

CHROMATIC NUMBER OF THE PRODUCT OF GRAPHS, GRAPH HOMOMORPHISMS, ANTICHAINS AND COFINAL SUBSETS OF POSETS WITHOUT AC

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ABSTRACT. In set theory without the Axiom of Choice (AC), we observe new relations of the following statements with weak choice principles.

- If in a partially ordered set, all chains are finite and all antichains are countable, then the set is countable.
- If in a partially ordered set, all chains are finite and all antichains have size \aleph_α , then the set has size \aleph_α for any regular \aleph_α .
- CS (Every partially ordered set without a maximal element has two disjoint cofinal subsets).
- CWF (Every partially ordered set has a cofinal well-founded subset).
- DT (Dilworth’s decomposition theorem for infinite p.o.sets of finite width).

We also study a graph homomorphism problem and a problem due to András Hajnal without AC. Further, we study a few statements restricted to linearly-ordered structures without AC.

1. INTRODUCTION

Firstly, the first author observes the following in ZFA (Zermelo-Fraenkel set theory with atoms).

- (1) In **Problem 15, Chapter 11 of [KT06]**, applying Zorn’s lemma, Komjáth and Totik proved the statement “Every partially ordered set without a maximal element has two disjoint cofinal subsets” (CS). In **Theorem 3.26 of [THS16]**, Tachtsis, Howard and Saveliev proved that CS does not imply ‘there are no amorphous sets’ in ZFA. We observe that $CS \not\rightarrow AC_{fin}^\omega$ (the axiom of choice for countably infinite families of non-empty finite sets), $CS \not\rightarrow AC_n^-$ (‘Every infinite family of n -element sets has a partial choice function’) ¹ for every $2 \leq n < \omega$ and $CS \not\rightarrow LOKW_4^-$ (Every infinite linearly orderable family \mathcal{A} of 4-element sets has a partial Kinna–Wegner selection function)² in ZFA.
- (2) In **Problem 14, Chapter 11 of [KT06]**, applying the well-ordering theorem, Komjáth and Totik proved the statement “Every partially ordered set has a cofinal well-founded subset” (CWF). In **Theorem 10(ii) of [Tac17]**, Tachtsis proved that CWF holds in the basic Fraenkel model. Moreover, in **Lemma 5 of [Tac17]**, Tachtsis proved that CWF is equivalent to AC in ZF. We observe that $CWF \not\rightarrow AC_{fin}^\omega$, $CWF \not\rightarrow AC_n^-$ for every $2 \leq n < \omega$ and $CWF \not\rightarrow LOKW_4^-$ in ZFA.
- (3) In **Problem 7, Chapter 11 of [KT06]**, applying Zorn’s lemma, Komjáth and Totik proved that if in a partially ordered set, all chains are finite and all antichains are countable, then the set is countable. We observe that ‘If in a partially ordered set, all chains are finite and all antichains are countable, then the set is countable’ $\not\rightarrow AC_n^-$ ($\forall n \geq 2$), $\not\rightarrow$ ‘There are no amorphous sets’ in ZFA which are new results. Moreover, we prove that ‘For any regular \aleph_α , if in a partially ordered set, all chains are finite and all

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¹It is easy to see that AC_n^- follows from AC_n (Axiom of choice for n -element sets).

²We denote the principle ‘Every infinite linearly orderable family \mathcal{A} of n -element sets has a partial Kinna–Wegner selection function’ by $LOKW_n^-$ (see [HT19]).

antichains have size \aleph_α , then the set has size $\aleph_\alpha \not\vdash AC_n^- (\forall n \geq 2)$, $\not\vdash$ ‘There are no amorphous sets’ in ZFA.

- (4) Dilworth [Dil50] proved the following statement: ‘If \mathbb{P} is an arbitrary p.o.set, and k is a natural number such that \mathbb{P} has no antichains of size $k+1$ while at least one k -element subset of \mathbb{P} is an antichain, then \mathbb{P} can be partitioned into k chains’, we abbreviate by DT (see **Problem 4, Chapter 11 of [KT06]** also). Tachtsis [Tac19] investigated the possible placement of DT in the hierarchy of weak choice principles. He proved that DT does not imply AC_{fin}^ω as well as AC_2 (Every family of pairs has a choice function). We observe that DT does not imply AC_n^- for any $2 \leq n < \omega$ in ZFA. In particular, we observe that DT holds in the permutation model of **Theorem 8 of [HT19]**, due to Halbeisen and Tachtsis. We also observe that a weaker form of Loś’s lemma (Form 253 of [HR98]) fails in the permutation model of **Theorem 8 of [HT19]**.
- (5) In **Theorem 4.5.2 of [Kom]**, Komjáth sketched the following generalization of the n -coloring theorem (For every graph $G = (V, E)$ if every finite subgraph of G is n -colorable then G is n -colorable) applying the Boolean prime ideal theorem (BPI): ‘For an infinite graph $G = (V_G, E_G)$ and a finite graph $H = (V_H, E_H)$, if every finite subgraph of G has a homomorphism into H , then so has G ’ we abbreviate by $\mathcal{P}_{G,H}$. We observe that if $X \in \{AC_3, AC_{fin}^\omega\}$, then $\mathcal{P}_{G,H}$ restricted to finite graph H with 2 vertices does not imply X in ZFA.

Secondly, we study a weaker formulation of a problem due to András Hajnal in ZFA.

- (1) In **Theorem 2 of [Haj85]**, Hajnal proved that if the chromatic number of a graph G_1 is finite (say $k < \omega$), and the chromatic number of another graph G_2 is infinite, then the chromatic number of $G_1 \times G_2$ is k using the Gödel’s Compactness theorem. In the solution of **Problem 12, Chapter 23 of [KT06]**, Komjáth provided another argument using the Ultrafilter lemma. For a natural number $k < \omega$, we denote by \mathcal{P}_k the following statement.

$$\chi(E_{G_1}) = k < \omega \text{ and } \chi(E_{G_2}) \geq \omega \text{ implies } \chi(E_{G_1 \times G_2}) = k.'$$

We observe that if $X \in \{AC_3, AC_{fin}^\omega\}$, then $\mathcal{P}_k \not\vdash X$ in ZFA when $k = 3$.

Lastly, we study a few algebraic and graph-theoretic statements restricted to linearly-ordered structures without AC. We abbreviate the statement ‘The union of a well-orderable family of finite sets is well-orderable’ by $UT(WO, fin, WO)$. In **Theorem 3.1 (i) of [Tac19]**, Tachtsis proved DT for well-ordered infinite p.o.sets with finite width in ZF applying the following theorem.

Theorem 1.1. (Theorem 1 of [Loeb65]). *Let $\{X_i\}_{i \in I}$ be a family of compact spaces which is indexed by a set I on which there is a well-ordering \leq . If I is an infinite set and there is a choice function F on the collection $\{C : C \text{ is closed, } C \neq \emptyset, C \subset X_i \text{ for some } i \in I\}$, then the product space $\prod_{i \in I} X_i$ is compact in the product topology.*

Using the same technique from **Theorem 3.1 of [Tac19]**, we prove a few algebraic and graph-theoretic statements restricted to well-ordered sets, either in ZF or in $ZF + UT(WO, fin, WO)$. Consequently, those statements restricted to linearly ordered sets are true, in permutation models where LW (Every linearly ordered set can be well-ordered) holds. In particular, we observe the following.

- (1) In **Theorem 18 of [HT13]**, Howard and Tachtsis obtained that for every finite field $\mathcal{F} = \langle F, \dots \rangle$, for every nontrivial vector space V over \mathcal{F} , there exists a non-zero linear functional $f : V \rightarrow F$ applying BPI. Fix an arbitrary $2 \leq n < \omega$. We observe that ‘For every finite field $\mathcal{F} = \langle F, \dots \rangle$, for every nontrivial linearly-ordered vector space V over \mathcal{F} , there exists a non-zero linear functional $f : V \rightarrow F$ ’ $\not\vdash AC_{fin}^\omega$, $\not\vdash LOKW_4^-$, and $\not\vdash AC_n^-$ in ZFA.
- (2) Fix an arbitrary $2 \leq n < \omega$. We observe that ‘For an infinite graph $G = (V_G, E_G)$ on a linearly-ordered set of vertices V_G and a finite graph $H = (V_H, E_H)$, if every finite

subgraph of G has a homomorphism into H , then so has $G' \not\rightarrow AC_{fin}^\omega, \not\rightarrow LOKW_4^-,$ and $\not\rightarrow AC_n^-$ in ZFA.

