## A numerical study of stellar discs in galactic nuclei

Taras Panamarev<sup> $1,2,3\star$ </sup>, Bence Kocsis<sup>1</sup>

<sup>1</sup> Rudolf Peierls Centre for Theoretical Physics, Parks Road, OX1 3PU, Oxford, UK

<sup>2</sup> Fesenkov Astrophysical Institute, Observatory 23, 050020 Almaty, Kazakhstan

<sup>3</sup> Energetic Cosmos Laboratory, Nazarbayev University, 53 Kabanbay Batyr ave., 010000 Astana, Kazakhstan

15 November 2022

#### ABSTRACT

We explore the dynamics of stellar discs in the close vicinity of a supermassive black hole (SMBH) by means of direct N-body simulations. We show that an isolated nuclear stellar disc exhibits anisotropic mass segregation meaning that massive stars settle to lower orbital inclinations and more circular orbits than the light stars. However, in systems in which the stellar disc is embedded in a much more massive isotropic stellar cluster, anisotropic mass segregation tends to be suppressed. In both cases, an initially thin stellar disc becomes thicker, especially in the inner parts due to the fluctuating anisotropy in the spherical component. We find that vector resonant relaxation is quenched in the disc by nodal precession, but it is still the most efficient relaxation process around SMBHs of mass  $10^6 M_{\odot}$  and above. Two body relaxation may dominate for less massive SMBHs found in dwarf galaxies. Stellar discs embedded in massive isotropic stellar clusters ultimately tend to become isotropic on the local two-body relaxation time-scale. Our simulations show that the dynamics of young stars at the centre of the Milky Way is mostly driven by vector resonant relaxation leading to an anticorrelation between the scatter of orbital inclinations and distance from the SMBH. If the S-stars formed in a disc less than 10 Myr ago, they may coexist with a cusp of stellar mass black holes or an intermediate mass black hole with mass up to  $1000\,M_{\odot}$  to reproduce the observed scatter of angular momenta.

**Key words:** methods: numerical – stars: kinematics and dynamics – Galaxy: centre – galaxies: nuclei

### 1 INTRODUCTION

More than two decades of repeated monitoring of stellar orbits in the Galactic centre revealed the presence of a compact massive object that coincides with the radio source SgrA\* (Ghez et al. 2000; Gillessen et al. 2009; Genzel et al. 2010; Gillessen et al. 2017). The high mass  $(M \simeq 4 \times 10^6 \,\mathrm{M_{\odot}})$  and compact size  $(R < 10^{-6} \,\mathrm{pc})$  suggest that the object is a supermassive black hole (SMBH) (see Eckart et al. 2017 for a discussion). The SMBH is surrounded by a dense cluster of stars, most of which are old (> 5 Gyr old), but some stars are very young (< 10 Myr old). The majority of young and massive stars are distributed in a disc-like structure as seen from their angular momentum vector directions (Levin & Beloborodov 2003; Paumard et al. 2006; Bartko et al. 2009; Yelda et al. 2014; von Fellenberg et al. 2022). This kinematic structure is called the clockwise stellar disc and is located between 0.04 and 0.5 pc (Levin & Beloborodov 2003). Another

The Milky Way galaxy is not the only galaxy that features a stellar disc. At the centre of the Andromeda galaxy, two distinct brightness peaks are observed (Lauer et al. 1993) which may be explained by the so-called eccentric nuclear disc (Tremaine 1995) where orbits of stars have aligned

distinct kinematic structure is the S-star cluster: a cluster of young massive stars located within the inner arcsecond (0.04 pc) from the SMBH. Detailed spectroscopic studies of the S-stars indicate their ages are comparable with those of the clockwise stellar disc suggesting the same origin for both systems (Habibi et al. 2017). Recent observations suggest that the S-star cluster is likely to be arranged in two orthogonal discs (Ali et al. 2020; Peißker et al. 2020) which may be identified from the distributions of the position angles of the semimajor axes of the sky-projected orbits (Ali et al. 2020)<sup>1</sup>.

<sup>\*</sup> Corresponding author email: panamarevt@gmail.com

 $<sup>^1</sup>$  Note that the existence of two orthogonal discs in S-stars is debated (von Fellenberg et al. 2022)

arguments of periapsides. Observations of nuclear star clusters in nearby edge-on galaxies suggest that some of them host stellar discs associated with multiple stellar populations (Seth et al. 2006, 2008). Therefore, the coexistence of the nuclear star clusters with SMBHs and stellar discs appear to be common in the universe motivating studies of these systems. The main focus of this paper is the nuclear stellar disc of the Milky Way, but we also discuss stellar discs in nuclei of dwarf galaxies.

The interaction between a young stellar disc and the old spherical cluster may be described by secular processes that take place on time-scales significantly shorter than two-body relaxation. Due to the finite number of stars even a spherical cluster exhibits a fluctuating stochastic anisotropy which generates a strong net gravitational torque on stellar orbits, giving rise to rapid diffusion of orbital angular momenta in a process called resonant relaxation (Rauch & Tremaine 1996; Hopman & Alexander 2006; Eilon et al. 2009; Kocsis & Tremaine 2011, 2015; Giral Martínez et al. 2020). In near-Kepler potentials in which the orbital time is much shorter than the apsidal precession time, the dynamics of stars can be represented as the interaction of quasi-stationary elliptical wires exerting mutual gravitational torques. In this case the individual orbital energies are approximately conserved, but the torques change both the magnitudes and the directions of the angular momentum vectors due to scalar resonant relaxation (SRR). In non-Keplerian spherical meanfield potential, which arises in the Galactic centre due to the extended stellar mass distribution and/or general relativistic precession, the elliptical orbits are not closed, but experience rapid apsidal precession. For these systems the dynamical relaxation of orbital parameters is further accelerated by the coherent torques between N rings or annuli covered by the individual stellar orbits. This reorients the angular momentum vector directions even more rapidly in a process called *vector* resonant relaxation (VRR) while both orbital energy and angular momentum magnitude are nearly conserved (Rauch & Tremaine 1996).

Theoretical studies of VRR benefit from the Hamiltonian formalism where the Hamiltonian represents the gravitational energy from the stellar potential excluding the Keplerian orbital energy around the SMBH (Kocsis & Tremaine 2015). This may be achieved by orbit-averaging over the precession time-scale. The final equilibrium state may be found by means of mean field theory, the Monte Carlo Markov Chain method, kinetic theory, or by integrating Hamilton's equations of motion in time using orbit-averaged N-ring or direct N-body simulations. First, using the mean field approach, the distribution function of the angular momentum vector directions can be found by maximising the entropy of the system using calculus of variations (Roupas et al. 2017; Takács & Kocsis 2018; Magnan et al. 2022). The equations have been solved analytically in the idealised case where all stars have identical masses, semi-major axes and eccentricities. Roupas et al. (2017) and Takács & Kocsis (2018) found that the stellar discs may represent statistical equilibrium structures. Moreover, depending on the total energy and angular momentum the system exhibits a phase transition between disc and spherical phases showing an analogy with liquid crystals. Recently these models were generalised by Magnan et al. (2022) to include the mass spectrum of stars showing that massive stars tend to arrange in thinner

discs than light stars in a process called vertical mass segregation. This confirms the original expectation of Rauch & Tremaine (1996).

A similar conclusion was reached earlier using the Markov Chan Monte Carlo (MCMC) method. Szölgyén & Kocsis (2018) showed that for a particular anisotropic initial condition the massive stars in the cluster form a disc. The study was recently extended by Máthé et al. (2022) where the authors explored the VRR equilibrium for a range of initial configurations in energy – angular momentum space. Both of these studies included orbit-averaged interactions but did not consider the diffusion arising from two-body encounters. They found that massive objects form discs even in cases where the initial level of anisotropy is only a few percent.

Mass segregation may also occur in the eccentricity distribution, but in this case driven by SRR. Scalar resonant relaxation is the dominant process to randomise the eccentricities of the *S*-stars in the Galactic centre (Perets et al. 2009). Fouvry et al. (2018) showed that massive stars tend to become more circular than light stars in discrete quasi-Keplerian axisymmetric discs. In spherically symmetric systems, mass segregation in eccentricity may take place in both directions: the orbits of massive stars become more circular and light stars become more eccentric or vice versa depending on the total energy of the system (Gruzinov et al. 2020).

The time-evolution of the system towards VRR equilibrium may be described by kinetic theory solving the Boltzmann equation. This approach has been used to elucidate SRR (Bar-Or & Fouvry 2018) and VRR processes (Fouvry et al. 2019b).

The time-evolution leading to mass-dependent anisotropy was demonstrated in a set of direct *N*-body and *N*-ring simulations featuring a stellar disc, an intermediate mass black hole (IMBH) and a spherically symmetric cluster of stars (implemented as an external potential) with a SMBH. Szölgyén et al. (2021) showed that the orbit of the IMBH aligns rapidly with the disc of stars within 3-10 Myr (depending on the IMBH mass and the initial inclination angle) and the IMBH eccentricity decreases rapidly due to VRR and SRR by the effect called resonant dynamical friction. This work featured direct integration of two-body encounters between the SMBH, IMBH, and the stars in the disc, but neglected the two-body interactions between stars in the disc and in the spherical cluster and deviations from spherical symmetry.

Mass segregation effects in the vicinity of a massive black hole were originally described in the context of twobody relaxation in isotropic spherically symmetric stellar systems (Bahcall & Wolf 1977) which were later confirmed by direct *N*-body simulations (Preto & Amaro-Seoane 2010; Panamarev et al. 2019). For an isotropic system two-body relaxation is much slower than VRR by the ratio of the central mass to the individual stellar mass times  $N^{1/2}$ , i.e.  $M_{\text{SMBH}}/(N^{1/2}m)$ , where N is the number of stars. It drives mass segregation slowly both in semi-major axes and, as shown by Mikhaloff & Perets (2017), it leads to mass segregation in orbital inclinations and eccentricities in isolated stellar discs. Recently, N-body modelling of Foote et al. (2020) demonstrated vertical and eccentric mass segregation in eccentric nuclear discs. It was not clear from this study whether these effects were caused by two-body or resonant

relaxation or both. Anisotropic mass segregation was also observed in direct *N*-body simulations of rotating globular clusters (Szölgyén et al. 2019), where VRR dominates over two-body relaxation for  $N \gg 10^4$  (Meiron & Kocsis 2019).

Perets et al. (2018) showed that the collective effect of stars in a spherical distribution (in their case a cusp of stellar black holes) may lead to the formation of clumps, warps and spiral arms in the stellar disc. They compared results from direct N-body simulations of isolated stellar discs, stellar discs embedded in a smooth potential, a hybrid self consistent field modelling of disc – sphere interactions (Meiron et al. 2014) and direct N-body integration of the whole system. While isolated discs and discs embedded in a smooth potential showed steady increase in disc thickness, both hybrid and direct N-body models led to the formation of clumps, warps and spiral arms. The qualitative agreement between hybrid and direct models suggests that these effects may be caused by resonant relaxation.

Mastrobuono-Battisti et al. (2019) used direct N-body simulations to study the co-evolution of multiple stellar discs embedded in an analytic stellar cusp and a discrete population of stellar black holes. By introducing a new disc every 100 Myr, they found that the discs evolve towards a uniform distribution in orbital inclinations, but at the end of their simulations (500 Myr) each of the discs showed different morphologies and kinematics.

Kocsis & Tremaine (2015) and Giral Martínez et al. (2020) showed that the fluctuating anisotropy of a spherical distribution leads to diffusion in angular momentum direction space in a nearly spherical system due to VRR. Thus, as long as the gravitational interaction between disc particles may be neglected, a spherical distribution drives the disruption of a stellar disc. Furthermore, two-body relaxation may further accelerate rapid diffusion, rapidly increasing the thickness of an initially very thin disc (Cuadra et al. 2008). In the opposite limit of a strongly self-interacting thin stellar disc with no two-body relaxation, the disc acts as a coupled system of harmonic oscillators, counteracting the external torques such that the disc remains intact and exhibits normal mode oscillations (Kocsis & Tremaine 2011). In this paper we aim to study the interaction of a nuclear stellar disc with a spherical nuclear star cluster around a central massive black hole self-consistently by means of direct N-body simulations. We improve the physical realism and particle number resolution over previous direct N-body models to understand if stellar discs or black hole discs may be long lived in nuclear star clusters.

The paper is organised as follows. In Sec. 2 we review the Galactic centre time-scales. In Sec. 3 we describe the initial setup for our numerical models. Sec. 4 is devoted to the analysis of isolated stellar discs without a spherical stellar population, and Sec. 5 to the effects caused by the dynamical interaction with the sphere. In Sec. 6 we apply our findings to compare with the observed population of *S*-stars and, finally, we summarise the paper in Sec. 7.

## 2 THE TIME-SCALES

In this section we review the relaxation processes in galactic nuclei and the associated time-scales similar to Kocsis & Tremaine (2011) and Rauch & Tremaine (1996).

**Two-body relaxation.** Two-body relaxation arises from the fluctuating force acting on a subject star over the orbital period. As the total impulses received by a star over the orbital period are uncorrelated, the relaxation rate occurs in a random-walk fashion and is often called non-coherent relaxation. The two-body relaxation changes both the energy and the corresponding angular momentum at the rate (see e.g. Rauch & Tremaine 1996 or Binney & Tremaine 2008):

$$\frac{\Delta E}{E} = \alpha \frac{m_2 N^{1/2}}{M_{\rm bh}} \left(\frac{t}{t_{\rm orb}}\right)^{1/2}, \quad \frac{\Delta L}{L} = \beta \frac{m_2 N^{1/2}}{M_{\rm bh}} \left(\frac{t}{t_{\rm orb}}\right)^{1/2}, \tag{1}$$

where N is the total number of stars,  $M_{\rm bh}$  is the mass of the central massive black hole,  $E \sim 2GM_{\rm bh}/R$  is the Keplerian energy,  $m_2 = \langle m^2 \rangle / \langle m \rangle$  is the effective mass and  $\alpha \sim \beta \sim (\ln \Lambda)^{1/2}$  within factors of order unity where  $\ln \Lambda \simeq \ln(M_{\rm bh}/m)$  is the Coulomb logarithm, m is the stellar mass and  $t_{\rm orb}$  is the orbital period.

