

2 **Influence of upwelling on the sedimentation and biota of the**  
3 **segmented margin of the western Neotethys: a case study from the**  
4 **Middle Triassic of the Balaton Highland (Hungary)**

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**AQ1 Abstract** In the Middle Anisian, extensional tectonic  
9 movements led to the development of a small isolated car-  
10 bonate platform in the middle part of the Balaton High-  
11 land, Transdanubian Range, Hungary. In the Late Illyrian,  
12 a condensed pelagic carbonate succession with phosphorite  
13 horizons was formed on the top of the already drowned  
14 platform. These strata contain an extraordinarily diverse  
15 ammonite fauna. This unit is overlain by radiolarian-rich  
16 carbonates, locally with radiolarite interbeds. We suggest  
17 that the drowning process and the post-drowning sediment  
18 deposition were controlled partly by regional factors, i.e.,  
19 the onset of opening of the Neotethys Ocean, and partly by  
20 local factors such as the bottom topography and related cur-  
21 rent activity, which may also be connected with the open-  
**AQ2** ing of the ocean. The predominance of the radiolarian-rich  
23 sediments suggests eutrophic surface water, which may be  
24 explained by a monsoon-driven upwelling model. The seg-  
25 mented sea-floor topography together with the high-fertil-  
26 ity surface water conditions may have provided favorable  
27 habitats for the ammonites, which may have adapted to  
28 various ecological conditions, leading to extreme diver-  
**AQ3** sification of this group. Since similar Middle to Late Ani-  
30 sian evolution was reported from many other units of the

western Neotethys margin, regional factors such as the  
establishment of an extensional tectonic regime and related  
marginal basin formation, monsoon-driven upwelling, and  
related high surface water productivity seem to be of criti-  
cal importance in controlling the depositional conditions.

**Keywords** Sea-floor topography · Submarine high ·  
Radiolarite · Phosphorite · Diversity peak ·  
Monsoon-driven upwelling · Neotethys margin · Middle  
Triassic · Balaton Highland

## Introduction

Detailed study of the Triassic series of the Balaton High-  
land goes back more than a hundred years. It was Lóczy  
sen. (1916) who first recognized that the “Alpine Muschel-  
kalk” (=Felsőörs Fm) of the Middle Triassic succession  
of the Balaton Highland is substituted by an “Esino-type”  
shallow-marine limestone (=Tagyon Fm) in the middle  
part of the area.

During the 1950s, uranium-ore exploration was per-  
formed on the Balaton Highland. The target sequence was  
the Upper Permian fluvial sandstone (=Balatonfelvidék  
Fm), however, an unexpected uranium enrichment was found  
connecting to phosphorite horizons in the Middle Triassic  
succession (=Vászoly Formation) in the middle part of the  
Balaton Highland (Kiss and Virágh 1959). For detailed stud-  
ies of the sequence, trenches and shafts were excavated and  
boreholes were drilled in the surroundings of Vászoly, Pécs-  
sely, Örvényes, and Aszófő. The Middle Triassic sequence  
of the area was briefly presented by Szabó (1972), however,  
detailed data of the exploration have not been published.

In the 1980s, a geological mapping project was car-  
ried out on the Balaton Highland (Budai et al. 1999a, b).

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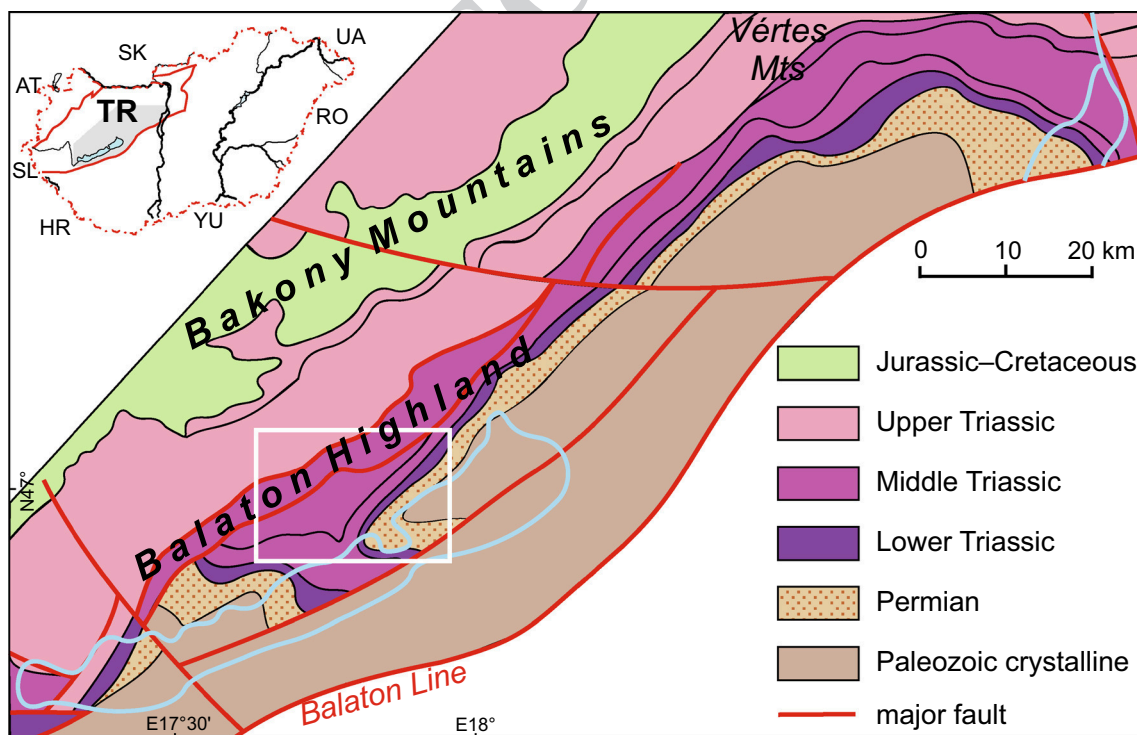
62 This resulted in studies on lithostratigraphy (Budai 1992),  
 63 bio-chronostratigraphy of the Middle Triassic succes-  
 64 sion (Dosztály 1993; Góczán and Oravecz-Scheffer 1993;  
 65 Kovács 1993; Kovács et al. 1990; Vörös 1993, 1998) and  
 66 reconstruction of the basin evolution (Budai and Vörös  
 67 1992, 1993; Budai and Haas 1997; Budai and Vörös 2006).  
 68 Diversity changes and paleoenvironmental significance of  
 69 Middle Triassic ammonoids and other fossil groups were  
 70 presented by Vörös (1996, 2002, 2009, 2014) and Vörös  
 71 et al. (2003).

72 The geological mapping program and the detailed strati-  
 73 graphic, sedimentological, and palaeontological studies of  
 74 selected sections resulted in the recognition and reconstruc-  
 75 tion of a small isolated carbonate platform in the central  
 76 part of the Balaton Highland. It was formed in the Middle  
 77 Anisian (Pelsonian) as a result of tectonically controlled  
 78 segmentation of the previously uniform carbonate ramp  
 79 (Budai and Vörös 1992; Budai and Haas 1997). These  
 80 studies also revealed the Late Anisian (Illyrian) drowning  
 81 of this platform and the peculiar features of the overlying  
 82 succession, which was formed on post-drowning subma-  
 83 rine high and the extremely high diversity of the ammo-  
 84 nite fauna of this bed set. On the basis of these investiga-  
 85 tions, here we evaluate the depositional environments and  
 86 the processes of sediment deposition and try to explain the  
 87 cause of the extreme diversity.

88 The main aim of the present paper is to summarize the  
 89 inferences of the studies performed in the course of ura-  
 90 nium exploration and geological mapping in the middle  
 91 part of the Balaton Highland during last half century. Some  
 92 of the results of these studies were previously published  
 93 but most of them have never been published. These field  
 94 observations and analytical data were complemented with  
 95 the results of new investigations including the microfacies  
 96 analysis of carbonates and mineralogical and geochemical  
 97 studies of the phosphorites. We involved so far unpublished  
 98 data on the radiolarian fauna and previous but unpublished  
 99 and new data on the ammonoids to improve the exactness  
 100 of the stratigraphic subdivision and evaluate the abundance  
 101 and diversity of the assemblages. To give a complex inter-  
 102 pretation of the available data, we took into account paleo-  
 103 geographic considerations and the results of studies of  
 104 modern oceanographic analogues.

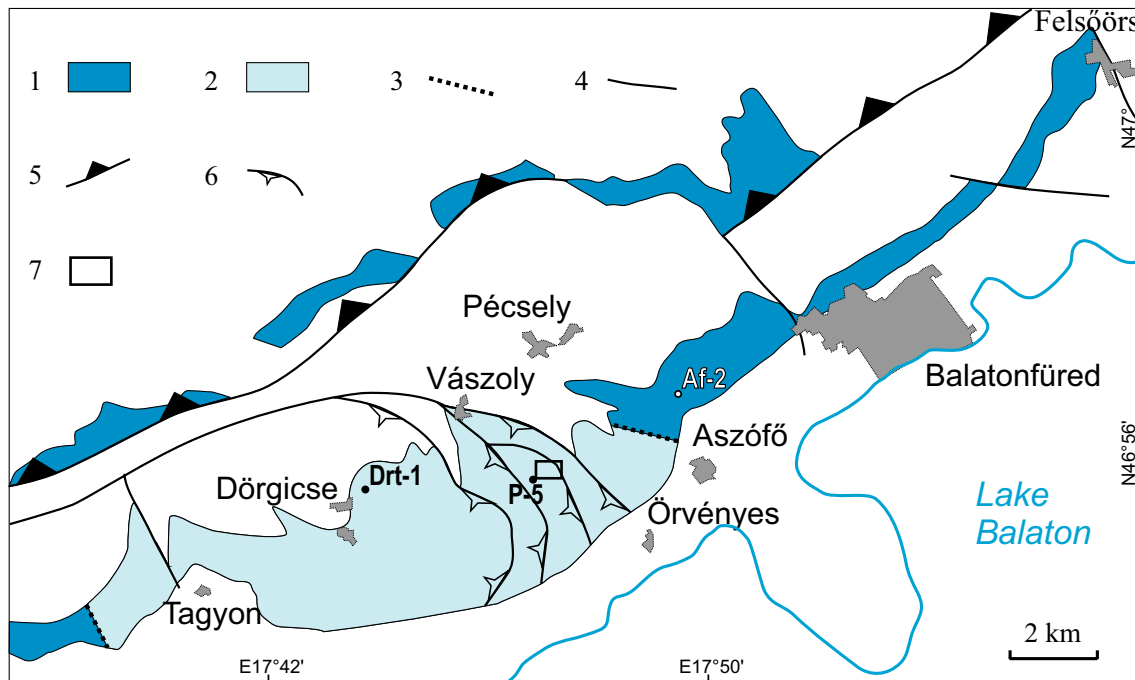
### Geological setting

105  
 106 The study area is located in the middle part of the Bala-  
 107 ton Highland on the south-eastern flank of the NE–SW-  
 108 trending synform of the Transdanubian range unit (Fig. 1).  
 109 Its structure (Fig. 2) differs from those in the other parts  
 110 of the Balaton Highland. The SW–NE general strike of the