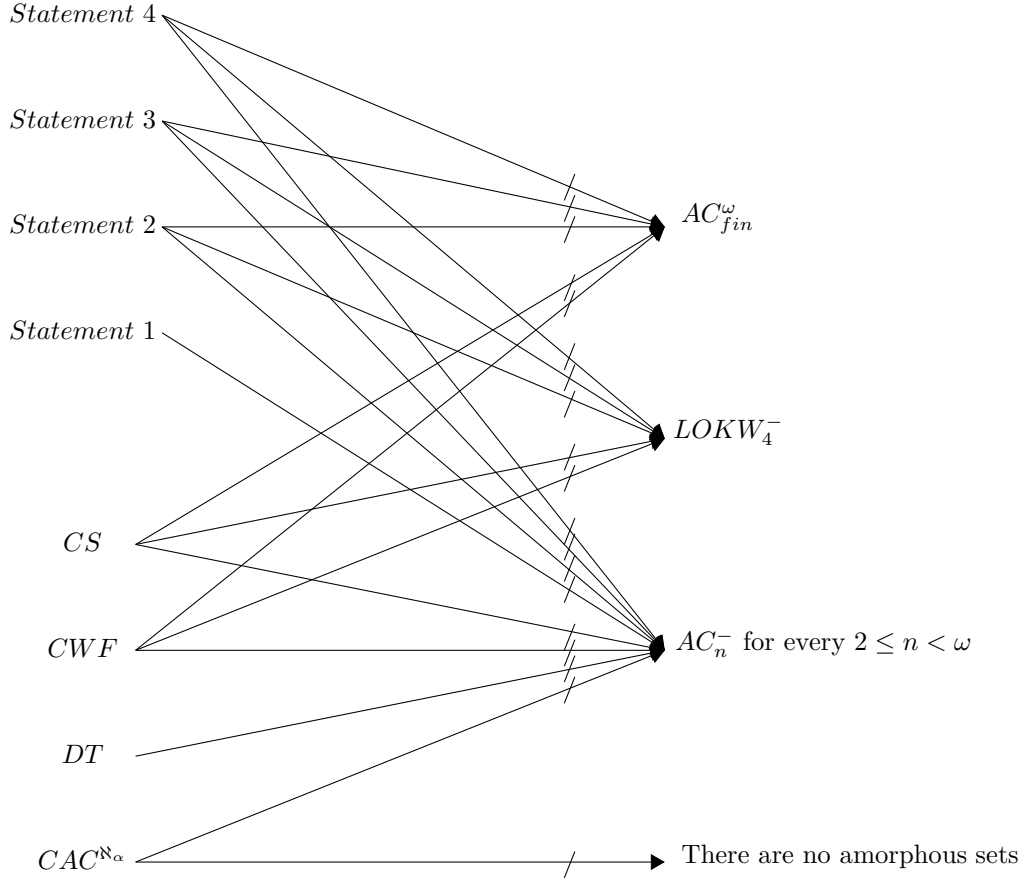
- (3) Fix an arbitrary $2 \leq n < \omega$. We prove that for every $3 \leq k < \omega$, the statement ‘ \mathcal{P}_k if the graph G_1 is on some linearly-orderable set of vertices’ $\not\rightarrow AC_{fin}^\omega, \not\rightarrow LOKW_4^-,$ and $\not\rightarrow AC_n^-$ in ZFA.
- (4) Marshall Hall [Hal48] proved that if S is a set and $\{S_i\}_{i \in I}$ is an indexed family of **finite** subsets of S , then if the following property holds,

(P) for every finite $F \subseteq I$, there is an injective choice function for $\{S_i\}_{i \in F}$.

then there is an injective choice function for $\{S_i\}_{i \in I}$. We abbreviate the above assertion by MHT. We recall that BPI implies MHT and MHT implies the Axiom of choice for finite sets (AC_{fin}) in ZF (c.f. [HR98]). Fix an arbitrary $2 \leq n < \omega$. We prove that MHT restricted to a linearly-ordered collection of finite subsets of a set does not imply AC_n^- in ZFA.

2. A LIST OF FORMS AND DEFINITIONS

- (1) The **Axiom of Choice, AC (Form 1 in [HR98])**: Every family of nonempty sets has a choice function.
- (2) The **Axiom of Choice for Finite Sets, AC_n (Form 62 in [HR98])**: Every family of non-empty nite sets has a choice function.
- (3) AC_2 (**Form 88 in [HR98]**): Every family of pairs has a choice function.
- (4) AC_n **for each $n \in \omega, n \geq 2$ (Form 61 in [HR98])**: Every family of n element sets has a choice function. We denote by AC_n^- the statement ‘Every infinite family of n -element sets has a partial choice function’ (**Form 342(n) in [HR98]**, denoted by C_n^- in **Definition 1 (2)** of [HT19]). We denote by $LOKW_n^-$ the statement ‘Every infinite linearly orderable family \mathcal{A} of n -element sets has a partial Kinna–Wegner selection function’ (c.f. **Definition 1 (2)** of [HT19]).
- (5) AC_n^ω (**Form 10 in [HR98]**): Every countably innite family of non-empty nite sets has a choice function. We denote by PAC_{fin}^ω the statement ‘Every countably innite family of non-empty nite sets has a partial choice function’.
- (6) The **Principle of Dependent Choice, DC (Form 43 in [HR98])**: If S is a relation on a non-empty set A and $(\forall x \in A)(\exists y \in A)(xSy)$ then there is a sequence a_0, a_1, \dots of elements of A such that $(\forall n \in \omega)(a(n)Sa(n+1))$.
- (7) **LW (Form 90 in [HR98])**: Every linearly-ordered set can be well-ordered.
- (8) **UT(WO, WO, WO) (Form 231 in [HR98])**: The union of a well-ordered collection of well-orderable sets is well-orderable.
- (9) **UT(WO, fin, WO) (Form 10N in [HR98])**: The union of a well-orderable family of finite sets is well-orderable.
- (10) $(\forall \alpha)UT(\aleph_\alpha, \aleph_\alpha, \aleph_\alpha)$ (**Form 23 in [HR98]**): For every ordinal α , if A and every member of A has cardinality \aleph_α , then $|\cup A| = \aleph_\alpha$.
- (11) **UT(\aleph_0 , fin, \aleph_0) (Form 10A in [HR98])**: The union of a denumerable collection of finite sets is countable.
- (12) The **Boolean Prime Ideal Theorem, BPI (Form 14 in [HR98])**: Every Boolean algebra has a prime ideal. We recall the following equivalent formulations of BPI.
 - (**Form 14AW in [HR98]**): The Compactness theorem for propositional logic.
 - The **Ultrafilter lemma, UL (Form 14A in [HR98])**: Every proper filter over a set S in $\mathcal{P}(S)$ can be extended to an ultrafilter.
 - The **n -coloring theorem for $n \geq 3$, (Form 14G(n)($n \in \omega, n \geq 3$) in [HR98])**: For every graph $G = (V, E)$ if every finite subgraph of G is n -colorable then G is n -colorable. This is De Bruijn–Erdős theorem for $n \geq 3$ colorings.
- (13) The **Principle of consistent choice, PCC (Form 14AH in [HR98])**: Let $\mathcal{A} = \{A_i\}_{i \in I}$ be a family of finite sets and \mathcal{R} is a symmetric binary relation on $\cup_{i \in I} A_i$. Suppose that for every finite $W \subset I$, there is an \mathcal{R} -consistent choice function for $\{A_i\}_{i \in W}$, then there is an \mathcal{R} -consistent choice function for $\{A_i\}_{i \in I}$.



$\mathcal{P}_{G,H}$ restricted to finite graph H with 2 vertices, $\mathcal{P}_3 \not\rightarrow AC_3, AC_{fin}^\omega$

FIGURE 1. In the above figure, we sketch the results of this note in ZFA. For each regular \aleph_α , we denote by CAC^{\aleph_α} the statement ‘if in a partially ordered set, all chains are finite and all antichains have size \aleph_α , then the set has size \aleph_α ’. We use **Statement 4** to denote ‘ \mathcal{P}_k for the graph G_1 on some linearly-orderable set of vertices’ for a natural number $k \geq 3$. We use **Statement 3** to denote ‘For every finite field $\mathcal{F} = \langle F, \dots \rangle$, for every nontrivial linearly-ordered vector space V over \mathcal{F} , there exists a non-zero linear functional $f : V \rightarrow F$ ’. We use **Statement 2** to denote ‘For an infinite graph $G = (V_G, E_G)$ on a linearly-ordered set of vertices V_G and a finite graph $H = (V_H, E_H)$, if every finite subgraph of G has a homomorphism into H , then so has G ’. We use **Statement 1** to denote Marshall Hall’s theorem for linearly-ordered collection of finite subsets of a set.

We note that Form 14AH in [HR98] is different than the above formulation. Łoś/Ryll-Nardzewski [LN51] introduced both the formulations where it was noted that they are equivalent. Let $n \in \omega \setminus \{0, 1\}$. We recall the notation F_n introduced by Cowen in [Cow77], which is PCC restricted to families $\mathcal{A} = \{A_i : i \in I\}$, where $|A_i| \leq n$ for all $i \in I$.

- (14) **Marshall Hall’s theorem, MHT (Form 107 in [HR98])**: If S is a set and $\{S_i\}_{i \in I}$ is an indexed family of **finite** subsets of S , then if the following property holds,

(P) for every finite $F \subseteq I$, there is an injective choice function for $\{S_i\}_{i \in F}$.

then there is an injective choice function for $\{S_i\}_{i \in I}$.

