



## Two small, cysteine-rich and cationic antifungal proteins from *Penicillium chrysogenum*: A comparative study of PAF and PAFB

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### ABSTRACT

The filamentous fungus *Penicillium chrysogenum* Q176 secretes the antimicrobial proteins (AMPs) PAF and PAFB, which share a compact disulfide-bond mediated, β-fold structure rendering them highly stable. These two AMPs effectively inhibit the growth of human pathogenic fungi in micromolar concentrations and exhibit antiviral potential without causing cytotoxic effects on mammalian cells *in vitro* and *in vivo*.

The antifungal mechanism of action of both AMPs is closely linked to - but not solely dependent on - the lipid composition of the fungal cell membrane and requires a strictly regulated protein uptake into the cell, indicating that PAF and PAFB are not canonical membrane active proteins. Variations in their antifungal spectrum and their killing dynamics point towards a divergent mode of action related to their physicochemical properties and surface charge distribution.

In this review, we relate characteristic features of PAF and PAFB to the current knowledge about other AMPs of different sources. In addition, we present original data that have never been published before to substantiate our assumptions and provide evidences that help to explain and understand better the mechanistic function of PAF and PAFB. Finally, we underline the promising potential of PAF and PAFB as future antifungal therapeutics.

### 1. Introduction

Approximately one billion people are suffering from severe fungal diseases which cause around 1.5 million deaths per year [1]. Ninety percent of all deaths are a consequence of fungal infections caused by species of the genera: *Aspergillus*, *Candida* and *Cryptococcus* [2]. The treatment of these pathogens is hampered by the limited number of available antifungal drugs belonging mainly to the classes of azoles, echinocandins and polyenes [3]. The extensive use of antimycotics stimulates the development of resistance towards these agents in many fungal pathogens [4]. Also, in agriculture the control of plant diseases caused by filamentous fungi pose severe problems as species like *Fusarium* are responsible for enormous crop losses every year [5]. Therefore, there is a great urgency to develop alternative antifungal drugs and treatment strategies.

Filamentous fungi produce a wide spectrum of antimicrobial proteins (AMPs) that serve as defense and/or signaling molecules for their hosts [6–8]. Such AMPs are promising candidates for the development of new therapeutic compounds. These small (~5.6–6.6 kDa), cysteine-rich and amphipathic proteins are secreted into the culture supernatant and can be easily purified *via* ion-exchange chromatography due to their positive net charge. Therefore, large scale industrial production of AMPs would be easy and inexpensive [9,10].

AMPs of fungal origin are highly active against pathogenic filamentous fungal species *e.g.* the human pathogen *Aspergillus fumigatus* or the plant pathogens *Botrytis* spp. and *Fusarium* spp. [8]. Additionally, some show anti-yeast activity and inhibit the growth of pathogenic yeasts like *Candida albicans* at low micromolar [μM] concentrations [11–13].

In this review, we focus on the two AMPs derived from the well-

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known penicillin producing ascomycete *Penicillium chrysogenum* Q176: the *P. chrysogenum* antifungal protein (PAF) and the *P. chrysogenum* antifungal protein B (PAFB). These two AMPs do not only inhibit the growth of filamentous fungi and yeasts but also possess antiviral potential without exhibiting any cytotoxic or hemolytic activity on mammalian cells [11]. A detailed knowledge about the mechanistic function of PAF and PAFB is an important prerequisite for their exploitation and also related biomolecules in industrial drug design and the development of new anti-infective treatment strategies for medical and agricultural application. Here, we highlight and discuss the similarities and differences of these two *P. chrysogenum* AMPs regarding structure, antimicrobial spectrum and mode of action.

## 2. Phylogenetic aspects

Screening of genomic databases revealed that AMPs with potential antifungal activity are widespread among filamentous ascomycetes, especially in the class Eurotiomycetes (specifically the genera *Aspergillus*, *Monascus*, *Penicillium*, *Paecilomyces*) [14] and Sordariomycetes (containing the genus *Fusarium*) [15]. A detailed phylogenetic analysis revealed that the so far isolated small, cysteine-rich antifungal AMPs from Eurotiomycetes and their putative and predicted homologs can be divided into four well-separated clades. These are named in this review according to their first characterized representatives: the clade of (i) *Aspergillus giganteus* antifungal protein (AFP) [16]; (ii) *P. chrysogenum* antifungal protein (PAF) [17]; (iii) *Penicillium brevicompactum* “bubble protein” (BP) [18] and (iv) *Neosartorya (Aspergillus) fischeri* antifungal protein 2 (NFAP2) [13]. Interestingly, some ascomycetous isolates are able to produce more than one AMP belonging to different clades [14]; e.g. the *Penicillium rubens* Wisconsin 54-1255 strain (PAF and BP-clade) and *N. fischeri* NRRL 181 (PAF-, BP-, and NFAP2-clade). Notably, the PAF and PAFB producing *P. chrysogenum* Q176 [11,17] is the original ancestor of the industrially exploited Wisconsin strains [19]. This analysis also revealed that the PAF-clade can be further divided into four subclades [14], containing (1) the *Aspergillus niger* antifungal protein (AnAFP) [12] and its putative homologs; (2) the PAF-like proteins; (3) the *N. (A.) fischeri* antifungal protein (NFAP) [20] and respective *Penicillium* spp. homologs; as well as (4) the *Penicillium* protein PAFB [11] and other closely related proteins. A recent mining of the UniProt database [21] for PAF-like *Penicillium* AMPs resulted in several putative representatives with 34–88% amino acid (aa) identity (Fig. 1A) and distinct physicochemical features (Table 1). Based on the primary structure they are clearly separated into three different subclades, representing PAF-, PAFB-, and NFAP-like proteins, but no AnAFP-similar ones. The well-supported separation of *Penicillium* PAFBs from PAFs in this phylogenetic tree proposes differences in protein structure and antifungal mode of action, which is further discussed below (Fig. 1B).

## 3. Antimicrobial and antiviral activity

PAF and PAFB exhibit growth inhibitory activity against numerous pathogenic molds, yeasts and fungal model organisms, whereas both proteins were found inactive against bacteria such as the Gram-negative *Escherichia coli* or the Gram-positive *Bacillus subtilis* in the concentration range tested (up to 32  $\mu$ M) [11,27]. Pathogenic fungi that are highly susceptible towards low doses of PAF and PAFB are the human pathogens: *A. fumigatus*, *A. niger*, *Trichophyton* spp. and *Candida* spp., as well as the model fungi *Neurospora crassa* and *Saccharomyces cerevisiae*. These strains are similarly sensitive towards both proteins as their growth is inhibited in the presence of 0.25–4  $\mu$ M PAF or PAFB, respectively (Table 2).

Notably, differences exist in the spectrum of microorganisms targeted by these two related proteins. The clinically relevant and amphotericin B-resistant human pathogen *Aspergillus terreus* is highly susceptible towards low doses of PAFB (1  $\mu$ M) whereas a high

concentration of PAF (32  $\mu$ M) is required for growth inhibition [11,29]. The same holds true for the producing mold *P. chrysogenum* itself, whose growth is inhibited at 0.5  $\mu$ M PAFB. In contrast, the highest PAF concentration tested (32  $\mu$ M) was not sufficient to achieve the same growth inhibition [11]. Notably, the AMP encoding genes *paf* and *pafb* are differently regulated in *P. chrysogenum*, *paf* being induced under nutrient limitation whereas *pafb* being expressed under excess nutrient availability [30]. The difference in the gene expression pattern and in the AMP susceptibility of the host strengthen our hypothesis that PAF and PAFB cover additional functions, possibly related to signaling in growth and development regulation in *P. chrysogenum* [30,31].

However, in sensitive target fungi PAF acts in a dose-dependent manner and causes a linear growth reduction with increasing protein concentrations as documented with the fungal model *N. crassa* (Fig. 2). PAFB, instead, does not significantly alter the fungal proliferation within a wide concentration range until the protein amount is reached that effectively inhibits growth by 90% (IC<sub>90</sub>) (Fig. 2). The differences in the antimicrobial spectrum as well as in the dynamics of antifungal activity suggest different modes of interaction of PAF and PAFB with the fungal target cell.

The risk of resistance development in fungal pathogens is greatly reduced with fungicidal agents [32]. Notably, PAF and PAFB show fungicidal potential on the wide-spread opportunistic human pathogen *C. albicans* killing planktonic cells and inhibiting biofilms (Fig. 3) [11,14].

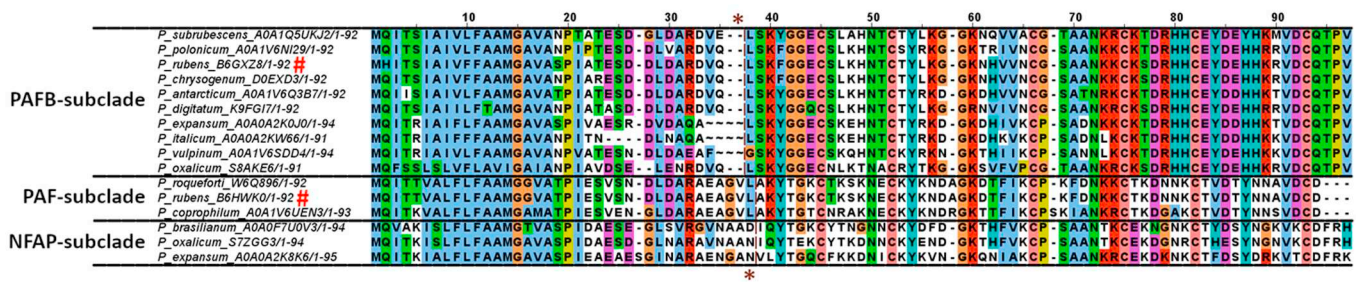
In addition to their antifungal activity, the *P. chrysogenum* AMPs also possess antiviral potential. Both proteins diminished the cytopathogenic effects of the Human Coronavirus in human cervix carcinoma cells [11]. To our best knowledge, this was the first report on the antiviral potential of small, cysteine-rich and cationic proteins from fungal origin. Investigations are in progress to study in more detail the antiviral spectrum and unravel the mechanism of action that impedes virus activity.

