

# On the nature of bright compact radio sources at $z > 4.5$

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## ABSTRACT

High-redshift radio-loud quasars are used to, among other things, test the predictions of cosmological models, set constraints on black hole growth in the early universe and understand galaxy evolution. Prior to this paper, 20 extragalactic radio sources at redshifts above 4.5 have been imaged with very long baseline interferometry (VLBI). Here we report on observations of an additional ten  $z > 4.5$  sources at 1.7 and 5 GHz with the European VLBI Network (EVN), thereby increasing the number of imaged sources by 50 per cent. Combining our newly observed sources with those from the literature, we create a substantial sample of 30  $z > 4.5$  VLBI sources, allowing us to study the nature of these objects. Using spectral indices, variability and brightness temperatures, we conclude that of the 27 sources with sufficient information to classify, the radio emission from one source is from star formation, 13 are flat-spectrum radio quasars and 13 are steep-spectrum sources. We also argue that the steep-spectrum sources are off-axis (unbeamed) radio sources with rest-frame self-absorption peaks at or below GHz frequencies and that these sources can be classified as gigahertz peaked-spectrum (GPS) and megahertz peaked-spectrum (MPS) sources.

**Key words:** radio continuum: galaxies – galaxies: active – galaxies: high-redshift

## 1 INTRODUCTION

High-redshift quasars are among the most intriguing objects because they are thought to be associated with the youngest supermassive ( $10^6$  to  $10^9 M_{\odot}$ ) black holes in the Universe. These accreting black holes play a key role in the evolution of their host galaxies via feedback (e.g. Best et al. 2005; Fabian 2012; Morganti et al. 2013). The observed properties of the highest-redshift black holes set constraints on their accretion process and thus on the black hole growth (e.g. Wyithe & Loeb 2012; Page et al. 2014). They are also indispensable for 21-cm absorption studies as they serve as illuminating background objects (e.g. Pritchard & Loeb 2012). However, their evolution and radio loudness are not well understood. Whether these properties are related to the accretion pro-

cess or to the density of the cosmic microwave background (CMB) photons at these redshifts is still an open question (Fabian et al. 2014).

The compact core–jet structures in high-redshift radio-loud quasars are valuable additions to the samples used for classical cosmological tests like the apparent angular size–redshift (e.g. Gurvits et al. 1999) and the apparent proper motion–redshift relations (e.g. Kellermann et al. 1999). The redshift  $z = 4.5$  corresponds to less than 10 per cent of the present age of the Universe. Here the predictions of cosmological models can be radically different, yet useful test objects are sparse. These tests require high-resolution radio interferometric data on the compact radio structures and their variation with time.

High-redshift radio-loud quasars provide critical input into source counts and quasar luminosity function studies (e.g. Haiman et al. 2004). From geometrical considerations of

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the jet inclination angles with respect to the line of sight, we expect that for each active galactic nucleus (AGN) whose jet is pointed within a small angle of our line of sight (blazars; e.g. Urry 1999; Krawczynski & Treister 2013), there should be hundreds<sup>1</sup> of sources with jets pointing elsewhere (Volonteri et al. 2011). In Volonteri et al. (2011), the authors compare the number of high-redshift radio-loud sources in the Sloan Digital Sky Survey (SDSS) and Very Large Array (VLA) Faint Images of the Radio Sky at Twenty-centimeter (FIRST) survey (White et al. 1997) with the number of blazars between  $z = 1$  and  $z = 6$  and find that they are consistent at  $z < 3$  but disagree at  $z > 3$ . Beyond  $z = 3$ , the number of high-redshift radio-loud sources is significantly lower than what is expected from the number of blazars at these redshifts. The authors propose three possible explanations for the discrepancy: 1) the average bulk Lorentz factor decreases as a function of redshift; 2) there is a bias in SDSS and FIRST against detecting high-redshift radio-loud sources; 3) there is a bias in SDSS against detecting high-redshift radio-loud and radio-quiet sources. The apparent lack of AGN with misaligned jets at very high redshifts led Ghisellini & Sbarrato (2016) to propose a model in which a dusty “bubble” surrounding the central regions obscures the nucleus. The AGN is visible in the optical only if it is observed along the jet which cleared up the obscuring material in that direction.

The reason for the missing misaligned high-redshift sources can be investigated using very long baseline interferometry (VLBI) observations of the known high-redshift sources. By determining the variability properties, spectral indices, Doppler boosting and morphologies of the sources from VLBI observations, the sources can be classified as blazars or misaligned sources. In the case of resolved sources, the VLBI observations can be used as a first epoch to measure the jet proper motion from which the Lorentz factor can be calculated (e.g. Frey et al. 2015).