- Philip Hall's theorem** states that the property (P) is equivalent to the Hall's condition which states that $\forall F \in [I]^{<\omega}, |\cup_{i \in F} S_i| \geq |F|$. We recall that Philip Hall's theorem or finite Hall's theorem can be proved in ZF without using any choice principles.
- (15) **A weaker form of Łoś's lemma, LT (Form 253 in [HR98])**: If $\mathcal{A} = \langle A, \mathcal{R}^{\mathcal{A}} \rangle$ is a non-trivial relational \mathcal{L} -structure over some language \mathcal{L} , and \mathcal{U} be an ultrafilter on a non-empty set I , then the ultrapower $\mathcal{A}^I/\mathcal{U}$ and \mathcal{A} are elementarily equivalent.
- (16) **MCC (c.f. Definition 5 and Definition 6 of [Tac17])**: Every topological space with the minimal cover property is compact.
- (17) **Bounded and unbounded amorphous sets**: An infinite set X is called *amorphous* if X cannot be written as a disjoint union of two infinite subsets. There are two types of amorphous sets, namely bounded amorphous sets and unbounded amorphous sets. Let \mathcal{U} be a finitary partition of an amorphous set X . Then all but finitely many elements of \mathcal{U} have the same cardinality, say $n(\mathcal{U})$. Let $\Pi(X)$ be the set of all finitary partitions of X and $n(X) = \sup\{n(\mathcal{U}) : \mathcal{U} \in \Pi(X)\}$. If $n(X)$ is finite, then X is called *bounded amorphous* and if $n(X)$ is infinite, then X is called *unbounded amorphous*. We recall **Theorem 6 of [Tac17]** which states that $\text{MCC} \rightarrow$ "there are no bounded amorphous sets".
- (18) **(Form 64 in [HR98])**: There are no amorphous sets.
- (19) **Martin's Axiom (c.f. [Tac16b])**: If κ is a well-ordered cardinal, we denote by $MA(\kappa)$ the principle 'If $(P, <)$ is a nonempty, c.c.c. quasi order and \mathcal{D} is a family of $\leq \kappa$ dense sets in P , then there is a filter \mathcal{F} of P such that $\mathcal{F} \cap D \neq \emptyset$ for all $D \in \mathcal{D}$ '. We recall from **Remark 2.7** of [Tac16b] that $AC_{fin}^\omega + MA(\aleph_0) \rightarrow$ 'for every infinite set X , 2^X is Baire' and 'for every infinite set X , 2^X is Baire' \rightarrow 'there are no amorphous sets'.
- (20) **Dilworth's decomposition theorem for infinite p.o.sets of finite width, DT (c.f. [Tac19])**: If \mathbb{P} is an arbitrary p.o.set, and k is a natural number such that \mathbb{P} has no antichains of size $k + 1$ while at least one k -element subset of \mathbb{P} is an antichain, then \mathbb{P} can be partitioned into k chains. We abbreviate the above formulation as DT. We recall **Theorem 3.1(i)** of [Tac19], which states that DT for well-ordered infinite p.o.sets with finite width is provable in ZF.
- (21) The **Chain/Antichain Principle, CAC (Form 217 in [HR98])**: Every infinite p.o.set has an infinite chain or an infinite antichain. We recall that CAC implies AC_{fin}^ω from **Lemma 4.4** of [Tac19a].
- (22) **CS (c.f. [THS16])**: Every partially ordered set without a maximal element has two disjoint cofinal subsets.
- (23) **CWF (c.f. Definition 6 (11) of [Tac17])**: Every partially ordered set has a cofinal well-founded subset.
- (24) **Chromatic number of the product of graphs**: We recall a few basic terminologies of graphs. An *independent set* is a set of vertices in a graph, no two of which are connected by an edge. A *good coloring* of a graph $G = (V_G, E_G)$ with a color set C is a mapping $f : V_G \rightarrow C$ such that for every $\{x, y\} \in E_G$, $f(x) \neq f(y)$. The *chromatic number* $\chi(E_G)$ of a graph $G = (V_G, E_G)$ is the smallest cardinal κ such that the graph G can be colored by κ colors. We define the cartesian product of two graphs $G_1 = (V_{G_1}, E_{G_1})$ and $G_2 = (V_{G_2}, E_{G_2})$ as the graph $G_1 \times G_2 = (V_{G_1 \times G_2}, E_{G_1 \times G_2}) = (V_{G_1} \times V_{G_2}, \{\{(x_0, x_1), (y_0, y_1)\} : \{x_0, y_0\} \in E_{G_1}, \{x_1, y_1\} \in E_{G_2}\})$ where $V_{G_1} \times V_{G_2}$ is the cartesian product of the vertex sets V_{G_1} and V_{G_2} . It can be seen that $\chi(E_{G_1 \times G_2}) \leq \min(\chi(E_{G_1}), \chi(E_{G_2}))$. In particular, if $\chi(E_{G_1}) = k < \omega$ then $\chi(E_{G_1 \times G_2}) = k$, since if $f : V_{G_1} \rightarrow \{1, \dots, k\}$ is a good k -coloring of G_1 , then $F(\langle x, y \rangle) = f(x)$ is a good k -coloring of $G_1 \times G_2$. In **Theorem 2** of [Haj85], Hajnal proved that if $\chi(E_{G_1})$ is finite (say $k < \omega$), and $\chi(E_{G_2})$ is infinite, then $\chi(E_{G_1 \times G_2})$ is k . For a natural number $k < \omega$, we denote by \mathcal{P}_k the following statement.

$$\text{'}\chi(E_{G_1}) = k < \omega \text{ and } \chi(E_{G_2}) \geq \omega \text{ implies } \chi(E_{G_1 \times G_2}) = k\text{'}$$

2.1. Permutation models. Let M be a model of $ZFA + AC$ where A is a set of atoms or ur-elements. Each permutation $\pi : A \rightarrow A$ extends uniquely to a permutation of $\pi' : M \rightarrow M$ by ϵ -induction. Let \mathcal{G} be a group of permutations of A and \mathcal{F} be a normal filter of subgroups of \mathcal{G} .

For $x \in M$, we denote the symmetric group with respect to \mathcal{G} by $\text{sym}_{\mathcal{G}}(x) = \{g \in \mathcal{G} \mid g(x) = x\}$. We say x is \mathcal{F} -symmetric if $\text{sym}_{\mathcal{G}}(x) \in \mathcal{F}$ and x is hereditarily \mathcal{F} -symmetric if x is \mathcal{F} -symmetric and each element of transitive closure of x is symmetric. We define the permutation model \mathcal{N} with respect to \mathcal{G} and \mathcal{F} , to be the class of all hereditarily \mathcal{F} -symmetric sets. It is well-known that \mathcal{N} is a model of ZFA (see **Theorem 4.1** of [Jec73]). If $\mathcal{I} \subseteq \mathcal{P}(A)$ is a normal ideal, then the set $\{\text{fix}_{\mathcal{G}}E : E \in \mathcal{I}\}$ generates a normal filter over \mathcal{G} . Let \mathcal{I} be a normal ideal generating a normal filter $\mathcal{F}_{\mathcal{I}}$ over \mathcal{G} . Let \mathcal{N} be the permutation model determined by \mathcal{M}, \mathcal{G} , and $\mathcal{F}_{\mathcal{I}}$. We say $E \in \mathcal{I}$ supports a set $\sigma \in \mathcal{N}$ if $\text{fix}_{\mathcal{G}}E \subseteq \text{sym}_{\mathcal{G}}(\sigma)$.

3. WELL-ORDERED STRUCTURES IN ZF

3.1. Applications of Loeb's theorem. We recall the following fact from [Ker00].

Lemma 3.1. (ZF). *If X is well-orderable, then 2^X is compact.*

Remark. We can also prove **Lemma 3.1** applying **Theorem 1** of [Loeb65].

Observation 3.2. $UT(WO, \text{fin}, WO)$ implies *Marshall Hall's theorem for any well-ordered collection of finite subsets of a set.*

Proof. Let S be a set and $\{S_i\}_{i \in I}$ be a well-ordered indexed family of **finite** subsets of S such that the following property holds,

(P) for every finite $F \subseteq I$, there is an injective choice function for $\{S_i\}_{i \in F}$.

We work with the propositional language \mathcal{L} with the following sentence symbols.

$$A'_{i,j} \text{ where } j \in S_i \text{ and } i \in I.$$

Let \mathcal{F} be the set of all formulae of \mathcal{L} and $\Sigma \subset \mathcal{F}$ be the collection of the following formulae.

- (1) $\neg(A'_{i,m} \wedge A'_{j,m})$ for $i \neq j, m \in S_i \cap S_j$.
- (2) $\neg(A'_{i,j} \wedge A'_{i,l})$ for any $l \neq j \in S_i$ where $i \in I$.
- (3) $A'_{i,y_1} \vee A'_{i,y_2} \dots \vee A'_{i,y_k}$ for each $i \in I$ where $S_i = \{y_1, \dots, y_k\}$.