## 4. Structure-function relation of PAF and PAFB

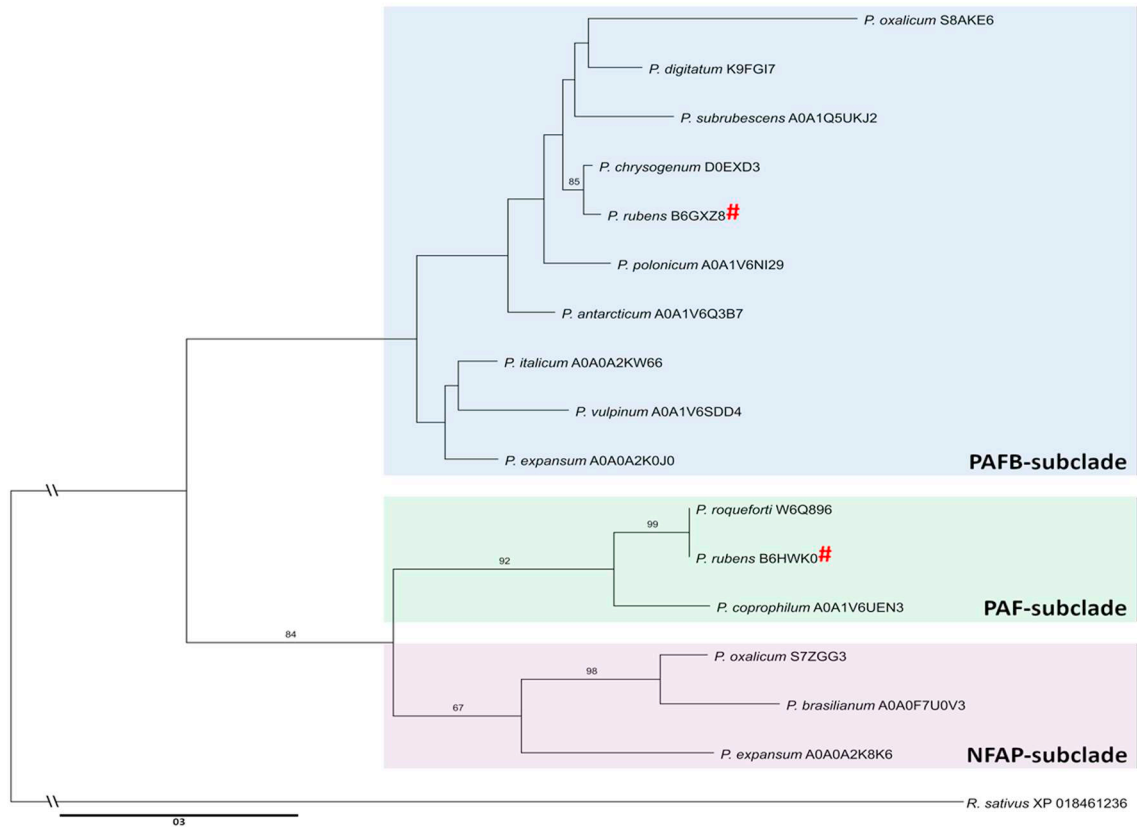
Understanding the mechanistic mode of action of AMPs requires detailed knowledge of their structural features. PAF and PAFB are produced as 92 aa long preproteins. Both proteins contain a signal (pre)sequence that directs their secretion and a prosequence, which is suggested to prevent premature protein folding and activity [33]. A high degree of homology (56% aa identity) can be found within the preprosequence of PAF and PAFB. The pre- and prosequence are removed before or during protein secretion into the supernatant. The mature PAF and PAFB consist of 55 or 58 aa, respectively, with a high content of positively charged residues: PAF contains 13 lysines leading to a net charge of +4.7 (at pH 7), whereas PAFB contains 16 positively charged aa: eight lysines, two arginines and six histidines, which renders this protein slightly higher positively charged (+5.2 at pH 7) than PAF (Table 3). Importantly, N-terminal shorter variants of PAFB exist, which differ from the full-length protein by the lack of one (lysine) or two (serine/lysine) aa. The shortest N-terminal variant of PAFB (sfPAFB) served to determine its solution structure (Fig. 4) [11].

For both AMPs the solution structures have been solved by nuclear magnetic resonance (NMR) spectroscopy [11,34,35], and for PAF the crystal structures complexed with sulfonato-calixarenes reflect the NMR structure (PDB ID: 2mhv) [36]. Compared to the NMR structures that are based on proton-proton distance measurements only, the X-ray structures more accurately define disulfide conformations. Indeed, the PAF X-ray structures (PDB ID: PAF-sclx4, 6 ha6; PAF-sclx6, 6hah; PAF-sclx8, 6hah) [37] exhibited lower energy disulfide conformers than the 2mhv NMR structure [35]. Similar to other members of the PAF cluster, PAF and PAFB adopt a Greek key super-secondary structure and five antiparallel  $\beta$ -strands, including the fifth amphipathic strand, are connected by four slightly flexible loops. The strands form two orthogonally packed  $\beta$ -sheets leading to a  $\beta$ -barrel topology, rendering the

# A



# B



**Fig. 1.** (A) Clustal W multiple alignment of the putative PAF-like antifungal AMPs from *Penicillium* spp. from the UniProt database [21]. Alignment was generated by BioEdit [22] and visualized by Jalview 2.11.0. [23]. The cleavage of the preprosequence and the first aa of the mature protein is marked by brown dotted line and asterisks. After the species name the accession number of the respective AMP (see Table 1) is indicated. The Clustal X default color scheme was applied (<http://www.jalview.org/help/html/colourSchemes/clustal.html>). (B) Maximum-likelihood (ML) tree of putative PAF-like AMPs from *Penicillium* spp. from UniProt database [21]. The alignment of the full-length proteins was generated with PRANK v. 140,110 [24] with default settings for phylogenetic analysis. ML analysis was performed with RAXML v. 8.2.10 [25] under the GAMMA distributed rate heterogeneity empirical frequencies model with DCMut substitution matrix in 1000 through bootstrap replicates. ML bootstrap values > 60% are shown next to branches. After the species name the accession number of the respective AMP (see Table 1) is indicated. “#” marks PAF and PAFB from *P. rubens* Wisconsin 54-1255, which is the descendent strain of *P. chrysogenum* Q176 [19].

tertiary structure of PAF and PAFB very similar despite low sequence similarity (35.2%) (Fig. 4) [11]. Both proteins contain six cysteine residues, that form three intramolecular disulfide bridges with a common “*abcabc*” pattern [11,34]. This strongly stabilized structure with a central hydrophobic core around the disulfides results in resistance against adverse environmental conditions, e.g. proteolytic degradation, high temperature, chemical denaturants and extreme pH range [34] (PAFB; unpublished data). In PAFB, the side chains of Tyr 43 and Tyr 15 equipped with other apolar residues also contribute to the hydrophobic core. In the sPAFB (PDB ID: 2nc2) the strands are connected by three small loops (1, 2, 4) and one large loop (3) forming the  $\beta$ -turns. The

conformation of loop 3 shows significant differences between PAF and sPAFB. The central part of loop 3 in sPAFB includes three conserved lysines (Lys 36, 37, 39), and equipped with the N-terminal Lys 9, they contribute to a contiguous positively charged surface. Still, PAFB does not have a “belt” of aligned lysines as compared to PAF (Lys 6, 15, 42) and has a different electrostatic surface potential [11]. The loops exhibit a limited flexibility that might allow the interaction with so far unidentified fungal target molecules, e.g. membrane lipids and proteins. A breakthrough for the possibility of structural analysis of the *P. chrysogenum* AMPs was the development of a *P. chrysogenum*-based expression system. This tool uses the strong promoter of the *paf*-gene to

**Table 1**Putative PAF-like AMP homologs of *Penicillium* spp. from UniProt database [21], and their physicochemical properties analyzed *in silico*.<sup>§</sup>

Species	UniProt ID	MW <sup>§</sup> [Da]	pI	Net charge (pH 7)	GRAVY <sup>*</sup>	No. positively charged aa
PAF-subclade						
<i>P. roqueforti</i>	W6Q896	6134.96	9.09	+ 4.7	− 1.335	13
<i>P. rubens</i>	B6HWK0	6250.05	8.93	+ 4.7	− 1.375	13
<i>P. coprophilum</i>	A0A1V6UEN3	6272.10	9.28	+ 6.7	− 1.166	13
PAFB-subclade						
<i>P. subrubescens</i>	A0A1Q5UKJ2	6464.30	8.29	+ 2.7	− 0.781	12
<i>P. polonicum</i>	A0A1V6NI29	6591.44	9.22	+ 6.9	− 1.153	17
<i>P. rubens</i>	B6GXZ8	6500.32	8.83	+ 5.2	− 1.031	16
<i>P. chrysogenum</i>	D0EXD3	6500.32	8.83	+ 5.2	− 1.031	16
<i>P. antarcticum</i>	A0A1V6Q3B7	6621.33	8.29	+ 3.2	− 1.284	16
<i>P. digitatum</i>	K9FGI7	6575.39	9.06	+ 5.9	− 1.000	15
<i>P. expansum</i>	A0A0A2K0J0	6592.28	7.80	+ 2.2	− 1.570	17
<i>P. italicum</i>	A0A0A2KW66	6718.48	8.27	+ 3.2	− 1.538	18
<i>P. vulpinum</i>	A0A1V6SDD4	6685.55	9.14	+ 7.2	− 1.467	18
<i>P. oxalicum</i>	S8AKE6	6528.37	9.02	+ 5.7	− 1.031	15
NFAP-subclade						
<i>P. brasilianum</i>	A0A0F7U0V3	6510.26	8.96	+ 5.2	− 1.172	12
<i>P. oxalicum</i>	S7ZGG3	6638.31	8.27	+ 2.5	− 1.435	13
<i>P. expansum</i>	A0A0A2K8K6	6644.70	9.48	+ 8.7	− 1.081	15

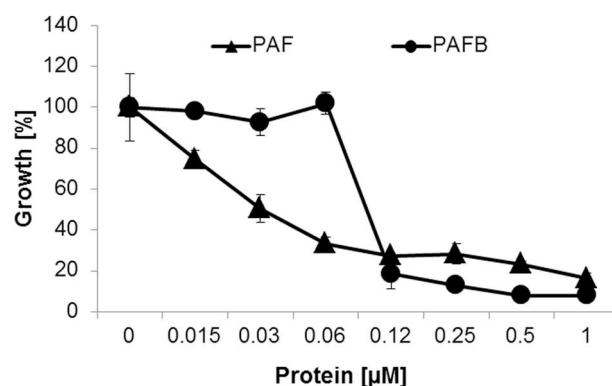
<sup>§</sup> ExPASy ProtParam tool [26].<sup>§</sup> MW: Molecular Weight.<sup>\*</sup> GRAVY: Grand Average of Hydropathy.Protein Calculator v3.4 (The Scripps Research Institute; <http://protecalc.sourceforge.net/>) was applied for calculations of physicochemical properties.**Table 2**

Activity of PAF and PAFB on fungi.