In this paper, we present 1.7 and 5 GHz VLBI observations of ten  $z > 4.5$  sources conducted with the European VLBI Network (EVN). These observations increase the number of  $z > 4.5$  sources that have been imaged with VLBI from 20 to 30. Combining our new observations with those from the literature, we investigate the nature of the  $z > 4.5$  VLBI sources. In Section 2, we describe how we selected the sources and reduced the EVN data. The source properties derived from the images are presented in Section 3. Section 4 contains a summary of the properties of the  $z > 4.5$  sources that have previously been imaged with VLBI. In Section 5 we discuss the origin of the radio emission, variability properties, spectral indices and Doppler boosting of the sources, which we then use to classify them in Section 6. Finally, a summary and conclusion are presented in Section 7. The following cosmological model parameters are assumed throughout this paper:  $\Omega_m = 0.3$ ,  $\Omega_\lambda = 0.7$ ,  $H_0 = 72 \text{ km s}^{-1} \text{ Mpc}^{-1}$ .

## 2 TARGET SELECTION, OBSERVATIONS, DATA REDUCTION AND VLBI IMAGES

### 2.1 Target selection

The radio-emitting sources with spectroscopic redshifts greater than 4.5 in the SDSS Data Release 10 (DR10) quasar catalogue (Pâris et al. 2014) and the Million quasars catalogue (Flesch 2015)<sup>2</sup> were considered for observation. From this list we selected all of the sources that are unresolved ( $< 5 \text{ arcsec}$ ) in the FIRST survey and have integrated 1.4 GHz flux densities exceeding 5 mJy. In addition to the catalogue samples above, the spectroscopic redshifts of J1013+2811, J1454+1109 and J1628+1154 have recently been measured by Titov et al. (2013). The final list of  $z > 4.5$  sources we observed with the EVN is given in Table 3.

### 2.2 Observing setup

The sources were observed with the EVN at central frequencies of 1.658 and 4.990 GHz during six project segments: EC052A, EC052B, EC052C, EC052D, EC052E and EC052F. In Table 1, the observing frequency, the date of the observations and the radio telescopes that successfully participated in each project segment are shown. The following radio observatories participated in the experiments: Effelsberg (Ef; Germany), Hartebeesthoek (Hh; South Africa), Jodrell Bank Mk2 (Jb; United Kingdom), Onsala (On; Sweden), Toruń (Tr; Poland), Noto (Nt; Italy), Medicina (Mc; Italy), Sheshan (Sh; China), the Westerbork Synthesis Radio Telescope (Wb; the Netherlands) and Yebes (Ys; Spain).

The observations at both frequencies were done using 2 s integrations at a data rate of  $1024 \text{ Mbit s}^{-1}$  in left and right circular polarizations with eight subbands per polarization and 16 MHz of bandwidth per subband. The technique of electronic VLBI<sup>3</sup> was used, where the data are streamed to the central correlator using optical fiber networks in real time. The observations of each target source were interleaved with observations of a phase calibrator. The same phase calibrator (listed in Table 2) was used for each target source at both observing frequencies. The distances between the target sources and their phase calibrators are given in Table 2. Additionally, VLBI images and flux densities at two or more frequencies between 2.3 and 8.3 GHz of all of the phase calibrators are available in the Astrogéo data base<sup>4</sup>. In Table 3, the project segments during which each source were observed at each frequency are given.

Three radio telescopes experienced problems during EC052A resulting in only the six stations shown in Table 1 successfully producing data. The sources that were initially observed at 1.7 GHz during EC052A were therefore re-observed during EC052C, EC052E and EC052F. This resulted in some of the sources being observed two or three times at 1.7 GHz.

<sup>1</sup> Ghisellini & Sbarrato (2016) showed that for every blazar that we observe with a viewing angle  $\theta < 1/\Gamma$  (where  $\Gamma$  is the Lorentz factor), there exists  $2\Gamma^2$  sources with  $\theta > 1/\Gamma$ .

<sup>2</sup> <http://quasars.org/milliquas.htm>

<sup>3</sup> See <http://www.jive.eu/e-vlbi> and [www.evlbi.org/evlbi](http://www.evlbi.org/evlbi)

<sup>4</sup> <http://astrogeo.org/>, maintained by L. Petrov.