The two-body relaxation time-scale for a spherical stellar system with a central massive black hole can be computed by (Binney & Tremaine 2008):

$$t_{\rm relax} = 0.34 \frac{\sigma^3(r)}{G^2 \rho(r) m_2 \ln \Lambda} = \frac{M_{\rm bh}^2}{\beta^2 m_2^2 N} t_{\rm orb},$$
 (2)

where  $\sigma$  is the one-dimensional velocity dispersion,  $\rho$  is the stellar density.

Scalar resonant relaxation. Contrary to two-body relaxation, SRR occurs in a coherent way over the apsidal precession time-scale. In near-Kepler potentials, the orbit-averaged interaction may be approximated as elliptic wires exerting mutual torques. In this case the Keplerian energy is conserved, but both the magnitude and the direction of angular momentum vectors L are changed at the following rate:

$$\frac{\Delta L}{L_{\rm c}} = \eta_s \frac{m_2 N^{1/2}}{M_{\rm bh}} \left(\frac{t_{\rm prec} t}{t_{\rm orb}^2}\right)^{1/2},\tag{3}$$

where  $L_{\rm c} = L/\sqrt{1-e^2}$ ,  $\eta_{\rm s}$  is a dimensionless coefficient of order unity and  $t_{\rm prec}$  is the apsidal precession time. The total relaxation rate occurs in a random walk fashion with the apsidal precession time being the step size (duration of the coherent phase). The long duration of the step size compared to the orbital period makes this process more efficient than two-body relaxation in near-Kepler potentials where  $t_{\rm prec} \gg t_{\rm orb}$ .

The SRR time in a spherical stellar system can be found by:

$$t_{\rm rr,s} = \frac{4\pi |\omega|}{\beta_s^2 \Omega^2} \frac{M_{\rm bh}^2}{M(r)m_2},\tag{4}$$

where  $\omega = 2\pi/t_{\text{prec}}$  is the apsidal precession rate (sum of Newtonian and relativistic),  $\Omega = 2\pi/t_{\text{orb}}$  is the orbital frequency and  $\beta_s$  is a dimensionless coefficient estimated by Eilon et al. (2009) to be 1.05 ± 0.02.

Vector resonant relaxation. In spherical potentials where the precession time is short, the stellar orbits may be approximated as annuli that exert mutual torques. In this case the torques change the direction of orbital angular momentum vectors at the rate:

$$\Delta L/L_{\rm c} = \eta_{\nu} \frac{m_2 N^{1/2}}{M_{\rm bh}} \left(\frac{t}{t_{\rm orb}}\right)^{1/2} + \beta_{\nu} \frac{m_2 N^{1/2}}{M_{\rm bh}} \frac{t}{t_{\rm orb}},\tag{5}$$

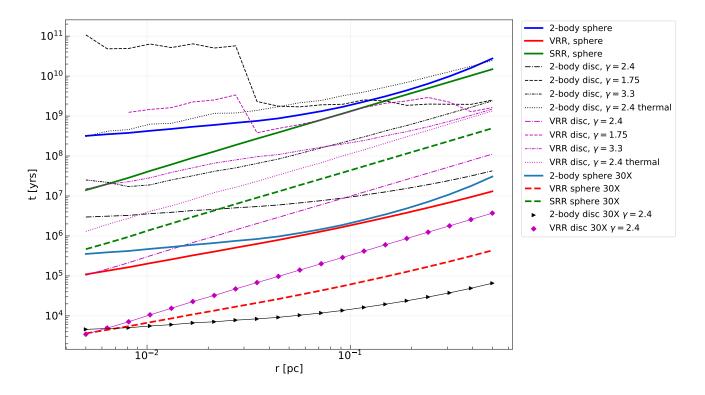


Figure 1. The time-scales of dynamical processes as a function of distance from the SMBH in our simulations of the Galactic centre. Computed from analytical expressions presented in Sec. 2 using the data from the initial conditions of the models described in Sec. 3. All thick lines show the time-scales related to the interaction of the stellar disc with a spherical component with a 3D density distribution  $\rho \propto r^{-1.75}$ . All thin lines show the relaxation time-scales within the disc neglecting contribution from a spherical component. Black lines illustrate two-body relaxation within the discs, purple lines show VRR within the discs. Different line styles correspond to density distributions of the stellar discs with corresponding power-law density slope according to the legend.

where  $\eta_{\nu}$  is a dimensionless coefficient which corresponds to the contribution of two-body relaxation and SRR, and the term with  $\beta_{\nu} = 1.83 \pm 0.03$  represents the contribution from the coherent phase of VRR (linear with  $t/t_{\rm orb}$ ) (Eilon et al. 2009). Kocsis & Tremaine (2015) found that VRR is slower by a factor 3 due to rapid apsidal precession consistent with earlier work (Rauch & Tremaine 1996). It is expected that VRR may be the most efficient way to randomise the stellar orbital inclinations as the step size of the coherent phase is the largest among all relaxation processes.

For a spherical stellar system, the VRR time is (Eilon et al. 2009):

$$t_{\rm rr,v} = \frac{M_{\rm bh}}{\sqrt{M(r)m_2}} \frac{t_{\rm orb}}{\beta_v^2}.$$
 (6)

Kocsis & Tremaine (2015) found that  $m_2$  is replaced by the RMS mass for VRR.

**Two-body relaxation in a stellar disc.** Two-body relaxation time-scale for a stellar disc can be computed by (Stewart & Ida 2000):

$$t_{\rm rx,disc} = \frac{\left\langle e^2 \right\rangle^2}{4.5\Omega} \frac{M_{bh}^2}{m_2 \Sigma r^2 \ln \Lambda},\tag{7}$$

where  $\Sigma$  is the surface density of the disc,  $\Lambda\simeq \left\langle e^2\right\rangle^{3/2}M_{\rm bh}/m.$  The formula assumes  $\left\langle i^2\right\rangle^{1/2}\simeq 0.5\left\langle e^2\right\rangle^{1/2}$ 

Vector resonant relaxation in a stellar disc. VRR may also occur in stellar discs. Since stars exert torques from the disc plane leading to precession in the line of nodes at the rate (Kocsis & Tremaine 2011):

$$\nu \simeq \frac{\Omega}{\langle i^2 \rangle^{1/2}} \frac{M_{\rm disc}}{M_{\rm bh}},\tag{8}$$

the nodal precession will limit the step size for the coherent phase of VRR. To compute VRR in a stellar disc, we replace the apsidal precession rate in Eq. 4 by the nodal precession rate and  $M_{\text{disc}}$  by M(r):

$$t_{\rm vrr,disc} \simeq \frac{4\pi}{\Omega \langle i^2 \rangle^{1/2}} \frac{M_{\rm bh}}{m_2}.$$
(9)

This expression shows relaxation of the angular momentum vectors which in this case is dominated by relaxation in azimuthal components driven by the nodal precession (as shown in Sec. 2 of Kocsis & Tremaine 2011). Note that VRR in the vertical direction may be much slower due to kinetic blocking (Fouvry et al. 2019a). Furthermore,  $t_{\rm vrr,disc}$  estimates the timescale for the relaxation of a disc by neglecting the fluctuating torques from the spherical component of the stellar distribution.

We refer to Tremaine (1998) and Fouvry et al. (2018) for the discussion and analysis of SRR in discs.

For the relaxation processes that occur much faster than two-body relaxation, it is often useful to compare the timescales with respect to the secular time, defined as:

$$t_{\rm sec} = \frac{M_{\rm bh}}{M_{\rm tot}} P_{\rm inner},\tag{10}$$

where  $P_{\text{inner}}$  is the orbital period of the innermost star (in our models determined by the inner edge of the stellar disc) and  $M_{\text{tot}}$  is the total stellar mass of the system. This time-scale sets the shortest apsidal precession time.

Fig. 1 shows the time-scales described above applied to the Galactic centre using data from our simulations (see Sec. 3). The spherical component corresponds to the Bahcall-Wolf cusp (Bahcall & Wolf 1976) while stellar discs feature various distributions of 3D densities and orbital parameters adopted in our simulations as described in the following section. The figure compares the time-scales of dynamical processes within the sphere (thick lines) and within the discs (thin lines). As we see, VRR within the sphere (thick red line) is the fastest process followed by VRR in discs (although for some disc models 2-body relaxation within the disc is comparable in some regions; see purple and black lines). On the other hand, if the total mass of the whole stellar system is increased by a factor of 30 (labelled as 30X in the legend), while keeping the same number of particles, 2-body relaxation within the disc becomes the fastest process (see the section below for a motivation on the 30X models).

Note that the time-scales presented in the Figure 1 (and the equivalent analytical expressions) are derived either neglecting the contribution from the disc (time-scales within the sphere) or from the sphere (time-scales within the discs), but in reality the dynamics of a stellar disc embedded in a sphere may be shaped by the contribution from both the disc and the sphere. The torque acting on a test particle in the presence of an isotropic cluster due to the fluctuating stochastic anisotropy is of order (Kocsis & Tremaine 2015)

$$\dot{L}_{\rm sphere} \sim \beta_{\nu} \frac{N_{\rm sphere}^{1/2} m_{\rm rms, sphere}}{M_{\rm bh}} \frac{L_{\rm c}}{t_{\rm orb}} \,, \tag{11}$$

while a stellar disc drives nodal precession at the rate of order

$$\dot{L}_{\rm disc} \sim \frac{N_{\rm disc} m_{\rm av, disc}}{M_{\rm bh}} \frac{L_{\rm c}}{t_{\rm orb}} \,. \tag{12}$$

Here  $m_{\rm rms,sphere} = \langle m^2 \rangle^{1/2}$  and  $m_{\rm av,disc} = \langle m \rangle$  for objects in the spherical cluster and the disc, respectively. Thus, the effect of the disc dominates over the sphere if  $N_{\rm disc}m_{\rm av,disc} \gg N_{\rm sphere}^{1/2} m_{\rm rms,sphere}$  and the disc exhibits normal mode oscillations (Kocsis & Tremaine 2011), and in the opposite limit the disc dissolves on the  $t_{\rm rr,v}$  VRR timescale due to the sphere (Kocsis & Tremaine 2015; Giral Martínez et al. 2020). To explore the dynamics and the dominant relaxation process for different systems, we perform direct *N*-body simulations of stellar discs embedded in a spherical cusp of stars in the intermediate regime where  $N_{\rm disc}m_{\rm av,disc}$  and  $N_{\rm sphere}^{1/2}m_{\rm rms,sphere}$  are comparable as we describe in the following section.

#### **3 SIMULATIONS**

We adopt the following system of units for all the models:

$$G = M_{\rm bh} = R_{\rm out} = 1, \tag{13}$$

where G is the gravitational constant,  $M_{\rm bh}$  is the initial SMBH mass, and  $R_{\rm out}$  is the initial outer radius of the stellar system which is defined as the orbital semi-major axis of the outermost star in the system. When converting to physical units we typically assume  $R_{\rm out} = 0.5$  pc,  $M_{\rm bh} = 4 \times 10^6 \,\rm M_{\odot}$  unless indicated otherwise, and in some cases we adopt  $R_{\rm out} = 1$  pc,  $M_{\rm bh} = 1.3 \times 10^5 \,\rm M_{\odot}$ .

#### 3.1 The code

We use a modified direct N-body code  $\varphi$ -GRAPE (Harfst et al. 2007) that uses 4-th order Hermite integration method (Makino 1991; Makino & Aarseth 1992; Aarseth 2003) to solve the equation of motion. The code was originally designed for the GRAPE cards and now utilises an emulation library to run on modern GPUs (Nitadori & Makino 2008). The modified version of the original code includes the gravitational interaction with the massive central object implemented as a fixed external point-mass potential and the accretion of stars onto the central object (Just et al. 2012; Li et al. 2012; Zhong et al. 2014). The equation of motion is:

$$\ddot{\boldsymbol{r}}_{i} = -\sum_{i \neq j} \frac{Gm_{j}\boldsymbol{r}_{ij}}{(r_{ij}^{2} + \epsilon_{ss}^{2})^{3/2}} - \frac{GM_{bh}\boldsymbol{r}_{i}}{r_{i}^{3}},$$
(14)

where  $\mathbf{r}_{ij} = \mathbf{r}_i - \mathbf{r}_j$  with  $\mathbf{r}_i$ ,  $\mathbf{r}_j$  the positions of stars *i* and *j*, respectively,  $\epsilon_{ss} = 1.0 \times 10^{-4}$  is the stellar softening parameter. The value for the softening between stars is chosen to be small enough to resolve relevant close encounters but large enough to prevent formation of the compact binary systems. Lower value for the softening may result in a larger number of very close encounters between stars, but they are rare and are not relevant on the resonant relaxation time-scales which are the main focus of this work.

The central massive black hole can grow in mass by consumption of stars. The criterion for the accretion is the instantaneous distance to the star is less than the accretion radius which was set to be equal to the tidal disruption radius of a  $2R_{\odot}$  star by a  $4 \times 10^6 M_{\odot}$  black hole. After the accretion event the total mass of the star is instantaneously added to the mass of the SMBH and the star is removed from the simulation (Just et al. 2012; Li et al. 2012; Zhong et al. 2014). The accretion radius sets the innermost resolution of the simulations and, thus, allows not to soften the interaction between stars and the SMBH (Khan et al. 2018).