Organisms	IC <sub>90</sub> [μM] <sub>§</sub>		References
	PAF	PAFB	
Filamentous fungi			
<i>Aspergillus fumigatus</i> <sup>§</sup>	1	0.25	[11]
<i>Aspergillus niger</i> <sup>§</sup>	0.25	0.50	[11]
<i>Aspergillus terreus</i> <sup>§</sup>	32	1	[11]
<i>Neosartorya fischeri</i> <sup>§</sup>	0.50	1	This study
<i>Neurospora crassa</i> <sup>#</sup>	0.06	0.12	[11]
<i>Penicillium chrysogenum</i> <sup>§</sup>	> 32	0.50	[11]
<i>Trichophyton rubrum</i> <sup>+</sup>	0.25	0.50	[11]
<i>Trichophyton mentagrophytes</i> <sup>+</sup>	0.02	0.30	This study
Yeasts			
<i>Candida albicans</i>	4	1	[11]
<i>Candida glabrata</i>	2.5	0.60	[28]
<i>Candida krusei</i>	5	0.60	[28]
<i>Candida parapsilosis</i>	2.5	0.60	[28]
<i>Saccharomyces cerevisiae</i>	2	1	[11]

<sup>\*</sup> IC<sub>50</sub>, AMP concentration inhibiting growth ≥ 90%. The antifungal activity was tested in a microdilution broth assay by inoculating conidia (10<sup>4</sup>/mL) or yeast cells (10<sup>4</sup>/mL) with increasing concentrations of PAF or PAFB.<sup>§</sup> 0.1 × potato dextrose broth (PDB) medium 48 h, 25–30 °C.<sup>+</sup> 0.2 × Vogel's medium 32 h at 25 °C. <sup>+</sup> 0.1 × PDB medium 8 days, 37 °C; Yeasts were grown for 24–48 h at 30 °C in 0.05–0.1 × PDB.

produce cysteine-rich and cationic AMPs in a *P. chrysogenum* *paf* deletion strain ( $\Delta paf$ ), which provides the secretion of the recombinant proteins in high yields into the supernatant and the correct disulfide-bonding and protein folding. The use of defined minimal medium in combination with <sup>15</sup>N and/or <sup>13</sup>C isotopes allows uniform labelling of the proteins and easy one-step purification by ion-exchange chromatography avoiding impurities that hamper NMR-based analysis [9]. The functional importance of the loop sequences was demonstrated by creating the recombinant PAF variants PAF<sup>D19S</sup>, PAF<sup>F31N</sup> and PAF<sup>Y48Q</sup>, in which distinct aa in loop 2 (position 19), loop 3 (position 31) and loop 4 (position 48) were substituted, respectively [9,38] (Table 3). All analyzed variants retained an overall 3D structure similar to PAF but lost antifungal activity (see Chapter 5). This shows that AMPs are finely tuned for their activity and selectivity, and neither the overall fold, nor



**Fig. 2.** Growth inhibition of *N. crassa* by increasing concentrations of PAF and PAFB. The antifungal activity was tested in a microdilution broth assay by inoculating conidia (10<sup>4</sup>/mL) with increasing concentrations of PAF or PAFB in undiluted Vogel's medium and incubation for 30 h at 25 °C without shaking. Growth was determined spectrophotometrically (FLUOstar Omega, BMG Labtech) measuring the optical density at  $\lambda = 620$  nm. Values represent the mean  $\pm$  SD (n = 3, technical triplicates) growth (%) in the presence of AMPs in comparison to the untreated control which was set to be 100%. The result of one representative experiment of two biological repeats is shown.

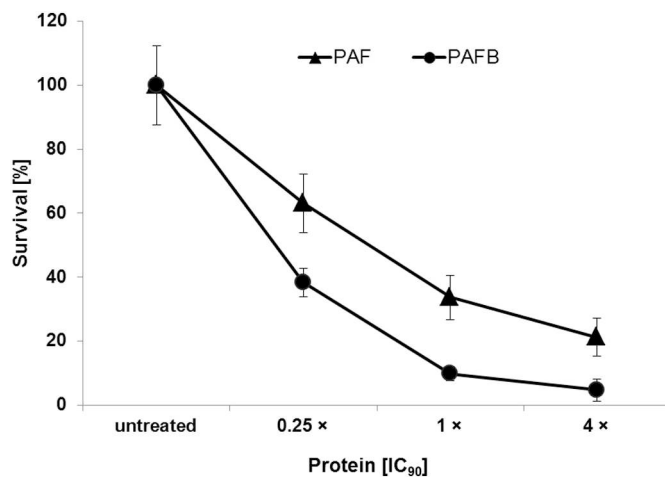
the gross physical properties of a given sequence warrant biological impact.

#### 4.1. The $\gamma$ -core motif - functional or structural determinant?

PAF and PAFB contain a ten-aa long conserved consensus sequence in loop 1, the so-called gamma( $\gamma$ )-core motif [11,14]. This motif is present in many disulfide-stabilized AMPs of prokaryotes and eukaryotes. When folded, it resembles the Greek letter “ $\gamma$ ” and it is composed of the primary structure GXCX<sub>3-9</sub>C, for which a central role in AMP function has been reported [14,40]. Synthetic peptides derived from specific plant defensins that span this motif exhibit antimicrobial activity *per se* [41].

In agreement with these reports, a positively charged peptide (P $\gamma$ ) (net charge +3.9 at pH 7) spanning the  $\gamma$ -core of PAF showed anti-*Candida* activity *per se*, whereby exhibiting reduced activity by 2.5-fold





**Fig. 3.** Killing of *C. albicans* exposed to PAF and PAFB. Planktonic cells ( $10^4$ /mL) were mixed with 0.25  $\times$ , 1  $\times$  and 4  $\times$  the IC<sub>90</sub> of PAF or PAFB in 0.1  $\times$  PDB and incubated for 6 h at 30 °C. Samples with appropriate dilutions were plated on 0.1  $\times$  PDB agar and the colony number was determined after 24 h of incubation at 30 °C. Values represent the mean  $\pm$  SD (n = 3, technical triplicates) colony number (%) in the presence of AMPs in comparison to the number of colonies from the untreated control at time point 0 h, which was set to be 100%. The result of one representative experiment of two biological repeats is shown.

(IC<sub>90</sub> 10  $\mu$ M) compared to PAF (IC<sub>90</sub> 4  $\mu$ M) (Table 2, Table 4). Interestingly, the substitution of neutral aa in P $\gamma$  by positively charged and less hydrophobic ones resulted in the peptide P $\gamma$ <sup>opt</sup> with eight-fold increased efficacy (IC<sub>90</sub> 1.3  $\mu$ M) than P $\gamma$  and four times higher efficacy than PAF (Table 4) [14]. The improvement in antifungal activity could also be transferred to the full-length PAF when the aa in loop 1 were substituted by the same residues as those used for designing P $\gamma$ <sup>opt</sup>. The

resulting PAF<sup>opt</sup> had a four-fold higher anti-*Candida* activity than the native protein (Table 2, Table 3) [14]. Both, P $\gamma$ <sup>opt</sup> and PAF<sup>opt</sup> counteracted *Candida*-biofilm formation more effectively than the unmodified peptide and protein [14]. These results underline the important role of the  $\gamma$ -core motif for the antifungal activity of PAF. They further demonstrate that the antifungal efficacy of a synthetic  $\gamma$ -core peptide and of the full-length AMP can be improved by rational design, increasing the net charge and the hydrophilicity in this conserved motif by specific aa exchanges.