**Fig. 1** Pre-Cenozoic geological map of the middle part of the Transdanubian range unit (simplified after Haas et al. 2010). The rectangle shows the site of the study area in Fig. 2

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**Fig. 2** Areal extent of the Middle Anisian to Lower Carnian formations in the middle part of the Balaton Highland (modified after Budai and Vörös 2006). 1 Area characterized by Pelsonian basinal deposits; 2 Area characterized by Pelsonian platform deposits; 3

Boundary of the Pelsonian Felsőörs Basin and the Tagyon Platform; 4 Fault; 5 Litér thrust; 6 Transverse thrusts (“Dörgicse horse-tail structure”); 7 Location of the area shown in Fig. 4. Drilled cores: Aszófő Af-2; Dörgicse Drt-1; Pécseley P-5

111 Triassic formations turns here into NW–SE direction and  
 112 the Middle Anisian to Ladinian sequence is repeated several  
 113 times by transverse thrust faults (Fig. 2) forming the  
 114 so-called “Dörgicse horse-tail structure” (Dudko in Budai  
 115 et al. 1999b).

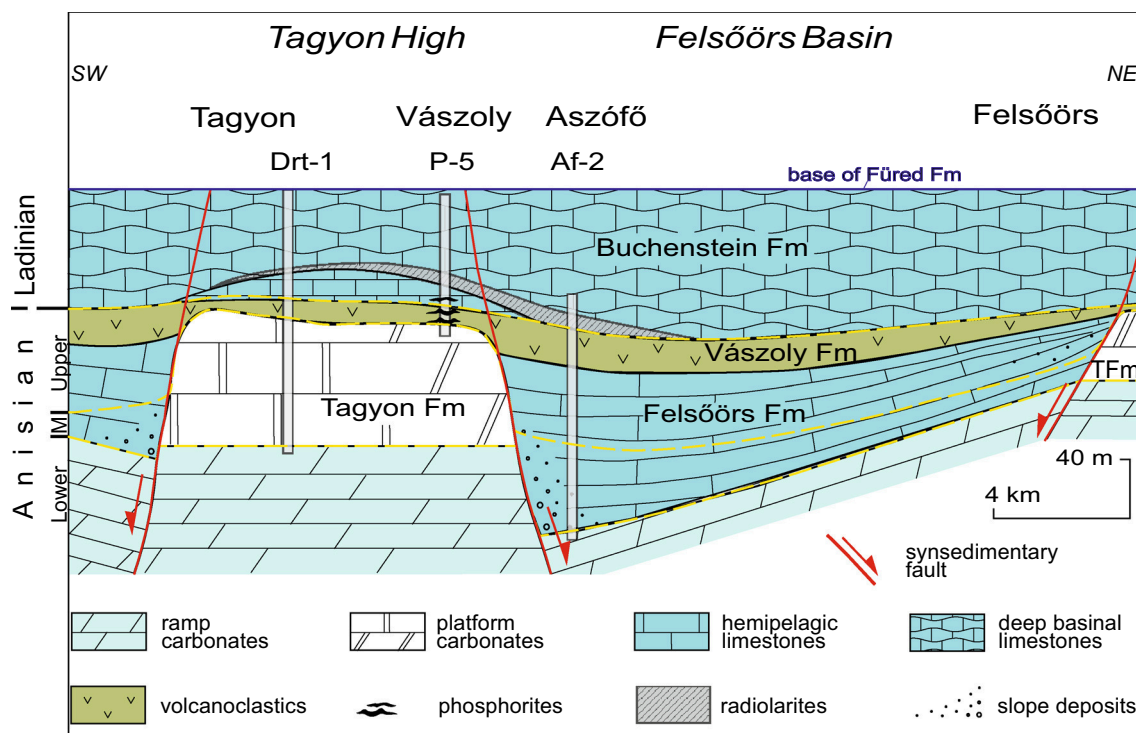
116 The Middle Triassic sequence of this area also shows  
 117 some unique features, which do not occur in other parts  
 118 of the Balaton Highland (Fig. 3). The Pelsonian hemipelagic  
 119 basinal strata of the Felsőörs Limestone are replaced  
 120 here by coeval platform carbonates of the Tagyon Forma-  
 121 tion (Budai and Haas 1997). This lateral facies change is  
 122 explained by syndepositional extensional tectonics forming  
 123 halfgraben-type hemipelagic basins and isolated carbon-  
 124 ate platforms in the area of the Transdanubian Range  
 125 during the Pelsonian (Budai and Vörös 1992). As a result  
 126 of a relative sea-level rise, the Pelsonian Tagyon Plat-  
 127 form was drowned and transformed to a submarine high  
 128 (Tagyon High); the first overlying layers above it belong  
 129 to the upper Illyrian Camunum Subzone (Budai and Vörös  
 130 2006). This event was followed by deposition of the Upper  
 131 Anisian–Ladinian sequence with phosphorite horizons and  
 132 radiolarite layers on the top of the submarine high. Based  
 133 on data of the uranium-ore exploration project and the  
 134 detailed geological mapping (Budai et al. 1999b), phospho-  
 135 rites are known only at the north-eastern margin of the  
 136 Tagyon High, at Öreg Hill, near Vászoly. Radiolarites and

radiolarian-rich carbonates occur more widely: in addition  
 to the area of the Tagyon High, they are also known from  
 the eastern part of the Felsőörs Basin located in the prox-  
 imity of the high at Aszófő (Fig. 3). An Upper Ladinian  
 ammonoid assemblage in a neptunian dyke cutting through  
 the Middle Triassic platform carbonates in the north-east-  
 ern part of the Balaton Highland (Budai and Vörös 2006)  
 proves the prolongation of the extensional tectonic regime  
 at least until the end of the Middle Triassic.

## Materials and methods

For the microfacies characterization of the Vászoly Forma-  
 tion and the Buchenstein Formation, 103 thin-sections were  
 prepared from different lithofacies in the course of geologi-  
 cal mapping of the Öreg Hill (Vászoly) area, but, their up-  
 to-date microfacies analysis has not been carried out until  
 now. A further nine thin-sections were prepared and stud-  
 ied from the profile of the trench P-11 to get more details  
 on the microfacies of the phosphoritic layers. Each of the  
 phosphorite horizons was sampled for total organic content  
 (TOC) analyses that were performed in the laboratory of  
 the Geological and Geophysical Institute of Hungary.

For mineralogical studies, five samples were collected  
 and analyzed. Quantitative electron microprobe analyses of



**Fig. 3** Balanced geological cross section along the Balaton Highland and the Felsőörs Basin and the sites of relevant boreholes (modified after Budai and Vörös 2006). Yellow dashed line stage boundary

160 apatite were completed in the Eugen F. Stumpfl Microprobe  
 161 Laboratory of the University of Leoben with a JEOL Super-  
 162 probe JXA 8200-type electron microprobe. Wavelength dis-  
 163 persive mode was used, with 15-kV 208 accelerating voltage  
 164 and 10-nA beam current.

165 The bulk rock ICP-MS (for trace elements) and ICP-OES  
 166 (for major and minor elements) analyses were carried out in  
 167 the Geological and Geophysical Institute of Hungary. ICP-  
 168 OES analyses were carried out with a Jobin–Yvon Ultima  
 169 2C-type spectrometer, equipped with a monochromator,  
 170 while the ICP-MS analyses by an ELAN DRC II mass spec-  
 171 trometer. Lithium borate was used to fuse the samples. The  
 172 in situ measurements of apatite were performed by laser-abla-  
 173 tion single-collector sector-field inductively coupled plasma  
 174 mass spectrometry using a Thermo Finnigan Element 2 mass  
 175 spectrometer coupled to a Resonetics Excimer laser ablation  
 176 system at the University of Göttingen.

177 **Results**

178 This section contains the summary of the results of our  
 179 sedimentological and mineralogical investigations of the  
 180 Upper Anisian–Ladinian succession.