The accuracy of the simulations is controlled by the time-step factor  $\eta$  (Aarseth 1985; Makino & Aarseth 1992). We choose  $\eta = 0.01$  as a compromise between the accuracy and the computing time. To ensure that  $\eta = 0.01$  is the optimal choice, one can measure the total energy exchange between particles caused by two-body relaxation over the apsidal precession time and compare it to the total absolute energy error of the system over the same period of time. For all of our models, the ratio of the absolute energy error over the total energy exchange between particles does not exceed  $10^{-5}$  over the apsidal precession time for a given particle ensuring that  $\eta = 0.01$  is the optimal choice. The total relative energy error at the end of the simulations is of order  $\Delta E = \frac{E - E_0}{E_0} \approx 10^{-4}$ , the total angular momentum error is of order  $\Delta \mathbf{L} = \frac{|\mathbf{L}-\mathbf{L}_0|}{|\mathbf{L}_0|} \approx 10^{-3}$ . Reducing the value for  $\eta$  improves the error tolerance, but slows down the computations and qualitatively shows the same results.

## 3.2 Initial conditions

We study the gravitational interaction of a galactic nucleus with three components: a central massive black hole, a spherical cluster of old stars and a population of stars resembling a disc.

We run one-to-one simulations meaning that one particle in the simulation represents one realistic star. This can be achieved by modelling a system of  $10^5$  particles with an average particle mass of  $10^{-6} M_{\rm bh}$ . Using a top-heavy initial mass function (IMF, Eq. 15 below) and applying the parameters to the Milky Way Galaxy centre gives the total stellar mass  $M_{\rm tot} \simeq 2 \times 10^5 \, \rm M_{\odot}$  for the inner 0.5 pc. This value is comparable to the total stellar mass inferred from observations: Schödel et al. (2018) find  $M \simeq 1.3 \times 10^4 \,\mathrm{M_{\odot}}$  within 0.1 pc and  $M \simeq 1.0 \times 10^6 \,\mathrm{M_{\odot}}$  within 1 pc.<sup>2</sup> The most recent estimates based on interferometric astrometry indicate that the total extended mass within 0.1 pc does not exceed  $M \simeq 10^5 \,\mathrm{M_{\odot}}$ (Gravity Collaboration et al. 2022).

We generate the initial positions and velocities for the spherical stellar system to follow Keplerian orbits with spatial density distribution resembling a Bahcall-Wolf cusp with  $\rho \propto r^{-7/4}$  where r is the distance from the SMBH (Bahcall & Wolf 1976). The distribution of orbital parameters for the spherical cluster is the same in all our models while we vary the spatial density distribution and orbital parameters for the disc stars as described in Sec. 3.2. In all the models we keep the stellar disc embedded in a spherical component.

To model the mass spectrum of stars, we adopt the Kroupa (2001) top-heavy IMF for the sphere:

$$\frac{dN}{dm} \propto m^{-\alpha}, \quad \alpha_{\text{sphere}} = \begin{cases} 1.3, & \text{if } 0.08 \,\mathrm{M}_{\odot} \le m < 0.5 \,\mathrm{M}_{\odot} \\ 2.3, & \text{if } 0.5 \,\mathrm{M}_{\odot} \le m < 1.0 \,\mathrm{M}_{\odot} \\ 1.5, & \text{if } m \ge 1.0 \,\mathrm{M}_{\odot} \end{cases}$$
(15)

The top-heavy IMF is motivated by the expected mass segregation in galactic nuclei (see e.g. Panamarev et al. 2019), and the observed stellar mass function in the Galactic centre following  $m^{-1.7\pm0.2}$  (Lu et al. 2013). After the IMF is generated we use the stellar evolution code (SSE, Hurley et al. 2000) to evolve the whole system up to 1 Gyr and use stellar masses at 1 Gyr as the initial mass distribution for both disc and spherical components. This allows us to ignore the mass loss due to the stellar evolution in the code during the dynamical evolution.

We use a slightly shallower slope for the heavier masses but keep the same break points to generate the IMF for the stellar disc motivated by observations (Bartko et al. 2010)<sup>3</sup>:

$$\alpha_{\rm disc} = \begin{cases} 1.3, & \text{if } 0.08 \,\mathrm{M}_{\odot} \le m < 0.5 \,\mathrm{M}_{\odot} \\ 2.3, & \text{if } 0.5 \,\mathrm{M}_{\odot} \le m < 1.0 \,\mathrm{M}_{\odot} \\ 1.3, & \text{if } m \ge 1.0 \,\mathrm{M}_{\odot} \end{cases}$$
(16)

We explore several models for the distribution of orbital parameters in the disc as summarised in Table 1. We consider two main scenarios for the origin of the stellar disc. The first one is the formation of the disc due to the star - disc

| Fiducial models:   |   |   |  |  |
|--|---|---|--|--|
| Mass factor  | Initial orbital parameters                            | Disc 3D density                           |  |  |
| 1; 10; 30  | stardisc  | 1.75<br>2.4<br>3.3                        |  |  |
| 1; 10; 30  | stardisc-random                                       | 2.4                                       |  |  |
| 1; 10; 30  | thermal   | 2.4                                       |  |  |
| Additional<br>models:  |   |   |  |  |
| N <sub>d</sub>   | Ns  | $M_{\rm d}/M_{\rm s}$                     |  |  |
| $10^{4}$<br>$10^{3}$<br>$5 \times 10^{4}$<br>$9 \times 10^{4}$ | $10^{5}$<br>$10^{5}$<br>$5 \times 10^{4}$<br>$10^{4}$ | 0.14<br>0.04 (massive disc)<br>1.0<br>8.8 |  |  |

Table 1. List of simulations with a nuclear stellar disc and sphere

Notes. List of models with different initial conditions for stellar discs. The default number of stars in the disc and the sphere are  $N_{\rm d} = 10^3$  and  $N_{\rm s} = 10^5$ , respectively; the radial number density profile exponent of the sphere and the disc are -1.75 and  $\gamma = -2.4$ . For the *stardisc* initial conditions we also adopted two additional  $\gamma$  values as shown. For each of these main models, we adopted three different mass factors to scale the stellar mass distribution as shown to accelerate the code (see text). In total, for the main models we have 9 stardisc models, 3 stardisc-random and 3 thermal models. For the additional models the mass factor is 30, the disc radial density profile slope is  $\gamma = 2.4$  and initial orbital parameters are *thermal*.

interactions in an active galactic nucleus. Panamarev et al. (2018) showed that the gaseous accretion disc may capture stars from the surrounding star cluster with the captured stars following the disc-like shape resembling the shape of the underlying gaseous accretion disc (see also Bartos et al. 2017). The formed stellar disc is in steady state balanced by the accretion of stars onto the SMBH and capturing new stars by the accretion disc. To generate the initial positions and velocities, we take data from Panamarev et al. (2018) at 1 relaxation time (enough to form the steady state disc) and make statistical bootstrapping to increase the number of stars (in Panamarev et al. 2018 the authors had to use the super-particle approach where 1 particle represented a group of stars). First, we convert positions and velocities to 6 Keplerian orbital parameters (this is a good approximation for orbits deep inside the influence radius of the SMBH), then generate a larger number of objects corresponding to the distribution function of orbital parameters, and finally, we convert the orbital parameters back to positions and velocities. This way we generate 1000 particles for our models from the original  $\approx 100$  particles taken from Panamarev et al. (2018). We refer to the initial orbital parameters of the disc stars derived this way as the *stardisc* initial conditions. Fig. 2 (blue lines in both panels) shows notable features: nearly circular orbits for most of the stars and low orbital inclinations. There is also a linear dependence of the orbital inclination, eccentricity and semi-major axis which resembles the outer warp of the stellar disc (see the left panel of

<sup>&</sup>lt;sup>2</sup> Note that these estimates do not include stellar remnants meaning that the actual enclosed mass within the regions may be higher.

 $<sup>^3</sup>$  Galactic center observations suggest an even more top-heavy profile  $dN/dm \propto m^{-0.45\pm0.3}$  (Bartko et al. 2010)

the Fig. 3 that shows the correlation between the inclination angles and eccentricities).

As this type of initial conditions may seem specific to the underlying accretion disc model used in Panamarev et al. (2018), we explored another family of the *stardisc* initial conditions where we kept the same distributions of the orbital parameters as in Fig. 2, but randomised the inclination – eccentricity – semi-major axis relation as shown in the middle panel of Fig. 3. We refer to these initial conditions as the *stardisc-random* initial conditions. In the *stardisc* initial condition models we vary the 3D density power-law slope for semi-major axes as described in Sec. 3.2.

In addition to the *stardisc* and *stardisc-random* initial conditions, we also explore the case where the stellar disc follows a thermal eccentricity distribution, uniformly distributed orbital inclinations between  $\cos 10^{\circ}$  and  $\cos 0^{\circ}$ , and a 3D power-law density slope for the semi-major axes  $\rho \propto r^{-2.4}$  implying that  $dN/da = a^{-0.4}$ . Orange lines in both panels of Fig. 2 and the right panel of Fig. 3 highlight the differences between the models. We refer to these initial conditions as *thermal* initial conditions. The remaining Keplerian orbital elements, namely longitudes of the ascending nodes, arguments of periapsis and mean anomalies are drawn from a uniform distribution within the whole range of their allowed values.

We perform a set of simulations with  $N_s = 10^5$  total number of stars in the sphere,  $N_{\rm d}=10^3$  total number of stars in the disc and average mass ratio of  $m_*/M_{\rm bh} = 5 \times 10^{-7}$ . Given the slightly different mass functions for the disc and for the sphere the total mass fraction of the disc is  $M_d/M_s \simeq 0.015$ . To explore the effects of the initial orbital parameters distribution we use 3 sets of models: stardisc, stardisc-random and thermal, as described above. For the stardisc model we vary the power law slope for the 3D density distribution  $\rho \propto r^{-\gamma}$ with  $\gamma = 1.75$  to represent the standard Bahcall-Wolf cusp (Bahcall & Wolf 1976),  $\gamma = 2.4$  to match the observed density distribution of the clockwise stellar disc in the Galactic centre (Yelda et al. 2014) and  $\gamma = 3.3$  – the steepest density profile in our models which originates from the star - disc simulations of Panamarev et al. (2018), for other models we fix  $\gamma = 2.4$ . This gives us 5 different models which are referred as 1X models. Due to the high numerical cost, these types of simulations can be advanced up to 5-10 Myr when applied to the Galactic centre corresponding to the observed age of the nuclear stellar disc and S-stars (Habibi et al. 2017).

To study long term evolution of the system, we increase the total stellar mass of the system by factors of 10 and 30 respectively while keeping the same number of particles. This gives 10 more models. We refer to these models as 10Xand 30X models. As we saw in Sec. 2, the dynamical time scales are reduced for a larger total stellar mass. Due to the fact that the scaling with mass is different for the resonant relaxation and for the two-body relaxation (see Eq. 6 and Eq. 2), we can study the contribution from these relaxation processes by comparing the 1X, 10X and 30X models. Table 1 lists all the models and their parameters.

The bottom part of the Table 1 lists several additional models that we simulated with the *thermal* initial conditions and  $\gamma = 2.4$  disc density exponent. First we include additional variants of the 30X models, which are numerically the least expensive and allow us to explore the parameter space of the system. In particular, we run additional models

with (i) a larger number of stars in the disc  $N_d = 10^4$ ; and (ii) with the same number of stars in the disc but increased total mass of the disc. Furthermore, we examine two additional models where the number of stars in the disc was equal to the number of stars in the sphere and where the number of stars in the disc was 90% of the total number of particles with the total number of particles  $N = 10^5$  in both runs. In addition, in order to study the effect of the sphere on the dynamics of stars within the disc, we run the fiducial 1X, 10X and 30X models without the sphere, with only a stellar disc of  $N_d = 10^3$  stars around the supermassive black hole. We refer to these models as the *isolated disc* models.

#### 4 DYNAMICS OF THE ISOLATED STELLAR DISCS

In this section we describe the evolution of isolated stellar discs rotating around a SMBH with 100% of stars initially on prograde orbits and no spherical stellar component. As reference models we choose the *thermal* models with the power-law density slope of the disc  $\gamma = 2.4$  and the mass factors 1, 10 and 30. We examine how the total stellar mass (with fixed number of particles) affects the dynamics of the relaxation processes.

The dynamical relaxation processes are expected to change the distribution of orbital inclination angles by warping, twisting, and affecting the thickness of the disc. The left panel of Figure 4 shows the 10%, 50%, and 90% cumulative distribution levels of orbital inclination angles as a function of semi-major axis. The innermost stars tend to have higher orbital inclinations, which is explained by the shorter relaxation time-scales at smaller distances from the SMBH (see Sec. 2). The right panel of the figure shows the average inclination angle as a function of mass indicating that the high-mass stars (black holes) have systematically lower inclinations forming a thin disc. This effect develops in all models with an isolated stellar disc. The time instances corresponding to the 1X, 10X and 30X models in Fig. 4 are chosen to have the same average inclination angle for the light stars  $(m \leq 10 \,\mathrm{M_{\odot}})^4$ , implying that the curves in the right panel of Fig. 4 overlap for light stars by construction. The inclination versus semi-major axis shows very similar trends in the left panel of the figure implying that all models are at the same level of relaxation.

In the top panels of Fig. 5, we compare the distribution of cosines of the orbital inclinations for massive  $(m \ge 10 \, M_{\odot})$  and light  $(m \le 10 \, M_{\odot})$  stellar objects. Each panel corresponds to the model with different mass factors (1X, 10X and 30X) at the same time as in Fig. 4. While the distribution of low mass stars are identical by construction, the 1X model clearly shows the strongest effect in vertical mass segregation compared to 10X and 30X models. Bottom panels of the same figure demonstrate that the isolated stellar discs also feature mass segregation in the eccentricity distribution as seen from the normalised distribution of orbital eccentricities for light and massive stars. But in this case the higher-mass models show stronger mass segregation than the 1X model.

<sup>&</sup>lt;sup>4</sup> This choice is somewhat arbitrary, but as we see from the right panel of Fig. 4 the value  $m = 10 M_{\odot}$  is in the mass-gap produced by the stellar evolution and all objects with higher masses in the simulation are stellar mass black holes.