However, this observation is not necessarily true for all AMPs carrying a  $\gamma$ -core motif. A synthetic peptide spanning the  $\gamma$ -core of PAFB (PB $\gamma$ ) exhibited no activity against *C. albicans* when applied at concentrations of up to 400  $\mu$ M [28]. It has to be noted here that the PB $\gamma$  is nearly neutral (net charge +0.1 at pH 7) and less hydrophilic compared to P $\gamma$ . This could possibly explain its inactivity. An “optimized” version of PB $\gamma$  (PB $\gamma$ <sup>opt</sup>) was generated by the exchange of distinct aa to positively charged and hydrophilic ones, leading to an increase in the net charge and to a reduction of the grand average of hydropathy (GRAVY). PB $\gamma$ <sup>opt</sup> exhibited anti-*Candida* activity at an IC<sub>90</sub> of 1.3  $\mu$ M (Table 4), which is similar to the IC<sub>90</sub> of the full-length PAFB (Table 2). These results again underline that a high positive net charge and hydrophilicity result in a markedly higher antifungal efficacy. In contrast, our attempt to improve the PAFB efficacy by generating a PAFB variant with the primary structure of PB $\gamma$ <sup>opt</sup> in its  $\gamma$ -core was unsuccessful. The recombinant protein PAFB<sup>opt</sup> was readily degraded when expressed with the *P. chrysogenum*-based expression system [28]. It is likely that the  $\gamma$ -core of PAFB contributes to protein structure and stability. The exchange of certain aa in this sensitive region could have resulted in a different folding of the mutated protein PAFB<sup>opt</sup> and rendered it more susceptible for proteolysis. Therefore, PAFB<sup>opt</sup> was prepared stepwise, using microwave-assisted solid-phase peptide synthesis and fluorenylmethoxycarbonyl (Fmoc) chemistry. To ensure the naturally occurring “abcabc” pattern, disulfide bridges were formed in a regio-selective manner (Fig. 5) [42]. Sulfhydryl (SH) groups of the three pairs of

**Table 3**

Amino acid sequences of *P. chrysogenum* AMPs and AMP variants and their physicochemical properties analyzed *in silico*.<sup>§</sup>

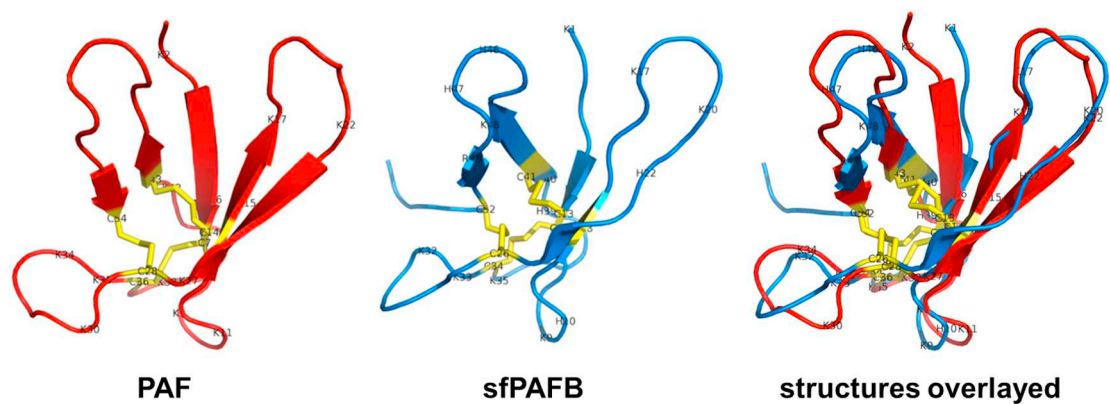
Proteins and variants	MW <sup>§</sup> [Da]	pI	Net charge at pH 7	GRAVY <sup>*</sup>	No. positively charged aa	References
<b>PAF wt</b>	6250.05	8.93	+4.7	−1.375	13	[17]
AKYTGKCTKSKNECKYKNDAGKDTFIKCPKFDNKKCTKDNNKCTVDTYNNAVDCD						
<b>PAF<sup>opt</sup></b>	6290.20	9.30	+7.7	−1.438	15	[14]
AKYTGKCTKSKNECKYKNDAGKDTFIKCPKFDNKKCTKDNNKCTVDTYNNAVDCD						
<b>PAF<sup>D19S</sup></b>	6222.03	9.09	+5.7	−1.325	13	[38]
AKYTGKCTKSKNECKYKNSAGKDTFIKCPKFDNKKCTKDNNKCTVDTYNNAVDCD						
<b>PAF<sup>D53SD55S</sup></b>	6194.02	9.22	+6.7	−1.276	13	[39]
AKYTGKCTKSKNECKYKNDAGKDTFIKCPKFDNKKCTKDNNKCTVDTYNNAVSCS						
<b>PAF<sup>Y48Q</sup></b>	6215.00	8.95	+4.7	−1.415	13	[9]
AKYTGKCTKSKNECKYKNDAGKDTFIKCPKFDNKKCTKDNNKCTVDTQNNNAVDCD						
<b>PAF<sup>F31N</sup></b>	6216.97	8.93	+4.7	−1.489	13	[9]
AKYTGKCTKSKNECKYKNDAGKDTFIKCPKNDNKKCTKDNNKCTVDTYNNAVDCD						
<b>PAF<sup>K9A</sup></b>	6192.95	8.77	+3.7	−1.271	12	[34]
AKYTGKCTASKNECKYKNDAGKDTFIKCPKFDNKKCTKDNNKCTVDTYNNAVDCD						
<b>PAF<sup>K35A</sup></b>	6192.95	8.77	+3.7	−1.271	12	[34]
AKYTGKCTKSKNECKYKNDAGKDTFIKCPKFDNKACTKDNNKCTVDTYNNAVDCD						
<b>PAF<sup>K38A</sup></b>	6192.95	8.77	+3.7	−1.271	12	[34]
AKYTGKCTKSKNECKYKNDAGKDTFIKCPKFDNKAADNNKCTVDTYNNAVDCD						
<b>PAFB wt</b>	6500.32	8.83	+5.2	−1.031	16	[11]
LSKFGGECSLKHNTCTYLKGGKNHVVNCGSAANKKCKSDRHHCEYDEHHKRVDCQTPV						
<b>PAFB<sup>opt</sup></b>	6546.52	9.51	+9.9	−1.236	19	This study
LSKFGGKCKTKKNKCTYLKGGKNHVVNCGSAANKKCKSDRHHCEYDEHHKRVDCQTPV						

<sup>§</sup> ExPASy ProtParam tool [26].

<sup>\*</sup> MW: Molecular Weight.

GRAVY: Grand Average of Hydropathy.

Protein Calculator v3.4 (The Scripps Research Institute; <http://protecalc.sourceforge.net/>) was applied for calculation of physicochemical properties. Red letters indicate aa exchanges.



**Fig. 4.** Structure of PAF (PDB ID: [2mhv](#), red) and sfPAFB (PDB ID: [2nc2](#), blue) and structural alignment of both proteins. sfPAFB is a truncated variant of PAFB, shorter with two aa residues at the N-terminus. Antiparallel  $\beta$ -strands represented by arrows form two overlapping  $\beta$ -sheets. Consecutive strands are connected by short turns or longer loop regions. The positions of cysteines and cationic residues are indicated in one letter code. Disulfide bonds are marked in yellow. PyMol 1.4.1 (Schrödinger, Inc.) software was used for structure alignment.

**Table 4**  
*In silico* predicted physicochemical properties of  $\gamma$ -core motives derived from PAF and PAFB and their anti-*Candida* efficacy.

Synthetic peptide	$\gamma$ -core sequence <sup>§</sup>	Net charge at pH 7 <sup>#</sup>	GRAVY <sup>§</sup>	IC <sub>90</sub> [ $\mu$ M] <sup>•</sup> <i>C. albicans</i>	References
P $\gamma$	Ac-KYTGKCTKSKNECK-NH <sub>2</sub>	+ 1.8	− 1.56	10	[14]
P $\gamma$ <sup>opt</sup>	Ac-KYTGKCTK <b>CK</b> KNKCK-NH <sub>2</sub>	+ 4.8	− 1.91	1.3	[14]
PB $\gamma$	Ac-KFGGECSLKHNTCT-NH <sub>2</sub>	+ 0.1	− 0.72	> 400	[28]
PB $\gamma$ <sup>opt</sup>	Ac-KFGG <b>CK</b> CTK <b>CK</b> KNKCT-NH <sub>2</sub>	+ 4.8	− 1.91	1.3	[28]

<sup>§</sup> Peptides were synthesized with –SH group of the cysteines being reduced. Red letters indicate aa exchanges.  
<sup>#</sup> Protein Calculator v3.4 (The Scripps Research Institute; <http://protecalc.sourceforge.net/>) was applied for net charge calculation.  
<sup>§</sup> GRAVY: Grand Average of Hydropathy.  
<sup>•</sup> IC<sub>90</sub>, AMP concentration inhibiting growth  $\geq$  90%.

cysteines were protected by trityl (Trt), acetamidomethyl (Acm) and methoxybenzyl (Mob) groups. Partially protected intermediates were isolated from the reaction mixtures after each step by reversed-phase high performance liquid chromatography (RP-HPLC). At the end of the synthesis, purification of PAFB<sup>opt</sup> was also performed by RP-HPLC.

Unexpectedly, the synthetic PAFB<sup>opt</sup> exhibited no improvement; rather a severe reduction in antifungal efficacy was observed when compared to that of the native PAFB. PAFB<sup>opt</sup> acted at a slightly increased IC<sub>90</sub> (2  $\mu$ M) against *C. albicans* when compared to PAFB and showed no activity against *A. fumigatus* (IC<sub>90</sub> > 400  $\mu$ M), which underlines a role in structure and stability rather than a functional role of the  $\gamma$ -core in PAFB. To address the hypothesis that the PAFB  $\gamma$ -core contributes to protein stability, we exposed PAFB and the synthetic PAFB<sup>opt</sup> to conditioned cell-free supernatants of 48 h cultures of *C. albicans* and *A. fumigatus*. The treated AMPs were then size fractionated on SDS-polyacrylamide gels to visualize any proteolytic degradation that might have occurred during fungal incubation. Indeed, PAFB<sup>opt</sup> was readily degraded when exposed to the cell-free *A. fumigatus* supernatant, whereas only a mild degradation - if at all - was observed with samples exposed to the *C. albicans* supernatant (Fig. 6). Obviously, *A. fumigatus* secretes a different set of proteases into the culture broth than *C. albicans*. The result explains the loss of activity of PAFB<sup>opt</sup> against *A. fumigatus*. PAFB, in contrast, showed no signs of proteolytic degradation when incubated with the supernatant of any of these fungal cultures, which underlines its higher stability against proteolysis (Fig. 6).

