate a new proposal tie for at least one man, returning our algorithm to the first phase (line 14), where strong_Reject() will be called before new proposals happen. Note that an empty critical set leads to producing the output, which is described just below.
Output. In the final set of active edges, an arbitrary maximum matching $M$ is calculated (line 16). If $M$ covers all women who have ever had an active edge, then we send it to the output (lines 17-18), otherwise we report that no stable matching exists (lines 19-20).

Before proving the correctness of the presented algorithm, we outline an illustrative example and the corresponding execution in Example 4.3.

Example 4.3. The instance under examination (depicted in Figure 3) consists of six men and an equal number of women. As expected, men's preferences make up lists with ties, while the preference structures associated to women form asymmetric relations. Throughout the entire figure, edges connected by dotted arcs represent incomparability, e.g. $w_{2}$ declares incomparability between $m_{1}$ and $m_{4}$. On the other hand, arrows mark strict preferences. For instance, $w_{1}$ strictly prefers $m_{2}$ to $m_{1}$.

In case of men, the order of ties is clearly marked by numbers. For example, $m_{1}$ likes women $w_{1}$ and $w_{2}$ the most, ranks $w_{3}$ and $w_{4}$ second, and considers woman $w_{5}$ as his least preferred choice. The preferences of women $w_{2}, w_{3}, w_{4}$ and $w_{6}$ can be represented by ties, hence the same interpretations


Fig. 2. The possible states of an edge $u w$ in Algorithm 1. The solid gray edges between the states symbolize proposals coming from men, while the dotted black edges mark the rejections initiated by women. Edges that have neither been proposed along nor rejected are inactive. If a proposal is called first, then the edge moves along the upper path in the figure and becomes an active proposal edge. It can stay in this state until termination, or become a rejected proposal edge upon rejection. Otherwise, if the edge is rejected before a proposal is sent along it, the lower path is taken, and the edge becomes a rejected inactive edge, which might still carry a proposal later and become rejected proposal edge, but it will not necessarily be the case for all such edges. An edge can be rejected several times, but proposed along at most once.


Fig. 3. The input for Example 4.3. Colored dashed edges mark the final matching.
of dotted lines and numbers apply as for the men. Woman $w_{1}$ possesses an asymmetric preference structure: $m_{4}$ is strictly preferred to each man acceptable to $w_{1}$, while $m_{1}, m_{2}$, and $m_{3}$ form a cycle. Last but not least, woman $w_{5}$ has an intransitive preference structure as well: $m_{1}$ is strictly preferred to $m_{5}$, who is strictly preferred to $m_{6}$, yet $m_{1}$ and $m_{6}$ are considered incomparable.

Now we turn to the execution of our algorithm. To keep the figure as clear as possible, we forgo introducing dummy women. In fact, none of these women will be proposed to during the execution on this instance. Also, to keep the explanatory text short, we execute the Phase 1 proposal steps of several agents in batch.

Phase 1, rejections in line 4: At start, the proposal tie of each man is void, thus this step is passed.

Phase 1, proposals in line 5: Since no man has an active edge at the beginning, each man proposes to all women in his first tie. More precisely, $m_{1}$ proposes to $w_{1}$ and $w_{2}, m_{2}$ proposes to $w_{1}$, $m_{3}$ to $w_{1}, m_{4}$ to $w_{2}, m_{5}$ to $w_{5}$, and $m_{6}$ to $w_{6}$ (lines 3 and 5). These edges become active edges (see Figure 2).

Phase 1, rejections in line 7: Women check their preferences and reject all edges to which any of the proposal edges is strictly preferred to. In this manner $w_{1}$ rejects $m_{3}$, since she strictly prefers $m_{1}$ to $m_{3}$ (i.e. $m_{1}<_{w_{1}} m_{3}$ ), rejects $m_{1}$, since $m_{2} \prec_{w_{1}} m_{1}$ and rejects $m_{2}$ as well, because $m_{3}<_{w_{1}} m_{2}$. These edges, having already been proposed along and subsequently rejected, become rejected proposal edges. For similar reasons, $w_{5}$ rejects $m_{6}$ in advance, thus edge $m_{6} w_{5}$ becomes a rejected inactive edge.

Phase 1, rejections in line 4: Two men are left without a active edge: $m_{2}$ and $m_{3}$. Their proposal tie is identical and it consists of $w_{1}$ only. Since no man is incomparable to either $m_{2}$ or $m_{3}$ according to the preferences of $w_{1}$, no rejection occurs.

Phase 1, proposals in line 5: Men $m_{2}$ and $m_{3}$ are left without active edges, therefore they carry on proposing to women in their next tie, who are $w_{3}$ and $w_{4}$ for both men.

Phase 1, rejections in line 7: These four new proposal edges are not preferred strictly to any other edge, thus no rejection happens.

Phase 2, searching for a critical set in line 11: Since each man has at least one active edge, the execution switches to the second phase, in which we search for a maximum matching in the graph $G_{A}$ consisting of the active edges $\left\{m_{1} w_{2}, m_{2} w_{3}, m_{2} w_{4}, m_{3} w_{3}, m_{3} w_{4}, m_{4} w_{2}, m_{5} w_{5}, m_{6} w_{6}\right\}$. The neighborhood of $\left\{m_{1}, m_{4}\right\}$ is $\left\{w_{2}\right\}$, hence it is impossible to match both men with the one proposed women only. The man left out from this imperfect matching would form a blocking edge with $w_{2}$. This set $\left\{m_{1}, m_{4}\right\}$ is the unique set of men with positive deficiency, thus it is the critical set. All active edges of these men are rejected (lines 10-13), which are $m_{1} w_{2}$ and $m_{4} w_{2}$. We switch to Phase 1 (line 14).

Phase 1, rejections in line 4: Men $m_{1}$ and $m_{4}$ have no active edge. The proposal tie of $m_{1}$ consists of $w_{1}$ and $w_{2}$, while the proposal tie of $m_{4}$ consists of $w_{2}$ only. Since no man is incomparable to $m_{1}$ according to the preferences of $w_{1}$, and $m_{1}$ and $m_{4}$ are only incomparable to each other at $w_{2}$, only $m_{1} w_{2}$ and $m_{4} w_{2}$ are rejected (again).

Phase 1, proposals in line 5: Man $m_{1}$ proposes to $w_{3}$ and $w_{4}$, because they build the next tie on his list. Man $m_{4}$ proposes to $w_{1}$. The new proposal edges in this step are $\left\{m_{1} w_{3}, m_{1} w_{4}, m_{4} w_{1}\right\}$.