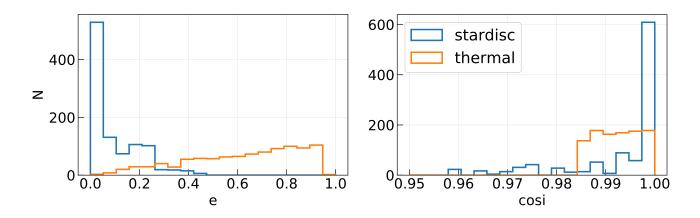


Figure 2. Left panel: Initial distribution of eccentricities for the stellar disc. Blue histogram shows the initial conditions originating from the stardisc simulations (Panamarev et al. 2018) of active galactic nuclei while the orange histogram represents thermal eccentricity distribution. Right panel: Distribution of cosines of inclination angles for the stellar disc. Blue shows the stardisc initial conditions and orange line corresponds to the thermal model: uniform distribution in  $\cos(i)$  corresponding to angles between 0 and  $10^{\circ}$ 

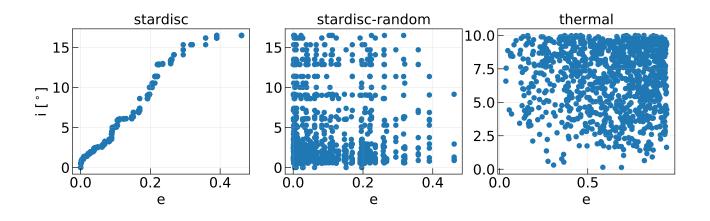


Figure 3. Scatter plot of stellar disc eccentricities and inclination angles for the three types of initial conditions adopted. Left panel, labelled *stardisc*, shows the relation between eccentricity and inclination angle arising from previous stardisc simulations in AGNs (Panamarev et al. 2018). Middle panel, labelled *stardisc-random*, shows the model in which the correlation is removed by independently assigning inclinations and eccentricities from the stardisc model, and the right panel shows the *thermal* model (see text).

To examine the time dependence of mass segregation in inclination and eccentricity and its dependence on the 1X, 10X, 30X models, we track the time evolution of the rootmean-square (rms) inclination angles and eccentricities for all the models as a function of secular time. Fig. 6 confirms the expectation that vertical mass segregation is strongest for the 1X models and the weakest for the 30X models while mass dependence in eccentricities is the opposite.

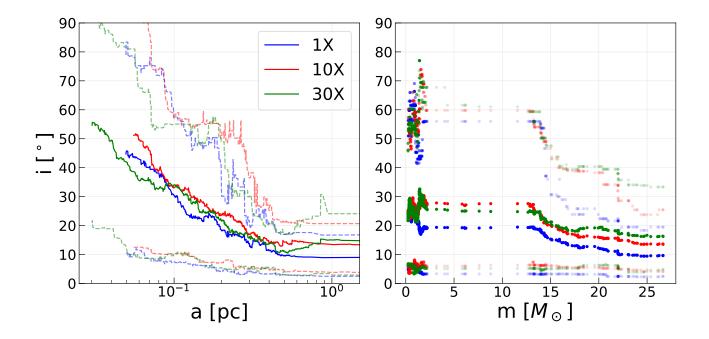
To explore the long-term evolution of isolated stellar discs, we focus on the 30X model which is numerically the least expensive. Fig. 7 shows that massive and light stars develop a different rms inclination and eccentricity during the first stages of the evolution and continue with the same pace after a few thousand secular times. As a result, mass segregation effects are expected to be present in such systems (see Fig. 8).

Vertical mass segregation in galactic nuclei may be caused by vector resonant relaxation as shown first by Szölgyén & Kocsis (2018) and later confirmed by other studies (Fouvry et al. 2022; Magnan et al. 2022; Máthé et al. 2022). On the other hand, angular momentum conservation during pairwise interactions implies that two-body relaxation may also cause vertical mass segregation in the long-run especially in highly anisotropic systems (Ernst et al. 2007; Tiongco et al. 2021). The mass segregation in eccentricities may be caused by both scalar resonant relaxation (Fouvry et al. 2018; Gruzinov et al. 2020) and two-body relaxation. As shown by Alexander et al. (2007), the rms eccentricity of a stellar disc is related to its velocity dispersion as:

$$\sigma_{\rm rms} = \sqrt{2} \frac{\sigma}{v_{\rm K}},\tag{17}$$

where  $v_{\rm K}$  is the Keplerian orbital speed. Following this logic, Mikhaloff & Perets (2017) showed that the evolution of rms eccentricities is different for light and heavy stars as a result of two-body interactions.

To explore which relaxation process drives anisotropic



**Figure 4.** Left panel: moving average of inclination angles in semi-major axes for the *thermal* isolated disc models (see Table 1) with mass factors according to the legend. Right panel: moving average of inclination angles in mass for the same models. Both panels correspond to the same time snapshot. Blue, red and green colours indicate 1X, 10X and 30X *thermal* isolated disc models, respectively. Faded lines (points) of the same colour show 90 and 10% quantiles. The time snapshots for each model are chosen such that average orbital inclinations match for the low mass stars (this corresponds to 56, 5 and 0.9 Myr for 1X, 10X and 30X models respectively; see dotted lines in Fig. 6). For the 10X and 30X models (red and green lines in the right panel) we show m/10 and m/30 respectively so that the mass ranges overlap. The window for the moving averages was chosen to be 100 data points.

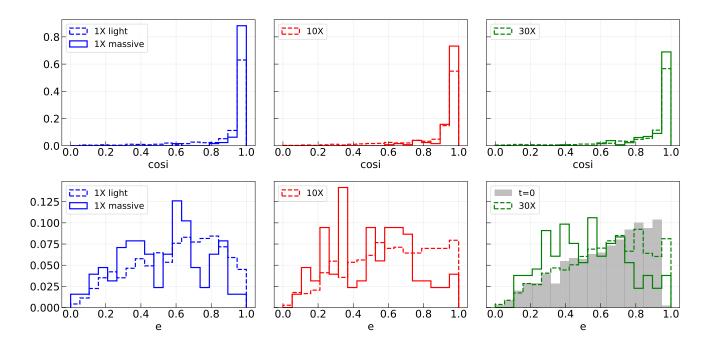


Figure 5. Normalised histograms of orbital inclinations and eccentricities for light and massive particles. Top panels show the cosines of orbital inclinations for 1X, 10X and 30X models. Bottom panels show eccentricities for the same models. Solid and dashed lines indicate massive  $(m \ge 10 M_{\odot})$  and light  $(m < 10 M_{\odot})$  particles respectively. The histograms correspond to the same time snapshots as in Fig. 4. The shaded histogram in the bottom right panel shows the initial eccentricity distribution for all of these models.

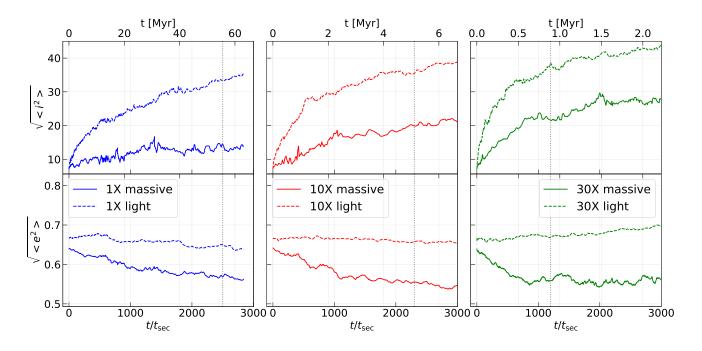


Figure 6. Evolution of root-mean-square inclination angles and eccentricities for the same thermal isolated disc models as in Fig. 4 and Fig. 5 a function of secular time (defined in Eq. 10). Solid and dashed lines indicate massive and light particles respectively. Dotted vertical lines show the time at corresponding to the snapshots of Fig. 4 and Fig. 5.

mass segregation predominantly in our models of isolated stellar discs with no spherical component, we perform the correlation curve analysis (Rauch & Tremaine 1996; Eilon et al. 2009; Kocsis & Tremaine 2015). We measure changes in energies and angular momenta for each particle to compute the rate of diffusion in energy – angular momentum for the whole system (see Appendix A for details). Fig. 9 shows the rms change in Keplerian energy (to track two-body relaxation), angular momentum magnitude (to track SRR), angular momentum vector direction (to track VRR) and the Z-component of the angular momentum vector (VRR in vertical direction) relative to the initial state. Clearly, VRR strongly dominates in the 1X models: the relative change in angular momentum vector direction occurs faster than the change in other quantities (the red line is always above). However, due to the strong nodal precession, the change is predominantly along the azimuthal component of the angular momentum vector, while the orbital inclination is nearly constant. The mixing of orbital inclination angles is represented by the change in the Z-component of the angular momentum vectors (shown as a black line in Fig. 9). This is suppressed initially compared to the change in the energy, but becomes more prominent after  $10^2$  orbital periods. For 10Xand 30X models two-body relaxation is the most efficient relaxation process, at least during the first  $10^3$  periods. Fig. 9 also shows the comparison of the efficiency of the relaxation processes for massive (dashed lines of the same colour) and light (dotted lines of the same colour) stars. As light stars represent the majority of the system, they are almost indistinguishable from the overall cluster properties. On the other hand, the difference between the change in energy and angular momentum for massive stars indicates that the diffusion in energy and angular momentum for the massive stars is less efficient. Since relaxation is driven by VRR in the 1X model, this explains the strongest vertical mass segregation compared to 10X and 30X models discussed above (see Fig. 6). On the other hand, energy and angular momentum changes in the 10X and 30X models are mostly driven by two-body relaxation. This explains the more prominent mass segregation effect in the eccentricities in 10X and 30X models compared to the 1X model. Since the contribution from SRR is the least significant for the studied models (especially the 10X and 30X models, see green lines in Fig. 9), we conclude that the anisotropic mass segregation effects in *thermal* isolated discs are caused by both VRR and two-body relaxation.

#### 5 INTERACTION OF A NUCLEAR STELLAR DISC WITH A SPHERICAL CUSP OF STARS

We analyse the shape and thickness of the stellar disc using the quadrupole moment matrix (see e.g. Roupas et al. 2017, Szölgyén et al. 2021) defined as follows:

$$\mathbf{Q}_{\alpha\beta} = \frac{\sum_{i=1}^{N} L_{i\alpha} L_{i\beta}}{\sum_{i=1}^{N} |L_i|^2},\tag{18}$$

where  $L_i$  is the angular momentum vector of the *i*-th star,  $\alpha$  and  $\beta$  are the corresponding Cartesian components.

The largest eigenvalue of the matrix corresponds to the shape of the disc while the corresponding principal eigenvector describes the orientation of the system

$$\mathbf{Q}_{\alpha\beta}\boldsymbol{\nu} = \lambda\boldsymbol{\nu}.\tag{19}$$

In this normalisation, the trace of the matrix satisfies TrQ =

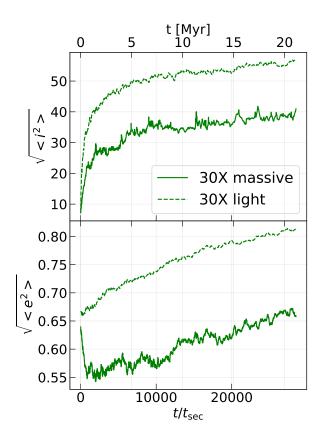


Figure 7. Long-term evolution of the root-mean-square inclination angles and eccentricities for the 30X model. Top lines in each panel show light particles.

1 meaning that equal eigenvalues  $\lambda_1 = \lambda_2 = \lambda_3 = \frac{1}{3}$  represent a sphere with zero angular momentum, and a razor-thin disc has  $(\lambda_1, \lambda_2, \lambda_3) = (1, 0, 0)$ . Thus, the largest eigenvalue which takes the values  $1/3 \le \lambda \le 1$  quantifies the thickness of the stellar disc.

In this section, the inclination angles of the disc stars refer to the mean inclinations with respect to the principal eigenvector of the disc. This way, the orbital inclinations are always computed relative to the instantaneous mid-plane of the disc in angular momentum space even if the disc as a whole is tilted with respect to its initial position.

#### 5.1 Secular evolution of the embedded nuclear stellar discs

We follow the same steps as in Sec. 4 to study the dynamics of discs embedded in a spherical cusp of stars on secular time-scales. But in this subsection we use the *stardisc* models with the power-law slope  $\gamma = 3.3$  and compare the isolated disc, the disc embedded in a sphere and the 30X model of the same disc embedded in a sphere. The semi-major axes – inclination dependence (left panel of Fig. 10) is qualitatively similar for all 3 models, but the models with a spherical component extend to higher inclinations in the innermost part.

The right panel of Fig. 10 shows a striking difference in the average inclinations as a function of mass for highmass stars: while the isolated disc model shows lower in-

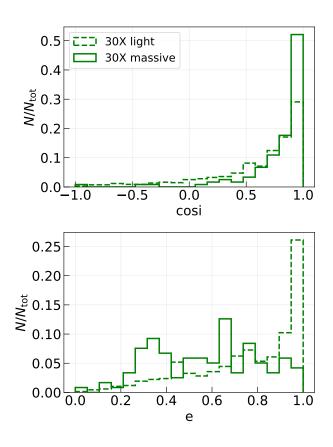


Figure 8. Normalised histograms of the cosines of orbital inclinations and eccentricities for light and massive particles. For the 30X models at the moment of 28000  $t_{sec}$ .

clination angles with increasing mass, there is almost no correlation between stellar mass and orbital inclinations for models with an isotropic spherical component. Similar to Fig. 4, the time instances shown in Fig. 10 have the same average inclination angle for the low-mass stars ( $m \le 10 \,\mathrm{M}_{\odot}$ ) by construction. While the vertical mass segregation effect vanishes, the dependence of the inclination on the semimajor axis is more prominent. The latter effect develops faster and extends to higher inclinations, and some stars even flip to counter-rotating orbits ( $i > 90^{\circ}$ ).