**Table 1.** Details of the observations

| Project segment | $\nu$ [GHz] | Observing date | Participating radio telescopes     |
|-----------------|-------------|----------------|------------------------------------|
| EC052A          | 1.7         | 2014 Oct 14    | Ef, Hh, Jb, On, Tr, Sh             |
| EC052B          | 5.0         | 2014 Nov 18    | Ef, Hh, Jb, On, Tr, Nt, Ys, Wb, Sh |
| EC052C          | 1.7         | 2015 Feb 10    | Ef, Hh, Jb, On, Tr, Mc, Wb, Sh     |
| EC052D          | 5.0         | 2015 Mar 24    | Ef, Hh, Jb, On, Tr, Nt, Ys, Sh     |
| EC052E          | 1.7         | 2015 Jun 23    | Ef, Hh, Jb, On, Tr, Mc, Wb, Sh     |
| EC052F          | 1.7         | 2016 Jan 12    | Ef, Hh, Jb, On, Tr, Mc, Wb         |

**Table 2.** Details of the phase calibrators

| Phase calibrator | Source ID  | Separation [°] | Unresolved 1.7 GHz flux density [mJy] | Unresolved 5 GHz flux density [mJy] |
|------------------|------------|----------------|---------------------------------------|-------------------------------------|
| J0010+1724       | J0011+1446 | 2.9            | 200                                   | 100                                 |
| J0216-0105       | J0210-0018 | 1.6            | 50                                    | 60                                  |
| J0950+0615       | J0940+0526 | 2.6            | 60                                    | 60                                  |
| J1023+2856       | J1013+2811 | 2.3            | 40                                    | 50                                  |
| J1321+2216       | J1311+2227 | 2.3            | 60                                    | 170                                 |
| J1350+3034       | J1400+3149 | 2.4            | 150                                   | 170                                 |
| J1453+1036       | J1454+1109 | 0.7            | 100                                   | 50                                  |
| J1544+3240       | J1548+3335 | 1.3            | 50                                    | 70                                  |
| J1622+1426       | J1628+1154 | 2.9            | 50                                    | 50                                  |
| J1726+3213       | J1720+3104 | 1.7            | 70                                    | 150                                 |

**Columns:** Col. 1 – phase calibrator name (J2000); Col. 2 – target source name (J2000); Col. 3 – angular separation between the target source and the phase calibrator; Col. 4 – approximate 1.7 GHz correlated flux density at the longest baselines; Col. 5 – approximate 5 GHz correlated flux density at the longest baselines.

### 2.3 Data reduction and VLBI images

The EVN data were reduced using the NRAO Astronomical Image Processing System (AIPS, Greisen 2003) software package. The visibility amplitudes were first calibrated using antenna gains and system temperatures measured at the radio telescopes. Next the phase calibrators were fringe-fitted before exporting their visibilities from AIPS for imaging in the Caltech DIFMAP package (Shepherd et al. 1994). The imaging was done using several iterations of CLEAN and phase self-calibration before doing a single round of amplitude self-calibration across the entire observing time as the solution interval using the DIFMAP task GSCALE. This gave an antenna gain correction from each phase calibrator for each radio telescope. For each project segment, we calculated the median gain correction factors for each of the radio telescopes, which were applied to the visibility amplitudes of the phase calibrators and target sources in AIPS.

The DIFMAP CLEAN component models of the phase calibrators were then used to improve the phase solutions of the phase calibrators in AIPS during fringe-fitting. The improved solutions of each phase calibrator were then applied to its target source before exporting the visibility data from AIPS for imaging in DIFMAP. The naturally weighted images shown in Fig. 1 were made using several rounds of CLEAN. The image parameters are presented in Table 3. Phase-only self-calibration was only applied to the sources in which the sum of the CLEAN component flux densities exceeded  $\sim 10$  mJy.