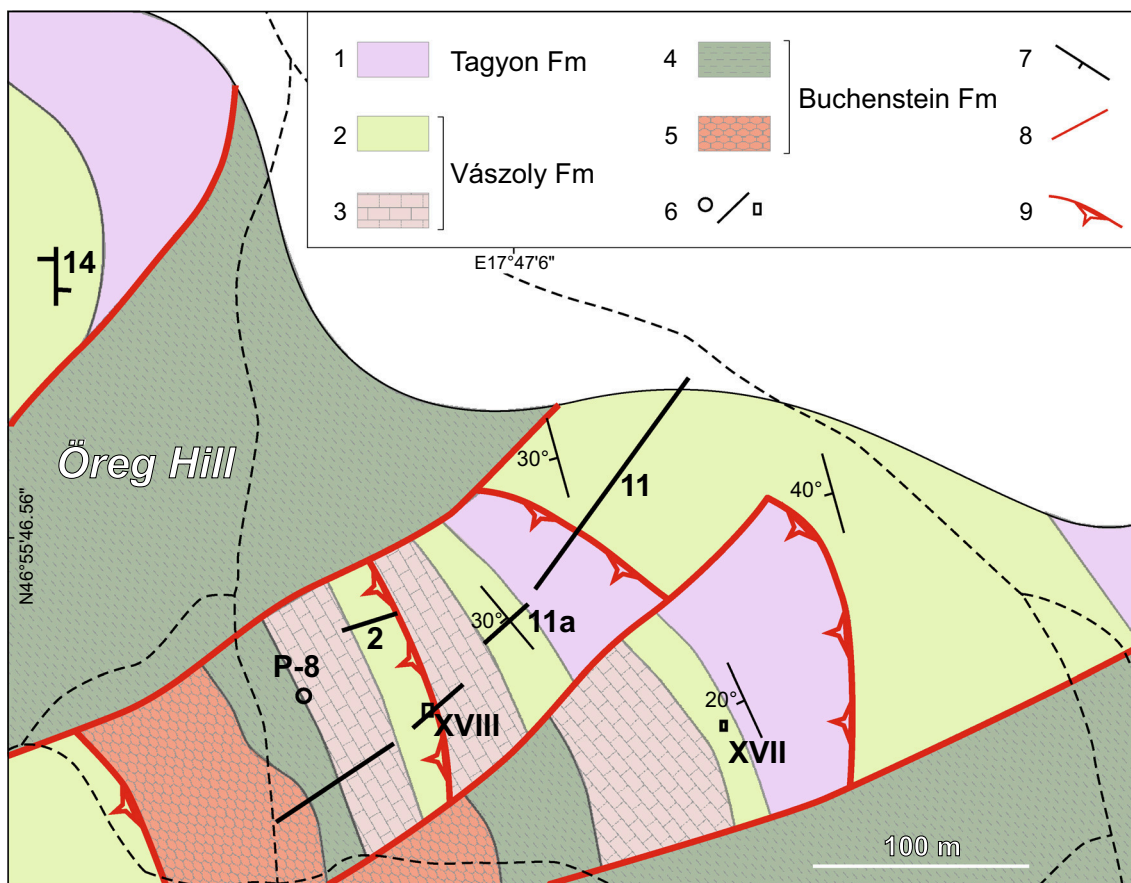
**Vászoly Formation (Upper Illyrian to Lower Ladinian)**

181  
 182 The Upper Illyrian tuff-bearing basinal succession (Vás-  
 183 zoly Formation) was exposed by several trenches and  
 184 shafts on the Öreg Hill, near Vászoly (Fig. 4) and was the  
 185 subject of detailed paleontological and biostratigraphical  
 186 studies along the trench P–11a (Figs. 5, 9) by Vörös and  
 187 Pálffy (1989), Kovács et al. (1990), and Vörös (1998).

188 This succession, which was deposited on the Tagyon  
 189 High after the drowning event (Vászoly, Öreg Hill, P-5  
 190 core), shows much more varied lithology than that of the  
 191 coeval sequences (Aszófő Af-2 core) in the Felsőörs Basin  
 192 (Fig. 5). It is made up of alternation of ammonite- and  
 193 brachiopod-rich limestone and dolomite beds and vol-  
 194 canic tuff layers. The limestone beds are characterized by  
 195 bioclastic wackestone/packstone texture (Fig. 6a, b). They  
 196 are rich in radiolarians (micrite or finely crystalline sparite-  
 197 filled moulds of radiolarians) and fragments of thin-shelled  
 198 bivalves (“filaments”). Crinoid ossicles are common; echi-  
 199 noid spines, foraminifera, and ostracods also occur. The  
 200 limestone is locally dolomitized. The sedimentary fabric  
 201 is partly or completely destroyed in the dolomitized lay-  
 202 ers or patches. The dolomite is unimodal, finely crystalline,  
 203 planar-euhedral, or -subhedral (Fig. 6c).

204 This bed set is punctuated by phosphoritic horizons  
 205 and overlain by light yellowish-grey bedded limestone





**Fig. 4** Geological map of Öreg Hill, south of Vászoly, showing the artificial exposures for the U-exploration (based on field observations of T. Budai and an unpublished map of I. Szabó). Middle Anisian (Pelsonian): 1 Thick-bedded dolomite; Upper Anisian (Upper Illyr-

ian): 2 tuff, limestone, dolomitic limestone; Ladinian: 3 light grey, bedded limestone; 4 laminated, siliceous tuff, radiolarite; 5 nodular cherty limestone; 6 borehole, trench, shaft; 7 dip; 8 fault; 9 thrust fault

206 (Fig. 5) of bioclastic wackestone texture with a large  
 207 amount of fragments of thin-shelled bivalves. The brown-  
 208 ish-grey uranium bearing phosphorite layers of the Upper  
 209 Illyrian sequence of the Öreg Hill (Vászoly) appear in  
 210 three stratigraphic horizons (Fig. 5). The lowermost hori-  
 211 zon occurs at the drowning surface of the Pelsonian plat-  
 212 form carbonates (Tagyon Formation) and the overlying  
 213 Upper Illyrian tuffaceous carbonate beds of the Vászoly  
 214 Formation. Upsection the phosphorite appears in the form  
 215 of coating around bioclasts and infilling of biomolds in  
 216 reddish or purple, organic-rich limestone (TOC 0.58–  
 217 0.77%) of the lower member of the Vászoly Formation  
 218 (Fig. 6d). The uppermost phosphorite horizon occurs in  
 219 the form of dark greenish-grey crusts on the bedding-sur-  
 220 faces of the light grey bedded limestone in the upper part  
 221 of the Vászoly Formation (Fig. 6e, f). The thicknesses of  
 222 these irregular phosphoritic bodies randomly vary even  
 223 in short distance; their maximum thickness (1.2 m) was  
 224 documented in P-14 trench (Fig. 4).

The main minerals found in the phosphorite-bearing  
 layers are apatite and calcite, but hematite, pyrite, and  
 zircon are scarcely present, locally. The apatite usually  
 occurs around calcitic grains, whereas the remaining space  
 is filled by calcite cement. Based on quantitative EPMA  
 analyses, the exact chemical composition of the apatite is  
 $(\text{Na}_{0.565}\text{Ca}_{4.435})_5[(\text{PO}_4)_{2.435}(\text{CO}_3)_{0.565}]_3\text{F}$ , indicating that  
 carbonate-bearing fluorapatite (CFA) is the main mineral  
 phase (Molnár et al. 2016). According to the electron probe  
 micro analyses (EPMA), the U enrichment is most likely  
 related to the CFA, U-bearing separate mineral phase was  
 not found. According to LA-ICP-MS study of the upper-  
 most phosphorite horizon, the CFA contains 137–612 ppm  
 U and 113–261 ppm total REE + Y. Th with redox-sen-  
 sitive elements (U, V) are used in monitoring detrital  
 input. The correlation between Th–U and Th–V are  $R_{\text{Th-U}}^2$   
 $\text{U} = -0.71$  and  $R_{\text{Th-V}}^2 = -0.48$ . The redox-sensitive proxies  
 such as Th/U and V/Cr ratios of CFA are used to discuss  
 the sedimentary environment (Wignall and Twichett 1996;