The top panels of Fig. 11 show the normalised distributions of light and massive particles respectively at the time-snapshots of Fig. 10 demonstrating that the relative difference in high mass stars at low orbital inclinations is not more than 10% than that for the light stars for the models with an isotropic spherical component. The bottom panels of Fig. 11 provide a comparison for the distribution of orbital eccentricities for massive and light stars between the three reference models. As in the case of orbital inclinations, mass segregation in eccentricities vanishes when the same stellar disc interacts with a spherical nearly isotropic distribution of stars. The time-evolution of the rms inclinations and eccentricities (Fig. 12) shows that massive and light stars relax at the same rate.

To understand which relaxation process dominates in these systems we examine the correlation curves for the relative changes in energies and angular momenta as for the

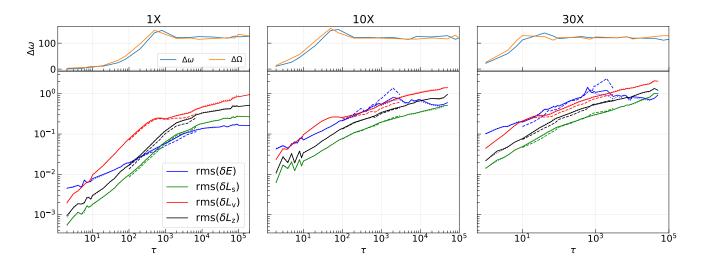


Figure 9. The RMS change in the Keplerian energy (blue) and angular momentum (green, red, black) as a function of time for the *thermal* isolated disc models. The angular momentum vector magnitude (green), angular momentum vector direction (red) and Z-component of the angular momentum vector (black) are shown as defined in Eqs. (A1). Solid, dashed, and dotted lines show all stars, massive stars  $(m \ge 10 M_{\odot})$ , and light stars  $(m \le 10 M_{\odot})$ , respectively. The Y-axis shows the quantities in the legend, the X-axis shows the dimensionless time normalised to the orbital period (Eq. A2). The top panels show the average change in the argument of periapsis ( $\Delta \omega$ ) and the longitude of the ascending node ( $\Delta \Omega$ ); the coherence time of SRR and VRR are related to the apsidal and nodal precession periods.

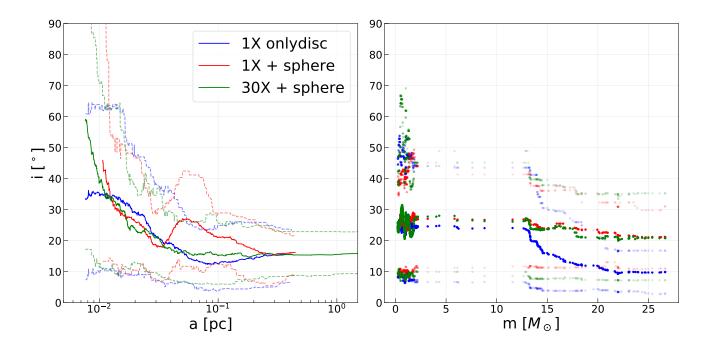


Figure 10. Similar to Fig. 4 but also showing models with and without a spherical component. Left panel: moving average of inclination angles in semi-major axes. Colours show models with different mass factors (see legend). Faded lines (points) of the same colour show 90 and 10% quantiles. The time snapshots shown are chosen such that the average orbital inclination are identical for the low mass stars (this corresponds to 40, 2.6 and 0.033 Myr for 1X only disc model, the 1X disc+sphere and 30X disc+sphere models respectively; see dotted lines in Fig. 12). Blue lines in both panels indicate the isolated disc with the *stardisc* initial conditions and the power-law density slope  $\gamma = 3.3$ . Red and green lines show the same disc embedded in the spherical stellar cusp with the power-law slope of  $\gamma = 1.75$  for 1X and 30X models, respectively (see Sec. 3 for detailed description of the models).

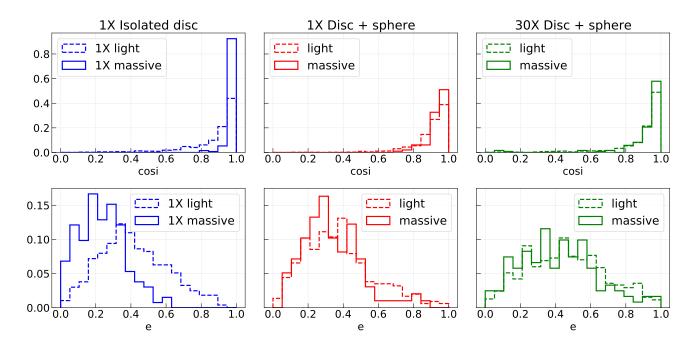


Figure 11. Normalised histograms of orbital inclinations and eccentricities for light  $(m < 10 M_{\odot})$  and massive  $(m \ge 10 M_{\odot})$  particles to compare the isolated disc model with models that feature a spherical stellar cusp for the same models as in Fig. 10.

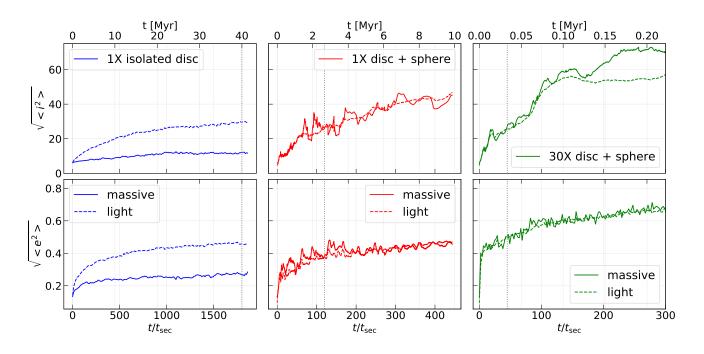


Figure 12. Time-evolution of RMS eccentricities and inclination angles for 1X isolated disc, 1X disc + sphere, and 30X disc + sphere models as a function of secular time for massive (solid lines) and light (dashed lines) particles for the same models as in Fig. 10 and 11.

case of an isolated disc discussed above (see Appendix A for details). Fig. 13 shows that the isolated disc case (the left panel) is initially dominated by two-body relaxation. This is unsurprising as the initial condition for *stardisc* models feature low eccentricities and low inclinations implying faster two-body relaxation initially (see Eq. 7 and Šubr & Haas

2014). As the isolated disc system is highly anisotropic, we see that the internal dynamics leads to anisotropic mass segregation which in this case is driven by two-body relaxation (cf. Fig. 9 for the *thermal* model showing less prominent energy diffusion). The middle panel of Fig. 13 shows the energy and angular momentum correlation curves for the disc em-

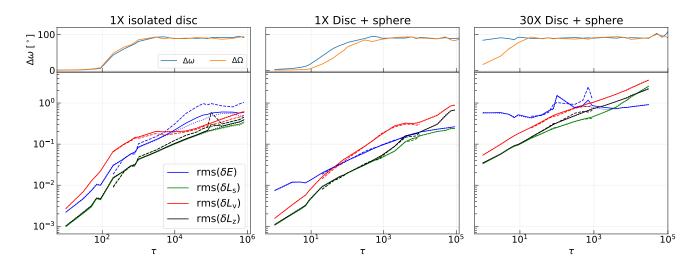


Figure 13. Similar to Fig. 9 but also showing models with and without a spherical component. Left panel shows the *stardisc* isolated disc model with  $\gamma = 3.3$ , the middle and right panels show 1X and 30X models of the same disc embedded in an isotropic stellar component. The values are measured only for the stars that initially belong to the disc.

bedded in a dominant isotropic spherical component. Here we do not observe any differences between the curves of the massive and light stars. Contrary to the case of the isolated disc, the 1X model with a spherical component shows that two-body relaxation dominates only in the initial phase of evolution (first 10<sup>3</sup> periods) after which VRR takes over. After 10<sup>4</sup> periods VRR fully dominates the evolution. We note that only the innermost particles contribute to the curves after  $\tau = 10^4$  showing that the inclination – semi-major axis anticorrelation presented in the left panel of Fig. 10 is mostly driven by VRR.

Applying this to the Milky Way galactic centre, 10<sup>4</sup> orbital periods corresponds to less than 5 Myr for the stars with semi-major axes a < 0.05 pc meaning that the S-stars are subject to an efficient VRR. The upper panels of the Fig. 13 show the average change in the argument of periapsis  $(\omega)$  and longitude of the ascending node  $(\Omega)$ . As also expected from theory (Rauch & Tremaine 1996), the figure shows that the coherent phase of SRR occurs on the apsidal precession time-scale. Further, as we suggested in Sec. 2, for stellar discs embedded in a spherical component, the coherent phase of VRR takes place on the nodal precession time-scales. We refer to Appendix A for a detailed analysis of the VRR efficiency. Contrary to the 1X model, the 30X models are dominated by two-body relaxation which takes place in  $10^3$  orbital periods. Since the 30X models in our simulations are equivalent to dwarf galaxies with central black holes with masses of order  $M_{\rm bh} \simeq 10^5 \, {\rm M}_{\odot}$ , we conclude that these systems are dominated by two-body dynamics. We explore such systems further in Sec. 5.3.

#### 5.2 Comparison to previous models

To understand why stellar discs with an isotropic spherical component do not show a vertical mass segregation, while previous studies with nearly spherical initial conditions did show this effect (Szölgyén & Kocsis 2018; Magnan et al. 2022; Máthé et al. 2022), we examine the dimensionless VRR energy and angular momentum in our models which determine the VRR equilibria as shown in Máthé et al. (2022):

$$E_{\text{tot}} = -\frac{\sum_{ij} \sum_{\ell=2}^{\ell_{\text{max}}} \mathcal{J}_{ij\ell} \mathcal{P}_{\ell} \left( \hat{\boldsymbol{L}}_{i} \cdot \hat{\boldsymbol{L}}_{j} \right)}{\sum_{ij} \sum_{\ell=2}^{\ell_{\text{max}}} \mathcal{J}_{ij\ell}}, \quad L_{\text{tot}} = \frac{\left| \sum_{i=1}^{N} \boldsymbol{L}_{i} \right|}{\sum_{i=1}^{N} |\boldsymbol{L}_{i}|}.$$
(20)

Here  $\hat{L}_i$ ,  $\hat{L}_j$  are units vectors in angular momentum direction for the  $i^{\text{th}}$  and  $j^{\text{th}}$  particles,  $\ell$  is the multipole index,  $\mathcal{J}_{ij\ell}$  are pairwise coupling coefficients that depend on eccentricities and semimajor axes and  $P_{\ell}(x)$  are Legendre polynomials. Here,  $(E_{\text{tot}}, L_{\text{tot}}) = (0,0)$  represents an isotropic distribution, while  $(-1, (1+\kappa)^{-1})$  corresponds to a razor thin disc where a  $\kappa$ fraction of stars orbit in one sense and  $1-\kappa$  in the other. We refer to Máthé et al. (2022) and Kocsis & Tremaine (2015) for details.

In our simulations,  $(E_{tot}, L_{tot})$  are of order  $(-10^{-4}, 10^{-4})$ for the models with a spherical component which are clearly very nearly isotropic. In comparison, the most isotropic case presented in Máthé et al. (2022) had  $(E_{tot}, L_{tot}) = (-0.03, 0.16)$ which is relatively more anisotropic. Moreover, the models with dominating disc (presented in Sec. 5.4) which are highly anisotropic do show vertical mass segregation. Thus, we conclude that the absence of anisotropic mass segregation in our models with an isotropic spherical component does not contradict previous studies of VRR, but it indicates that the final state of vertical mass segregation depends strongly on the deviation from isotropy. Note that two-body relaxation may also drive anisotropic mass segregation on the longer two-body relaxation timescale, but similarly to VRR, only in cases with an initial anisotropy in angular momentum vector space (see Tiongco et al. 2021; Livernois et al. 2022, for related studies in globular clusters). Thus, we conclude that vertical mass segregation is absent in our models with a disc+spherical component due to a very low net initial anisotropy; and our models of isolated discs (Sec. 4) exhibit anisotropic mass segregation as found in Máthé et al. (2022).

#### 5.3 Long-term evolution of embedded nuclear stellar discs

The long-term evolution is shaped by two-body interactions which may lead to the exchange of energy and angular momentum between the particles in the disc and sphere. In this subsection we focus on the 30X models which are dominated by two-body interactions and are numerically relatively inexpensive to study the long-term evolution on the two-body relaxation timescale. As we have shown in previous sections, two-body relaxation is relatively subdominant in the Galactic centre and the 30X models are not appropriate in that case. The 30X models represent one-to-one simulations of nuclear star clusters in dwarf galaxies with SMBHs of mass  $M_{\rm bh}=4\times10^6\,{\rm M_\odot}/30=1.3\times10^5\,{\rm M_\odot}$  (see Nguyen et al. 2019 for examples of galaxies hosting nuclear star clusters with massive black holes below  $10^6 M_{\odot}$ ). In the analysis below, we simulate the system with this SMBH mass and nuclear star clusters extending up to 1 pc. We estimate the two-body relaxation time using the half-mass relaxation time of the spherical component (Eq. 2) using data from our simulations.