We enumerate $\text{Var} = \{A'_{i,j} : i \in I, j \in S_i\}$ since each S_i is finite, I is well-orderable and $UT(WO, \text{fin}, WO)$ is **assumed**. For every $W \in [I]^{<\omega} \setminus \{\emptyset\}$, we let Σ_W be the subset of \mathcal{F} , which is defined as Σ except that the subscripts in the formulae are from the set $W \cup \bigcup_{i \in W} S_i$. Endow the discrete 2-element space $\{0, 1\}$ with the discrete topology and consider the product space 2^{Var} with the product topology. Let $F_W = \{f \in 2^{\text{Var}} : \forall \phi \in \Sigma_W (f'(\phi) = 1)\}$ where for $f \in 2^{\text{Var}}$, the element f' of $2^{\mathcal{F}}$ denotes the valuation mapping determined by f . By **Philip Hall's theorem** which is provable in ZF without using any choice principles, each F_W is non-empty and the family $\mathcal{X} = \{F_W : W \in [I]^{<\omega} \setminus \{\emptyset\}\}$ has the finite intersection property. Also for each $W \in [I]^{<\omega} \setminus \{\emptyset\}$, F_W is closed in the topological space 2^{Var} . By **Lemma 3.1** since 2^{Var} is compact in ZF, $\bigcap \mathcal{X}$ is non-empty. Pick an $f \in \bigcap \mathcal{X}$ and let $f' \in 2^{\mathcal{F}}$ be the unique valuation mapping that extends f . Clearly, $f'(\phi) = 1$ for all $\phi \in \Sigma$. Consequently, we obtain an injective choice function for $\{S_i\}_{i \in I}$ by the following claim.

claim 3.3. *If v is a truth assignment which satisfies Σ , then we can define a system of distinct representatives by*

$$y \in S_i \text{ if and only if } v(A'_{i,y}) = T.$$

Proof. By (2) and (3) for each $i \in I$, each collection S_i gets assigned a unique representative. By (1), distinct sets S_i and S_j gets assigned distinct representatives. □

□

Banaschewski [Bana92] proved the uniqueness of the algebraic closure of an arbitrary field applying BPI.³

Observation 3.4. *UT(WO, fin, WO) implies ‘If a field \mathcal{K} has an algebraic closure, and the ring of polynomials $\mathcal{K}[x]$ is well-orderable, then the algebraic closure is unique’.*

Proof. Let \mathcal{K} be a field, and suppose \mathcal{E} and \mathcal{F} be two algebraic closures of \mathcal{K} . We prove that there is an isomorphism from \mathcal{E} onto \mathcal{F} which fix \mathcal{K} pointwise. Let \mathcal{E}_u and \mathcal{F}_u be the splitting fields of $u \in \mathcal{K}[x]$ inside \mathcal{E} and \mathcal{F} respectively. Let \mathcal{H}_u be the set of all isomorphisms from \mathcal{E}_u onto \mathcal{F}_u which fix \mathcal{K} . Clearly, \mathcal{H}_u is a non-empty, finite set. Also, we can see that $\cup_u \mathcal{E}_u = \mathcal{E}$ and $\cup_u \mathcal{F}_u = \mathcal{F}$. Let $\mathcal{H} = \prod_{u \in \mathcal{K}[x]} \mathcal{H}_u$, and if $v|w$ define $H_{v,w} = \{(h_u) \in \mathcal{H} : h_v = h_w \upharpoonright E_v\}$. Clearly, $H_{v,w}$ has finite intersection property and they are closed in the product topology of \mathcal{H} , where each \mathcal{H}_u is discrete. Since $\mathcal{K}[x]$ is **well-orderable** as assumed and for each $u \in \mathcal{K}[x]$, \mathcal{H}_u is finite, we have that $\cup_{u \in \mathcal{K}[x]} \mathcal{H}_u$ is well-orderable by UT(WO, fin, WO). By **Theorem 1** of [Loeb65], \mathcal{H} is compact. Consequently, $\bigcap_{v|w} H_{v,w} \neq \emptyset$ and each (h_u) in this intersection determines a unique embedding $h : \cup_u \mathcal{E}_u \rightarrow \cup_u \mathcal{F}_u$ which is onto and fixes \mathcal{K} . \square

Observation 3.5. *The statement ‘For an infinite graph $G = (V_G, E_G)$ on a well-ordered set of vertices V_G and a finite graph $H = (V_H, E_H)$, if every finite subgraph of G has a homomorphism into H , then so has G ’ is provable in ZF.*

Proof. Fix a finite graph $H = (V_H, E_H)$ and a graph $G = (V_G, E_G)$ on a well-ordered set of vertices V_G . We consider $V_H = \{v_1, \dots, v_k\}$ for some $k < \omega$. We work with the propositional language \mathcal{L} with the following sentence symbols.

$$A'_{x_i, v_j} \text{ where } v_j \in V_H \text{ and } x_i \in V_G.$$

Let \mathcal{F} be the set of all formulae of \mathcal{L} and $\Sigma \subset \mathcal{F}$ be the collection of the following formulae.

- (1) $A'_{x_i, v_m} \wedge A'_{x_j, v_l}$ if and only if $\{x_i, x_j\} \in E_G$ implies $\{v_m, v_l\} \in E_H$.
- (2) $\neg(A'_{x_i, v_j} \wedge A'_{x_i, v_l})$ for any $v_l, v_j \in V_H$ such that $v_l \neq v_j$ and each $x_i \in V_G$.
- (3) $A'_{x_i, v_1} \vee A'_{x_i, v_2} \dots \vee A'_{x_i, v_k}$ for each $x_i \in V_G$.

By our assumption V_G is well-orderable and V_H is finite. So V_H is well-orderable. Consequently, $V_G \times V_H$ is well-orderable in ZF. We enumerate $Var = \{A'_{x_i, v_j} : x_i \in V_G, v_j \in V_H\}$. By assumption, for every $s \in [V_G]^{<\omega}$ there is a homomorphism $f_s : G \upharpoonright s \rightarrow H$ of $G \upharpoonright s$ into H . Following the methods used in the proof of **Observation 3.2**, we may obtain a $f' \in 2^{\mathcal{F}}$ such that $f'(\phi) = 1$ for all $\phi \in \Sigma$. Consequently, we can obtain a homomorphism h from G to H . \square

Observation 3.6. *The statement ‘For every finite field $\mathcal{F} = \langle F, \dots \rangle$, for every nontrivial well-ordered vector space V over \mathcal{F} , there exists a non-zero linear functional $f : V \rightarrow F$ ’ is provable in ZF.*

Proof. We follow the proof of **Theorem 18 of** [HT13] and modify it in the context of well-orderable vector space. Fix a finite field $\mathcal{F} = \langle F, \dots \rangle$ where $F = \{v_1, \dots, v_k\}$ and a nontrivial well-ordered vector space V over \mathcal{F} . We work with the propositional language \mathcal{L} with the following sentence symbols.

$$A'_{x_i, v_j} \text{ where } v_j \in F \text{ and } x_i \in V.$$

Let \mathcal{F}' be the set of all formulae of \mathcal{L} and $\Sigma \subset \mathcal{F}'$ be the collection of the following formulae.

- (1) $A'_{a, 1}$.
- (2) $A'_{x_i, v_j} \rightarrow A'_{v_k x_i, v_k v_j}$ for $v_k, v_j \in F$ and $x_i \in V$.
- (3) $A'_{x_i, v_j} \wedge A'_{x_{i'}, v_{j'}} \rightarrow A'_{x_i + x_{i'}, v_j + v_{j'}}$ for $x_i, x_{i'} \in V$ and $v_j, v_{j'} \in F$.

³c.f. the last paragraph of page 384 and page 385 of [Bana92].

- (4) $\neg(A'_{x_i, v_j} \wedge A'_{x_i, v_l})$ for any $v_l, v_j \in F$ such that $v_l \neq v_j$ and each $x_i \in V$.
(5) $A'_{x_i, v_1} \vee A'_{x_i, v_2} \dots \vee A'_{x_i, v_k}$ for each $x_i \in V$.

By our assumption V is well-orderable and F is finite. So F is well-orderable. Consequently, $V \times F$ is well-orderable. We enumerate $Var = \{A'_{x_i, v_j} : x_i \in V, v_j \in F\}$. Fix $V' \in [V]^{<\omega}$. Let W be the subspace of V generated by the finite set $V' \cup \{a\}$. We can see that W is finite since F is finite. Consequently, a linear functional $f : W \rightarrow F$ with $f(a) = 1$ can be constructed in ZF. Following the methods used in the proof of **Observation 3.2**, we can obtain a non-zero linear functional $f : V \rightarrow F$. \square

Observation 3.7. For every $3 \leq k < \omega$, the statement \mathcal{P}_k for the graph G_1 on some well-orderable set of vertices is provable under ZF.