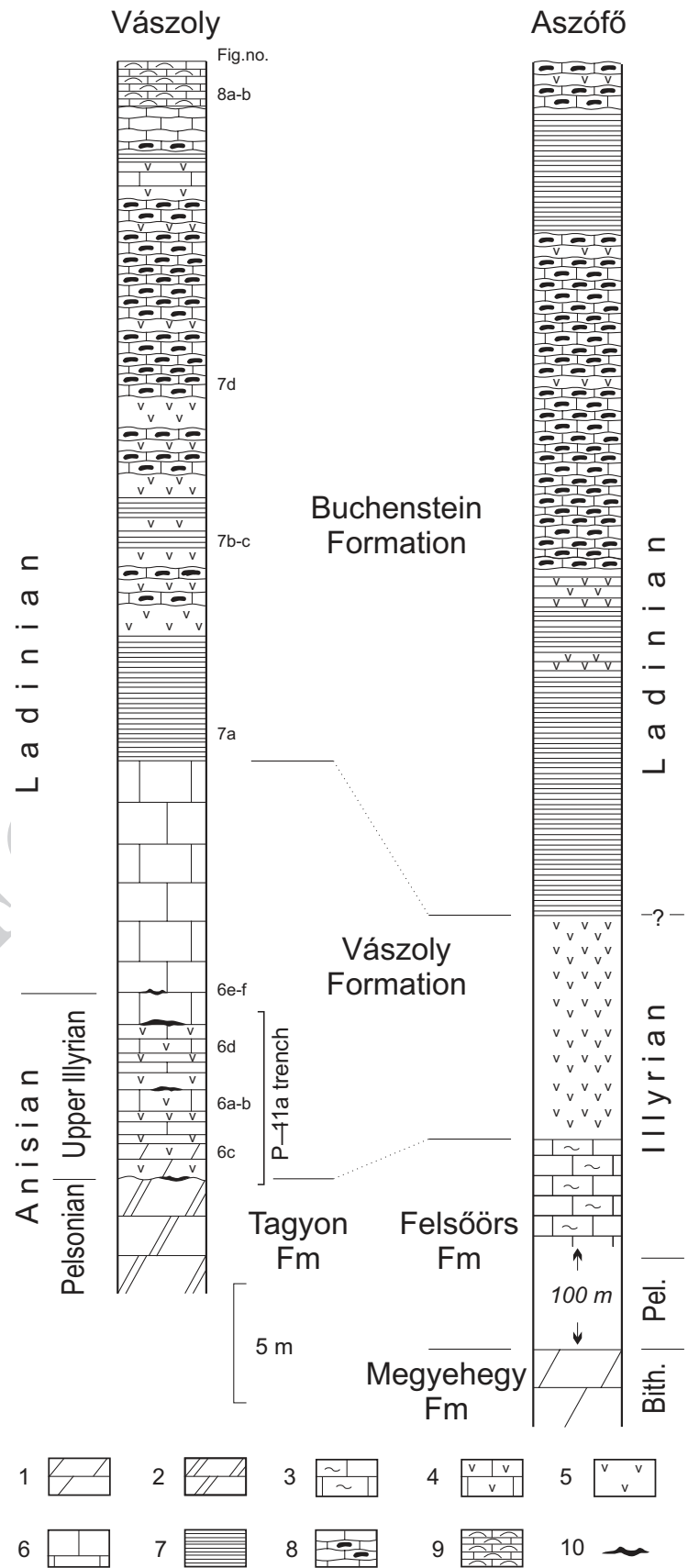
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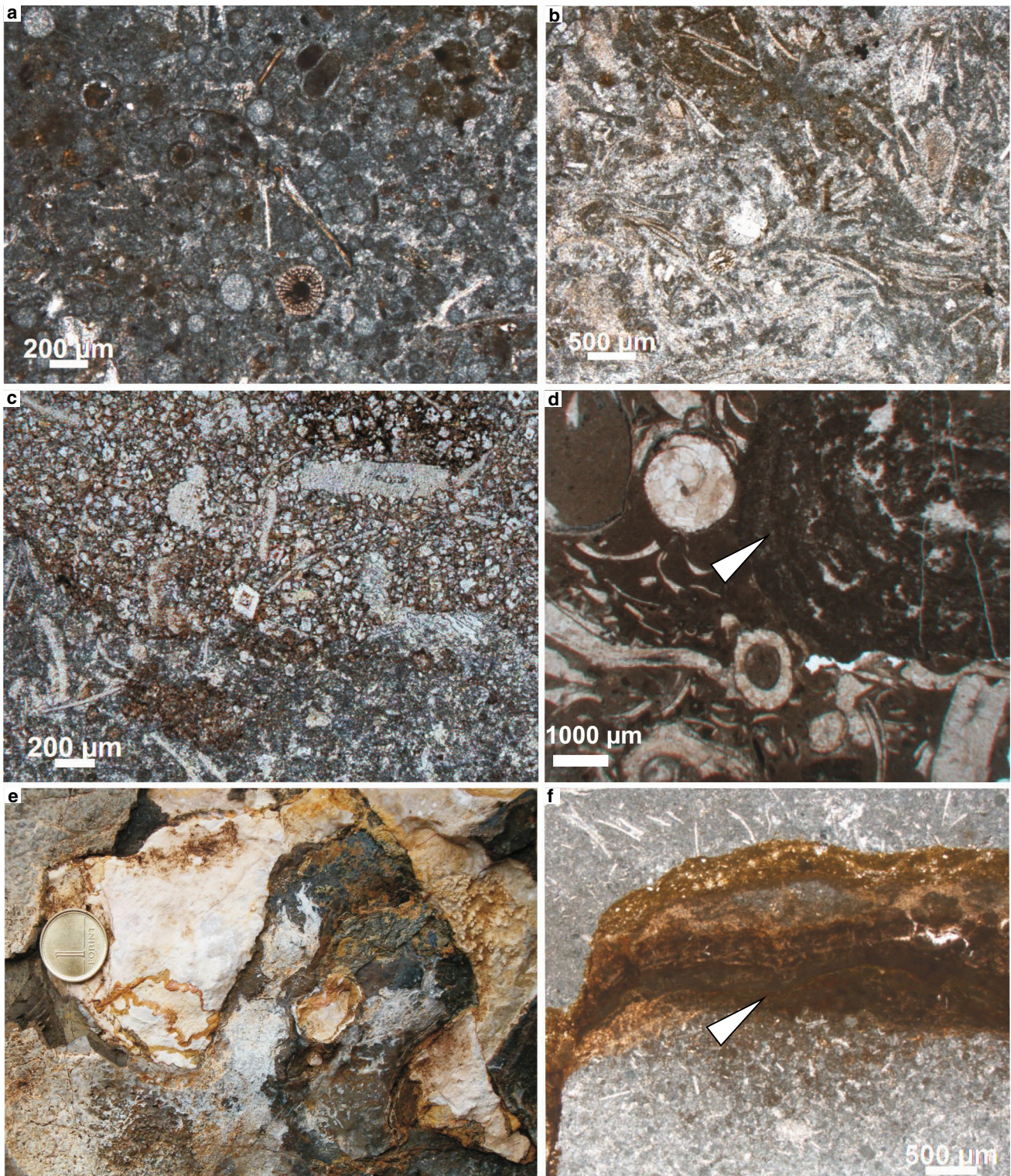
**Fig. 5** Stratigraphic column of the Middle Anisian–Ladinian sequence of the Tagyon High at Vászoly (P–5 core of Öreg Hill, N46°55′37.9″E17°46′49″) and the coeval Felsőörs Basin at Aszófő (Af–2 core, N46°56′43.5″E17°49′24.3″) (after Szabó 1972; Budai et al. 1999b). Numbers refer to Figs. 6, 7, and 8, and point to the stratigraphic position of the presented lithofacies types. Detailed column of P–11a trench (N46°55′44.7″E17°47′05.5″) is shown on Fig. 9. 1 Ramp dolomite; 2 Platform dolomite; 3 Hemipelagic basinal limestone and marl; 4 Tuffaceous limestone, crinoidal limestone; 5 Volcanoclastics; 6 light grey bedded limestone; 7 siliceous volcanic tuff, radiolarite; 8 nodular, bedded (cherty) limestone; 9 *Posidonia*-rich laminated limestone; 10 phosphorite lenses, impregnations. *Bith.* Bithynian (Lower Anisian); *Pel.* Pelsonian (Middle Anisian)

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**Fig. 6** Lithofacies types of the Vászoly Formation (Upper Illyrian) of the Tagyon High, Vászoly, Öreg Hill, P–11a trench. **a** Photomicrograph of radiolarian packstone with fragments of crinoids, echinoid spines, and fragments of molluscs. The moulds of radiolarians are filled by microsparite. **b** Photomicrograph of bioclastic wackestone with fragments of thin-shelled bivalves (“filaments”) and crinoids. **c** Partially dolomitized bioclastic wackestone. **d** Photomicrograph of bioclastic wackestone with fragments of thick-shelled gastropods,

bivalves, and oncoïd-phosphorite (*arrow*). **e** Dark greenish-grey phosphoritic hardground in the uppermost part of the Vászoly Formation (lowermost Ladinian, Curionii Zone), diameter of the *coin* is 1.5 cm. **f** Microphotograph of wavy laminated phosphorite-crust (stromatolite cover) on an uneven hardground (*arrow*). Bioclastic wackestone with fragments of thin-shelled bivalves occur both below and above the hardground



244 Jones and Manning 1994). Th/U ratio is always lower than  
 245 1 in the studied samples, while the V/Cr ratio is always  
 246 more than 4.25 in the same sample. The Y/Ho ratio of CFA  
 247 from Pécsely shows a variation from 33.9 to 55.7.

248 **Buchenstein Formation (Ladinian)**

249 Radiolarites occur all over the area of the Tagyon High  
 250 mostly in the lower part of the Buchenstein Formation  
 251 (Fig. 7a–c). However, they are much thicker in the north-  
 252 eastern part of the Tagyon High where the thickness of the  
 253 succession of alternating green, red, or grey laminated radi-  
 254 ularite and silicified tuff can reach 6–8 m (Fig. 5, Vászoly  
 255 P–5 core) and even more in western part of the Felsőörs  
 256 Basin in the proximity of the submarine high (Fig. 5,  
 257 Aszófő Af–2 core).

258 The Upper Ladinian sequence is made up by cherty  
 259 nodular limestones of the Buchenstein Formation. They are

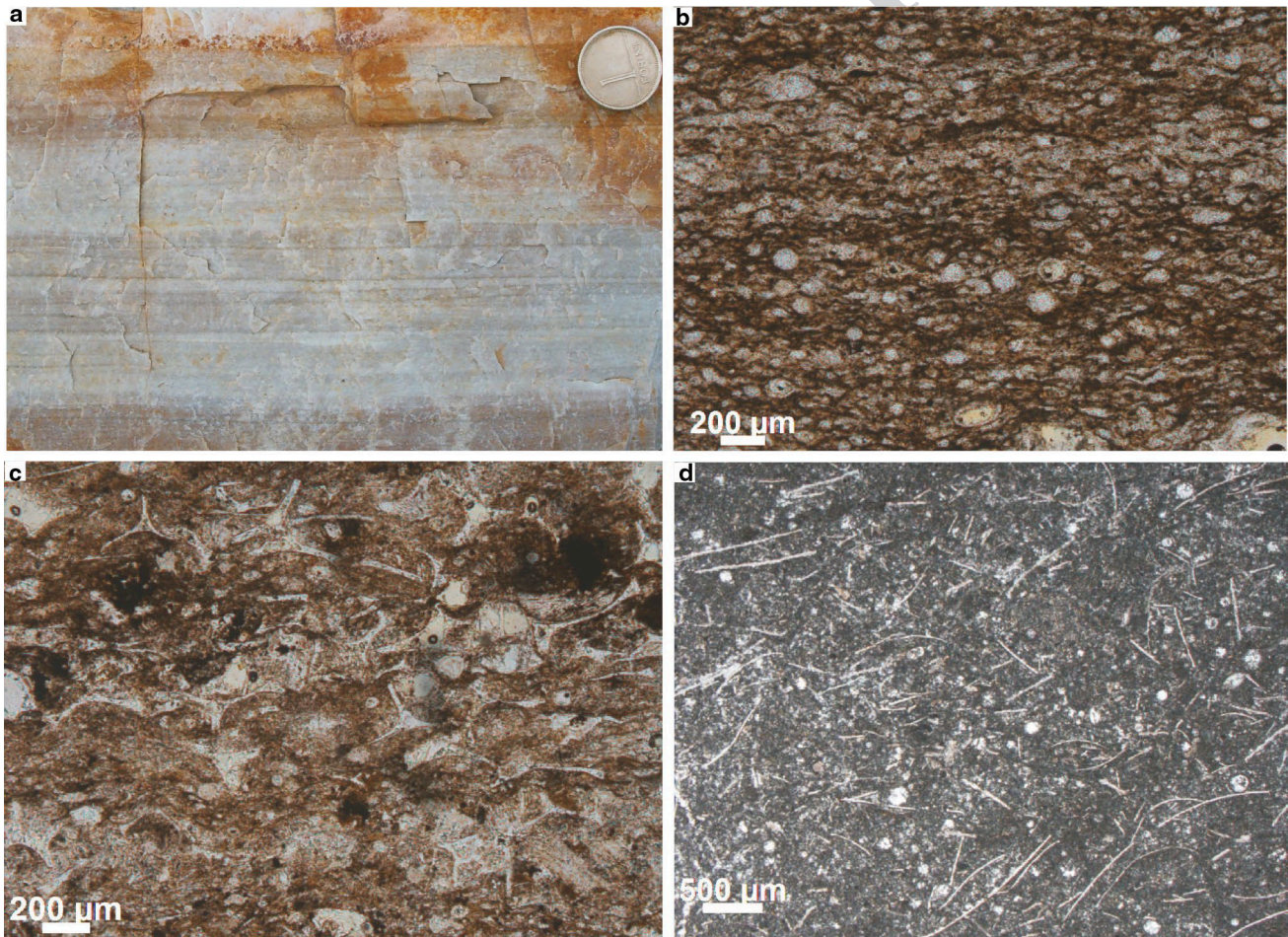
260 characterized by bioclastic wackestone textures with plenty  
 261 of calcite-filled moulds of radiolarians and juvenile speci-  
 262 mens and fragments of thin-shelled bivalves (Fig. 7d). *Posi-*  
 263 *donia* and *Halobia* coquinas occur in the uppermost part of  
 264 the Ladinian succession (Fig. 8). This bed-set is overlain by  
 265 lowermost Carnian cherty limestones also of pelagic basin  
 266 facies (Füred Limestone).