According to other reports, the role of the  $\gamma$ -core motif indeed differs between distinct AMPs. For example, peptides spanning the  $\gamma$ -core of AMPs from *Penicillium digitatum* (AfpB) or *N. fischeri* (NFAP2) had no

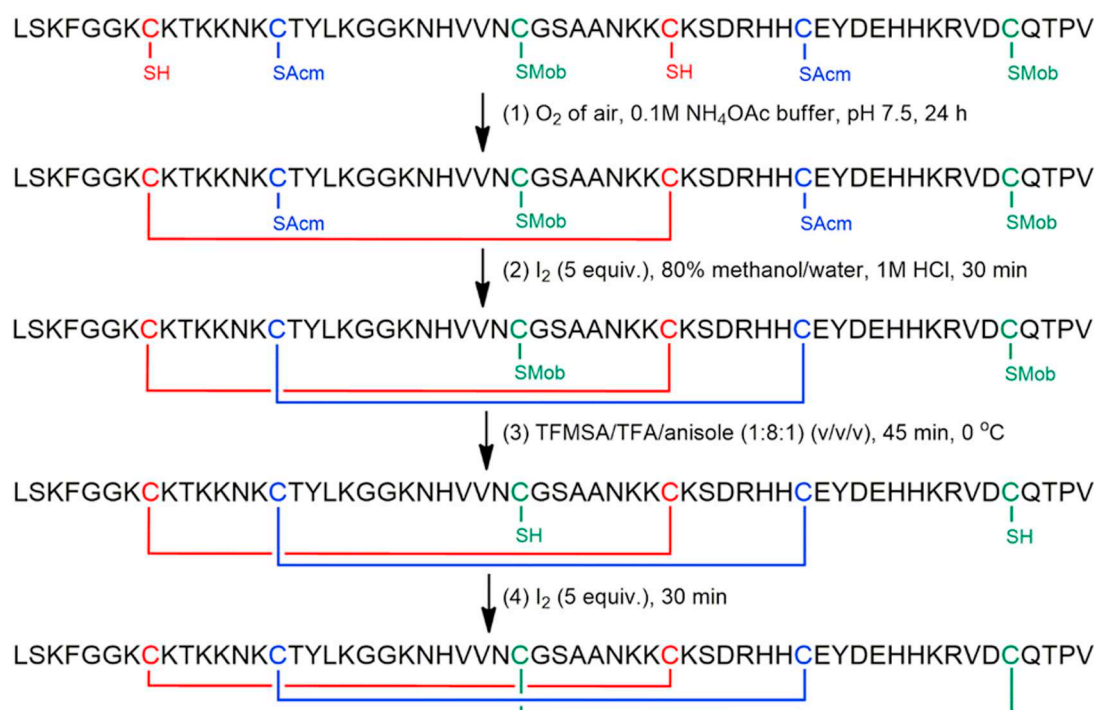
antifungal activity indicating that this motif is not important for the antifungal mode of action of these AMPs [43,44]. Similarly, a peptide spanning the  $\gamma$ -core of the plant defensin MsDef1 from *Medicago sativa* was inactive against *Fusarium graminearum*, whereas the  $\gamma$ -core peptide derived from the plant defensin MtDef4 from *Medicago truncatula* inhibited the growth of this plant pathogen at very low concentrations [41]. Notably, these two  $\gamma$ -core peptides significantly differ from each other with regard to primary structure and net charge, e.g. MtDef4 showing a higher positive net charge (+4.8 at pH 7) than MsDef1 (+0.9 at pH 7) [41].

Interestingly, the  $\gamma$ -core motifs in PAFB, AfpB and NFAP2 all have a lower positive net charge as compared to PAF. Functional mapping of AfpB [43,44] and NFAP2 [44] assigned an antifungal role to protein parts other than this specific motif. Thus, the  $\gamma$ -core motifs of AfpB and NFAP2 were proposed to be structurally important for folding and protein stability [43,44]. We therefore conclude that the  $\gamma$ -core motif fulfills different functions in the *P. chrysogenum* AMPs, contributing to folding and stability in PAFB and antimicrobial activity in PAF.

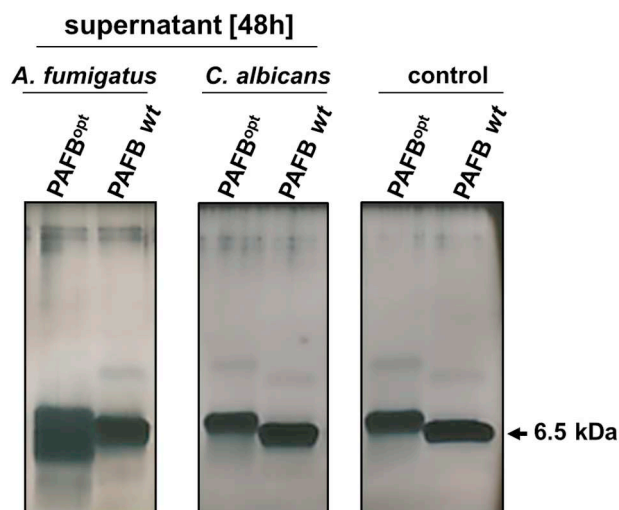
5. Mode of action

5.1. The medium composition determines fungal susceptibility

PAF and PAFB exhibit anti-yeast activity only in 0.1  $\times$  PDB (Table 2, Table 5), whereas no growth inhibitory potential was detected in other media tested, e.g. diluted yeast-extract peptone dextrose (0.1  $\times$  YPD) medium (Table 5). This could be explained with compounds of the medium that may (i) directly interact with the AMPs and reduce their activity; (ii) inhibit the interaction of the AMP with the



**Fig. 5.** Chemical synthesis of PAFB<sup>opt</sup> by regio-selective disulfide bond formation. The synthesized protein was detached from the solid support and protecting groups of the aa except Acm and Mob were removed by a trifluoroacetic acid (TFA)/water/dithiothreitol (95:5:3) (v/v/w) cleavage cocktail. The first disulfide bond was formed with O<sub>2</sub> of air in a pH 7.5 buffer (1). Iodine treatment cleaved Acm and oxidized free thiols of the second pair of cysteines in one step (2). After cleavage of Mob by a trifluoromethanesulfonic acid (TFMSA)/TFA/anisole mixture (3), iodine was used to form the third disulfide bond (4).



**Fig. 6.** Stability testing of PAFB wt and PAFB<sup>opt</sup> in cell-free supernatants of *A. fumigatus* and *C. albicans*. Spores or yeast cells (10<sup>4</sup>/mL) were grown for 48 h in 0.05 × PDB medium as stationary cultures at 37 °C and 30 °C, respectively. The hyphae and yeast cells were removed by centrifugation and 100 µL of the cell free supernatant were transferred to an Eppendorf tube together with 100 µg/mL of PAFB wt and PAFB<sup>opt</sup>. The samples were incubated for 1 h at 30 °C (*C. albicans* supernatant) and 37 °C (*A. fumigatus* supernatant). The proteins incubated in water served as controls (control). Samples were loaded in 10 µL aliquots (corresponding to 1 µg protein per sample) on a 18% (w/v) SDS polyacrylamide gel and size fractionated. Silver staining was performed to visualize the proteins.

fungal target molecule by competing for the binding site; or (iii) influence the physiology of the fungal target cell by regulating the presence/activity of interaction molecules located for example on the cell surface (cell wall, membrane). In a control experiment we tested the

**Table 5**

Susceptibility of *C. albicans* towards PAF and PAFB in different media.

AMP	IC <sub>90</sub> [µM] <sup>a</sup>	
	0.1 × PDB	0.1 × YPD
PAF	4	> 32
PAFB	1	> 32

<sup>a</sup> IC<sub>90</sub>, AMP concentration inhibiting growth ≥ 90%; Yeast cells (10<sup>4</sup>/mL) were grown in the presence of increasing AMP concentrations (0–32 µM) for 40 h at 30 °C in 0.1 × PDB or 0.1 × YPD, respectively. Values represent % growth in the presence of AMPs in comparison to the untreated control which was set to be 100% growth. All values are given as mean ± SD (n = 3).

susceptibility of the PAF and PAFB sensitive filamentous ascomycete *A. niger* in 0.1 × PDB and 0.1 × YPD. Both AMPs were active in the two media, which excludes the inactivation by media compounds binding to the proteins (data not shown). Instead, the accessibility, presence and/or activity of susceptibility determinants for PAF and PAFB in fungi might be influenced by the medium composition.

As it is true for many AMPs from different origin [38,45–47], the antifungal activity of PAF and PAFB is strongly influenced by the ionic strength of the medium. Among monovalent and divalent ions, Ca<sup>2+</sup> is the most effective ion that interferes with the activity of these two AMPs. High extracellular Ca<sup>2+</sup> reduce the antifungal activity of both AMPs in a concentration dependent manner [39,48] (PAFB, unpublished data). This raised the question whether Ca<sup>2+</sup>-binding modulates AMP activity. Recently we could show that the C-terminal residues Asp53 and Asp55 in PAF indeed specifically bind Ca<sup>2+</sup>, but this protein-ion interaction does not affect the antifungal activity [39]. The relevance of the C-terminal Ca<sup>2+</sup>-binding remains unknown. Notably, low Ca<sup>2+</sup> concentrations in the medium are necessary for the growth inhibitory activity of PAF, because Ca<sup>2+</sup> deprivation by the extracellular Ca<sup>2+</sup>-specific chelator BAPTA-AM counteracts PAF function [48,49].