Phase 1, rejections in line 7: Since $w_{1}$ strictly prefers her new proposal edge $m_{4} w_{1}$ to all other edges, she rejects $m_{1}, m_{2}$, and $m_{3}$. All of these rejections are second-time rejections. Neither $w_{3}$, nor $w_{4}$ prefers $m_{1}$ strictly to any other man, thus they do not reject any edge in this step.

Phase 2, searching for a critical set in line 11: Once again, each man has at least one active edge, and the execution switches to Phase 2. The graph $G_{A}$ is built by the active edges $\left\{m_{1} w_{3}, m_{1} w_{4}, m_{2} w_{3}, m_{2} w_{4}, m_{3} w_{3}, m_{3} w_{4}, m_{4} w_{1}, m_{5} w_{5}, m_{6} w_{6}\right\}$. The critical set in this graph is $\left\{m_{1}\right.$, $\left.m_{2}, m_{3}\right\}$, with neighborhood $\left\{w_{3}, w_{4}\right\}$. The edges $\left\{m_{1} w_{3}, m_{1} w_{4}, m_{2} w_{3}, m_{2} w_{4}, m_{3} w_{3}, m_{3} w_{4}\right\}$ are all rejected, and we start Phase 1 again.

Phase 1, rejections in line 4: Men $m_{1}, m_{2}$ and $m_{3}$ have no active edge. The only new rejection triggered by the recently rejected proposal ties of these three men happens along $m_{5} w_{4}$, because it is incomparable to all three rejected edges at $w_{4}$.

Phase 1, proposals in line 5: The three men with no active edge propose along edges $m_{1} w_{5}, m_{2} w_{2}$, and $m_{3} w_{6}$.

Phase 1, rejections in line 7: Woman $w_{5}$ rejects $m_{5}$, because she strictly prefers her new proposal edge $m_{1} w_{5}$ to $m_{5} w_{5}$. For an analogous reason, $w_{6}$ rejects $m_{6}$. Edges $m_{1} w_{2}$ and $m_{4} w_{2}$ are rejected again, because the new proposal edge $m_{2} w_{2}$ is strictly preferred to them by $w_{2}$.

Phase 1, rejections in line 4: Men $m_{5}$ and $m_{6}$ have no active edge. Their proposal tie consists of one element each ( $w_{5}$ and $w_{6}$, respectively), and this element appears in a strict preference order on the women's side. No rejection happens.

Phase 1, proposals in line 5: Man $m_{5}$ proposes to $w_{4}$ along a rejected inactive edge. This is a new proposal edge. Man $m_{6}$ proposes to $w_{4}$ and $w_{5}$.

Phase 1, rejections in line 7: Since $w_{4}$ received a proposal from her first-choice man, she rejects her other 4 (already rejected) edges. No rejection is triggered by $m_{5} w_{4}$ or $m_{6} w_{5}$.

Phase 1, rejections in line 4: In the final round of Phase 1, only $m_{5}$ is left without an active edge. His proposal tie is $w_{4}$, thus edges $m_{1} w_{4}, m_{2} w_{4}$, and $m_{3} w_{4}$ are rejected again.

Phase 1, proposals in line 5: Finally, $m_{5}$ proposes to $w_{3}$.
Phase 1, rejections in line 7: As a consequence, $w_{3}$ rejects $m_{1}, m_{2}$, and $m_{3}$ (again).
Phase 2, searching for a critical set in line 11: Phase 2 starts with the set of active edges $\left\{m_{1} w_{5}, m_{2} w_{2}, m_{3} w_{6}, m_{4} w_{1}, m_{5} w_{3}, m_{6} w_{4}\right\}$, because each man has at least one active edge. These edges form a perfect matching, thus $U=\emptyset$. After all, the execution halts and marks the colored matching as stable, since it covers all women who have ever been proposed to.

### 4.2 Correctness

We prove Theorem 4.2 via a number of claims, building up the proof as follows. The first three claims provide the technical footing for the last two claims. Claim 3 is a rather technical observation about the correctness of the input initialization. An edge appearing in some stable matching is called a stable edge. Claim 4 shows that no stable edge is ever rejected. Claim 5 proves that all stable matchings must cover all women who have ever received an offer. Then, Claim 6 proves that if the algorithm outputs a matching, then it must be stable, and Claim 7 along with its Corollary 4.4 conclude the opposite direction: if stable matchings exist, then one is outputted by our algorithm.

Recall that in line 1, each man in the original instance $I$ is supplemented by an extra woman. The instance formed is denoted by $I^{\prime}$.

Claim 3. A matching in $I^{\prime}$ is stable if and only if it covers all men in $I^{\prime}$, and its restriction to $I$ is stable.

Proof. If a matching in $I^{\prime}$ leaves a man $u$ unmatched, then $u w_{u}$ blocks the matching. Thus all stable matchings in $I^{\prime}$ cover all men. Furthermore, the restriction to $I$ of a stable matching in $I^{\prime}$ cannot be blocked by any edge in $I$, because this blocking edge also exists in $I^{\prime}$.

A stable matching in $I$, supplemented by the dummy edges for all unmatched men cannot be blocked by any edge in $I^{\prime}$, because dummy edges are last-choice edges and regular edges block in both instances simultaneously.

Claim 4. No stable edge in $I^{\prime}$ is ever rejected in the algorithm.
Proof. Let us suppose that $u w$ is the first rejected stable edge in $I^{\prime}$ and the corresponding stable matching is $M$. There are three rejection calls, in lines 4, 7, and 13. In all cases we derive a contradiction. Our arguments are illustrated in Figure 4.

- Line 4: rejection was caused by a man $u^{\prime}$ such that $u^{\prime} \|_{w} u$.

We know that the whole proposal tie of $u^{\prime}$ was rejected. Since $M$ is stable, $u^{\prime}$ must have
a partner $w^{\prime}$ in $M$. Since $u^{\prime} w^{\prime}$ is a stable edge, it cannot have been rejected previously. Consequently, $w<_{u^{\prime}} w^{\prime}$. Thus, $u^{\prime} w$ blocks $M$, which contradicts its stability.

- Line 7: $u w$ was rejected because $w$ received a proposal from a man $u^{\prime}$ such that $u^{\prime}<_{w} u$. Since $M$ is stable, $u^{\prime}$ must have a partner $w^{\prime}$ in $M$ such that $w^{\prime}<_{u^{\prime}} w$. We also know that $u^{\prime}$ has reached $w$ with his proposal tie, thus, due to the monotonicity of proposals, $u^{\prime} w^{\prime} \in M$ must have been rejected before $u w$ was rejected. This contradicts our assumption that $u w$ was the first rejected stable edge.
- Line 13: $u w$ was rejected as an active edge incident to the critical set $U^{\prime}$ in $G_{A}$.