267 **Discussion**

268 **Interpretation of the depositional environments**

269 Litho- and biofacies characteristics and their space and  
 270 time distribution in the studied successions provide the  
 271 basis for interpretation of the depositional environments  
 272 and basin evolution. However, the paleogeographic posi-  
 273 tion of the study area on the margin of the Neotethys

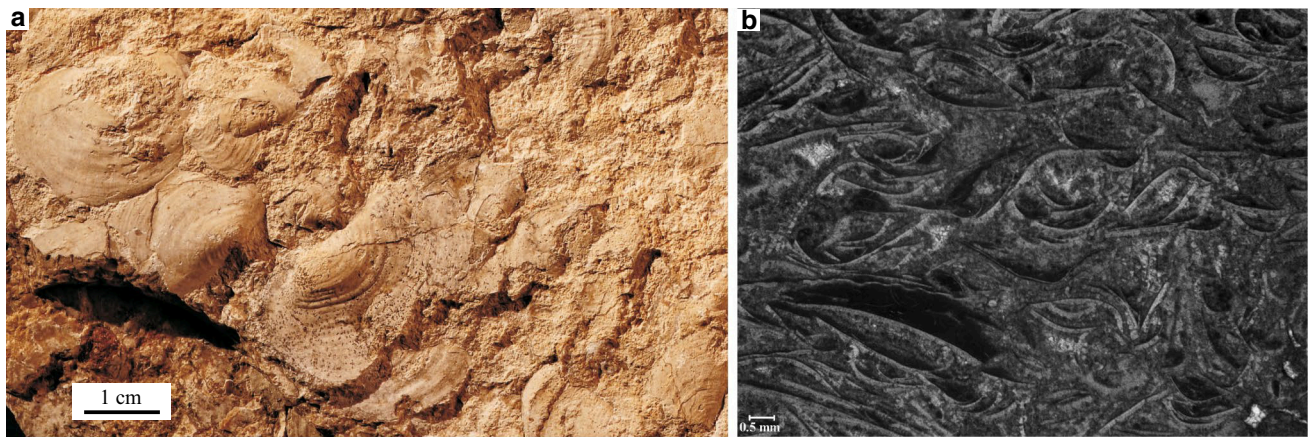
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**Fig. 7** Laminitic radiolarite, lower part of the Buchenstein Formation: **a** macroscopic image; Vászoly, Öreg Hill (N46°55′48.4″E17°46′57″). Photomicrographs on radiolarites: **b**, **c** radiolarian wackestone/packstone with silicified matrix, Dör-

gicse (N46°54′47.2″E17°44′17.4″). **d** Photomicrograph of bioclastic wackestone with fragments of thin-shelled bivalves and radiolarians (calcite-filled moulds), upper part of the Buchenstein Formation, Vászoly, Öreg Hill (N46°55′41.8″E17°47′01″)





**Fig. 8** *Posidonia coquina* in the upper part of the Buchenstein Formation (uppermost Ladinian), Vászoly, Öreg Hill (N46°55'53.7"E17°46'28.9"). **a** Macroscopic image on a bedding

plane. **b** Photomicrograph of bioclastic wackestone with massive occurrence of thin-shelled bivalves

274 Ocean and the timing of the formation of the sequences at  
 275 the initial stage of the ocean-opening must also be taken  
 276 into account. In the Pelsonian, as a consequence of the  
 277 coeval Neotethys rifting, the previously existing carbonate  
 278 ramp disintegrated along normal faults. Rapidly subsid-  
 279 ing basins were developed whereas shallow-marine carbon-  
 280 ate deposition continued on the elevated blocks. The  
 281 Tagyon Platform was one of these elevated blocks (Budai  
 282 and Vörös 1992, 1993); a small isolated platform within  
 283 a relatively large basin, which was probably only partly  
 284 separated by submarine topographic highs from the open  
 285 ocean. Acceleration of the tectonic subsidence and prob-  
 286 ably a coeval eustatic sea-level rise (Gianolla and Jacquin  
 287 1998) may have resulted in the drowning of this platform  
 288 in the Late Illyrian (Budai and Haas 1997; Budai and  
 289 Vörös 2006).

290 From the Pelsonian to the Late Illyrian, continuous  
 291 deepening resulted in pelagic cherty carbonate deposition  
 292 in the Felsőörs Basin, with ammonoids, conodonts, radi-  
 293 olarians, fragments of thin-shelled bivalves (Szabó et al.  
 294 1980; Kovács 1993; Dosztály 1993), and a specialized  
 295 deep-sea ostracod fauna in the upper part of the sequence  
 296 (Kozur 1970; Monostori 1995; Monostori and Tóth 2013).  
 297 In the neighborhood of the submarine high, the lower part  
 298 of the Ladinian succession is predominantly made up of  
 299 radiolarite. On the Tagyon High, after an about 2-Ma-long  
 300 gap (Budai and Vörös 2006), the Pelsonian platform car-  
 301 bonates were overlain by Late Illyrian pelagic carbonates  
 302 with highly diverse ammonoid and radiolarian faunas and  
 303 abundant thin-shelled bivalves. Ammonoid assemblages  
 304 (Vörös 1996, 2002) and specialized ostracods (Monostori  
 305 1991) indicate water depths of some hundreds of meters. In  
 306 the north-eastern part of the paleohigh, the drowning sur-  
 307 face is covered by a phosphoritic crust and the overlying

condensed bed set contains phosphoritic hardgrounds and  
 phosphorite-impregnated layers. The basal part of the  
 Ladinian is also characterized by the predominance of radi-  
 olarians in this area.

#### Fossil diversity and abundance

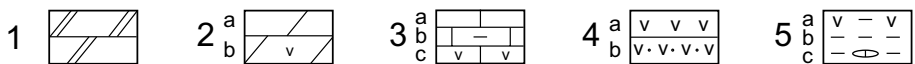
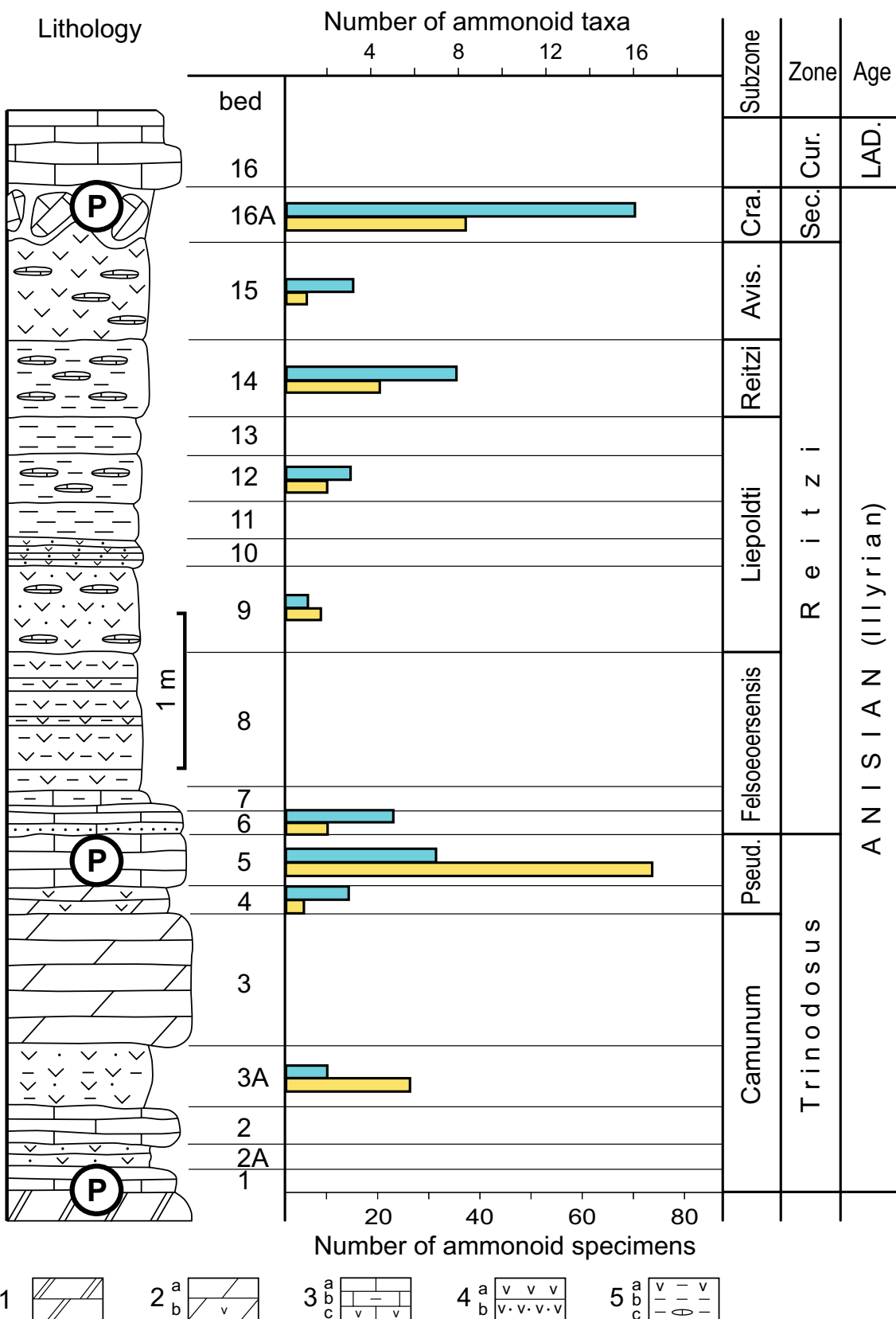
Radiolarians show high diversity almost everywhere in the  
 Middle Anisian to Ladinian sequence of the Balaton High-  
 land. Dosztály (1991, 1993) reported 50–70 species from  
 several drilled cores and outcrops of the area. However,  
 radiolarians appear in rock-forming quantity only on the  
 top of the submarine Tagyon High and in the adjacent part  
 of the coeval Felsőörs Basin (Figs. 3, 5).