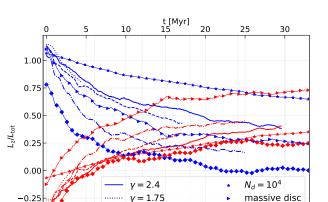
Fig. 14 illustrates the exchange of the z-component of the angular momentum between the disc ( $L_{z,disc}$ , blue curves) and the sphere ( $L_{z,sphere}$ , red curves) showing their time-evolution normalised to the total angular momentum of the entire system ( $L_{tot}$ ) for the 30X models (see Table 1) normalised to the total angular momentum of the entire system. The sphere has a nonzero initial  $L_{z,sphere}$  due to shot-noise-type stochastic deviation from isotropy, i.e. the initial value of  $L_{z,sphere}/L_{z,disc}$  is drawn from a uniform distribution between  $\pm \langle Nm^2 \rangle_{sphere}^{1/2} / \langle Nm \rangle_{disc} = (N_{sphere}^{1/2}/N_{disc})(\langle m^2 \rangle_{sphere}^{1/2} / \langle m \rangle_{disc})$  where m is the stellar mass. The disc tends to give away its angular momentum until it is completely mixed with the spherical component, i.e. when the net  $L_z$  per particle is equal for the two components, i.e.

$$\frac{L_{\rm z,disc}}{L_{\rm tot}} \rightarrow \frac{\langle L_{\rm z,tot} \rangle N_{\rm disc}}{L_{\rm tot}} , \quad \frac{L_{\rm z,sphere}}{L_{\rm tot}} \rightarrow \frac{\langle L_{\rm z,tot} \rangle N_{\rm sphere}}{L_{\rm tot}} .$$
(21)

Although, none of the simulations reached complete mixing, Fig. 14 demonstrates that  $L_{z,disc}$  approaches the equilibrium value of Eq. (21) for all models.

Fig. 15 illustrates the alignment of the respective total angular momentum vectors of the disc and spherical components in our simulations. Alignment occurs if the stellar disc is massive enough,  $N_{\rm disc} \langle m \rangle_{\rm disc} \gg N_{\rm sphere}^{1/2} \langle m^2 \rangle_{\rm sphere}^{1/2}$ , and if so, alignment takes place within the vector resonant relaxation time-scale shown by a vertical dotted line. For lower disc masses,  $L_{\rm disc}$  and  $L_{\rm sphere}$  end up in the same hemisphere (cosine of the mutual inclination angle is positive) even if they were counter-rotating initially as seen in Fig. 14 where both the disc and the sphere attain a net positive angular momentum.

Fig. 16 shows the evolution of the shape of the stellar disc quantified by the largest eigenvalue of the quadrupole moment matrix (defined in Eq. 18) as a function of time. Generally, the angular momentum transfer from the stellar disc to a spherical component results in the thickening of the disc. Eventually, the disc appears to evolve towards a spherical shape.



stardisc-random

0.6

 $t/t_{relax}$ 

thermal

0.4

-0.50

0.0

0.2

v = 3.3

1.0

Figure 14. Z-components of the angular momentum vectors of the disc (blue lines) and the sphere (red lines) normalised to the total angular momentum of the whole system. Bottom X-axis shows time in units of half-mass two-body relaxation time of a spherical component. Line styles correspond to different models of the stellar disc according to the legend. Shows only 30X models which are equivalent to a dwarf galaxy hosting a  $1.3 \times 10^5$  black hole and a nuclear star cluster extended to 1 pc.

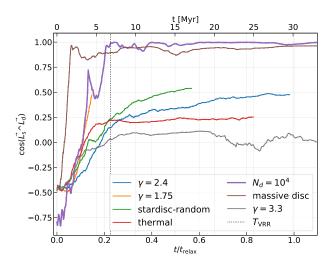


Figure 15. Orientation of the total angular momentum vectors of the stellar disc and sphere, respectively, for the 30X models as in Fig. 16, i.e. the cosine of the angle between the respective total angular momentum vectors as a function of time in units of halfmass two-body relaxation time of the spherical component. Line colours correspond to different disc models as indicated in the legend. The dotted vertical line represents the vector resonant relaxation time due to the spherical stellar cusp (Eq. 6).

#### 5.4 Effect on the sphere

As we have seen in the previous subsection, the stellar disc tends to evolve towards an isotropic distribution while interacting with the isotropic spherical star cluster. At the same time, as the spherical component absorbs the angular momentum of the disc, it preserves its original shape as

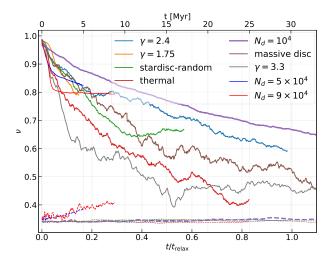
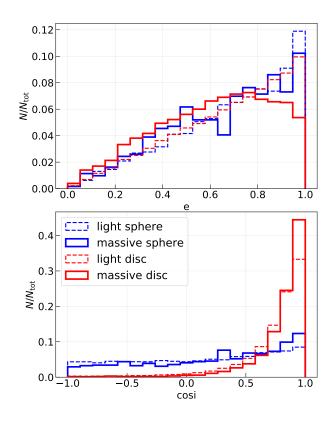


Figure 16. Time-evolution of the thickness of the stellar disc (top solid lines) in units of half-mass two-body relaxation time of a spherical component quantified by the largest eigenvalue of the quadrupole moment matrix (see Eq.18).  $\nu = 1$  describes the razor-thin disc while  $\nu = 1/3$  indicates the spherically symmetric distribution. The bottom dashed lines show the corresponding eigenvalue of the sphere. Line colours are the same as in Fig. 15 with additional blue and red lines corresponding to the disc-dominated models with number of stars in the disc  $N_d = 5 \times 10^4$  and  $N_d = 9 \times 10^4$ .

long as it is much more massive than the disc (dashed lines in Fig. 16). This is the case for relatively low-mass stellar discs (at most 15% of the total stellar mass in our models), but in the case of the disc dominated models ( $N_d = 0.5N_{tot}$ and  $N_d = 0.9N_{tot}$ ) the angular momentum vector distribution flattens significantly for the initially isotropic sphere on the two-body relaxation time-scales. The upper limit for the degree of flattening attained by a spherical component may be determined from the total angular momentum budget of the whole system (see Eq. 21). A similar conclusion was reached in Mastrobuono-Battisti & Perets (2013, 2016) for simulations of globular clusters which also flatten due to angular momentum transfer from a stellar disc, especially in case the disc mass exceeds ~25% of the total mass of the cluster.

Finally, Fig. 17 demonstrates that when the disc is massive enough to cause flattening of a spherical component both the disc and spherical components feature vertical mass segregation. This is in line with expectations from VRR dynamics: the total energy – total angular momentum pairs for the dominating disc models (evaluated using Eq. 20) are  $(E_{\text{tot}}, L_{\text{tot}}) = (-0.24, 0.46)$  and  $(E_{\text{tot}}, L_{\text{tot}}) = (-0.65, 0.87)$  for the models with  $N_d = 0.5 N_{\text{tot}}$  and  $N_d = 0.9 N_{\text{tot}}$  implying a large amount of initial anisotropy. However, note that these 30X models are predominantly driven by two-body relaxation. Furthermore, these models also develop a mass segregation in eccentricity space (top panel in Fig. 17). These models show that two-body relaxation also plays an important role in driving anisotropic mass segregation.



**Figure 17.** Normalised histograms of eccentricities (top panel) and cosines of orbital inclinations (bottom panel) for the dominating disc model with  $N_{\rm d} = 9 \times 10^4$ . Solid lines show the distribution of the massive stars, dashed lines correspond to the light stars. Blue lines show the stars in the sphere and red lines show the stars that were originally in the disc. Shown at  $t/t_{\rm relax} \simeq 0.3$ .

## 6 APPLICATION TO THE GALACTIC CENTRE S-STARS

Recent observations of the S-stars<sup>5</sup> in the Galactic centre revealed that the kinematic structure of the stars with known orbital parameters appears to resemble two orthogonal discs (Ali et al. 2020; Peißker et al. 2020) labelled as "red" and "black" discs. The discs can be identified from the distribution of the position angles of the semimajor axes projected on the sky which in turn is reflected in the distribution of the longitudes of ascending nodes (LaNs) of the orbits. Fig. 18 shows the distribution of LaNs of the black and red discs in the form of two normalised histograms separately for each of the discs as classified by Ali et al. (2020). The peaks around 0, 180 and 360° correspond to one plane of the black disc while two peaks around 100 and 270° show that the red disc is almost orthogonal to the black one.

We compare the observed properties of the S-stars with the orbital parameters in three of our 1X simulations: stardisc  $\gamma = 3.3$ , stardisc  $\gamma = 2.4$  and the thermal model (see Table 1). We examine the simulation snapshots at 5 Myr. The stardisc initial conditions represent the case when

<sup>&</sup>lt;sup>5</sup> Here we define S-stars as all the stars in the Galactic Centre with known full orbital solutions around the SMBH as reported by Ali et al. (2020) and Peißker et al. (2020).

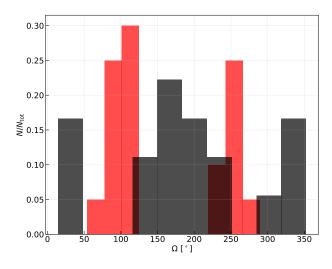


Figure 18. Longitudes of the ascending nodes of the observed Sstars with known orbital elements. The black and red histograms represent the black and red discs according to Ali et al. (2020).

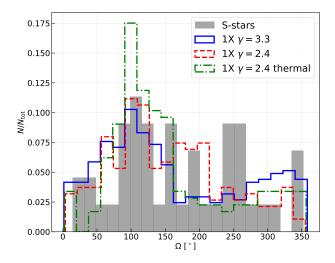


Figure 19. Longitudes of the ascending nodes for the inner stars (a < 0.05 pc) in simulations at 5 Myr (blue, red and green lines) compared to the *S*-stars (shaded). The histogram for the *S*-stars shows both red and black discs. The reference direction for the longitudes of the ascending nodes in the simulations is chosen to match the peak in *S*-stars.

the stars formed from the fragmenting gaseous accretion disc and the stars residing inside 0.05 pc migrated from the outer regions due to gas-driven planetary-type migration (Levin 2007). This leads to nearly circular orbits matching the *stardisc* initial conditions. Alternatively, massive stars could form by accreting matter from AGN discs (Levin 2007; Davies & Lin 2020; Cantiello et al. 2021). Another way to form the disc of stars is by disruption of a molecular cloud resulting in high orbital eccentricities (see e.g. Generozov 2021). This formation scenario is closer to our *thermal* model.

To compare the observed distribution of inclination angles of the S-stars we convert the data provided by Ali et al.

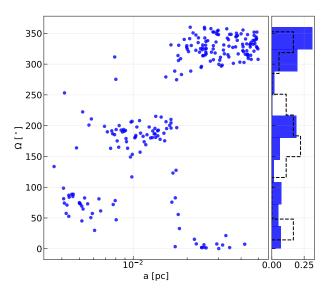


Figure 20. Correlation of the longitudes of the ascending nodes for the inner stars (a < 0.05 pc) with semi-major axes for the model stardisc  $\gamma = 2.4$  at 1.1 Myr. Right panel shows the corresponding normalised histogram where the dashed line represents the distribution of stars from the "black" disc from Ali et al. (2020).

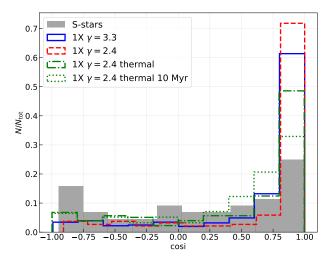


Figure 21. Distribution of cosines of inclination angles of stars with respect to the principal eigenvectors. Shaded histogram corresponds to the *S*-stars and the coloured histograms show stars in the inner region of the stellar disc (a < 0.05 pc) from the simulations at 5 Myr (except for the green dotted line which corresponds to 10 Myr).

(2020) and Peißker et al. (2020) to the coordinates with respect to the principal eigenvector of the system (Eq. 19). This way the inclination angles are independent of the choice of the reference plane of the coordinate system. To define the longitude of ascending nodes in our simulations, we orient the x-y axes such that the peak of the distribution matches that of the S-stars. We select stars from the inner region of the stellar disc (a < 0.05 pc) and compare their properties to the observational data of the S-stars. Due to the observational data of the S-stars.

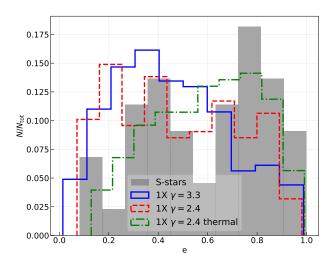


Figure 22. Eccentricity distributions for the inner stars (a < 0.05 pc) in simulations at 5 Myr (blue, red and green lines) compared to the *S*-stars (shaded).

vational limits, only the stars with masses  $m \geq 3M_{\odot}$  can be detected, however we did not use the mass criterion to select the *S*-stars from our simulations. This is because we previously showed that stellar discs embedded in a spherically symmetric and isotropic stellar component have no vertical or eccentric mass segregation. If in reality the distribution of low mass *S*-stars will be different (when they are detected) from high mass *S*-stars, this would point to a larger amount of initial anisotropy of the background (old) stellar population surrounding the *S*-stars than assumed in our models.

We start by comparing LaNs (Fig. 19). The shaded histogram in Fig. 19 shows the observed *S*-stars without dividing them into two discs. As we can see, all three of our models feature a peak around 100° matching with the *S*stars by construction. This anisotropy is caused by the fluctuating torques from the spherical component. However, we cannot clearly detect the second peak corresponding to another disc (black disc) nor the opposite peak corresponding to a counter-rotating component of the disc (red disc) in the same plane ( $\Omega \sim 250^{\circ}$ ).

We note that two distinct peaks in LaNs form in the *stardisc*  $\gamma = 2.4$  model at 1.1 Myr, but this feature is transient and dissolves in less than 0.5 Myr. Fig. 20 shows a scatter plot of LaNs versus semi-major axes for this model indicating that each peak in the distribution correspond to different semi-major axes. Comparison with the data from Ali et al. (2020) yields similar properties with the "black" disc (shown as a dashed line in the histogram in Fig. 20).