Proof. Fix $3 \leq k < \omega$. Suppose $\chi(E_{G_1}) = k$, $\chi(E_{G_2}) \geq \omega$ and G_1 is a graph on some well-orderable set of vertices. First we observe that if $g : V_{G_1} \rightarrow \{1, \dots, k\}$ is a good k -coloring of G_1 , then $G(\langle x, y \rangle) = g(x)$ is a good k -coloring of $G_1 \times G_2$. So, $\chi(E_{G_1 \times G_2}) \leq k$. For the sake of contradiction assume that $F : V_{G_1} \times V_{G_2} \rightarrow \{1, \dots, k-1\}$ is a good coloring of $G_1 \times G_2$. For each color $c \in \{1, \dots, k-1\}$ and each vertex $x \in V_{G_1}$ we let $A_{x,c} = \{y \in V_{G_2} : F(x, y) = c\}$.

claim 3.8. (ZF). *For all finite $F \subset V_{G_1}$, there exists a mapping $i_F : F \rightarrow \{1, \dots, k-1\}$ such that for any $x, x' \in F$, $A_{x, i_F(x)} \cap A_{x', i_F(x')}$ is not independent.*

Proof. Since any superset of non-independent set is non-independent, it is enough to show that for all finite $F \subset V_{G_1}$, there exists an $i_F : F \rightarrow \{1, \dots, k-1\}$ such that $\bigcap_{x \in F} A_{x, i_F(x)}$ is not independent. For the sake of contradiction assume that there exist a finite $F \subset V_{G_1}$ such that for all $i_F : F \rightarrow \{1, \dots, k-1\}$, $\bigcap_{x \in F} A_{x, i_F(x)}$ is independent. Now, $V_{G_2} = \bigcup_{i_F : F \rightarrow \{1, \dots, k-1\}} \bigcap_{x \in F} A_{x, i_F(x)}$. Thus V_{G_2} can be written as a finite union of independent sets which contradicts the fact that $\chi(E_{G_2})$ is infinite. Thus for all finite $F \subset V_{G_1}$, we can obtain a mapping $i_F : F \rightarrow \{1, \dots, k-1\}$ such that $\bigcap_{x \in F} A_{x, i_F(x)}$ is not independent. \square

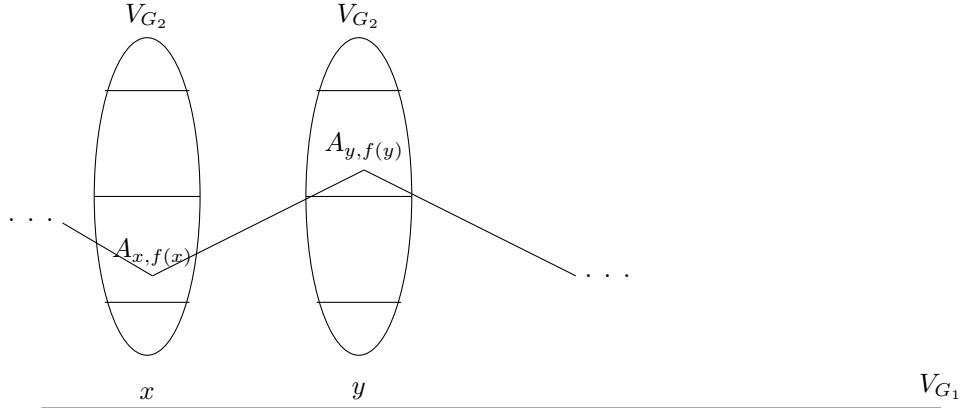


FIGURE 2. A map $f : V_{G_1} \rightarrow \{1, \dots, k-1\}$ such that intersection of any two elements in $\{A_{x, f(x)} : x \in V_{G_1}\}$ is not independent.

Endow $\{1, 2, \dots, k-1\}$ with the discrete topology. Since V_{G_1} is well-orderable, $\{1, 2, \dots, k-1\} \times V_{G_1}$ is well-orderable under ZF. Applying **Theorem 1** of [Loeb65], $\{1, 2, \dots, k-1\}^{V_{G_1}}$ is compact. For $s \in [V_{G_1}]^{<\omega}$, define $F_s = \{f \in \{1, 2, \dots, k-1\}^{V_{G_1}} : x, y \in s, x \neq y \rightarrow A_{x, f(x)} \cap A_{y, f(y)} \text{ is not independent}\}$. By **claim 3.8**, for each $s \in [V_{G_1}]^{<\omega}$ we have that F_s is non-empty. We can see that $\{F_s : s \in [V_{G_1}]^{<\omega}\}$ has finite intersection property as $F_{s_0 \cup \dots \cup s_k} \subseteq F_{s_0} \cap \dots \cap F_{s_k}$. Thus by compactness of $\{1, 2, \dots, k-1\}^{V_{G_1}}$, there is a $f \in \bigcap \{F_s : s \in [V_{G_1}]^{<\omega}\}$. Clearly, for any $x, x' \in V_{G_1}$, $A_{x, f(x)} \cap A_{x', f(x')}$ is not independent (see **figure 2**). Since $x \rightarrow f(x)$ is not a good coloring in G_1 as $\chi(E_{G_1}) = k$, there are $x, x' \in V_{G_1}$ with $f(x) = f(x') = j$ and $\{x, x'\} \in E_{G_1}$.

Consequently, $A' = A_{x,f(x)} \cap A_{x',f(x')}$ is not independent. Pick $y, y' \in A'$ joined by an edge in E_{G_2} . Then (x, y) and (x', y') are joined in $E_{G_1} \times E_{G_2}$ and get the same color j which is a contradiction to the fact that F is a good coloring of $G_1 \times G_2$. \square

3.2. On partially ordered sets based on a well-ordered set of elements. The first author modifies the arguments from **Claim 5** of [Tac16] and observes the following.

Observation 3.9. The following holds.

- (1) $UT(\aleph_0, \aleph_0, \aleph_0)$ implies ‘If in a partially ordered set based on a well-ordered set of elements, all chains are finite and all antichains are countable, then the set is countable’.
- (2) $UT(\aleph_\alpha, \aleph_\alpha, \aleph_\alpha)$ implies ‘If in a partially ordered set based on a well-ordered set of elements, all chains are finite and all antichains have size \aleph_α , then the set has size \aleph_α ’ for any regular \aleph_α .

Proof. We prove **Observation 3.9 (1)**. For the sake of contradiction, assume that all antichains of (P, \leq) are countable, all chains of (P, \leq) are finite, but the set P is uncountable and well-ordered. We construct an infinite chain in (P, \leq) using $UT(\aleph_0, \aleph_0, \aleph_0)$ and obtain the desired contradiction.

claim 3.10. \leq is a well-founded relation on P i.e., every non-empty subset of P has a \leq -minimal element.

Proof. Let P is well-orderable, say by \preceq . We claim that \leq is a well-founded relation on P . Otherwise, there is a nonempty subset $P_1 \subseteq P$ with no minimal elements. Consequently, using the fact that \preceq is a well-ordering in P , we can obtain a strictly \leq -decreasing sequence of elements of P_1 . This contradicts the assumption that P has no infinite chains. \square

Without loss of generality we may assume $P = \cup\{P_\alpha : \alpha < \kappa\}$ where κ is a well-ordered cardinal, P_0 is the set of minimal elements of P and for each $\alpha < \kappa$, P_α is the set of minimal elements of $P \setminus \{P_\beta : \beta < \alpha\}$. For each $\alpha < \kappa$, P_α is countable since P_α is an antichain.

- We note that $P = \cup\{P_p : p \in P_0\}$ where $P_p = \{q \in P : p \leq q\}$. Since P is uncountable and P_0 is countable, P_p is uncountable for some $p \in P_0$. Otherwise for all $p \in P_0$, P_p is either countable or finite and $UT(\aleph_0, \aleph_0, \aleph_0) + UT(\aleph_0, fin, \aleph_0)$ implies P is countable which is a contradiction. Now $UT(\aleph_0, \aleph_0, \aleph_0)$ implies $UT(\aleph_0, fin, \aleph_0)$ in ZF, thus $UT(\aleph_0, \aleph_0, \aleph_0)$ suffices. Since $\{q \in P_0 : P_q \text{ is uncountable}\}$ is a non-empty subset of P , we can find a least $p_0 \in P_0$ with respect to \preceq such that P_{p_0} is uncountable.
- Let us consider $P' = P_{p_0} \setminus \{p_0\}$. So, P' is uncountable. Again if P'_1 is the set of minimal elements of P' , we can write $P' = \cup\{P_p : p \in P'_1\}$ where $P_p = \{q \in P : p \leq q\}$. Since P' is uncountable and P'_1 is countable (since all antichains of (P, \leq) are countable by assumption), once again applying $UT(\aleph_0, \aleph_0, \aleph_0)$ as in the previous paragraph, P_p is uncountable for some $p \in P'_1$. Since $\{q \in P'_1 : P_q \text{ is uncountable}\}$ is a non-empty subset of P , we can find a least $p_1 \in P'_1$ with respect to \preceq such that P_{p_1} is uncountable. We can see that $p_0 < p_1$.

Continuing this process step by step we obtain a sequence $\langle p_n : n \in \omega \rangle$ of elements of P such that $p_n < p_{n+1}$ for each $n \in \omega$. Consequently, we obtain an infinite chain.