Let $W^{\prime}=\mathcal{N}_{G_{A}}\left(U^{\prime}\right), U^{\prime \prime}=\left\{u \in U^{\prime}: M(u) \in W^{\prime}\right.$ and $\left.u M(u) \in E\left(G_{A}\right)\right\}$, and $W^{\prime \prime}=\left\{w \in W^{\prime}\right.$ : $M(w) \in U^{\prime}$ and $\left.w M(w) \in E\left(G_{A}\right)\right\}$. In words, $W^{\prime}$ is the neighborhood of the critical set $U^{\prime}$, while $U^{\prime \prime}$ and $W^{\prime \prime}$ represent the men and women in $U^{\prime}$ and $W^{\prime}$ who are matched in $M$ and the corresponding matching edges are active. Due to our assumption, $u \in U^{\prime \prime}$ and $w \in W^{\prime \prime}$. We claim that $\left|U^{\prime} \backslash U^{\prime \prime}\right|<\left|U^{\prime}\right|$ and $\delta\left(U^{\prime} \backslash U^{\prime \prime}\right) \geq \delta\left(U^{\prime}\right)$, which contradicts the fact that $U^{\prime}$ is critical. We remind the reader that the critical set is the unique set with maximum deficiency, so that it has the smallest cardinality and it is contained in all other subsets with maximum deficiency. Since $u \in U^{\prime \prime} \neq \emptyset,\left|U^{\prime} \backslash U^{\prime \prime}\right|<\left|U^{\prime}\right|$ holds. From their definition we know that $\left|U^{\prime \prime}\right|=\left|W^{\prime \prime}\right|$, so it suffices to show that $\mathcal{N}_{G_{A}}\left(U^{\prime} \backslash U^{\prime \prime}\right) \subseteq W^{\prime} \backslash W^{\prime \prime}$, because in that case

$$
\begin{aligned}
\delta\left(U^{\prime} \backslash U^{\prime \prime}\right) \stackrel{\text { def }}{=}\left|U^{\prime} \backslash U^{\prime \prime}\right|-\left|N_{G_{A}}\left(U^{\prime} \backslash U^{\prime \prime}\right)\right| & \geq\left|U^{\prime} \backslash U^{\prime \prime}\right|-\left|W^{\prime} \backslash W^{\prime \prime}\right|= \\
& =\left(\left|U^{\prime}\right|-\left|U^{\prime \prime}\right|\right)-\left(\left|W^{\prime}\right|-\left|W^{\prime \prime}\right|\right)= \\
& =\left|U^{\prime}\right|-\left|W^{\prime}\right| \stackrel{\text { def }}{=} \delta\left(U^{\prime}\right),
\end{aligned}
$$

which would prove the second part of our claim.
What remains to show is that $\mathcal{N}_{G_{A}}\left(U^{\prime} \backslash U^{\prime \prime}\right) \subseteq W^{\prime} \backslash W^{\prime \prime}$. Suppose the contrary, i.e. that there exists an edge $a b$ in $G_{A}$ from $U^{\prime} \backslash U^{\prime \prime}$ to $W^{\prime \prime}$. See the third graph in Figure 4. We know that $b \in W^{\prime \prime}$ by our indirect assumption, hence $a^{\prime}=M(b) \in U^{\prime \prime}$ by the definition of $U^{\prime \prime}$, and $a^{\prime} \neq a$, because $a \notin U^{\prime \prime}$. Moreover, $a b$ and $a^{\prime} b$ are edges in $G_{A}$, thus both of them are active. Therefore, $a \|_{b} a^{\prime}$, for otherwise $b$ would have rejected one of them. In order to keep $M$ stable, $a$ must be matched in $M$ with some woman $b^{\prime}$. Since no stable edge has been rejected so far and $a b$ does not block $M$, we know that $b^{\prime} \|_{a} b$, thus $b^{\prime}$ is in $a^{\prime}$ 's proposal tie. Edge $a b^{\prime}$ is stable and no stable edge has been rejected yet, thus $a b^{\prime}$ is active along with $a b$. Therefore, $a b^{\prime} \in E\left(G_{A}\right)$ and $b^{\prime} \in W^{\prime}$. Moreover, $a b^{\prime} \in M$, hence $a \in U^{\prime \prime}$ and $b^{\prime} \in W^{\prime \prime}$ by the definition of $U^{\prime \prime}$ and $W^{\prime \prime}$, which contradicts the assumption that $a \notin U^{\prime \prime}$.


Fig. 4. The three cases in Claim 4. Gray edges are in $M$. The arrows point to the strictly preferred edges, while dotted arcs denote incomparability.

Claim 5. Women who have ever had an active edge must be matched in all stable matchings in $I^{\prime}$.

Proof. Claim 4 shows that stable matchings allocate each man $u$ a partner not better than his final proposal tie. If a man $u$ proposed to woman $w$ and yet $w$ is unmatched in the stable matching $M$, then $u w$ blocks $M$, which contradicts the stability of $M$.

## Claim 6. If our algorithm outputs a matching, then it is stable in $I^{\prime}$.

Proof. We need to show that any maximum matching $M$ in $G_{A}$ is stable, if it covers all women who have ever held a proposal. Let $M$ be such a matching. Due to the exit criteria of the second phase (lines 11 and 12), $M$ covers all men. By contradiction, let us assume that $M$ is blocked by an edge $u w$. This can occur in three cases.

- While $w$ is unmatched, $u$ does not prefer $M(u)$ to $w$.

Since $u w$ carried a proposal at the same time or before $u M(u) \in E\left(G_{A}\right)$ was activated, $w$ is a woman who has held an offer during the course of the algorithm. We assumed that all these women are matched in $M$.

- While $w<_{u} M(u), w$ does not prefer $M(w)$ to $u$.

The full tie at $u$ containing $u w$ must have been rejected in the algorithm, otherwise $u M(u)$ would not be an active edge. From our indirect assumption we know that either $u<_{w} M(w)$ or $u \|_{w} M(w)$ holds. If $u<_{w} M(w)$, then $w M(w)$ had to be rejected when $u$ proposed to $w$, which contradicts the fact that $w M(w) \in E\left(G_{A}\right)$. Hence, $u \|_{w} M(w)$. Thus, after $u w$ and its full tie was rejected at $u, M(w) w$ also should have been rejected in line 4 , which leads to the same contradiction with $w M(w) \in E\left(G_{A}\right)$.

- While $u<_{w} M(w), u$ does not prefer $M(u)$ to $w$.

Since $u M(u)$ is an active edge, $u w$ has carried a proposal, because $M(u)$ is not preferred to $w$ by $u$. When $u w$ was proposed along, $w$ should have rejected $M(w) w$, to which $u w$ is strictly preferred. This contradicts our assumption that $w M(w) \in E\left(G_{A}\right)$.