Benthic faunas of the submarine high contain a moder-  
 ately rich brachiopod assemblage. Bed by bed collection of  
 the P-11a trench yielded more than 500 specimens of 14  
 taxa (Vörös and Pálffy 1989). However, after a Middle Ani-  
 sian peak, the diversity of this group decreased during the  
 Late Illyrian in the Balaton Highland (Vörös 2009) prob-  
 ably in connection with a relative sea-level rise (Budai and  
 Vörös 1993).

Bivalves show low diversity with seven taxa (Vörös  
 2009). However, they are very abundant locally in dis-  
 tinct horizons, forming *Daonella* and *Posidonia coquina*  
 (Fig. 8) within the Vászoly Formation and even in the  
 upper part of the Buchenstein Formation (Szabó 1972;  
 Budai et al. 1999b). *Daonella* shell-beds were reported by  
 Vörös and Pálffy (1989) from Bed 4 of trench P-11a, close  
 to one of the major phosphoritic horizons of the section.

Triassic ammonoid fauna of the Balaton Highland  
 reaches its highest diversity during the Late Illyrian and  
 this conforms the global diversity curve as well (Vörös  
 2009, 2014).

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**Fig. 9** Stratigraphic column of P-11a trench (N46°55'44.7"E17°47'05.5"), Vászoly, Öreg Hill (after Vörös and Pálffy 1989 and Vörös 1998) showing ammonoid diversity (number of taxa: blue) and abundance (number of specimens: yellow). 1 Platform dolomite; 2 Dolomitized basinal limestone (a) with volcanoclastic content (b); 3 hemipelagic limestone (a) with clay (b) or volcanoclastic content (c); 4 volcanic tuff (a) and lapilli tuff (b); 5 weathered clayey tuff (a) and clay (b) with limestone nodules (c). *Avis. Avianum*, *Cra. Crassus*; *Cur. Curionii*; *Lad. Ladinian*; *P* phosphatized layers; *Pseud. Pseudohungaricum*; *Sec. Secedensis*

340 It was demonstrated that ammonoids showed several  
341 pulses of diversification in the course of the Triassic, and  
342 that the second highest peak of generic richness in the  
343 history of Triassic ammonoids appeared in the Late Anisian  
344 (Tozer 1981; Brayard et al. 2009; Vörös 2014).

345 Detailed analysis of the temporal and spatial changes  
346 in generic richness and turnover rates of Middle Triassic  
347 ammonoid genera showed that the latest Anisian (Late  
348 Illyrian) peak of ammonoid diversity was particularly  
349 prominent in the western Neotethys Ocean (Vörös 2014).

350 The present study, focused on the Late Illyrian subma-  
351 rine Tagyon High, brought a new element in the paleo-  
352 environmental interpretation. The ammonoid fauna col-  
353 lected here (from several sections and localities of the  
354 Öreg Hill) is extremely diverse (Vörös 1998); according  
355 to the ongoing revision, the 330 specimens, collected  
356 here, represent 48 taxa. This diversity peak, recorded in  
357 the uppermost Illyrian beds (Reitzi + Secedensis Zones)  
358 at the Tagyon High, fits well to the global/regional model  
359 of diversity dynamics summarized above (Vörös 2014).  
360 However, it is remarkable that the accumulation of the  
361 highly diverse ammonoid fauna is mostly connected to  
362 phosphoritic hardgrounds and phosphorite-impregnated  
363 layers in many places of the Öreg Hill. This relation-  
364 ship is definitely proved in the section of P-11a trench  
365 (Fig. 9). Here, the phosphorite content of the limestones  
366 (labeled P in Fig. 9) is high in Bed 5 (Trinodosus Zone,  
367 Pseudohungaricum Subzone) and in Bed 16/A (Seceden-  
368 sis Zone), where phosphorite occurs in distinct lenses.  
369 The peaks of abundance and taxic diversity of the ammo-  
370 noids correspond well to the phosphate enrichments  
371 (Fig. 9). Since phosphorus is one of the major limiting  
372 nutrients, the above relationship can be interpreted as a  
373 further argument for the nutrient-controlled increase of  
374 ammonoid diversity recorded on the Tagyon High.

375 Radiolarians are the most common microfossils both  
376 in the Pelsonian to Ladinian basinal succession and the  
377 Late Illyrian to Ladinian drowning sequence of the sub-  
378 marine high. An evolutionary burst of this group was rec-  
379 ognized in the Middle Triassic all over the world, when  
380 the number of families increased from 18 to 56 after the  
381 "chert gap", which followed the crisis of the end-Per-  
382 mian event (De Wever et al. 2003). This global trend was

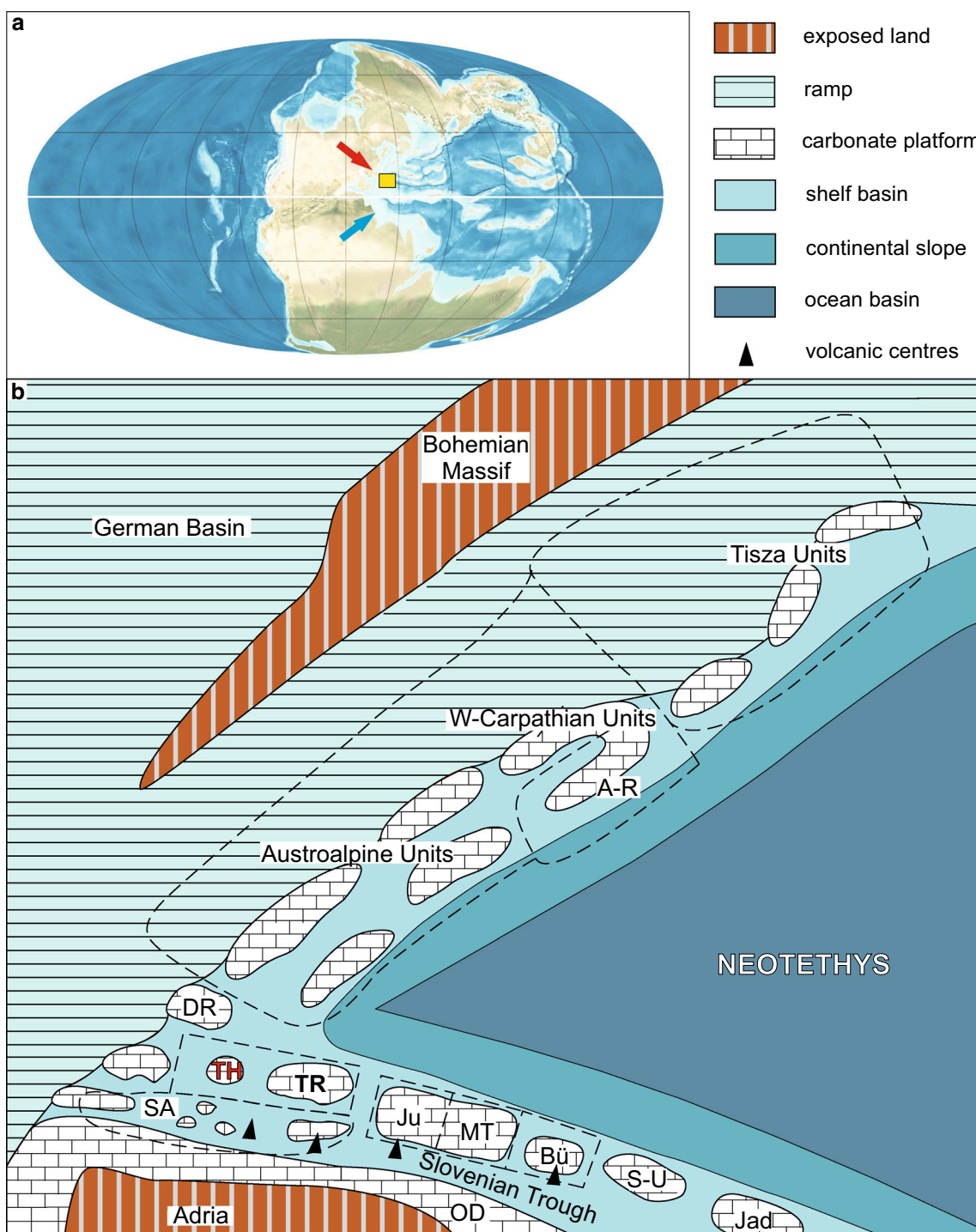
more pronounced on the western Neotethys margin than  
elsewhere.