Remark. Similarly for any regular \aleph_α , assuming $UT(\aleph_\alpha, \aleph_\alpha, \aleph_\alpha)$ we can prove the following since alephs are well-ordered. ‘If in a partially ordered set based on well-ordered set of elements, all chains are finite and all antichains have size \aleph_α , then the set has size \aleph_α .’ Consequently, we can prove **Observation 3.9(2)**. \square

4. CONSISTENCY RESULTS

Theorem 4.1. For every natural number $n \geq 2$, there is a permutation model \mathcal{N} of ZFA where CAC holds and AC_n^- fails. Moreover, we can observe the following in the model.

- (1) *CS, as well as CWF, holds.*
- (2) *DT holds, $MA(\aleph_0)$ fails, MCC fails, LT fails.*
- (3) *If in a partially ordered set, all chains are finite and all antichains are countable, then the set is countable. Moreover, if in a partially ordered set, all chains are finite and all antichains have size \aleph_α , then the set has size \aleph_α for any regular \aleph_α .*
- (4) *The following statements hold.*
 - (a) *Marshall Hall's theorem for linearly-ordered collection of finite subsets of a set.*
 - (b) *For every $3 \leq k < \omega$, \mathcal{P}_k holds for any graph G_1 on some linearly-orderable set of vertices.*
 - (c) *For an infinite graph $G = (V_G, E_G)$ on a linearly-ordered set of vertices V_G and a finite graph $H = (V_H, E_H)$, if every finite subgraph of G has a homomorphism into H , then so has G .*
 - (d) *For every finite field $\mathcal{F} = \langle F, \dots \rangle$, for every nontrivial linearly-orderable vector space V over \mathcal{F} , there exists a non-zero linear functional $f : V \rightarrow F$.*

Proof. In **Theorem 8** of [HT19], Halbeisen and Tachtsis constructed a permutation model \mathcal{N} where for arbitrary $n \geq 2$, AC_n^- fails but CAC holds. We fix an arbitrary integer $n \geq 2$ and recall the model constructed in the proof of **Theorem 8** of [HT19] as follows.

- **Defining the ground model M .** We start with a ground model M of $ZFA + AC$ where A is a countably infinite set of atoms written as a disjoint union $\cup\{A_i : i \in \omega\}$ where for each $i \in \omega$, $A_i = \{a_{i_1}, a_{i_2}, \dots, a_{i_n}\}$.
- **Defining the group \mathcal{G} of permutations and the filter \mathcal{F} of subgroups of \mathcal{G} .**
 - **Defining \mathcal{G} .** \mathcal{G} is defined in [HT19] in a way so that if $\eta \in \mathcal{G}$, then η only moves finitely many atoms and for all $i \in \omega$, $\eta(A_i) = A_k$ for some $k \in \omega$. We recall the details from [HT19] as follows. For all $i \in \omega$, let τ_i be the n -cycle $a_{i_1} \mapsto a_{i_2} \mapsto \dots \mapsto a_{i_n} \mapsto a_{i_1}$. For every permutation ψ of ω , which moves only finitely many natural numbers, let ϕ_ψ be the permutation of A defined by $\phi_\psi(a_{i_j}) = a_{\psi(i)_j}$ for all $i \in \omega$ and $j = 1, 2, \dots, n$. Let $\eta \in \mathcal{G}$ if and only if $\eta = \rho\phi_\psi$ where ψ is a permutation of ω which moves only finitely many natural numbers and ρ is a permutation of A for which there is a finite $F \subseteq \omega$ such that for every $k \in F$, $\rho \upharpoonright A_k = \tau_k^j$ for some $j < n$, and ρ fixes A_m pointwise for every $m \in \omega \setminus F$.
 - **Defining \mathcal{F} .** Let \mathcal{F} be the filter of subgroups of \mathcal{G} generated by $\{\text{fix}_{\mathcal{G}}(E) : E \in [A]^{<\omega}\}$.
- **Defining the permutation model.** Consider the permutation model \mathcal{N} determined by M , \mathcal{G} and \mathcal{F} .

Following **point 1** in the proof of **Theorem 8** of [HT19], both A and $\mathcal{A} = \{A_i\}_{i \in \omega}$ are amorphous in \mathcal{N} and no infinite subfamily \mathcal{B} of \mathcal{A} has a Kinna–Wegner selection function. Consequently, AC_n^- fails. The first author observes the following.

Lemma 4.2. *In \mathcal{N} , DT, CS as well as CWF holds. Moreover the following holds in \mathcal{N} .*

- *If in a partially ordered set, all chains are finite and all antichains are countable, then the set is countable.*
- *'If in a partially ordered set, all chains are finite and all antichains have size \aleph_α , then the set has size \aleph_α ' for any regular \aleph_α .*

Proof. We follow the steps below.

- (1) Let (P, \leq) be a p.o.set in \mathcal{N} and $E \in [A]^{<\omega}$ be a support of (P, \leq) . We can write P as a disjoint union of $x_{\mathcal{G}}(E)$ -orbits, i.e., $P = \bigcup\{\text{Orb}_E(p) : p \in P\}$, where $\text{Orb}_E(p) = \{\phi(p) : \phi \in x_{\mathcal{G}}(E)\}$ for all $p \in P$. The family $\{\text{Orb}_E(p) : p \in P\}$ is well-orderable in \mathcal{N} since $x_{\mathcal{G}}(E) \subseteq \text{Sym}_{\mathcal{G}}(\text{Orb}_E(p))$ for all $p \in P$.
- (2) Since if $\eta \in \mathcal{G}$, then η only moves finitely many atoms, $\text{Orb}_E(p)$ is an antichain in P for each $p \in P$. Otherwise there is a $p \in P$, such that $\text{Orb}_E(p)$ is not an antichain in (P, \leq) . Thus, for some $\phi, \psi \in \text{fix}_{\mathcal{G}}(E)$, $\phi(p)$ and $\psi(p)$ are comparable. Without loss of

generality we may assume $\phi(p) < \psi(p)$. Since **if $\eta \in \mathcal{G}$, then η only moves finitely many atoms**, there exists some $k < \omega$ such that $\phi^k = 1_A$. Let $\pi = \psi^{-1}\phi$. Consequently, $\pi(p) < p$ and $\pi^k = 1_A$ for some $k \in \omega$. Thus, $p = \pi^k(p) < \pi^{k-1}(p) < \dots < \pi(p) < p$. By transitivity of $<$, $p < p$, which is a contradiction.

- (3) We prove that in \mathcal{N} , *DT* holds. Let $E \subset A$ be a finite support of an infinite p.o.set $\mathbb{P} = (P, <)$ with finite width. Then $P = \bigcup\{Orb_E(p) : p \in P\}$. Following (2), $Orb_E(p)$ is an antichain in \mathbb{P} . Consequently, $Orb_E(p)$ is finite for each $p \in P$ since the width of \mathbb{P} is finite. Following (1), $\{Orb_E(p) : p \in P\}$ is well-orderable in \mathcal{N} . Following **point 4** in the proof of **Theorem 8** of [HT19] and **Lemma 3** of [Tac16], *UT(WO,WO,WO)* holds in \mathcal{N} , and so P is well-orderable in \mathcal{N} . Applying **Theorem 3.1(i)** of [Tac19], *DT* holds in \mathcal{N} .
- (4) To see that *CS* as well as *CWF* holds in \mathcal{N} we follow **Theorem 3.26** of [THS16] and **Theorem 10(ii)** of [Tac17] respectively. We sketch the important steps below.
- (a) We follow **Theorem 3.26** of [THS16] to see that *CS* holds in \mathcal{N} as follows. Let (P, \leq) be a poset without maximal elements supported by E . Following (1), $\mathcal{O} = \{Orb_E(p) : p \in P\}$ is a well-ordered partition of P . Define \preceq on \mathcal{O} , as $X \preceq Y \leftrightarrow \exists x \in X, \exists y \in Y$ such that $x \leq y$. Since (P, \leq) has no maximal element, (\mathcal{O}, \preceq) has no maximal element following (2). Since \mathcal{O} is well-ordered there exists a partition $\mathcal{U}_{\mathcal{O}} = \{\mathcal{Q}, \mathcal{R}\}$ of \mathcal{O} in 2 cofinal subsets. Consequently, $\mathcal{U}_P = \{\cup \mathcal{Q}, \cup \mathcal{R}\}$ is a partition of P in 2 cofinal subsets.
- (b) We follow **Theorem 10 (ii)** of [Tac17] to see that *CWF* holds in \mathcal{N} as follows. Let (P, \leq) be a poset supported by \mathcal{N} . Since $\mathcal{O} = \{Orb_E(p) : p \in P\}$ is well-orderable, it has a cofinal well-founded subset $\mathcal{W} = \{W_\alpha : \alpha < \gamma\}$ such that for $\beta < \alpha$, $W_\alpha \not\leq W_\beta$ for all $\beta, \alpha < \gamma$. Consequently, $C = \cup \mathcal{W}$ is a cofinal well-founded subset of P .
- (5) We show the following in \mathcal{N} .

‘If in a partially ordered set, all chains are finite and all antichains are countable, then the set is countable.’