Claim 7. If $I^{\prime}$ admits a stable matching $M^{\prime}$, then any maximum matching $M$ in the final $G_{A}$ covers all women who have ever held a proposal.

Proof. We first show that our algorithm always terminates. As already observed in the description, the algorithm is bound to proceed to the second phase. We now argue that even though from Phase 1 we proceed to Phase 2, and from Phase 2 it is possible to be sent back to Phase 1, the two phases cannot be iterated infinitely many times. Before each return to Phase 1 from Phase 2 (line 14), at least one active edge is rejected. Moreover, observe that a man actively proposing his last-choice dummy woman may not be in the critical set. Hence, such an edge may not be rejected. On the whole, the critical set will eventually become empty and the algorithm will proceed to the output phase (line 16).

From Claims 3 and 5 we know that $M^{\prime}$ covers all women who have ever held a proposal and all men. It is also obvious that matching $M$ found in line 16 covers all men, for otherwise $U^{\prime}$ could not have been the empty set in line 12 and the execution would have returned to the first phase. This means that $|M|=\left|M^{\prime}\right|$. On the other hand, all women covered by $M \subseteq E\left(G_{A}\right)$ are fit with active edges in $G_{A}$. Therefore, women covered by $M$ represent only a subset of women who have ever had an active edge, i.e. the women covered by $M^{\prime}$. In order to $M$ and $M^{\prime}$ have the same cardinality, they must cover exactly the same women. Thus, $M$ covers all women who have ever received a proposal.

Corollary 4.4. If I admits a stable matching then our algorithm outputs one.
Proof. Courtesy of Claim 7, the output $M$ covers all women who have ever received a proposal. According to Claim 6, this matching is stable in $I^{\prime}$, and according to Claim 3, we thus output a stable matching of $\mathcal{I}$.

### 4.3 Analysis and time complexity

4.3.1 Data representation. The cost of the execution of our algorithm on an instance $I$ is estimated by the number of accesses to the data structures representing neighbors of vertices and the relations between them. We suppose that $G$ is represented by adjacency lists belonging to $|U|+|W|=n$ vertices and that there are $|E|=m$ acceptable man-woman pairs. Since zero-degree vertices do not interfere with the existence or content of stable matchings, it may be assumed that each vertex has at least one edge, which results in $\max \{|U|,|W|\} \leq m$, hence $n=|U|+|W| \leq 2 m$ and $n=O(m)$. Relations in $\mathcal{R}_{U}$ are lists with ties, hence they can be incorporated into the adjacency lists by using a delimiter symbol between ties. However, relations in $\mathcal{R}_{W}$ are provided as sets of pairwise relations for each vertex $w \in W$, consisting of at most $\left(\underset{2}{\operatorname{deg}_{G}(w)}\right.$ ) ordered pairs of vertices adjacent to $w$, where $\operatorname{deg}_{G}(w)$ denotes the degree of $w$ in $G$.

Since the algorithm queries the preference relations at women many times, it pays off to represent these relations strategically. We transform each woman $w$ 's preference structure into two bipartite graphs. These graphs are $G_{w,<}(U, \bar{U})$ and $G_{w, \|}(U, \bar{U})$, where $\bar{U}$ denotes a copy of the vertex set $U$. For $G_{w,<}(U, \bar{U})$, there is an edge $u_{1} u_{2} \in U \times \bar{U}$ if and only if $u_{1} \prec_{w} u_{2}$, while for $G_{w, \|}(U, \bar{U})$, there is an edge $u_{1} u_{2} \in U \times \bar{U}$ if and only if $u_{1} \|_{w} u_{2}$. The construction of these graphs in the form of adjacency lists takes $O\left(\operatorname{deg}_{G}(w)^{2}\right)$ time. Besides the two graphs, an indexing structure is constructed for each of them.

Furthermore, all information regarding edges in $G$ are to be maintained. More specifically, the state of an edge as being an inactive, active, rejected inactive, or rejected proposal edge and whether it is a new proposal edge is stored. Moreover, for every $u \in U$, we store the fact whether $u$ has been a vertex because of which edges of type $u^{\prime} w$ are rejected where $u^{\prime} \|_{w} u$ (line 4). Reasonable work is spared if $u$ happens to be in the same role again later.
4.3.2 Analysis. Firstly, a lower bound of the size of input is provided by the size of the graph, as usual. Note that the set of pairwise relations in $\mathcal{R}_{W}$ may be an empty set for any $w$, so this is a sharp lower bound. Hence, the input size is $\Omega(n+m)$.

Secondly, our algorithm uses the following two primitive operations:

- finding all men $u^{\prime}$ such that $u<_{w} u^{\prime}$ for a woman $w$ and rejecting these $u^{\prime} w$;
- finding edges incomparable to $u w$ at $w$ and rejecting them.

Due to the data structures $G_{w,<}$ and $G_{w, \|}$, these operations $\operatorname{cost} O(1)$ for each man $u^{\prime}$.
The construction of the graphs $G_{w,<,}, G_{w, \|} \operatorname{costs} O\left(\operatorname{deg}_{G}(w)^{2}\right)$ time for each woman. Therefore, the construction of all such graphs adds up to $O\left(\sum_{w} \operatorname{deg}_{G}(w)^{2}\right)$.However,

$$
\sum_{w} \operatorname{deg}_{G}(w)^{2} \leq\left(\sum_{w} \operatorname{deg}_{G}(w)\right)^{2}=m^{2}
$$

thus the cost of construction is $O\left(m^{2}\right)$.
Adding dummy women to the list of men is done in $O(n)$ time in total. Besides, each edge is proposed along at most once and proposals are to be made in order of the adjacency list of men, so the total cost of proposals is $O(m)$. Furthermore, beware that for a given edge $u w$, rejecting edges $u^{\prime} w$ to whom $u w$ is strictly preferred, and rejecting incomparable edges $u^{\prime} w$ are done at most once, each of them contributing a cost of $O(1)$. The graph $G_{A}$ need not be constructed separately, since active edges are marked due to our previous considerations. Subsequently, apart from finding maximum matchings and critical sets in $G_{A}$, the cost of our algorithm is bounded by $O\left(m^{2}+n+m+2 m\right) \subseteq O\left(m^{2}\right)$.

As far as maximum matchings and critical sets are concerned, the well-founded technique described by Irving [19] is reapplied here. As already stated in Section 4.1, the critical set is calculated from a maximum matching by taking the uncovered men and all men reachable from the uncovered men via an alternating path. The standard algorithm for determining maximum matchings launches parallel BFS-algorithms from uncovered men to find augmenting paths. An interesting property of the execution is that whenever it finishes-because no alternating path was augmenting-the critical set is computed as well [30]. Therefore critical sets are automatically yielded with the use of the Hungarian method [27], for which one only needs to store the occurring vertices.