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385 In the modern oceans, radiolarians are most abun-  
386 dant and show the greatest diversity between 100 and  
387 500 m depth in the tropical seas (De Wever et al. 2014).  
388 They are considered to be indicators of high productiv-  
389 ity of the surface waters (De Wever and Baudin 1996;  
390 De Wever et al. 2014). Since productivity depends on the  
391 fertility controlled by the presence of the limiting nutri-  
392 ents (e.g., P, N, Si), the radiolarians are also indicators  
393 of the fertility. Radiolarians are abundant in the modern  
394 ocean where and when the nutrients are available (Sei-  
395 bold and Berger 1993; Baumgartner 2013; De Wever  
396 et al. 2014). For a sustained boom of radiolarians and,  
397 consequently, the production of a large amount of radio-  
398 larian tests, a continuous supply of the limiting nutrients  
399 to the near-surface water is needed. In recent studies,  
400 two options are usually considered for this: fluvial input  
401 from terrestrial sources and recirculation from decaying  
402 organic matter via upwelling (Baumgartner 2013; De  
403 Wever et al. 2014). However, due to common abundance  
404 of radiolarians in pyroclast-bearing successions, the role  
405 of input of volcanic dust in fertilization of sea-surface  
406 was also put forward (Lin et al. 2011; Abdi et al. 2016).

#### Paleogeography and paleoclimate 407

408 Based on paleomagnetic data, the Transdanubian Range  
409 was located around 18°N in the Middle Triassic (Brack  
410 et al. 1999; Feist-Burkhardt et al. 2008). As a consequence  
411 of juxtaposition of large continental and oceanic masses  
412 in the equatorial belt, monsoon-influenced climate regime  
413 existed in the Tethyan realm from the Late Paleozoic to the  
414 Late Jurassic (Parrish et al. 1979; Parrish and Curtis 1982;  
415 Kutzbach and Gallimore 1989; Parrish 1993; Preto et al.  
416 2010). Since the intensity of the monsoonal circulation  
417 depends on the size of Pangea and the contrast between the  
418 continental domains on the northern and the southern sides  
419 of the equator, the "megamonsoonal" circulation reached  
420 maximum strength in the Triassic (Parrish 1993).

421 In the mid-Triassic, the Transdanubian Range was situ-  
422 ated on the eastern rifted shelf of Pangea, near the west-  
423 ern termination of the westward propagating Neotethys  
424 Ocean (Fig. 10a). In a wide external belt of the ocean mar-  
425 gin, smaller and larger carbonate platforms were developed  
426 on the uplifted blocks, which were surrounded by deeper  
427 basins. Our study area was one of the submarine highs  
428 about a hundred kilometers from the Neotethys continental  
429 slope and hundreds of kilometers distance from the dry land  
430 of Pangea (Fig. 10b). Considering this paleogeographic set-  
431 ting, and also taking into account the reconstructed meg-  
432 amonsoon-related relatively dry (semi-arid) climate along  
433 the eastern Pangea margin (Parrish 1993; Haas et al. 2012),



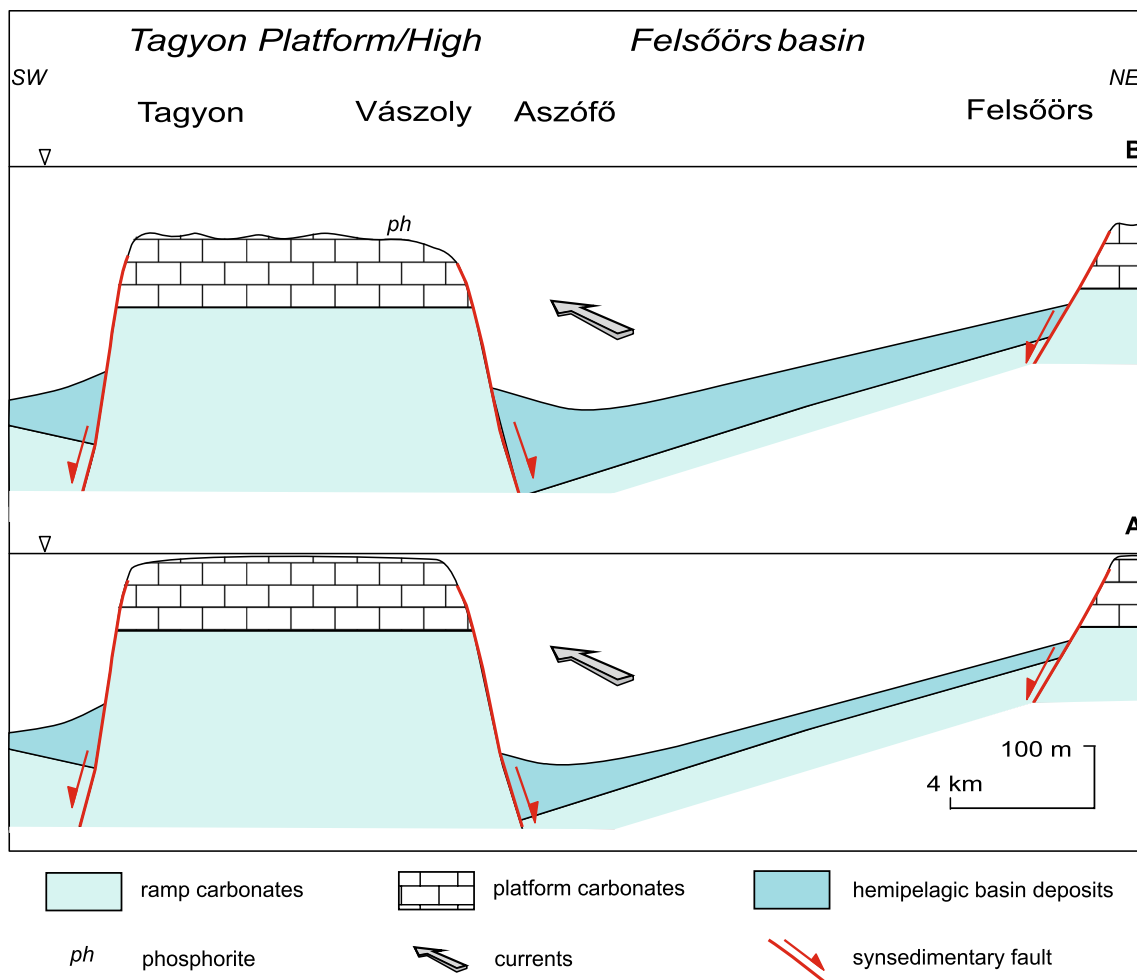
**Fig. 10** Paleogeographic reconstruction for the Middle Triassic (a) after Blakey (<http://jan.ucc.nau.edu/rcb7/mollglobe.html>). Arrows show the wind direction during winter monsoon on the northern (red) and on the southern hemisphere (blue). The yellow rectangle shows the western part of the Neotethys (b) with the position of the Trans-

danubian range unit (TR) and the Tagyon High (TH), after Haas et al. 2004. AR Aggtelek–Rudabánya units, Bü Bükk unit, DR Drau range, Jad Jadar block, Ju Julian unit; SA South-Alpine units; S–U Sana–Una unit; MT mid-Transdanubian unit; OD Outer Dinarids

434 terrestrial influx of nutrients (Caribbean River Plume  
435 Model of Baumgartner 2013), as main factor controlling  
436 the fertility, is improbable in this case. This concept is

supported by the results of the LA-ICP-MS analyses of the 437  
438 fine-grained CFA crystals of the phosphorite layers. The  
439 lack of correlation between redox-sensitive proxies, such as





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**Fig. 11** Paleoenvironmental models for the Pelsonian Tagyon Platform (a) and the submarine high after its drowning during the Late Illyrian (b). *ph* phosphorite accumulation. The *arrow* indicates the

direction of topography-controlled currents carrying nutrient-rich water from the upwelling zone of the shelf margin

440 Th/U and Th/V, suggest the absence of detrital influx (Molnár et al. 2016). However, the monsoon-controlled seasonal upwelling model (De Wever et al. 1994; 2014) seems to be applicable (Figs. 10, 11).

444 Latitude-normal position of a supercontinent (Pangea) and existence of a meridional ocean in the equatorial belt (Tethys) were responsible for the establishment of the megamonsoonal circulation pattern (Parrish 1993). This setting of the supercontinent destructed the zonal circulation and the equatorial warm ocean enhanced the monsoon effect triggered by the difference in the rate of heating of sea and land. The summer sun heats the land faster than the water and accordingly the wind blows from sea to continent, and conversely, the wind blows from the continent toward sea in winter. This means that our region may have been subject to south-eastward winds in the winter (from the higher latitude land masses of the northern hemisphere) and north-eastward winds in

458 the summer (from the higher latitude land masses of the southern hemisphere) (Fig. 10a). The “megamonsoon”-driven seasonally intense eastward winds forced the surface waters to move offshore and this may have caused upwelling of nutrient-rich subsurface waters along the continental slope and pumped the cold, oxygen-depleted, and fertile water through interplatform seaways into the internal parts of the shelf. The current direction on the shelf may have been mostly controlled by the segmented topography of the sea floor.