It is known that in every *FM*-model *UT(WO,WO,WO)* implies $(\forall \alpha)UT(\aleph_\alpha, \aleph_\alpha, \aleph_\alpha)$ (c.f. page 176 of [HR98]). Consequently, *UT*($\aleph_0, \aleph_0, \aleph_0$) holds in \mathcal{N} . Let $(P, <)$ be an uncountable p.o.set in \mathcal{N} where all antichains are countable and $E \in [A]^{<\omega}$ be a support of $(P, <)$. Following (1), $\mathcal{O} = \{Orb_E(p) : p \in P\}$ is a well-ordered partition of P since for all $p \in P$, E is a support of $Orb_E(p)$. Following (2), $Orb_E(p)$ is an antichain and hence countable. Consequently, $Orb_E(p)$ is well-orderable. Since *UT(WO,WO,WO)* holds in \mathcal{N} , P is well-orderable. By **Observation 3.9(1)**, since *UT*($\aleph_0, \aleph_0, \aleph_0$) holds in \mathcal{N} , there is an infinite chain in \mathcal{N} .

- (6) Following (5) and **Observation 3.9(2)**, we can prove the following in \mathcal{N} .

‘If in a partially ordered set $(P, <)$, all chains are finite and all antichains have size \aleph_α , then the set has size \aleph_α .’

□

Remark. The referee pointed out that the statements *If in a partially ordered set based on a well-ordered set of elements all chains are finite and all antichains are countable then the set is countable* and *If in a partially ordered set based on a well-ordered set of elements all chains are finite and all antichains have size \aleph_α then the set has size \aleph_α* are true in all Fraenkel-Mostowski permutation models. So **Observation 3.9(1)** and **Observation 3.9(2)** are not needed in the proofs of parts (5) and (6) of the proof of **Lemma 4.2**.

Lemma 4.3. *In \mathcal{N} , $MA(\aleph_0)$ fails.*

Proof. Since A is amorphous, the statement ‘for all infinite X , 2^X is Baire’ is false following **Remark 2.7** of [Tac16b]. Since *CAC* holds in \mathcal{N} , AC_{fin}^ω holds as well (c.f. **Lemma 4.4** of [Tac19a]). Consequently, $MA(\aleph_0)$ fails following **Remark 2.7** of [Tac16b]. □

Lemma 4.4. *In \mathcal{N} , MCC fails.*

Proof. Modifying the proof of **Theorem 8 (ii)** of [Tac17], we can see that $n(A) = n$. Thus there is a bounded amorphous set A . Consequently, MCC fails by **Theorem 6** of [Tac17]. \square

Lemma 4.5. *In \mathcal{N} , LT fails.*

Proof. Since \mathcal{A} is an amorphous set of non-empty sets which has no choice function in \mathcal{N} , following **Lemma 4.1(i)**[Tac19a], LT fails in \mathcal{N} . \square

Lemma 4.6. *In \mathcal{N} , the following statements hold for linearly-ordered structures.*

- (1) *Marshall Hall's theorem for linearly-ordered collection of finite subsets of a set.*
- (2) *For every $3 \leq k < \omega$, \mathcal{P}_k holds for any graph G_1 on some linearly-orderable set of vertices.*
- (3) *For an infinite graph $G = (V_G, E_G)$ on a linearly-ordered set of vertices V_G and a finite graph $H = (V_H, E_H)$, if every finite subgraph of G has a homomorphism into H , then so has G .*
- (4) *For every finite field $\mathcal{F} = \langle F, \dots \rangle$, for every nontrivial linearly-orderable vector space V over \mathcal{F} , there exists a non-zero linear functional $f : V \rightarrow F$.*

Proof. Since $UT(WO, WO, WO)$ and LW holds in \mathcal{N} (c.f. pt 4 and pt 3 in the proof of **Theorem 8** in [HT19]), (1), (2), (3) and (4) hold in \mathcal{N} following the observations in **section 3**. \square

\square

Remark 1. In **Theorem 7** of [Tac19a], Tachtsis generalized the above construction and proved that $AC^{LO} + LW \not\rightarrow LT$ by constructing a permutation model \mathcal{N} . Since AC^{WO} holds in \mathcal{N} , DC holds in \mathcal{N} as well (c.f. **Theorem 8.2** of [Jec73]). We observe another standard argument to see that DC holds in \mathcal{N} . Since \mathcal{I} is closed under countable unions in the model, we can see that DC holds in \mathcal{N} . Let \mathcal{R} is a relation in \mathcal{N} such that if $x \in \text{dom}(\mathcal{R})$, there exists a y such that xRy . Consequently, there is a sequence $\langle x_n : n \in \omega \rangle$ in the ground model M such that for each $n \in \omega$, $x_n R x_{n+1}$. If x_n is supported by E_n for every $n \in \omega$, then $\langle x_n : n \in \omega \rangle$ is supported by $\cup_{n \in \omega} E_n$. Since \mathcal{I} is closed under countable unions, the sequence $\langle x_n : n \in \omega \rangle$ is in \mathcal{N} .

A class of models M_{\aleph_α} for any regular cardinal \aleph_α (similar to the model M_{\aleph_1} constructed in **Theorem 7** of [Tac19a]) can be defined where AC^{LO} and LW holds but LT fails, by replacing \aleph_1 by \aleph_α . Moreover in M_{\aleph_α} , $DC_{<\aleph_\alpha}$ holds since \mathcal{I} is closed under $< \aleph_\alpha$ unions.

Remark 2. In the permutation model \mathcal{N} of [Tac16], CS, as well as CWF, holds following the work in this section. Moreover, the following statement holds in \mathcal{N} , following the work in this section.

'If in a partially ordered set, all chains are finite and all antichains are countable, then the set is countable.'

Theorem 4.7. *There is a permutation model \mathcal{N} of ZFA, where there is an amorphous set. Moreover, the following holds in \mathcal{N} .*

- (1) *If in a partially ordered set, all chains are finite and all antichains are countable, then the set is countable.*
- (2) *If in a partially ordered set, all chains are finite and all antichains have size \aleph_α , then the set has size \aleph_α for any regular \aleph_α .*

Proof. We consider the basic Fraenkel model (labeled as Model \mathcal{N}_1 in [HR98]) where 'there are no amorphous sets' is false and $UT(WO, WO, WO)$ holds (c.f. [HR98]). Let (P, \leq) be a p.o.set in \mathcal{N}_1 , and E be a finite support of (P, \leq) . By (1) in the proof of **Lemma 4.2**, $\mathcal{O} = \{Orb_E(p) : p \in P\}$ is a well-ordered partition of P . Now for each $p \in P$, $Orb_E(p)$ is an antichain (c.f. the proof of **Lemma 9.3** in [Jec73]). Thus, by methods of **Lemma 4.2**, (1) and (2) hold in \mathcal{N}_1 . \square

Theorem 4.8. *There is a permutation model of ZFA where CS, as well as CWF, holds, but AC_{fin}^ω fails. Moreover, the following statements hold in the model.*

- (1) *For every $3 \leq k < \omega$, \mathcal{P}_k holds for any graph G_1 on some linearly-orderable set of vertices.*
- (2) *For an infinite graph $G = (V_G, E_G)$ on a linearly-ordered set of vertices V_G and a finite graph $H = (V_H, E_H)$, if every finite subgraph of G has a homomorphism into H , then so has G .*
- (3) *For every finite field $\mathcal{F} = \langle F, \dots \rangle$, for every nontrivial linearly-orderable vector space V over \mathcal{F} , there exists a non-zero linear functional $f : V \rightarrow F$.*

Proof. We recall the Lévy's permutation model (labeled as Model \mathcal{N}_6 in [HR98]).

- **Defining the ground model M .** We start with a ground model M of $ZFA + AC$ where A is a countably infinite set of atoms written as a disjoint union $\cup\{P_n : n \in \omega\}$, where $P_n = \{a_1^n, \dots, a_{p_n}^n\}$ such that p_n is the n^{th} -prime number.
- **Defining the group \mathcal{G} of permutations and the filter \mathcal{F} of subgroups of \mathcal{G} .**
 - **Defining \mathcal{G} .** \mathcal{G} be the group generated by the following permutations π_n of A .

$$\pi_n : a_1^n \mapsto a_2^n \mapsto \dots \mapsto a_{p_n}^n \mapsto a_1^n \text{ and } \pi_n(x) = x \text{ for all } x \in A \setminus P_n.$$
 - **Defining \mathcal{F} .** \mathcal{F} be the filter of subgroups of \mathcal{G} generated by $\{\text{fix}_{\mathcal{G}}(E) : E \in [A]^{<\omega}\}$.
- **Defining the permutation model.** Consider the permutation model \mathcal{N}_6 determined by M , \mathcal{G} and \mathcal{F} .

It is well-known that in \mathcal{N}_6 , AC_{fin}^ω fails since $\{P_i : i \in \omega\}$ has no (partial) choice function (c.f. [Jec73]). Consequently, following **Lemma 4.4** of [Tac19a], CAC fails in \mathcal{N}_6 . Since **every permutation $\phi \in \mathcal{G}$ moves only finitely many atoms**, following the arguments in **Lemma 4.2**, we can observe that CS, as well as CWF, holds in \mathcal{N}_6 .