Although we could apply the Hungarian method in each execution of the second phase, we wish to reduce the cost of execution by storing information from previous iterations. Note that the Hungarian method commences from an arbitrary matching and augments that one. Let the augmentation start from the remnants of the maximum matching found in the previous iteration. Let $M_{i}, C_{i}, x_{i},(i \geq 1)$ denote the maximum matching found in the $i^{\text {th }}$ iteration of the second phase, the critical set with respect to $M_{i}$, and the number of edges rejected between the $i^{\text {th }}$ and $(i+1)^{\mathrm{th}}$ execution of the Hungarian method, respectively. In the first iteration the augmenting path algorithm is executed from scratch taking $O(|U| m) \subseteq O(n m)$ time. After the $i^{\text {th }}$ iteration we reject $x_{i}$ edges. Since each man in $C_{i}$ had at least one edge in $G_{A}$, at least $\left(|U|-\left|C_{i}\right|\right)-\left(x_{i}-\left|C_{i}\right|\right)=|U|-x_{i}$ men are still paired to women via active edges, if that number is positive. In that case, the $(i+1)^{\text {th }}$ iteration starts BFS-algorithms from at most $x_{i}$ vertices. Let $L$ be the total number of iterations, in $k$ of which $x_{i} \geq|U|$. In all such cases the computational complexity of calculating the maximum matching is still upper bounded by the cost of finding a maximum matching from scratch. The time complexity, therefore, is $O\left(n m+k \cdot|U| m+m \sum_{L-k \text { iter }} x_{i}\right)$, where the summation is done for the rest of $x_{i}$ 's corresponding to the remaining $L-k$ iterations. In the rest of the $k$ iterations $|U| \leq x_{i}$, therefore $|U| k+\sum_{L-k \text { iter }} x_{i} \leq \sum_{i=1}^{L} x_{i} \leq m$, because at most $m$ edges may be rejected and no edge is rejected more than once. Hence the running time related to maximum matchings and critical sets is $O\left(n m+m \cdot\left(|U| k+\sum_{L-k \text { iter }} x_{i}\right)\right) \subseteq O(n m+m \cdot m) \subseteq O\left(m^{2}\right)$.

In conclusion, the total time complexity of the algorithm is $O\left(m^{2}\right)$, while the size of the input is $\Omega(n+m)$. Hence, the algorithm is clearly polynomial.

## 5 SUPER-STABILITY

In super-stability, an edge outside of $M$ blocks $M$ if neither of its end vertices prefer their matching edge to it strictly.

Definition 5.1 (blocking edge for super-stability). Edge uw blocks $M$, if
(1) $u w \notin M$;
(2) $w<_{u} M(u)$ or $w \|_{u} M(u)$;
(3) $u<_{w} M(w)$ or $u \|_{w} M(w)$.

The set of already investigated problems is remarkable for super-stability, see Table 1. Up to posets on both sides, a polynomial algorithm is known to decide whether a stable solution exists [19, 33]. Even though it is not explicitly written there, a blocking edge in the super stable sense is identical to the definition of a blocking edge given in [11]. It is shown there that if one vertex class has strictly ordered preference lists and the other vertex class has arbitrary relations, then determining whether a stable solution exists is NP-complete, but if the second class has asymmetric lists, then the problem becomes tractable.

We first show that a polynomial algorithm exists up to partially ordered relations on one side and asymmetric relations on the other side. Our algorithm can be seen as an extension of the one in [11]. Our added contributions are a more sophisticated proposal routine and the condition on
stability in the output. These are necessary as men are allowed to have acyclic preferences instead of strictly ordered lists, as in [11]. Finally, we prove that acyclic relations on both sides make the problem hard.

### 5.1 Algorithm

Theorem 5.2. If one side has posets as preferences, while the other side has asymmetric pairwise preferences, then deciding whether the instance admits a super stable matching (and outputting one, if so) can be done in $O\left(m^{2}\right)$ time.

We prove this theorem by designing an algorithm that produces a stable matching or a proof for its nonexistence. For a pseudocode, see Algorithm 3. We assume men to have posets as preferences and women to have asymmetric relations. We remark that non-empty posets always have a nonempty set of maximal elements: these are the ones that are not dominated by any other element. Women in the set of maximal elements are called maximal women.

At start, an arbitrary man proposes to one of his maximal women (lines 28-29). An offer from $u$ is temporarily accepted by $w$ if and only if $u \prec_{w} u^{\prime}$ for every man $u^{\prime} \neq u$ who has ever proposed to $w$ (line 31). This rule forces each woman to reassess her current match every time a new proposal arrives. Accepted offers are called engagements. The proposal edges or engagements not meeting the above requirement are immediately deleted from the graph (lines 33-36), in other words, the corresponding pair is removed from the acceptability relation. Notice that a woman can reject a proposal even if she is currently not engaged. Each man then reexamines the poset of women still on his list. If any of the maximal women is not holding an offer from him, then he proposes to her. The process terminates and the output is generated when no man has maximal women he has not proposed to. Notice that while women hold at most one proposal at a time, men might have several engagements at termination. We output the set of engagements as a super stable matching if it is indeed a matching that covers all women who have ever received a proposal (line 41). In all other cases, no super stable matching exists (line 43).

```
Algorithm 3 Super stable matching with posets and asymmetric relations
Input: \(\mathcal{I}=\left(U, W, E, \mathcal{R}_{U}, \mathcal{R}_{W}\right) ; \mathcal{R}_{U}\) : posets, \(\mathcal{R}_{W}\) : asymmetric.
    while there is a man \(u\) who has not proposed to a maximal woman \(w\) do
        \(u\) proposes to \(w\)
        if \(u<_{w} u^{\prime}\) for all \(u^{\prime} \in U\) who have ever proposed to \(w\) then
            \(w\) accepts the proposal of \(u, u w\) becomes an engagement
        else
            \(w\) rejects the proposal and deletes \(u w\)
        end if
        if \(w\) had a previous engagement to \(u^{\prime}\) and \(u<_{w} u^{\prime}\) or \(u \|_{w} u^{\prime}\) then
            \(w\) breaks the engagement to \(u^{\prime}\) and deletes \(u^{\prime} w\)
        end if
    end while
    let \(M\) be the set of engagements
    if \(M\) is a matching that covers all women who have ever received a proposal then
        STOP, OUTPUT \(M\) and " \(M\) is a super stable matching."
    else
        STOP, OUTPUT "There is no super stable matching."
    end if
```

Example 5.3 illustrates our algorithm.


Fig. 5. The input for Example 5.3. Colored dashed edges mark the final matching, while solid gray edges are the ones rejected in our the algorithm.