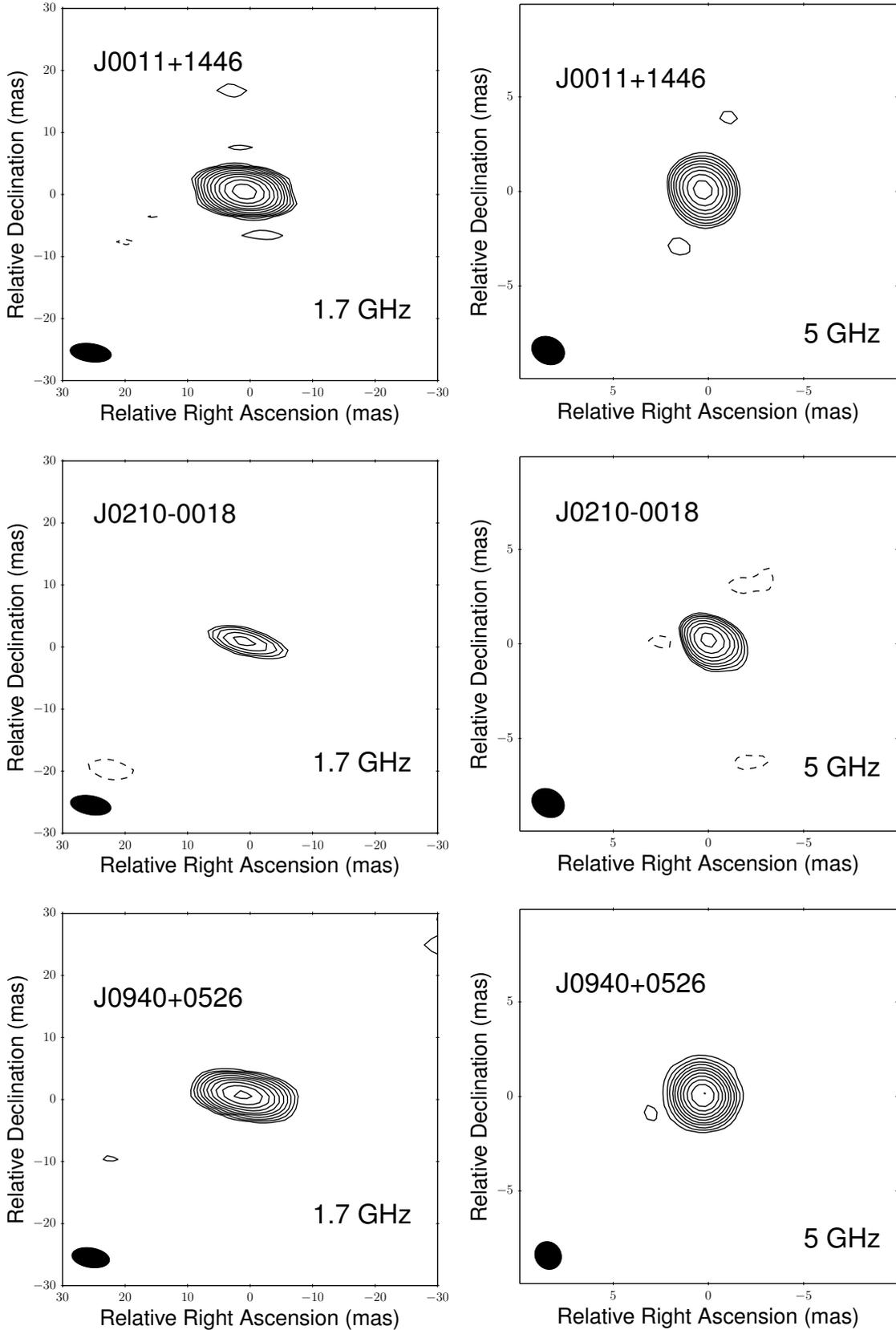
For the sources that were observed more than once at 1.7 GHz during different project segments, the visibility data from all of the project segments were combined into a single

data set. This is discussed in detail in Section 3. In Fig. 1, the images made from the combined visibility data sets are shown for these sources. The source J1548+3335 has two widely-separated components at 1.7 GHz. For clarity, apart from the full view, zoomed-in images of both components are also shown in Fig. 1.

Finally, using the visibility data we estimated the flux densities of the phase calibrators on the longest baselines for each project segment (Table 2). We note that from the Astrogéo data base, the phase calibrators show significant flux density variability. For the phase calibrators that were observed more than once at 1.7 GHz, the value in Table 2 is the minimum flux density.

## 3 RESULTS

The flux densities and sizes of the sources were calculated by fitting circular Gaussian brightness distribution models to the source visibility data in DIFMAP. The parameters derived for each of the sources are presented in Table 4. The uncertainties were calculated using the equations in Fomalont (1999). An additional five per cent uncertainty of the flux densities were also assumed to account for the EVN amplitude calibration uncertainty (e.g. An et al. 2012; Frey et al. 2015). The spectral index,  $\alpha$ , in Col. 9 is defined as  $S \propto \nu^\alpha$ , where  $S$  is the flux density and  $\nu$  is the frequency. The right ascension (RA) and declination (DEC) of the sources were calculated from the 5 GHz images using the AIPS task MAXFIT. For J1548+3335b, which was not detected at 5 GHz, the coordinates were derived from the 1.7 GHz image. The un-



**Figure 1.** Naturally weighted 1.7 and 5 GHz EVN images. The restoring beam (FWHM) is shown in the bottom left corner. The lowest contours are drawn at  $-3$  and  $3$  times the image noise, the positive contours increase in factors of  $\sqrt{2}$  thereafter. For the source J1548+3335, separate images of its two 1.7 GHz components are shown, as well as a zoomed-out version displaying both components in the same image.