## 5.2. The uptake of PAF and PAFB is a prerequisite for fungal cell death

Little is known about the role of the fungal cell wall in AMP function. The PAF/PAFB related AMP AFP from *A. giganteus* was shown to interact with the fungal cell wall first and then with the cell membrane [50]. This is supported by a strong *in vitro* binding capacity of AFP to chitin and the activation of the protein kinase C (PKC)-dependent cell wall integrity pathway as compensatory response in sensitive fungi exposed to AFP [34,51,52]. In contrast, the structural properties of PAF did not suggest any chitin binding ability [34]. Further studies revealed that PAF negatively interferes with PKC/mitogen-activated protein kinase (Mpk) signaling and does not activate the cell wall integrity pathway [53]. To substantiate these findings, *in vitro* activity assays with *N. crassa* using culture medium supplemented with  $\beta$ -1,3-glucan (a major cell wall polysaccharide in filamentous fungi) or glucosamine (building units of chitin) did not hamper the antifungal activity of PAF and PAFB (unpublished data). Therefore, the polysaccharide components of the cell wall do not seem to be the primary binding targets for these two *P. chrysogenum* derived proteins and their retention by the cell wall is unlikely.

Our studies further revealed that the binding of PAF and PAFB to the fungal membrane is not sufficient to induce cell death, but that these AMPs need to be taken up into the fungal cell. This can be visualized by PAF and PAFB labelled with the fluorescent dye BODIPY (BP-PAF and BP-PAFB) [11,38]. Only those cells that have internalized the AMPs also appear positively stained with the cell death dye propidium iodide (Fig. 7).

The uptake of PAF and PAFB is a regulated mechanism, which can be inhibited by reducing the metabolism or by blocking oxidative phosphorylation of the fungal cell. Both suggest an endocytic uptake in sensitive fungi [11,54]. This mechanism was investigated in more detail with BP-PAF. Conidia bind PAF in the outer layers without internalizing the protein, but as soon as they germinate, the protein is taken up and kills the growing hyphae in a concentration and time dependent manner [38]. This indicates that only actively growing fungal cells dispose of PAF susceptibility determinants for its internalization. Most probably, PAF is retained in the conidial cell wall because of its more complex and different cell wall composition compared to that of hyphal cells [55]. In contrast, the binding of PAF and PAFB to the fungal cell is

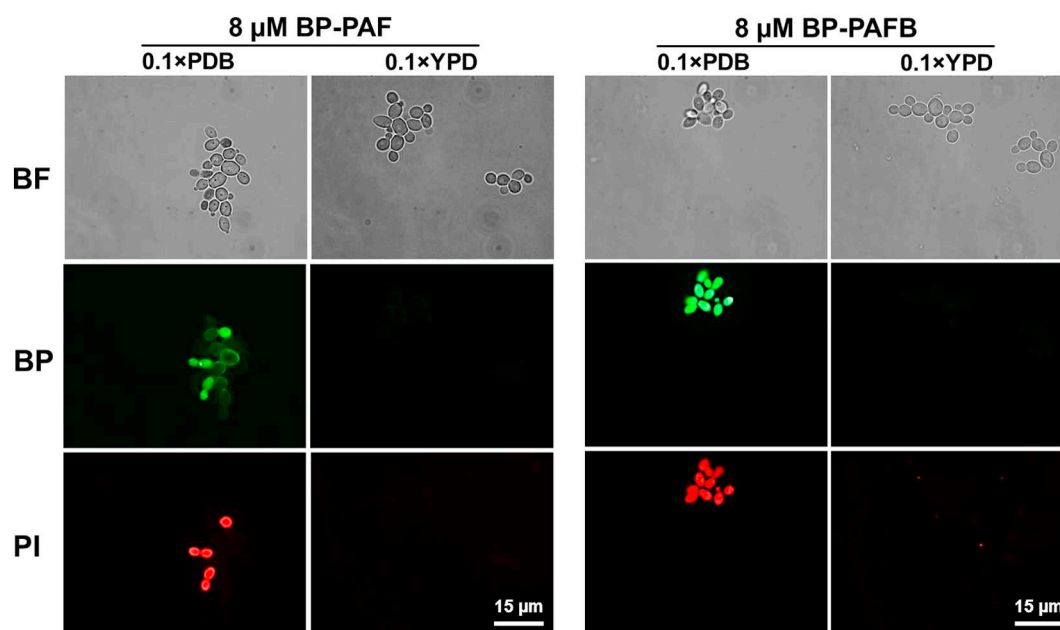
impaired and no protein uptake occurs (i) in AMP resistant fungal strains [54,56]; (ii) when sensitive fungi are exposed to inactive PAF variants [38] or (iii) the medium composition affects the efficacy of the proteins as described in Chapter 5.1. (Fig. 7) [11,56]. In all these cases the cells are not killed, as evidenced by the lack of a propidium iodide positive signal.

After internalization, PAF and PAFB are compartmentalized, most probably in vacuoles, which are part of the endocytic pathway. In contrast to the *A. giganteus* AFP [57] or the plant defensin Psd1 from *Pisum sativum* [58], none of the *P. chrysogenum* AMPs localize in the fungal nuclei [34] (PAFB, data not shown). As long as the proteins remain in these compartments, the fungal cells show no signs of cell death. Fungal killing happens when the proteins localize in the cytoplasm, which coincides with the membrane disintegration and uptake of the otherwise membrane impermeable dye propidium iodide [56]. Finally, the damage of the fungal plasma membrane seems to be a secondary effect induced by PAF and PAFB. The mechanistic mode of action strongly resembles that described for the small, synthetic antifungal hexapeptide PAF26 [59,60] and specific plant defensins [61,62].

## 5.3. PAF and PAFB are not canonical membrane-active AMPs

Many antifungal proteins, such as plants defensins, were reported to interact with lipids of fungal plasma membranes [63]. Thereby, the electrostatic interaction of these cationic proteins with the anionic fungal membrane lipids is suggested. In agreement with this assumption, the substitution of lysines (Lys 9, 35, 38) by alanines reduced the antifungal activity of PAF against *A. niger*, most probably because the positive net charge was lowered in the protein variants PAF<sup>K9A</sup>, PAF<sup>K35A</sup> and PAF<sup>K38A</sup> (Table 3) [34].

To further confirm the importance of an electrostatic interaction of PAF and PAFB with the fungal cell membrane, broth microdilution assays were performed with *N. crassa* exposed to PAF or PAFB in combination with the polysaccharide heparin. Heparin is a linear polysaccharide consisting of repetitive units of pyranosyluronic acid and glucosamine residues. Due to the high content of sulfo and carboxyl groups, heparin has an average of 2.7 negative charges per disaccharide. Therefore, the interaction of heparin with the cationic aa of proteins, such as lysines or arginines possibly organized in clusters on



**Fig. 7.** Cellular localization of PAF and PAFB and cell death induction in *C. albicans* in different media. Yeast cells were shaken at 200 rpm for 3 h at 30 °C in 0.1 × PDB or 0.1 × YPD in the presence of 8 μM BODIPY-labelled PAF and PAFB. Co-staining with 5 μg/mL propidium iodide was performed for 10 min before imaging. Images were taken with the same exposition time (1.500 ms). BF, Brightfield; BP, BODIPY-labelled proteins; PI, Propidium iodide.



**Table 6**

Susceptibility of *N. crassa* towards PAF and PAFB in the presence of heparin.

Compound	IC <sub>90</sub> [μM]*
PAF	0.06
50 μg/mL heparin	n.d.
PAF + 50 μg/mL heparin	0.25
PAFB	0.12
50 μg/mL heparin	n.d.
PAFB + 50 μg/mL heparin	4

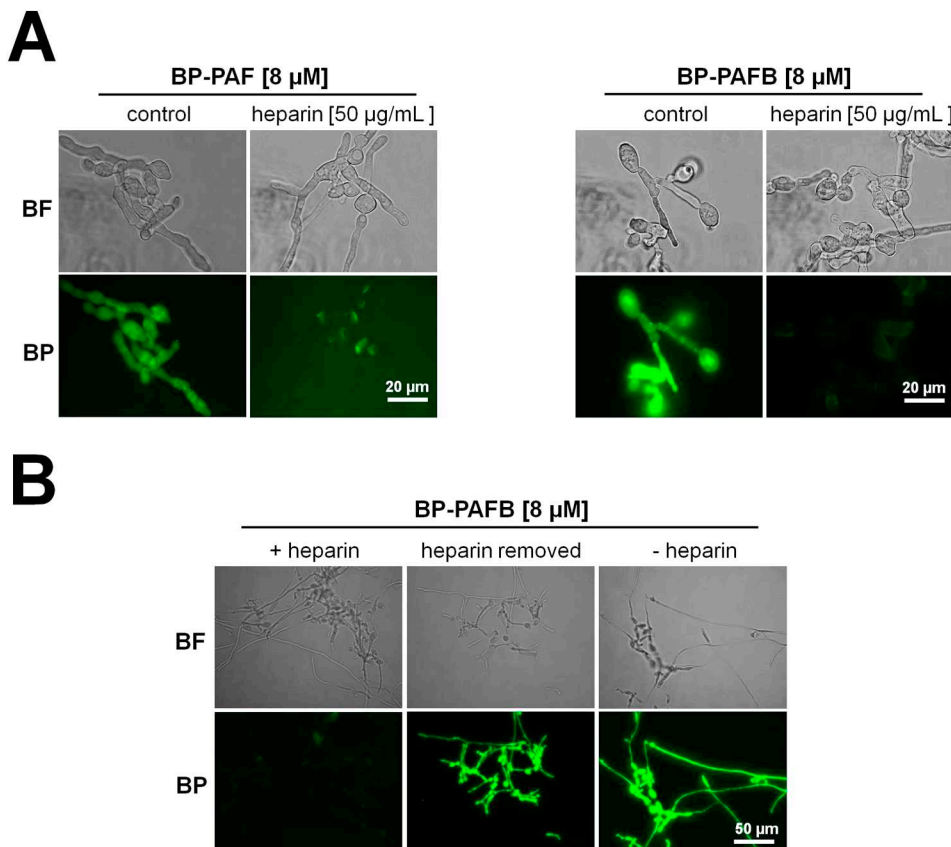
\* IC<sub>90</sub>, AMP concentration inhibiting growth ≥90%; The antifungal activity of PAF and PAFB in the presence of heparin was tested in a microdilution broth assay. *N. crassa* conidia (10<sup>4</sup>/mL) were grown in 0.2 × Vogel's medium under increasing PAF (0–0.25 μM) or PAFB (0–4 μM) concentrations combined with heparin (50 μg/mL) for 30 h at 25 °C. n.d. not determined as no growth inhibition is observed.

the protein surface, is assumed to be electrostatically driven [64]. To test this hypothesis, we expected heparin to bind to PAF and PAFB and mask their positive charge, resulting in the loss of function. Indeed, heparin increased the IC<sub>90</sub> value of PAF four-fold and that of PAFB even more than 30-fold (Table 6). Additionally, fluorescent microscopy revealed a significantly reduced interaction (binding and uptake) of both BODIPY-labelled proteins with the fungal cell in the presence of heparin (Fig. 8A). In a control experiment, heparin was added to *N. crassa* germlings and then removed by washing the grown hyphae three-times with fresh medium before exposure to BP-PAFB (Fig. 8B). The microscopic evaluation revealed that PAFB retained its antifungal activity. This control experiment confirmed our assumption that heparin binds to positively charged AMPs but does not mask susceptibility determinants of *N. crassa* (Fig. 8B).