Example 5.3. The instance in Figure 5 consist of four men with posets as preferences, and four women with asymmetric preferences. Numbers on the edges express preferences wherever the pairwise relations translate into a strict order or a list with ties, which is the case for vertices $m_{1}, m_{2}, m_{3} w_{1}, w_{3}$ and $w_{4}$. Three neighbors of $m_{4}$ can be ordered strictly, but there is no information on his preferences on $w_{1}$, thus this woman is incomparable to the other three. About $w_{2}$ we know that she ranks $m_{1}$ above all other neighbors, and she has a cyclic preference relation over these three other neighbors, as shown in the figure. Arrows point towards the preferred edge.

First, $m_{1}$ proposes to his sole maximal woman $w_{1}$, and they become engaged. Then, $m_{2}$ proposes to the same woman, who now reconsiders her match, rejects $m_{1}$ (because of line 36) and accepts $m_{2}$ instead (because of line 31). Now $m_{2}$ proposes to $w_{2}$ as well, because she is a maximal woman, and they become engaged. Similarly to $m_{2}, m_{3}$ also proposes to both of his neighbors, and gets engaged to both of them. Then, $w_{2}$ rejects $m_{2}$, since $m_{3} \prec_{w_{2}} m_{2}$. The final man, $m_{4}$ proposes to his maximal women $w_{1}$ and $w_{2}$. The first one rejects the proposal, while the latter one accepts the it and turns down the offer from $m_{2}$. The current set of engagements is thus $\left\{m_{2} w_{1}, m_{3} w_{3}, m_{4} w_{2}\right\}$. The only man who has a maximal woman he has not proposed to is $m_{1}$, therefore, he proposes next. This proposal is made to $w_{2}$, who accepts it and rejects her current partner $m_{4}$. This triggers a proposal along $m_{4} w_{4}$, which becomes an engagement. Since no man has a maximal woman he has not proposed to, the algorithm terminates here. The set of engagements $\left\{m_{1} w_{2}, m 2 w_{1}, m_{3} w_{3}, m_{4} w_{4}\right\}$ is indeed a matching that covers all women who ever received a proposal, thus it is a super stable matching.

We are now ready to prove the correctness of our algorithm. Theorem 5.4 is supported in one direction by Claim 8, in the other direction by Claims 9 to 12.

Theorem 5.4. The output of Algorithm 3 is a matching that covers all women who ever received a proposal if and only if the instance admits a stable matching.

Claim 8. If the output of the algorithm is a matching that covers all women who ever received a proposal, then it is stable.

Proof. Assume that an edge $u w$ blocks the output matching $M$. We investigate two cases.

- Man $u$ has proposed to $w$.

Since $u w$ was rejected and $w$ is covered in $M$, we know that $w$ got engaged to a man $M(w)$, for whom $M(w)<_{w} u$ holds. This contradicts our assumption on $u w$ being a blocking edge.

- Man $u$ has not proposed to $w$.

The only reason for $u$ not proposing to $w$ is that $w$ has never been a maximal woman for $u$, i.e. even at termination, $u$ had an engagement edge strictly preferred to $u w$. Since $M$ is a matching, this edge must be $u M(u)$. The relation $M(u)<_{u} w$ contradicts our assumption on $u w$ being a blocking edge.

## Claim 9. If an edge was deleted in the algorithm, then no stable matching contains it.

Proof. Let $u w$ be the first edge deleted by the algorithm, even though it is part of a stable matching $S$. The reason of the deletion was that $w$ received an offer from $u^{\prime}$, for which $u^{\prime}<_{w} u$ or $u^{\prime} \|_{w} u$. Since $u^{\prime} w \notin S$ does not block $S$, $u^{\prime}$ is matched in $S$ and $S\left(u^{\prime}\right)<_{u^{\prime}} w$. Due to the monotonicity of proposals, $u^{\prime}$ had proposed to $S\left(u^{\prime}\right)$ before proposing to $w$, but $u^{\prime} S\left(u^{\prime}\right)$ was deleted. This contradicts our assumption on $u w$ being the first deleted stable edge.

Claim 10. If a woman $w$ has ever received a proposal in our algorithm, then $w$ must be matched in all stable matchings.

Proof. Assume that $u w$ carried a proposal at some point, yet $w$ is unmatched in a stable matching $S$. In order to stop $u w$ from blocking $S, u$ needs to be matched in $S$ and $S(u)<_{u} w$. This implies that $u S(u)$ was deleted before the proposal along $u w$ was sent, which contradicts Claim 9.

Claim 11. If there is a stable matching $S$, then the set of engagements $M$ computed in line 39 is a matching.

Proof. As already mentioned, the only reason for $M$ not being a matching can be that a man has more than one edge in $M$. On the other hand, each of the women covered by $M$ have degree 1 in $M$ and they are all matched in $S$, due to Claim 10. This is only possible if there is a man $u$ who is matched in $S$, but not covered by $M$. To stay unmatched in $M, u$ must have proposed to and be rejected by all women adjacent to him, including $S(u)$. This contradicts our Claim 9 on stable edges never being rejected by the algorithm.

Claim 12. If there is a stable matching $S$, then the set of engagements $M$ computed in line 39 covers all women who have ever received a proposal.

Proof. We will show a stronger statement, claiming that $M$ covers all women matched in $S$. Due to Claim 10, which states that women covered by an arbitrarily chosen stable matching are a superset of women covered by $M, M$ then covers all women who have ever received a proposal.

Claim 11 shows that $M$ is in fact a matching, thus, the symmetric difference $M \Delta S$ consists of alternating paths and cycles. Our goal is to show that no alternating path can start at a $w \in W$ vertex with an edge in $S$.

Assume the contrary. Claim 9 proves that $u w \in S \backslash M$ has never been proposed along. Otherwise, $u w \notin M$ was deleted, in which case $u w \in S$ cannot hold. This implies that $u$ has a partner in $M$ and he prefers $M(u)$ strictly to $w$. To stop $u M(u)$ from blocking $S, M(u)$ must have a partner in $S$ who is strictly preferred to $u$. Just as before, this edge has never carried a proposal, otherwise $u M(u)$ could not be in $M$. These arguments can be iterated, and thus the assumed alternating path can never end, which is a contradiction to the finiteness of the graph.

Analysis and time complexity of Algorithm 3. We use a similar data structure to the one applied in the analysis of Algorithms 1 and 2. The only difference emerges from the poset preference structure on the men's side. We store the entire partial order for each man, given as a Hasse diagram of the underlying directed acyclic graph of the poset. The Hasse diagram provides a non-redundant representation of a poset. Formally, the Hasse diagram is a directed acyclic graph whose vertices
are the elements of the finite poset and there is an edge between vertices $a$ and $b$ if and only if $a<b$ and there exists no element $c$ such that $a<c<b$ holds. Each man is equipped with a dummy woman, from whom there is a directed edge to all initially maximal women. The cost of the execution is again measured in the number of accesses to these data structures.