Fig. 21 shows the distribution of the cosines of the orbital inclinations with respect to the principal eigenvector in the observations and in our simulations. The observed red disc and black discs correspond to the peaks at  $\cos i = \pm 1$  and at 0, respectively. In contrast, the simulations have a more prominent peak at  $\cos i = 1$  and do not show a peak at  $\cos i \approx -1$  indicating a lack of retrograde stars in the same plane. Furthermore, the simulations do not display a peak at  $\cos i = 0$ . Our models also show significantly higher relative number of stars in the mid-plane of the disc ( $\cos i = 1$ ), indicating less diffusion took place from the initial condition

in the simulations than observed. This suggests that the orbits of the observed S-stars are at a later stage of angular momentum relaxation. The dotted line in Fig. 21 shows the distribution of cosines of orbital inclinations for the *thermal* model at 10 Myr. Because the *thermal* model is the most efficient in terms of VRR (see also Appendix A), this implies that even 10 Myr is not enough to fully randomise the orbital inclinations.

Massive perturbers such as a cusp of stellar black holes or an intermediate-mass black hole (IMBH) may boost both two-body and resonant relaxation (Perets et al. 2007; Kocsis & Tremaine 2011, 2015). Let us estimate the mass of an IMBH required to speed up VRR by a certain factor  $\kappa$ . Following Eq. (6) and applying the definition of the effective mass, gives

$$m_{\rm IMBH} = \sqrt{(\kappa^2 - 1) \sum_{i=1}^{N} m_i^2} = (\kappa^2 - 1)^{1/2} N^{1/2} \langle m^2 \rangle^{1/2}, \qquad (22)$$

where  $m_{\rm i}$  is the mass of  $i^{\rm th}$  star and N is the total number of stars (in our case within 0.05 pc), and  $N\simeq 4500$  and  $\langle m^2\rangle^{1/2}=5.33\,\rm M_\odot$  in our models in this region. For example, to speed up VRR by a factor of  $\kappa=2$  one needs an IMBH of  $m_{\rm IMBH}\simeq 620\,\rm M_\odot$ . In Appendix A we show that VRR for a stellar disc embedded in a spherical component is quenched by a factor  $\beta_{\rm T,s}/\beta_{\rm T,d}$ . For the stardisc  $\gamma=2.4$  model, to speed up VRR so that an IMBH balances the quenching from the disc one needs  $\kappa=\beta_{\rm T,s}^2/\beta_{\rm T,d}^2\simeq 2.23$ , i.e. an IMBH of  $m_{\rm IMBH}\simeq 710\,\rm M_\odot$ . Under certain conditions an IMBH may also produce counter-rotating stars in the same plane and give rise to a second stellar disc (Panamarev, Zou, Kocsis, in preparation).

Finally, Fig. 22 shows the distribution of eccentricities, indicating that the observed sample of S-stars exhibits two distinct peaks near 0.4 and 0.8 (Ali et al. 2020). In contrast, neither of our simulations show two peaks, but interestingly the *stardisc* models match the peak at e = 0.4 while the *thermal* model matches the peak at e = 0.8. However, note that the observed sample of S-stars from Ali et al. (2020) contains only a small sample of ~ 40 stars where the significance of the two peaks are greatly decreased by Poisson fluctuations.

Thus, if the S-stars formed in a disc, the simulations suggest that the distribution of their orbital angular momentum vectors should have retained a stronger peak up to at least 10 Myr since their formation, and to match the observed distribution the root-sum-squared mass in the same region should be  $(\sum_i m^2)^{1/2} = 820 \,\mathrm{M}_{\odot}$  which is possible with an initial stellar disc of  $N_{\rm d} = 10^3$  stellar objects and remnants and an IMBH of mass  $500 - 1000 \,\mathrm{M}_{\odot}$ , or with a massive cusp of stellar black holes.

## 7 SUMMARY AND DISCUSSION

We performed a set of direct N-body simulations of nuclear stellar discs with a massive black hole at the centre. We examined cases with and without a spherical star cluster in the same region. We presented the first one-to-one direct N-body simulations of the inner 0.5 pc of the Milky Way nuclear star cluster featuring a realistic total stellar mass and a top-heavy mass function. Furthermore, we ran simulations which represent the conditions at the centres of ultracompact dwarf galaxies. Our main findings are as follows. • The relaxation processes in isolated stellar discs lead to vertical and eccentric mass segregation meaning that massive stars settle to lower orbital inclinations and more circular orbits than the light stars. This is caused by both resonant and two-body relaxation. On the other hand, the interaction with an isotropic spherical distribution of stars quenches mass segregation in inclinations and eccentricities.

• The interaction of a stellar disc with a spherical component leads to the thickening of the stellar disc. The rate of this process depends strongly on the semimajor axis. The stars in the inner region relax faster in terms of inclination angles leading to a anticorrelation between orbital inclinations and the distance from the SMBH. Our simulations showed that for conditions in the Milky Way, the orbital inclinations change predominantly due to VRR, despite the fact that VRR is quenched by nodal precession due to the torques from within the stellar disc.

• The nuclei of dwarf galaxies hosting stellar discs and massive black holes of order  $10^5\,M_\odot$  are dominated by two-body relaxation. These systems approach full mixing on the two-body relaxation timescale, where an initially thin disc becomes spherical if embedded in a much more massive spherical cusp. The spherical component does not develop a significant flattening if the disc mass is less than 15% of the spherical cluster, but very massive discs (comparable with the mass of the sphere and more massive) cause flattening of the initially spherical distribution and drive anisotropic mass segregation.

• The dynamics of the S-stars at the Galactic centre from their formation up to 5 Myrs is dominated by VRR. This results in an anticorrelation of orbital inclinations with distance from the SMBH meaning that the thickness of the disc increases with decreasing radius which is confirmed in recent observations (von Fellenberg et al. 2022). The stochastic deviations from an isotropic distribution in the spherical component of old stars gives rise to a non-zero net torque which leads to an overdensity of angular momentum vectors in a given direction, hence a peak in distribution at a particular value. However, this does not explain the distribution of longitudes of the ascending nodes presented by Ali et al. (2020) which they interpret as two orthogonal counterrotating discs.

• Our simulations led to less diffusion of angular momentum vector directions from a thin stellar disc in 10 Myr than currently observed for the *S*-stars. This suggests that if the *S*-stars initially formed in a stellar disc, the root-sum-squared mass of stellar objects and remnants in this region should be of order  $820 \,\mathrm{M}_{\odot}$  within 0.05pc to reproduce the observed scatter at present in angular momentum vector directions, suggesting that the *S*-stars co-exist with a cusp of stellar black holes or with an IMBH of mass  $m_{\mathrm{IMBH}} = 500 - 1000 \,\mathrm{M}_{\odot}$  (see Gravity Collaboration et al. 2020 and references therein for limits on an IMBH in the Galactic centre).

Our simulations of the inner part of the Milky Way nuclear star cluster featured a realistic number of stars within 0.5 pc, but one of the assumptions for the spherical stellar component was a nearly exactly isotropic distribution of angular momentum vectors (deviations at the level of  $10^{-4}$ ) which is expected to be responsible for the absence of vertical mass segregation in our models. Thus, one of the next steps

to explore the evolution of stellar nuclear discs is to study the interaction with stellar systems with anisotropy and/or rotation. This is reasonable as observations show that the Milky Way nuclear star cluster has net rotation and flattening (Feldmeier et al. 2014). Moreover, recent observations suggest that 7% of the stars in the inner parsec exhibit faster rotation (Do et al. 2020; Arca Sedda et al. 2020). Theoretical studies of the VRR indicate that initial anisotropy in the distribution of stellar angular momenta strongly affect the final equilibrium distribution of multi-mass stellar systems (Szölgyén & Kocsis 2018; Máthé et al. 2022; Magnan et al. 2022). Furthermore, N-body simulations of rotating globular clusters show that vertical mass segregation may also occur in globular clusters (Szölgyén et al. 2019; Tiongco et al. 2021, 2022).

The explored initial conditions included the results of previous stardisc simulations of active galactic nuclei (Panamarev et al. 2018) leading to relatively old stellar population within the disc, but this is not the case in the Galactic centre (Levin & Beloborodov 2003). One way to form young stars matching the initial conditions explored in this paper is to form the stars from the gaseous accretion disc. This type of formation scenario was studied by Levin (2007) predicting innermost stars on circular orbits. One way to improve our models and to account for young stars would be to perform simulations with stellar evolution assigning two different populations for the disc and the sphere. As the stellar evolutionary mass loss is high for the most massive stars, this may affect the resulting kinematic signatures of massive stars.

We did not take into account the effect of the outer galaxy in the simulations. This is justified because we modelled the innermost part of the galactic nucleus where the potential is highly dominated by the SMBH, while the contribution from the galactic components like bulge, disc or halo becomes important at larger scales, outside the influence radius of the SMBH.

The configuration of the  $\varphi$ -GRAPE code used in this study was designed to avoid formation of binary stars in the explored stellar systems. But it was shown that binary stars may significantly alter the observed orbital elements of the stars in the young stellar disc at the Galactic centre (Naoz et al. 2018). Moreover, one of the formation scenarios of the S-stars is the Hills mechanism which involves tidal disruptions of binaries by the SMBH (Hills 1975; Perets et al. 2007; Fragione & Sari 2018; Generozov 2021). S-stars formed as a result of the Hills mechanism are expected to feature initially high eccentricities contrary to the in-situ formation studied in this paper. Therefore, a next step to improve our models is to incorporate formation and evolution of binaries starting with the stellar disc with a fraction of stars in binary systems. Moreover, this will allow us to study the effect of binaries on the efficiency of resonant relaxation processes in galactic nuclei hosting stellar discs. Simulations including binaries with and without stellar evolution may be done using NBODY6++GPU code (Wang et al. 2015) with the most recent updates of the stellar evolution (Kamlah et al. 2022a,b).

Another way to improve our models is to combine direct N-body modelling with self-consistent field models (Meiron et al. 2014) to account for the dynamical effects of the embedding galaxy on the nuclear stellar disc. An example of this approach is the direct integration of all the disc parti-

cles and the innermost particles in the sphere (e.g. within 0.1 pc) and hybrid integration of the outer stars (r > 0.5 pc). This would speed up the simulations and allow to reach larger masses and number of particles (up to  $10^6$ ) within the inner 0.5 pc in the Milky Way and potentially to model nuclei of more massive galaxies hosting SMBHs, nuclear star clusters and stellar discs.

#### 8 ACKNOWLEDGEMENTS

We thank Peter Berczik for assistance with the  $\varphi$ -GRAPE code and for useful comments and suggestions. This work received funding from the European Research Council (ERC) under the European Union's Horizon 2020 Programme for Research and Innovation ERC-2014-STG under grant agreement No. 638435 (GalNUC). This work was supported by the Science and Technology Facilities Council Grant Number ST/W000903/1. We acknowledge the support of the Science Committee of the Ministry of Education and Science of the Republic of Kazakhstan (Grants No. AP08856184 and AP08856149).

### DATA AVAILABILITY

The data underlying this article will be shared on reasonable request to the corresponding author.

### REFERENCES

- Aarseth S. J., 1985, in Goodman J., Hut P., eds, Vol. 113, Dynamics of Star Clusters. D. Reidel Publishing Co, Dordrecht, pp 251–258
- Aarseth S. J., 2003, Gravitational N-Body Simulations. Cambridge University Press, Cambridge, UK
- Alexander R. D., Begelman M. C., Armitage P. J., 2007, ApJ, 654, 907
- Ali B., et al., 2020, ApJ, 896, 100
- Arca Sedda M., Gualandris A., Do T., Feldmeier-Krause A., Neumayer N., Erkal D., 2020, ApJ, 901, L29
- Bahcall J. N., Wolf R. A., 1976, ApJ, 209, 214
- Bahcall J. N., Wolf R. A., 1977, ApJ, 216, 883
- Bar-Or B., Fouvry J.-B., 2018, The Astrophysical Journal, 860, L23
- Bartko H., et al., 2009, ApJ, 697, 1741
- Bartko H., et al., 2010, ApJ, 708, 834
- Bartos I., Kocsis B., Haiman Z., Márka S., 2017, ApJ, 835, 165
- Binney J., Tremaine S., 2008, Galactic Dynamics: Second Edition. Princeton University Press
- Cantiello M., Jermyn A. S., Lin D. N. C., 2021, ApJ, 910, 94
- Cuadra J., Armitage P. J., Alexander R. D., 2008, MNRAS, 388, L64
- Davies M. B., Lin D. N. C., 2020, MNRAS, 498, 3452
- Do T., David Martinez G., Kerzendorf W., Feldmeier-Krause A., Arca Sedda M., Neumayer N., Gualandris A., 2020, ApJ, 901, L28
- Eckart A., et al., 2017, Foundations of Physics, 47, 553
- Eilon E., Kupi G., Alexander T., 2009, ApJ, 698, 641
- Ernst A., Glaschke P., Fiestas J., Just A., Spurzem R., 2007, MNRAS, 377, 465
- Feldmeier A., et al., 2014, A&A, 570, A2
- Foote H. R., Generozov A., Madigan A.-M., 2020, ApJ, 890, 175
- Fouvry J. B., Pichon C., Chavanis P. H., 2018, A&A, 609, A38