468 A modern example for the monsoon-related seasonal regional upwelling was observed in the Somalia and Owen basins, NW Indian Ocean, off Arabia (De Wever and Baudin 1996; DeWever et al. 2014). The upwelling-triggered nutrient transport resulted in high surface-water productivity facilitating the proliferation of radiolarians in these narrow basins, which are located behind platforms and whereby are partially restricted from the open ocean (De

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Wever and Baudin 1996). However, it must be emphasized that the effect of the Triassic megamonsoon must have been much more pronounced than that of the present-day Indian monsoon.

An explosive peak of ammonoid diversity in the western Neotethys Ocean during the Late Illyrian can be interpreted in terms of major changes of two regional environmental factors: the high fertility and related enhanced primary productivity in the pelagic environments and the presence of nearby drowned and/or active carbonate platforms. The above-discussed nutrient availability may have favored the diversification of the ammonoids. Drowned platforms and margins of active carbonate platforms might have provided habitat diversification with vacant niches; the microbial mats, as primary producers, supplied suspended organic matter for the higher trophic levels and eventually for the ammonoids (Vörös 2014).

A large amount of pelagic larval or juvenile specimens of thin-shelled bivalves (“filaments”) commonly co-occur with radiolarians in the studied formations of the Tagyon High and coquina beds of thin-shelled bivalves also occur (Fig. 8). Triassic flat clams often inhabited environments near the oxygen minimum boundary and formed monospecific fossil assemblages in organic-rich laminated deposits (McRoberts 2010). Massive occurrence of the thin-shelled bivalves in organic-rich formations was reported from upwelling zones: *Halobia* and *Monotis* occur in rock-forming quantities in the Triassic phosphorite-bearing Shublik Formation in Alaska (Parrish et al. 2001). In the basins under the influence of the monsoon upwelling, the deposition of the pelagic carbonates, rich in radiolarians and thin-shelled bivalves, commenced already in the Late Pelsonian of the Balaton Highland (Vörös et al. 2003). Following models on the genesis of phosphatic sediments by Föllmi et al. (1994) and Glenn et al. (1994), we conclude that, in addition to the eustatic sea-level rise and the acceleration of the tectonic subsidence of the Felsöors Basin during the Late Illyrian (Fig. 11), the high productivity (mesotrophic to eutrophic conditions) may have also contributed to the drowning of the Tagyon Platform. After drowning, the top of the submarine high might be affected by high-energy currents hampering the sediment accumulation for some time. Phosphoritic crust on the drowning surface was formed during this period probably with microbial mediation (Föllmi 1996). Later on, relative sea-level changes governed mostly by intermittent subsidence of the Tagyon High may have controlled the rate of sedimentation, the interruption of the accumulation, and the related hardground development. The current activity probably decreased during the high sea-level periods allowing the deposition. By contrast, it may have increased during the sea-level lowstands leading to winnowing and thereby condensation and hardground formation commonly with

phosphorization, i.e., formation of phosphorite grains, oncoids, crusts, and phosphorite impregnated horizons. Very low sediment accumulation rate and lithified substrate in fertilized water may have substantially promoted the phosphogenesis from sea-water derived phosphate (Föllmi 1996). This is confirmed by the mostly super-chondritic (approximately 47) Y/Ho ratio. In this context, it is worth mentioning that phosphorite horizons were observed only in the NE part of the Tagyon High. This asymmetric distribution pattern seems to confirm our concept on the fundamental role of the current direction in the phosphogenesis (Fig. 11).

Reflecting high productivity of the near-surface water, these carbonates deposited during the high sea-level usually contain a relatively high amount of organic matter. The Th/U, V/Sc, V/Cr, and Ni/Co ratios also indicate reducing (anoxic) conditions (Molnár et al. 2016). Early diagenetic partial dolomitization of some of these beds can be related to bacterial sulfate reduction and methanogenesis, which may have taken place in the organic-rich carbonate sediments as organogenic dolomitization (Meister et al. 2007; Mazzullo 2000). The organogenic dolomitization model was also applied for interpretation of the genesis of the Late Anisian–Ladinian dolomite associated with organic carbon-rich shale in the intraplatform Monte San Giorgio Basin, southern Switzerland (Meister et al. 2013).

**Contemporaneous radiolarian-rich successions on the western Neotethys margin**

Radiolarites and radiolarian-rich carbonates similar to that of the Balaton Highland can be recognized in several sedimentary complexes formed during the Middle to Late Anisian on various parts of the Neotethys shelf (Fig. 10). In the Žumberak Mts (Croatian part of the Mid-Transdanubian Unit), Pelsonian platform carbonates are unconformably overlain by a fine-grained siliciclastic sequence containing volcanic tuffs and radiolarian cherts of Late Illyrian age (Gorican et al. 2005). In the Dinarids (e.g., in the Zlatibor Mts, and in the High Karst Nappe) the drowning of the Steinalm Platform took place in the Late Pelsonian (Bulog Limestone) and this was followed by a radiolarite event in the Late Illyrian (Gawlick et al. 2012; Sudar et al. 2013). In the Julian Alps, the Contrin Platform is overlain by red nodular radiolarian-rich pelagic limestone (Loibl Fm), the drowning event is dated as Late Illyrian (Pseudohungaricum Subchron, Celarc et al. 2013). In the Carnic Alps and in the eastern Dolomites, the irregular truncated surface of the Middle Anisian platforms is overlain by Late Anisian radiolarian limestones and marls of the Bivera Formation (Farabegoli and Guasti 1980; Farabegoli and Levanti 1982; Farabegoli et al. 1984). In the area of the western



579 Dolomites, the Contrin Platform is sharply overlain by a  
580 radiolarian-bearing, organic-rich laminated limestone suc-  
581 cession (Plattenkalk Mb of the Livinallongo Fm). Here, the  
582 drowning is dated as latest Illyrian Avisianum Subchron  
583 (De Zanche et al. 1993; Gianolla and Jacquin 1998).

584 On the northern side of the Neotethys shelf (Fig. 10), the  
585 Middle Triassic radiolarite event was associated with the  
586 “Reifling event” (sensu Schlager and Schöllenger 1974).  
587 In the Northern Calcareous Alps, the hardground on the  
588 upper surface of Pelsonian Steinalm Platform is overlain by  
589 cherty limestones alternating with thin silty marls (lower  
590 member of Reifling Fm). The drowning happened during  
591 the Late Pelsonian (Lein et al. 2012).

592 The Reifling event was also identified in the Aggtelek  
593 Hills (Silica Nappe) where the drowning surface of the  
594 Steinalm Platform is overlain by the red micritic filament-  
595 rich radiolarian Schreyeralm Limestone and radiolarite lay-  
596 ers (Péró et al. 2015). In the Middle Triassic sequence of  
597 the Rudabánya Hills, the Upper Anisian Schreyeralm-type  
598 pelagic limestone abruptly overlies the Pelsonian Steinalm  
599 Platform (Kovács in Haas et al. 2004). The drowning was  
600 dated by an ammonoid assemblage of Late Illyrian age  
601 (Camunum and Pseudohungaricum subchrons) (Vörös  
602 2010). This was followed by a radiolarite event represented  
603 by laminated red or dark-grey radiolarite (Szárhegy Fm) of  
604 Fassanian age.

## 605 Conclusions

606 In the middle part of the Balaton Highland, the Middle Ani-  
607 sian (Pelsonian) platform carbonates are directly overlain  
608 by a condensed pelagic carbonate bed set with phosphorite  
609 horizons and a diverse ammonite fauna that is followed by  
610 radiolarian-rich carbonates locally with radiolarite inter-  
611 beds. Based on interpretation of previous observations and  
612 our new studies, we suggest that these features are con-  
613 trolled partly by regional factors related to the onset of  
614 opening of the Neotethys Ocean and local factors of the  
615 bottom topography and related current activity, which may  
616 also be connected with the ocean opening.

617 The Middle Triassic basin evolution of the western Neo-  
618 tethys realm, which includes the Transdanubian Range  
619 Unit and within it the Balaton Highland area, was mostly  
620 governed by the westward propagation of the Neotethys,  
621 which led to the establishment of an extensional tectonic  
622 regime and segmentation of the previously formed exten-  
623 sive marginal ramp. In the newly formed marginal basins,  
624 which were in direct connection with the ocean basin, radi-  
625 olarian-rich siliceous carbonate sedimentation prevailed.  
626 This indicates high fertility of the surface waters that can  
627 be interpreted by the application of the monsoon-derived

upwelling model (De Wever et al. 2014). Additionally, the  
coeval volcanic tuff input might also have contributed to  
the fertilization.

In the study area, as a result of the tectonically con-  
trolled segmentation, a small isolated platform (Tagyon  
Platform) was formed in the Pelsonian. Accelerated  
subsidence of this block accompanied by a eustatic sea-  
level rise in the Late Illyrian caused the drowning of this  
platform (Tagyon High from this time onwards), but the  
eutrophic surface water conditions may have also contrib-  
uted to the drowning (Fig. 11). The asymmetry in the dis-  
tribution of the phosphoritic deposits (restriction of the  
phosphorite horizons to the NE part of the Tagyon High)  
and the thickness pattern of the radiolarite (the maximum  
thickness in the NE part of the high and in the adjacent  
part of the basin) suggest local control, i.e., effect of  
wind-driven currents along the windward margin of the  
submarine high.

The segmented sea-floor topography together with the  
high-fertility surface water conditions may have provided  
food supply and favorable habitats for the ammonoids  
which adapted to various ecological conditions. Diversifi-  
cation of this group is attributed mostly to these factors.

In our case study, a critical period of the Middle Triassic  
evolution of the Balaton Highland area was discussed. We  
presented examples from other units of the western Neo-  
tethys margin where more or less similar contemporaneous  
evolution was reported (Dinarides, Southern Alps, North-  
ern Calcareous Alps, Inner West Carpathians). This simi-  
larity underlines the importance of the regional controlling  
factors such as the establishment of an extensional tectonic  
regime and related marginal basin formation; monsoon-  
driven upwelling and related high surface water productiv-  
ity. However, local factors (i.e., sea-floor topography, vol-  
canic activity), overprinting the regional ones, may have  
also played an important role in controlling the sedimenta-  
tion during the Middle Triassic.

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