Similarly to the implementation of our strongly stable algorithm, it is worth constructing a special structure $G_{w, \geq}(U, \bar{U})$ for each woman $w$, where $\bar{U}$ again denotes a copy of the vertex set $U$ and there is an edge $u_{1} u_{2} \in U \times \bar{U}$ if and only if $u_{2}<_{w} u_{1}$ or $u_{1} \|_{w} u_{2}$. The construction of this structure summed up for all women takes $O\left(m^{2}\right)$ time.

Since the set of relations can be empty as well, the size of the input is analogously lower bounded by $\Omega(n+m)$. The assumption of Hasse diagrams allows a straightforward check whether all maximal women have been proposed to. The initial maximal set is the women directly connected to the dummy woman. Each time a woman $w$ turns down a proposal, the candidates for being promoted to maximal state are the women adjacent to $w$ in the Hasse diagram. Therefore the cost of submitting proposals does not exceed $O(m)$. The rest of the while loop, from lines 30 to 36, concerns the asymmetric relations on the woman's side.

One needs to iterate through the relations belonging to woman $w$ and check whether the new proposal from $U$ is strictly preferred to all previous proposals, and whether the previous engager $u^{\prime}$ is strictly preferred to $u$. This primitive operation is done through the structure $G_{w, \geq}(U, \bar{U})$. One only needs to analyze whether there is at least one neighbor of $u$ who has already proposed to $w$. The same property is checked for $u^{\prime}$. The cost of these operations is $O(n)$. Deleted edges are kept in our data structure with a label "rejected", so that previous proposals can be checked. Finally, the computation of $M$ and the examination of the output condition can be done in $O(m)$ time, because engagements are already marked. Consequently, the time complexity of the algorithm is $O\left(m^{2}\right)+O(m \cdot n)+O(m)=O\left(m^{2}\right)$.

### 5.2 Hardness

We complete the study of all cases for super-stability with the following theorem.
Theorem 5.5. If both sides have acyclic pairwise preferences, then determining whether a super stable matching exists is NP-complete, even if each agent finds at most four other agents acceptable.

Proof. The NP-complete problem we reduce to our problem is again (2,2)-e3-sat [4]. Our construction follows the same logic as the one in the proof of Theorem 3.4, however, the preferences are set differently, see Figure 6.

The vertex and edge sets created to $B$ is identical to the one in the proof of Theorem 3.4. To each variable $x$ in $B$, we create vertices $t, \bar{x}, f$, and $x$, and to each clause in $B$, we create vertices $u_{1}, u_{2}, u_{3}, w_{1}, w_{2}$, and $w_{3}$. The edge set inside each variable gadget comprises a 4 -cycle $t, \bar{x}, f, x$. A clause gadget consists of a complete bipartite graph on the six created vertices, where each vertex on the $u$-side is equipped with exactly one interconnecting edge, each of which runs from the $u$-vertex to vertex $x$ or $\bar{x}$ in the variable gadget of the literal. If the literal is positive, then the other end vertex of this edge is $x$ in the corresponding variable gadget, otherwise it is $\bar{x}$.

The preferences of each vertex restricted to the edges that are not interconnecting edges can be represented by a strictly ordered list. At $x$, each interconnecting edge $x u_{i}$ is incomparable to $x f$ and worse than $x t$, and the same holds for $\bar{x}$. At $u_{i}$, the interconnecting edge $x u_{i}$ or $\bar{x} u_{i}$ is incomparable to $u_{i} w_{3}$, and it is worse than $u_{i} w_{1}$ and $u_{i} w_{2}$.

Claim 13. If there is a truth assignment that satisfies the Boolean formula B, then there is a super stable matching in $G$.

| $t:$ | strict list: $x<\bar{x}$ |
| :--- | :--- |
| $f:$ | strict list: $\bar{x}<x$ |
| $x:$ | $f<t, t<u, u \\| f$ |
| $\bar{x}:$ | $t<f, t<u, u \\| f$ |


| $u_{1}:$ | $w_{1}<x / \bar{x}, w_{2}<x / \bar{x}, x / \bar{x} \\| w_{3} ;$ strict list: $w_{1}<w_{3}<w_{2}$ |
| :--- | :--- |
| $u_{2}:$ | $w_{1}<x / \bar{x}, w_{2}<x / \bar{x}, x / \bar{x} \\| w_{3} ;$ strict list: $w_{3}<w_{2}<w_{1}$ |
| $u_{3}:$ | $w_{1}<x / \bar{x}, w_{2}<x / \bar{x}, x / \bar{x} \\| w_{3} ;$ strict list: $w_{2}<w_{1}<w_{3}$ |
| $w_{1}:$ | strict list: $u_{2}<u_{3}<u_{1}$ |
| $w_{2}:$ | strict list: $u_{1}<u_{2}<u_{3}$ |
| $w_{3}:$ | strict list: $u_{3}<u_{1}<u_{2}$ |



$u_{3}$

Fig. 6. A variable gadget to the left and a clause gadget to the right. Interconnecting edges are dashed. The arrows point to the preferred edge, while dotted arcs denote incomparability.

Proof. In each variable gadget belonging to a true variable, $\{t x, f \bar{x}\}$ is chosen, whereas all gadgets corresponding to a false variable contribute matching $\{f x, t \bar{x}\}$. In each clause, there is at least one true literal. The vertex representing the appearance of this literal is matched to $w_{3}$ in the clause gadget, while the remaining four vertices are coupled up in such a way that no edge inside of the gadget blocks. This is possible, because $\left\{u_{1} w_{1}, u_{2} w_{3}, u_{3} w_{2}\right\}$, $\left\{u_{1} w_{2}, u_{2} w_{1}, u_{3} w_{3}\right\}$, and $\left\{u_{1} w_{3}, u_{2} w_{2}, u_{3} w_{1}\right\}$ are all stable matchings. The reason why the literal satisfying the clause was chosen to be matched to $w_{3}$ is that its matching edge in the variable gadget is strictly preferred to its interconnecting edge, and thus the interconnecting edge does not block $M$. Due to the strict preferences inside gadgets, it is easy to check that no other edge blocks the constructed matching.