- Fouvry J.-B., Bar-Or B., Chavanis P.-H., 2019a, Phys. Rev. E, 99, 032101
- Fouvry J.-B., Bar-Or B., Chavanis P.-H., 2019b, ApJ, 883, 161
- Fouvry J.-B., Dehnen W., Tremaine S., Bar-Or B., 2022, ApJ, 931, 8
- Fragione G., Sari R., 2018, ApJ, 852, 51
- Generozov A., 2021, MNRAS, 501, 3088
- Genzel R., Eisenhauer F., Gillessen S., 2010, Rev. Mod. Phys., 82, 3121
- Ghez A. M., Morris M., Becklin E. E., Tanner A., Kremenek T., 2000, Nature, 407, 349
- Gillessen S., Eisenhauer F., Trippe S., Alexander T., Genzel R., Martins F., Ott T., 2009, ApJ, 692, 1075
- Gillessen S., et al., 2017, ApJ, 837, 30
- Giral Martínez J., Fouvry J.-B., Pichon C., 2020, MNRAS, 499, 2714
- Gravity Collaboration et al., 2020, A&A, 636, L5
- Gravity Collaboration et al., 2022, A&A, 657, L12
- Gruzinov A., Levin Y., Zhu J., 2020, ApJ, 905, 11
- Gürkan M. A., Hopman C., 2007, MNRAS, 379, 1083
- Habibi M., et al., 2017, ApJ, 847, 120
- Harfst S., Gualandris A., Merritt D., Spurzem R., Portegies Zwart S., Berczik P., 2007, New Astron., 12, 357
- Hills J. G., 1975, Nature, 254, 295
- Hopman C., Alexander T., 2006, ApJ, 645, 1152
- Hurley J. R., Pols O. R., Tout C. a., 2000, MNRAS, 315, 543
- Just A., Yurin D., Makukov M., Berczik P., Omarov C., Spurzem R., Vilkoviskij E. Y., 2012, ApJ, 758, 51
- Kamlah A. W. H., et al., 2022a, MNRAS, 511, 4060
- Kamlah A. W. H., et al., 2022b, MNRAS, 516, 3266
- Khan F. M., Capelo P. R., Mayer L., Berczik P., 2018, ApJ, 868, 97
- Kocsis B., Tremaine S., 2011, MNRAS, 412, 187
- Kocsis B., Tremaine S., 2015, MNRAS, 448, 3265
- Kroupa P., 2001, Mon Not R Astron Soc, 322, 231
- Lauer T. R., et al., 1993, AJ, 106, 1436
- Levin Y., 2007, MNRAS, 374, 515
- Levin Y., Beloborodov A. M., 2003, ApJL, 590, L33
- Li S., Liu F. K., Berczik P., Chen X., Spurzem R., 2012, ApJ, 748, 65
- Livernois A. R., Vesperini E., Varri A. L., Hong J., Tiongco M., 2022, MNRAS, 512, 2584
- Lu J. R., Do T., Ghez A. M., Morris M. R., Yelda S., Matthews K., 2013, ApJ, 764, 155
- Magnan N., Fouvry J.-B., Pichon C., Chavanis P.-H., 2022, MN-RAS, 514, 3452
- Makino J., 1991, ApJ, 369, 200
- Makino J., Aarseth S. J., 1992, PASJ, 44, 141
- Mastrobuono-Battisti A., Perets H. B., 2013, ApJ, 779, 85
- Mastrobuono-Battisti A., Perets H. B., 2016, ApJ, 823, 61
- Mastrobuono-Battisti A., Perets H. B., Gualandris A., Neumayer N., Sippel A. C., 2019, MNRAS, 490, 5820
- Máthé G., Szölgyén Á., Kocsis B., 2022, arXiv e-prints, p. arXiv:2202.07665
- Meiron Y., Kocsis B., 2019, ApJ, 878, 138
- Meiron Y., Li B., Holley-Bockelmann K., Spurzem R., 2014, ApJ, 792, 98
- Mikhaloff D. N., Perets H. B., 2017, MNRAS, 465, 281
- Naoz S., Ghez A. M., Hees A., Do T., Witzel G., Lu J. R., 2018, ApJ, 853, L24
- Nguyen D. D., et al., 2019, ApJ, 872, 104
- Nitadori K., Makino J., 2008, New Astron., 13, 498
- Panamarev T., Shukirgaliyev B., Meiron Y., Berczik P., Just A., Spurzem R., Omarov C., Vilkoviskij E., 2018, MNRAS, 476, 4224
- Panamarev T., Just A., Spurzem R., Berczik P., Wang L., Arca Sedda M., 2019, MNRAS, 484, 3279
- Paumard T., et al., 2006, ApJ, 643, 1011

- Peißker F., Eckart A., Zajaček M., Ali B., Parsa M., 2020, ApJ, 899, 50
- Perets H. B., Hopman C., Alexander T., 2007, ApJ, 656, 709
- Perets H. B., Wu X., Zhao H. S., Famaey B., Gentile G., Alexander T., 2009, ApJ, 697, 2096
- Perets H. B., Mastrobuono-Battisti A., Meiron Y., Gualandris A., 2018, arXiv e-prints, p. arXiv:1802.00012
- Preto M., Amaro-Seoane P., 2010, ApJ, 708, L42
- Rauch K. P., Tremaine S., 1996, New Astron., 1, 149
- Roupas Z., Kocsis B., Tremaine S., 2017, ApJ, 842, 90
- Schödel R., Gallego-Cano E., Dong H., Nogueras-Lara F., Gallego-Calvente A. T., Amaro-Seoane P., Baumgardt H., 2018, A&A, 609, A27
- Seth A. C., Dalcanton J. J., Hodge P. W., Debattista V. P., 2006, AJ, 132, 2539
- Seth A. C., Blum R. D., Bastian N., Caldwell N., Debattista V. P., 2008, ApJ, 687, 997
- Stewart G. R., Ida S., 2000, Icarus, 143, 28
- Szölgyén Á., Kocsis B., 2018, Phys. Rev. Lett., 121, 101101
- Szölgyén Á., Meiron Y., Kocsis B., 2019, ApJ, 887, 123
- Szölgyén Á., Máthé G., Kocsis B., 2021, ApJ, 919, 140
- Takács Á., Kocsis B., 2018, ApJ, 856, 113
- Tiongco M., Collier A., Varri A. L., 2021, MNRAS, 506, 4488
- Tiongco M. A., Vesperini E., Varri A. L., 2022, MNRAS, 512, 1584
- Tremaine S., 1995, AJ, 110, 628

**RELAXATION PROCESSES** 

- Tremaine S., 1998, AJ, 116, 2015
- von Fellenberg S. D., et al., 2022, ApJ, 932, L6
- Wang L., Spurzem R., Aarseth S., Nitadori K., Berczik P., Kouwenhoven M. B. N., Naab T., 2015, MNRAS, 450, 4070
- Yelda S., Ghez A. M., Lu J. R., Do T., Meyer L., Morris M. R., Matthews K., 2014, ApJ, 783, 131
   Zhang S., Bargeille B., Saurager B., 2014, ApJ, 702, 127
- Zhong S., Berczik P., Spurzem R., 2014, ApJ, 792, 137 Šubr L., Haas J., 2014, ApJ, 786, 121

# APPENDIX A: MEASURING THE EFFICIENCY OF

To measure the efficiency of relaxation processes we follow the steps described in Rauch & Tremaine (1996); Eilon et al. (2009); Meiron & Kocsis (2019). We compute the relative change in Keplerian energy, angular momentum vector magnitude, angular momentum vector direction and zcomponent of the angular momentum vector defined as:

$$\delta E = \frac{E - E_0}{E_0}, \ \delta L_s = \frac{|\mathbf{L}| - |\mathbf{L}_0|}{L_c}, \ \delta L_v = \frac{|\mathbf{L} - \mathbf{L}_0|}{L_c}, \ \delta L_z = \frac{|\mathbf{L}_z - \mathbf{L}_{z,0}|}{|\mathbf{L}_{z,0}|}$$
(A1)

with respect to the normalised time defined as:

$$\tau = \frac{t - t_0}{t_{\rm orb}}.\tag{A2}$$

Where  $t_0$  is the initial moment in time which was chosen to correspond to t = 0,  $E_0$ ,  $L_0$  and  $L_{z,0}$  correspond to the time  $t = t_0$ . The defined above quantities are computed for each particle corresponding to a relevant bin in the normalised time  $\tau$ . After that, we compute rms for all particles in each bin. The rms of energies and angular momenta are plotted in Fig. 9 and Fig. 13.

Together with changes in energies and angular momenta, we track changes in the arguments of periapsides and the longitudes of the ascending nodes.

$$\delta \omega = \arccos(\omega - \omega_0), \quad \delta \Omega = \arccos(\Omega - \Omega_0).$$
 (A3)

Table A1. Measured values of  $\beta_T$  and  $\beta_{EKA}$  for simulations with a disk and spherical component

| γ                               | sphere<br>1.75                              | disc<br>stardisc<br>3.3                     | disc<br>stardisc<br>2.4 | disc<br>thermal<br>2.4 |
|---------------------------------|---|---|-------------------------|------------------------|
| $eta_{	ext{T}} eta_{	ext{EKA}}$ | $\begin{array}{c} 1.44 \\ 0.99 \end{array}$ | $\begin{array}{c} 0.44 \\ 0.30 \end{array}$ | $0.96 \\ 0.66$          | $1.33 \\ 0.92$         |

Notes. List of the values for  $\beta_{\rm T}$  for the spherical and disc components in different models for the discs according to the definition from Kocsis & Tremaine (2015) in comparison with the definition used in Eilon et al. (2009). First column shows the value obtained for the stars in a spherical component, the remaining columns indicate the values for the disc stars corresponding to different disc models with different  $\gamma$  radial density profile exponents.

The top panes in Fig. 9 and Fig. 13 show the mean change in these quantities with respect to  $\tau$ .

To measure the rate of relaxation, we assume the following relations (Rauch & Tremaine 1996; Meiron & Kocsis 2019):

$$\operatorname{rms}(\delta E) = \alpha \frac{m_2}{M_{\rm bh}} \sqrt{N} \sqrt{\tau} \,, \tag{A4}$$

$$\operatorname{rms}(\delta L_s) = \eta_s \frac{m_2}{M_{\rm bh}} \sqrt{N} \sqrt{\tau} \,, \tag{A5}$$

$$\operatorname{rms}(\delta L_{\nu}) = \frac{m_2}{M_{\rm bh}} \sqrt{N} (\eta_{\nu} \sqrt{\tau} + \beta_{\nu} \tau) \,. \tag{A6}$$

We focus on the coherent part of VRR, where the efficiency is linear with  $\tau$  and is given by (following Eq. A6):

$$\beta_{\nu} = \frac{d \operatorname{rms}(\delta L_{\nu})}{d\tau} \frac{M_{\rm bh}}{m_2 \sqrt{N}} \tag{A7}$$

The definition of  $\beta_{\nu}$  is somewhat different in different studies (Rauch & Tremaine 1996; Gürkan & Hopman 2007; Eilon et al. 2009; Kocsis & Tremaine 2015), we use the definition of Kocsis & Tremaine (2015) where  $\beta_{\nu}$  is replaced by:

$$\beta_{\rm T} = \frac{\beta_{\rm v} m_2}{\operatorname{rms}(m) \sqrt{3 - \gamma}},\tag{A8}$$

where  $\gamma$  is the power-law density slope of the system, rms(m) = 4.95 M<sub>o</sub> is the root-mean-square of stellar masses and  $m_2 = 12.17 M_o$  is the effective mass.

In Fig. A1, the coherent phase of VRR is clearly seen in the range of  $100 < \tau < 500$ . We perform a linear fit in this range.

To measure the effect of the stellar disc on the efficiency of VRR, we measure  $\beta_{\rm T}$  (Eq. A8) separately for the stars that are initially arranged in the disc ( $\beta_{\rm T,d}$ ) and for the stars that belong initially to the spherical component ( $\beta_{\rm T,s}$ ). However for a crude estimate, in both cases we use the rms mass and  $m_2$  and  $\gamma$  factor of the spherical component in Eq. (A8) even when calculating  $\beta_{\rm T,d}$  since the spherical component is expected to dominate the evolution of disc stars. We perform the measurement of  $\beta_{\rm T,d}$  and  $\beta_{\rm T,s}$  for three 1X models that we used to compare with the *S*-stars in Sec. 6: thermal, stardisc  $\gamma = 2.4$  and stardisc  $\gamma = 3.3$ . As a result, we find that for the stardisc models VRR is more efficient for stars in the spherical component, while for the stars that initially reside in the

## 22 Panamarev & Kocsis

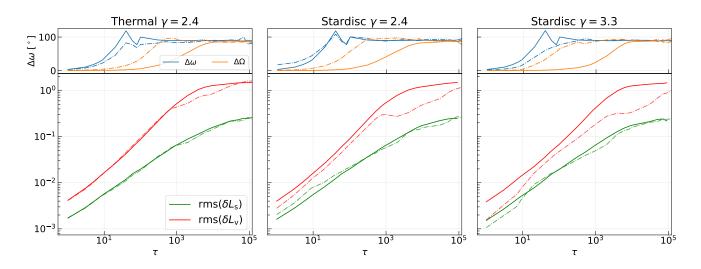


Figure A1. Similar to Fig. 9 and Fig. 13 but only for 1X models with a spherical component. Left panel shows the model with *thermal* disc with  $\gamma = 2.4$ , mid and right panels show the *stardisc* models with  $\gamma = 2.4$  and  $\gamma = 3.3$  respectively. The dash-dotted lines on all panels show the curves corresponding only to the stars that initially belong to the disc; solid lines show values for the stars that initially belong to a spherical component. Upper panels show change in argument of periapsis (which demonstrates the apsidal precession rate) and longitude of the ascending node (demonstrates the nodal precession rate).

disc, VRR is less efficient. As we see from Fig.A1, the coherent accumulation of torques of VRR is limited by the nodal precession time (orange lines in top panels) after which we see a random walk growth. This is clearly seen for the disc stars (dashed-dotted lines in Fig. A1). Note that the least efficient VRR regime is in the stardisc  $\gamma=3.3$  model, where VRR is quenched by a factor of  $\beta_{\rm T,s}/\beta_{\rm T,d}\simeq 3.27.$  This is explained by the fact that due to the steep density profile, the inner part of the whole system is largely dominated by the stellar disc leading to fast nodal precession rate. The model stardisc  $\gamma = 2.4$  slows down the vector angular momentum relaxation rate by a factor of 1.5, but VRR in the thermal model is quenched only by  $\simeq 10\%$ . We summarise the measured values for  $\beta_{T,d}$  and  $\beta_{T,s}$  in Table A1 and compare them with  $\beta^{\text{EKA}}$  – the definition of  $\beta_{\nu}$  used in Eilon et al. (2009) which is related to  $\beta_{\rm T}$  as  $\beta_{\rm T}=0.69\beta^{\rm EKA}$  (Kocsis & Tremaine 2015).