Zeta-potential measurements with large unilamellar vesicles (LUVs)

generated from membrane lipid extracts derived from *N. crassa* confirmed the binding capacity of PAF and PAFB with the anionic fungal membrane lipids via electrostatic interaction, whereby the affinity of PAFB for LUVs was higher than that of PAF, most probably because of its overall higher positive net charge and/or the presence of a positively charged surface area (see Chapter 4) [56]. In contrast, none of the two AMPs were able to neutralize the negative Zeta-potential of LUVs derived from a *N. crassa* mutant defective in glycosylation of ceramides (Δgcs), proposing sugar moieties to be important for high affinity binding [56]. This parallels with *in silico* molecular dynamics simulation data of the *A. giganteus* AFP that propose the formation of stable salt bridges and hydrogen bonds between the negatively charged glycosylinositol phosphorylceramides in the fungal membrane with the positively charged protein [65].

In addition to the electrostatic attraction of PAF and PAFB by LUVs generated from fungal membranes, the interaction of these two AMPs seems to necessitate additional binding partners (e.g. receptors in the membrane and/or intracellular molecules). This is corroborated by the fact that PAF, but not PAFB, acts in a glucosylceramide dependent manner as demonstrated by the susceptibility testing of *N. crassa* mutants defective in distinct enzymatic steps of this synthesis pathway [56]. Furthermore, PAF variants carrying aa substitutions that neither change the net charge nor influence the overall solution structure, e.g. PAF<sup>F31N</sup> and PAF<sup>Y48Q</sup>, lost their antifungal activity [9]. This was also found to be the case for the mutant PAF<sup>D19S</sup> with similar PAF structure, but increased net charge (+5.7 at pH 7) [38]. We therefore favor the assumption that the glucosylceramide depletion affects the correct sorting, distribution or activity of lipids and/or membrane proteins that could be putative interaction molecules of PAF. Furthermore, ceramides function as second messengers in fungal signal transduction pathways responsive to environmental stimuli. Therefore, we cannot exclude that the observed phenotypes resulted from deregulated signaling in the *N. crassa* mutants in response to PAF [66].

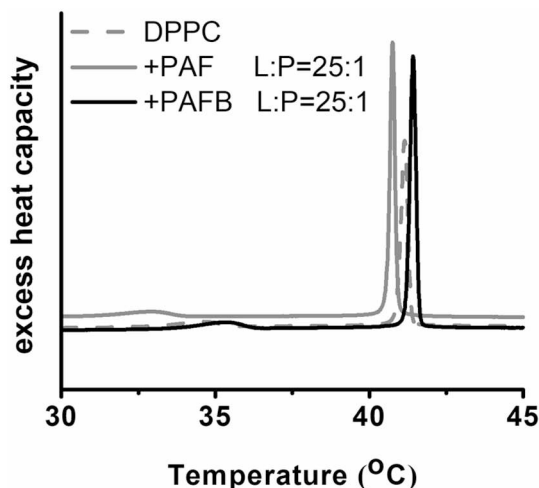


**Fig. 8.** Susceptibility of *N. crassa* towards PAF and PAFB in the presence of heparin. (A) Uptake of PAF and PAFB: *N. crassa* conidia ( $5 \times 10^5$ /mL) were grown for 4 h in 0.2 × Vogel's medium (25 °C, shaking at 200 rpm). 8 μM BODIPY-labelled PAF (BP-PAF) and PAFB (BP-PAFB) were added alone or in combination with 50 μg/mL heparin to the germlings and samples were further incubated for 2.5 h at 25 °C until microscopic evaluation. (B) Control experiments: *N. crassa* conidia ( $5 \times 10^5$ /mL) were grown for 4 h in 0.2 × Vogel's medium in the presence of 50 μg/mL heparin or without heparin. Then, all samples were washed three-times with fresh medium and 8 μM BP-PAFB was added to the germlings alone (heparin removed and - heparin) or in combination with 50 μg/mL heparin (+ heparin). The samples were further incubated for 2.5 h at 25 °C until microscopic evaluation. Images were taken with the same exposition time (1.500 ms). BF, Brightfield; BP, BODIPY-labelled proteins.

The killing mechanism of many AMPs that target the microbial plasma membrane starts with the interaction of the AMPs with the lipid matrix followed by the subsequent permeabilization or disintegration of the membrane [67]. This has been related to numerous AMPs, most of them with antibacterial function [68].

So far, all our studies indicated that fungal killing by PAF and PAFB does not occur through membrane disruption in the first place. Leakage experiments using LUVs composed of the major phospholipid constituents of eukaryotic membranes, palmitoyl-oleoyl-phosphatidylcholine (POPC) and mixtures of POPC/phosphatidylinositol, POPC/ergosterol, POPC/ceramide and POPC/sphingomyelin, were performed according to [69] to investigate the membrane disruption potential of PAF and PAFB.

These assays, however, revealed that PAFB does not cause membrane leakage, independent from the lipid composition of the LUVs. PAF induced only 10–20% leakage at a lipid to peptide ratio of 4:1 and 2:1 in POPC vesicles and in all other tested lipid combinations, respectively (data not shown). However, these protein concentrations were dramatically higher than the concentration range normally applied with classical membrane-disruptive AMPs (molar ratios lipid:peptide = 400:1 to 25:1; [67]), though the degree of disruption strongly depends on the mechanistic mode of action. As in all tested lipid vesicles, POPC was present, suggesting that PAF interacted with PC. In order to reappraise the observed leakage assay data, differential scanning calorimetry (DSC) was carried out using dipalmitoyl phosphatidylcholine (DPPC) as described before [67]. This method enables to observe phase transition ( $T_m$ ) behavior of phospholipid membranes, which represents a very sensitive method for the detection of any interaction with AMPs [70]. Upon incubation of DPPC with PAFB at a lipid to peptide ratio of 25:1, a slight shift of  $T_m$  from 41.2 °C to 41.4 °C accompanied by a 20–30% increase in enthalpy was observed (Fig. 9). According to DSC data analysis described and studied by Lohner 2016 [70], an increased phase transition temperature and enthalpy points towards stabilization of the gel phase due to higher lipid packing and ordering. Amongst others, this can be a consequence of shielding the surface charge or dehydration of the phospholipid head groups without damaging the membrane. In the case of PAF, the opposite behavior was observed: a  $T_m$  decrease from 41.2 °C to 40.8 °C without changes in enthalpy (Fig. 9). The decrease in  $T_m$  refers to destabilization of the membranes and this may explain the low leakage induced by PAF. Furthermore, the pre-phase transition temperature of DPPC at 35 °C was shifted to 33 °C in the presence of PAF. The pre-phase transition temperature is particularly sensitive to interaction of peptides with the

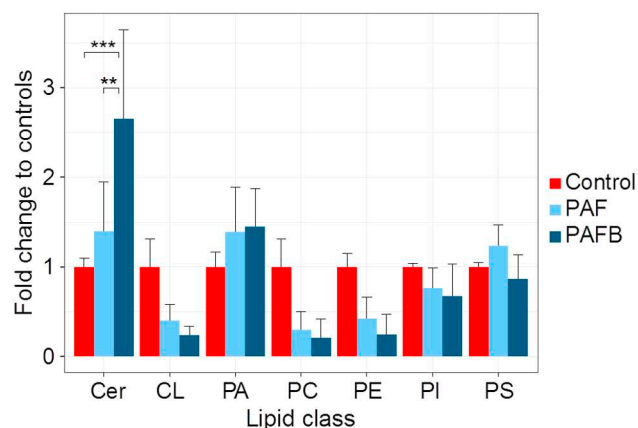


**Fig. 9.** Impact of PAF and PAFB on the thermotropic behavior. DSC scan of DPPC in absence and presence of PAF and PAFB as observed by heating scans. The concentration of PAF and PAFB is indicated in relation to lipid to peptide (L:P) molar ratio of 25:1.

lipid matrix [70].

In summary, the mild effects on membrane stabilization or destabilization may explain no or negligible leakage or peptide-induced temporal reorganization of the membrane, which is in contrast to the damage induced by canonical membrane-active peptides. For comparison, the antimicrobial peptide OP-145 induced 20–30% leakage of POPC vesicles at a lipid to peptide ratio of 25:1–12.5:1 [67]. In this concentration range, DSC recorded DPPC phase transition was almost lost and DPPC membranes were completely destroyed in a detergent-like manner as confirmed via X-ray techniques by the presence of small disk like particles [67]. Thus, the results obtained with PAF/PAFB and model membranes do not support any strong membrane disruptive activities.