Claim 14. If there is a super stable matching $M$ in $G$, then there is a truth assignment that satisfies $B$.
Proof. If either $t$ or $f$ is unmatched in $M$, then at least one of their $x$ and $\bar{x}$ vertices is either unmatched or it is matched along an interconnecting edge. In both cases, this vertex has a blocking edge leading to the unmatched $t$ or $f$. With this we have already shown three statements:
(1) for each variable gadget, either $\{t x, f \bar{x}\} \in M$ or $\{f x, t \bar{x}\} \in M$;
(2) no interconnecting edge appears in $M$;
(3) $M$ is perfect in each clause gadget, since stable matchings are inclusionwise maximal matchings.
From the last point we can see that in each clause gadget, exactly two $u$-vertices are matched to partners strictly preferred to their interconnecting edge. Therefore, each clause gadget has exactly
one interconnecting edge that is incomparable to the edge in $M$ at the clause gadget. In order to ensure stability, this edge must be worse than the edge in $M$ at its variable gadget. This only happens if the corresponding literal is satisfied in the truth assignment. We have now proved that each clause is satisfied.

With this, we have completed our hardness proof.

## 6 THE STABLE ROOMMATES PROBLEM

In this section, we determine the complexity of finding a weakly, strongly, and super stable matching in non-bipartite instances, depending on the preference structure of the agents. Then we extend the well-known Rural Hospitals Theorem for the most general setting, remark on structural results related to this theorem, and also pose some open questions.

### 6.1 Complexity results

The six degrees of orderedness can be interpreted in the non-bipartite stable roommates problem as well. For strictly ordered preferences, all three notions of stability reduce to the classical stable roommates problem, which can be solved in $O(m)$ time [18]. The weakly stable variant becomes NP-complete already if ties are present [36]. The strongly stable version with ties can be solved in polynomial time [28, 37], but it is NP-complete for posets [23]. For super-stability, there is an $O(m)$ time algorithm for preferences ordered as posets [20], while the case with acyclic preferences was shown in Theorem 5.5 to be NP-complete for bipartite instances as well. Hence, because of the trivial reduction of the bipartite problem to the more general, non-bipartite problem, the super-stable roommates problem with structures that are at least as general as acyclic preferences, is NP-complete. Due to our new results, the complexity analysis of all cases has thus been completed. We summarize the known and the new results in Table 2.

|  | strict | ties | poset | acyclic | asymmetric | arbitrary |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
| WEAK | $O(m)[14]$ | NPC [36] | NPC [36] | NPC [36] | NPC [36] | NPC [36] |
| STRONG | $O(m)[14]$ | $O(n m)[28,37]$ | NPC [23] | NPC [23] | NPC [23] | NPC [23] |
| SUPER | $O(m)[14]$ | $O(m)[20]$ | $O(m)[20]$ | NPC | NPC | NPC |

Table 2. The complexity table for the stable roommates problem with pairwise preferences. Unlike in Table 1, rows here represent the three stability notions, while columns stand for the degree of orderedness in each agent's preferences. The colored fields mark our contribution, and they follow from Theorem 5.5.

### 6.2 Structural results

The Rural Hospitals Theorem for strict lists [15] states that the set of matched vertices is identical in all stable matchings. In the case of preferences with ties, the theorem has been shown to hold for strongly and super stable matchings even for non-bipartite instances [21, 32], and fail for weak stability even for bipartite graphs. We show here that the positive results carry over even to asymmetric pairwise preferences.
Theorem 6.1. In an instance of the strongly/super stable roommates problem with asymmetric pairwise preferences, all stable matchings cover the same set of vertices.

Proof. We rely on the usual alternating path argument. Assume that there is a vertex $v$ that is covered by a stable matching $M_{1}$, but left uncovered by another stable matching $M_{2}$. Then, for both strong and super stability, $M_{1}(v)$ must strictly prefer its partner in $M_{2}$ to $v$, otherwise edge $v M_{1}(v)$ blocks $M_{2}$. Iterating this argument, we derive that such a $v$ cannot exist in a finite graph.

We remark that the Rural Hospitals Theorem does not hold for arbitrary preferences, not even for bipartite instances. In the instance consisting of one man $u$ and two women $w_{1}$ and $w_{2}$, so that $w_{1} \sim_{u} w_{2}$, both $u w_{1}$ and $u w_{2}$ are strongly and super stable matchings.

The Rural Hospitals Theorem often indicates a rich underlying structure of the set of stable matchings. Such results were shown in the case of preferences with ties [28], and occasionally even for posets [32].

Bipartite instances. Strongly stable matchings are known to form a distributive lattice [32], and there is a partial order with $O(m)$ elements representing all strongly stable matchings [29]. However, once posets are allowed in the preferences, the lattice structure falls apart [32]. The set of super stable matchings has been shown to form a distributive lattice even if preferences are expressed in the form of posets [32,38]. The questions arise naturally: does this distributive lattice structure carry over to more advanced preference structures in the super stable case? Also, even if no distributive lattice exists on the set of strongly stable matchings, is there any other structure and if so, how far does it extend in terms of orderedness of preferences?

Non-bipartite instances. For strong stability in the presence of ties in lists, Kunysz [28] showed that there exists a partial order with $O(m)$ elements representing the set of all strongly stable matchings, and he also gave an $O(n m)$ algorithm for constructing such a representation. For super stable matchings with posets, Fleiner et al. [13] gave algorithms for computing all super stable pairs, enumerating all super stable matchings, and finding a minimum regret super stable matching. Similar structural results for preferences given as more involved relations are not known for either of the two stability notions, and they are left for future research.

## 7 OPEN PROBLEM

In Section 4 we presented an $O\left(m^{2}\right)$-time algorithm computing a strongly stable matching or reporting that none exists, given an instance of the stable marriage problem with ties on one side, and asymmetric pairwise preferences on the other side. This matches the complexity shown by Irving [19] under the same stability criteria, but with ties on both sides in a complete instance. The completeness of the preferences was later relaxed by Manlove [31], who ensured the same time complexity. Kavitha et al. [26] designed a breakthrough $O(n m)$-algorithm for the latter problem, by introducing the notion of a level defined on vertices, edges, and matchings. Each execution of their algorithm is a particular execution of the algorithm of Manlove [31]. However, the algorithm from [26] makes sure to always keep the actual matching level-maximal, which allows to share the cost of the execution among women and to upper bound the individual cost by $O(m)$. It is an interesting question whether this approach could be applied in a way to reduce the complexity of our algorithm. It seems to be challenging to implement the idea in our case for the following reason. The upper bound given by Kavitha et al. [26] is proven by a simple observation that holds for the algorithms designed by Irving [19] and Manlove [31]: any woman may only keep proposals from her last tie. Hence, whenever a woman receives a new proposal edge, she either keeps all of her previous proposal edges, or drops all of them. This is a property that clearly does not even hold when applying partially ordered sets for women. Hence, the direct application of the technique is not effortless, and thus its extension would be a nice contribution.

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