Lemma 4.9. *In \mathcal{N}_6 , LW holds.*

Proof. Let (X, \leq) be a linearly ordered set in \mathcal{N}_6 supported by E . We show $\text{fix}_{\mathcal{G}}E \subseteq \text{fix}_{\mathcal{G}}X$ which implies that X is well-orderable in \mathcal{N}_6 . For the sake of contrary assume $\text{fix}_{\mathcal{G}}E \not\subseteq \text{fix}_{\mathcal{G}}X$. So there is an element $y \in X$ which is not supported by E and there is a $\phi \in \text{fix}_{\mathcal{G}}E$ such that $\phi(y) \neq y$. Since $\phi(y) \neq y$ and \leq is a linear order on X , we obtain either $\phi(y) < y$ or $y < \phi(y)$. Let $\phi(y) < y$. Since **every permutation $\phi \in \mathcal{G}$ moves only finitely many atoms** there exists some $k < \omega$ such that $\phi^k = 1_A$. Thus, $p = \phi^k(p) < \phi^{k-1}(p) < \dots < \phi(p) < p$ which is a contradiction. Similarly we can arrive at a contradiction if we assume $y < \phi(y)$. \square

Since LW holds in \mathcal{N}_6 , we can observe (1), (2) and (3) in \mathcal{N}_6 by observations in **section 3**. \square

Theorem 4.10. *There is a permutation model of ZFA where CS, as well as CWF, holds, but $LOKW_4^-$ fails. Moreover, the following statements hold in the model.*

- (1) *For every $3 \leq k < \omega$, \mathcal{P}_k holds for any graph G_1 on some linearly-orderable set of vertices.*
- (2) *For an infinite graph $G = (V_G, E_G)$ on a linearly-ordered set of vertices V_G and a finite graph $H = (V_H, E_H)$, if every finite subgraph of G has a homomorphism into H , then so has G .*
- (3) *For every finite field $\mathcal{F} = \langle F, \dots \rangle$, for every nontrivial linearly-orderable vector space V over \mathcal{F} , there exists a non-zero linear functional $f : V \rightarrow F$.*

Proof. We recall the permutation model \mathcal{M} from the second assertion of **Theorem 10(ii)** of [HT19].

- **Defining the ground model M .** Let κ be any infinite well-ordered cardinal number. We start with a ground model M of $ZFA + AC$ where A is a κ -sized set of atoms written as a disjoint union $\cup\{A_\alpha : \alpha < \kappa\}$, where $A_\alpha = \{a_{\alpha,1}, a_{\alpha,2}, a_{\alpha,3}, a_{\alpha,4}\}$ such that $|A_\alpha| = 4$ for all $\alpha < \kappa$.

- **Defining the group \mathcal{G} of permutations and the filter \mathcal{F} of subgroups of \mathcal{G} .**
 - **Defining \mathcal{G} .** Let \mathcal{G} be the weak direct product of \mathcal{G}_α 's where \mathcal{G}_α is the alternating group on \mathcal{A}_α for each $\alpha < \kappa$.
 - **Defining \mathcal{F} .** Let \mathcal{F} be the normal filter of subgroups of \mathcal{G} generated by $\{\text{fix}_{\mathcal{G}}(E) : E \in [A]^{<\omega}\}$.
- **Defining the permutation model.** Consider the permutation model \mathcal{M} determined by M , \mathcal{G} and \mathcal{F} .

In \mathcal{M} , LOKW_4^- fails (c.f. **Theorem 10(ii)** of [HT19]). Since **every permutation, $\phi \in \mathcal{G}$ moves only finitely many atoms**, following the arguments in **Lemma 4.2** we can observe that CS, as well as CWF, holds in \mathcal{M} . Since LW holds in \mathcal{M} (c.f. **Theorem 10(ii)** of [HT19]), we can observe (1), (2) and (3) in \mathcal{M} by observations in **section 3**. \square

5. OBSERVATIONS IN HOWARD'S MODEL

Theorem 5.1. *For any $3 \leq k < \omega$, \mathcal{P}_k follows from F_{k-1} in ZF. Moreover, if $X \in \{AC_3, AC_{fin}^\omega\}$, then the statement \mathcal{P}_k does not imply X in ZFA when $k = 3$.*

Proof. Fix $3 \leq k < \omega$. Suppose $\chi(E_{G_1}) = k$, $\chi(E_{G_2}) \geq \omega$ and G_1 is a graph on some well-orderable set of vertices. First we observe that if $g : V_{G_1} \rightarrow \{1, \dots, k\}$ is a good k -coloring of G_1 , then $G(\langle x, y \rangle) = g(x)$ is a good k -coloring of $G_1 \times G_2$. So, $\chi(E_{G_1 \times G_2}) \leq k$. For the sake of contradiction assume that $F : V_{G_1} \times V_{G_2} \rightarrow \{1, \dots, k-1\}$ is a good coloring of $G_1 \times G_2$. For each color $c \in \{1, \dots, k-1\}$ and each vertex $x \in V_{G_1}$ we let $A_{x,c} = \{y \in V_{G_2} : F(x, y) = c\}$. Define a relation R on $\{1, \dots, k-1\}$ as $(v_1, i)R(v_2, j)$ if and only if ' $v_1 \neq v_2$ implies $A_{v_1,i} \cap A_{v_2,j}$ is not independent' for $v_1, v_2 \in V_{G_1}$. By F_{k-1} and **claim 3.8** there exist a choice function f such that for any $x, x' \in V_{G_1}$, $A_{x,f(x)} \cap A_{x',f(x')}$ is not independent. Since $x \rightarrow f(x)$ is not a good coloring in G_1 as $\chi(E_{G_1}) = k$, there are $x, x' \in V_{G_1}$ with $f(x) = f(x') = j$ and $\{x, x'\} \in E_{G_1}$. Consequently, $A' = A_{x,f(x)} \cap A_{x',f(x')}$ is not independent. Pick $y, y' \in A'$ joined by an edge in E_{G_2} . Then (x, y) and (x', y') are joined in $E_{G_1} \times E_{G_2}$ and get the same color j which is a contradiction to the fact that F is a good coloring of $G_1 \times G_2$.

For the second assertion, we consider the permutation model \mathcal{N} from **section 3** of [How84] where AC_3 fails, and F_2 holds. Consequently, \mathcal{P}_3 holds in \mathcal{N} . In \mathcal{N} , there is a countable family $\mathcal{A} = \{A_i : i \in \omega\}$ which has no partial choice function. Consequently, PAC_{fin}^ω fails. Since PAC_{fin}^ω is equivalent to AC_{fin}^ω (see the proof of **Lemma 4.4** of [Tac19a]), AC_{fin}^ω fails in \mathcal{N} . \square

Question 5.2. *If $k > 3$, does UL follow from \mathcal{P}_k ? Otherwise is there any model of ZF or ZFA, where \mathcal{P}_k holds for $k > 3$, but UL fails?*

Theorem 5.3. *For any $2 \leq k < \omega$, $\mathcal{P}_{G,H}$ restricted to finite graph H with k vertices follows from F_k in ZF. Moreover, if $X \in \{AC_3, AC_{fin}^\omega\}$, then $\mathcal{P}_{G,H}$ restricted to finite graph H with 2 vertices does not imply X in ZFA.*

Proof. Fix $2 \leq k < \omega$. Let $V_H = \{v_1, \dots, v_k\}$. For each $x \in V_G$, let $A_x = \{(x, v_1), \dots, (x, v_k)\}$. Define a relation R on $\cup_{x \in V_G} A_x$ by $(x, v_i)R(x', v_j)$ if and only if ' $\{x, x'\} \in E_G$ implies $\{v_i, v_j\} \in E_H$ ' for $(x, v_i) \in A_x, (x', v_j) \in A_{x'}$. By assumption, for all finite $F \subset V_G$, there exists a homomorphism $h_F : G \upharpoonright F \rightarrow H$. For any finite $F \subset V_G$, and an homomorphism h_F of F , let $h_F^*(j) = (j, h_F(j))$ for $j \in F$. Clearly, h_F^* is an R -consistent choice function for $\{A_x\}_{x \in F}$. By F_k , there is a R -consistent choice function h_F^* for $\{A_x\}_{x \in V_G}$. Define h_{V_G} on V_G by $h_{V_G}^*(j) = (j, h_{V_G}(j))$ for $j \in V_G$. Let $(j, j') \in E_G$ such that $j, j' \in V_G$. Since i_1^* is R -consistent, $(j, h_{V_G}(j))R(j', h_{V_G}(j'))$. By the definition of R , $(h_{V_G}(j), h_{V_G}(j')) \in E_H$.

For the second assertion, we once more consider the permutation model \mathcal{N} from **section 3** of [How84] where AC_3 and AC_{fin}^ω fails, and F_2 holds. Consequently, ' $\mathcal{P}_{G,H}$ for a finite graph H with 2 vertices' holds in \mathcal{N} . \square

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