The fungal membrane lipid composition can have a dramatic effect on the susceptibility of fungi to PAF and PAFB. *N. crassa* mutants defective in the synthesis of the sphingolipid glucosylceramide are resistant to PAF, whereas the susceptibility to PAFB is not affected by the respective gene deletions [56]. However, it remains largely elusive to which extent the treatment with these two compounds impacts the membrane lipid composition itself. Application of PAF and PAFB in doses corresponding to  $4 \times IC_{90}$  did not cause significant alteration in class-wise normalized lipid profiles. Instead, a significantly elevated ceramide content could be observed in PAFB treated cells, while there was a consistent trend towards a reduction of total cardiolipin, phosphatidylcholine and phosphatidylethanolamine content in *N. crassa* (Fig. 10). While the latter effect could potentially be explained by the inhibition of growth caused by PAF and PAFB activity, the specific increase on ceramide levels showed that the interaction between antifungal proteins and this lipid class can be a key to understand the exact molecular mechanism of action responsible for their potency, which



**Fig. 10.** Impact of PAF and PAFB treatment on the phospholipid composition of *N. crassa*. Conidia ( $10^7$ ) were inoculated in 20 mL Vogel's medium for 18 h (25 °C, shaking at 200 rpm). Hyphae were then treated with  $4 \times IC_{90}$  of PAF (6  $\mu$ M) and PAFB (3  $\mu$ M) for additional 3 h until harvesting for total lipid extraction and phospholipidomics analysis. Sample preparation and LC-MS/MS measurement were performed as described in [56] in negative ESI mode. Different lipid features were quantified, normalized to total protein content and summed per class (Cer: ceramide; CL: cardiolipin; PA: phosphatidic acid; PC: phosphatidylcholine; PE: phosphatidylethanolamine; PI: phosphatidylinositol; PS: phosphatidylserine). The obtained values were normalized to the respective mean control values. CLs, PCs and PEs show a trend to be reduced by PAF and PAFB treatment, while the total ceramide content was significantly increased when treated with PAFB compared to PAF and the controls. Values are shown as mean  $\pm$  SD ( $n = 3$ ). Normality of fold changes was tested with Shapiro-Wilk test class wise ( $p > 0.05$ , Holm multiple testing adjustment). Homogeneity of variances of the changes by class and treatment was assessed by Levene's test ( $p = 0.638$ ). Fold changes were found significantly associated with treatment and lipid class,  $F(12, 42) = 5.517$ ,  $p < 0.001$ . Post-hoc Tukey HSD analysis was applied, and  $p$ -values were adjusted for multiple testing (\*\*:  $p = 0.01$ ; \*\*\*:  $p = 0.001$ ).

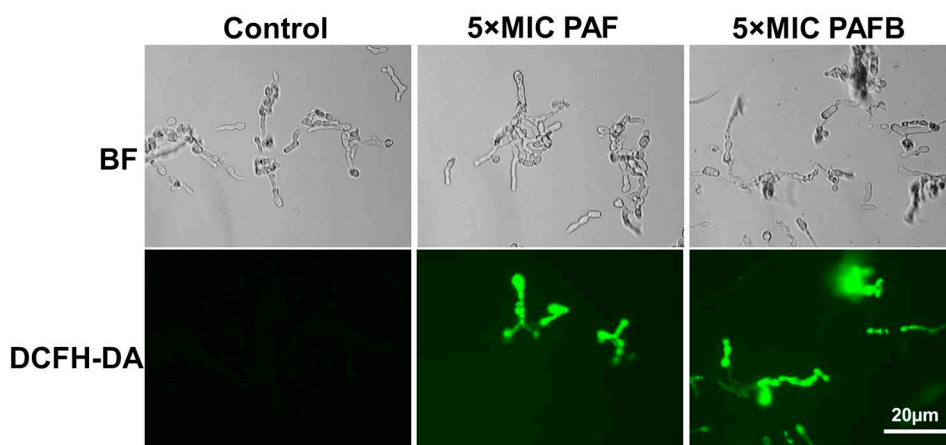


Fig. 11. ROS induction by PAF and PAFB in *N. crassa*. Conidia ( $5 \times 10^5$ /mL) were grown for 4.5 h in  $0.2 \times$  Vogel's medium at  $25^\circ\text{C}$  as stationary cultures. Germlings were treated with  $5 \times \text{IC}_{90}$  PAF or  $5 \times \text{IC}_{90}$  PAFB for 1.5 h, respectively. ROS production was detected by incubating the samples with  $10 \mu\text{M}$  of the fluorescent dye 2',7'-dichlorofluorescein diacetate for 30 min. Images were taken with the same exposure time (1.500 ms). BF, Brightfield; DCFH-DA, 2',7'-dichlorofluorescein diacetate.

should be addressed in detail in future studies.

Thus, the results confirm our observations that the interaction of PAF and PAFB with the fungal cell membrane does not result in killing of the sensitive organism by membrane disruption in the first place.

#### 5.4. The fungal killing mechanism is complex and regulated

Instead, we propose a more complex and regulated mechanism of action based on our detailed investigations with PAF. An immediate response upon exposure of *A. nidulans* to this AMP includes the hyperpolarization of the plasma membrane at the hyphal tips and the efflux of potassium ions into the supernatant [71]. In *N. crassa* and *A. niger* a fast influx of extracellular  $\text{Ca}^{2+}$  was detected resulting in a sustained elevation of the cytoplasmic  $\text{Ca}^{2+}$  concentration [38,48,49]. The induction of intracellular reactive oxygen species (ROS) was detected in PAF- and PAFB-treated fungi by applying an indicator for oxidative radicals (2',7'-dichlorofluorescein diacetate) (Fig. 11) [8,45]. The permeabilization of the fungal membrane for ions, the deregulation of the intracellular  $\text{Ca}^{2+}$  homeostasis and the elevation of the ROS burden in the fungal cell are recognized triggers for apoptotic cell death. Indeed, apoptotic markers, like phosphatidylserine exposure on the cell surface, DNA strand breaks, mitochondrial disintegration and membrane damage, were detected in *A. nidulans* exposed to PAF [71].

In conclusion, the growth inhibitory and fungicidal effect of PAF and PAFB is a complex process that elicits different timely regulated responses in the fungal target cell. The identification of the exact mechanism of interaction with the fungal plasma membrane and the specific intracellular interaction molecule(s) of both *P. chrysogenum* AMPs still requires our utmost attention.

#### 6. Impact on mammalian hosts

To guarantee a safe applicability of AMPs to treat fungal infections in humans and plants, any detrimental and cytotoxic effects have to be excluded. For PAF and PAFB neither cytotoxic effects on human epithelial cells L132 nor hemolytic activity on sheep erythrocytes were observed [11,14]. The *in vitro* cytotoxicity of PAF has been more extensively studied in the past than that of PAFB. PAF caused no detectable cytotoxic effects on endothelial cells from the umbilical vein and only had minor pro-inflammatory activity as assessed by the quantification of interleukin (IL)-6, IL-8, and TNF- $\alpha$  production. Furthermore, no modification of voltage-gated  $\text{K}^+$  channels of neurons, skeletal muscle fibers and astrocytes were detected [72]. Similar results were obtained for the *A. giganteus* AFP [73].

Experiments were carried out to investigate the harmlessness of PAF in an *in vivo* murine model and to give insight in its safe applicability. These studies revealed no evident change in the histology of mouse tissues and skin after PAF administration [74]. Furthermore, Palicz and

co-workers (2016) showed in a follow-up study that PAF was similarly effective as amphotericin B and mildly prolonged the survival of a mouse model suffering from invasive pulmonary aspergillosis when administered intraperitoneally. Notably, the efficacy could be significantly increased when PAF was applied in combination with amphotericin B [75].

Another example further underlines the most promising applicability of AMPs from filamentous fungi. The *N. fischeri* NFAP2 inhibits the growth of *Candida* spp. without harming primary human skin cells. It effectively reduced the fungal burden of a fluconazole-resistant *C. albicans* strain in a murine vulvovaginitis infection model when applied alone. Similar to the findings with PAF, the efficacy could also be further improved in this model by combining NFAP2 with fluconazole [76]. Other examples for the combinatorial efficacy of AMPs with licensed drugs, e.g. caspofungin, against *C. albicans* biofilms are the plant defensin HsAFP1 from *Heuchera sanguinea* and the radish defensins RsAFP1 and RsAFP2 [77,78].

These results promise low - if at all - side effects of AMPs from filamentous fungi and a safe applicability as mono- or polytherapeutic agents with acceptable compatibility for the host.

#### 7. Future perspectives

Up to date none of the small, cationic, antifungal proteins from ascomycetes have been applied biotechnologically or therapeutically in large scale. However, their growth inhibitory and fungicidal activity against human and plant pathogenic fungi as well as their efficacy against *Candida* biofilm formation renders them most promising biomolecules for the development of new antifungal treatment strategies. By the use of our recently developed *P. chrysogenum*-based expression system AMPs can be secreted in high abundance into the supernatant of easily fermentable molds [9,79–82]. The secretion and accumulation of the proteins in the culture broth of fungi grown in mineral minimal medium enables fast and low-cost protein purification. This system also allows the production of recombinant AMP variants with improved efficacy that are created by rational design [14]. In this respect PAF and PAFB represent valuable model biomolecules that could inspire the research of AMPs from other filamentous ascomycetes that still await their identification.

The high stability of PAF and PAFB at high temperatures, against protease degradation and within a wide pH range renders them suitable therapeutics for use in clinical treatments, plant and food protection [34,83] (PAFB, unpublished data).

Especially a topical application to treat superficial fungal infections caused by *Candida* spp. or dermatophytes could be promising, whereby the combination with licensed drugs such as fluconazole proved to be highly effective in inhibiting the growth of *Microsporium* spp. and *Trichophyton* spp. [84]. Another possible application could be the



constitutive expression of AMPs in transgenic plants, which would contribute to an improved plant “immune system” and defense towards plant pathogenic fungi. Adding preservatives to food is a common way to prevent food spoilage and poisoning of food products by fungi. As naturally secreted compounds, AMPs such as PAF or PAFB could be advantageous options as new food preservatives.

### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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