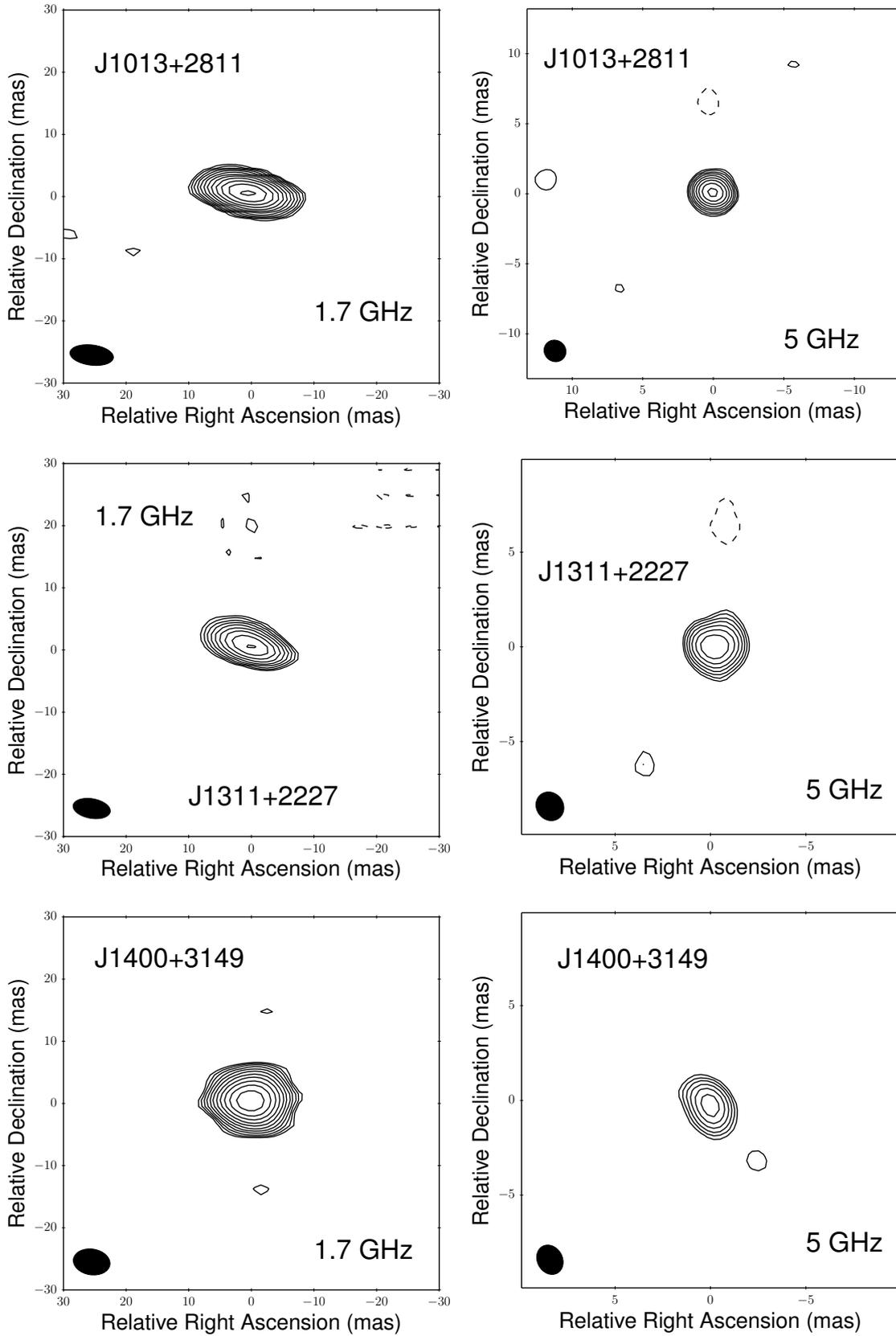


Figure 1 – continued

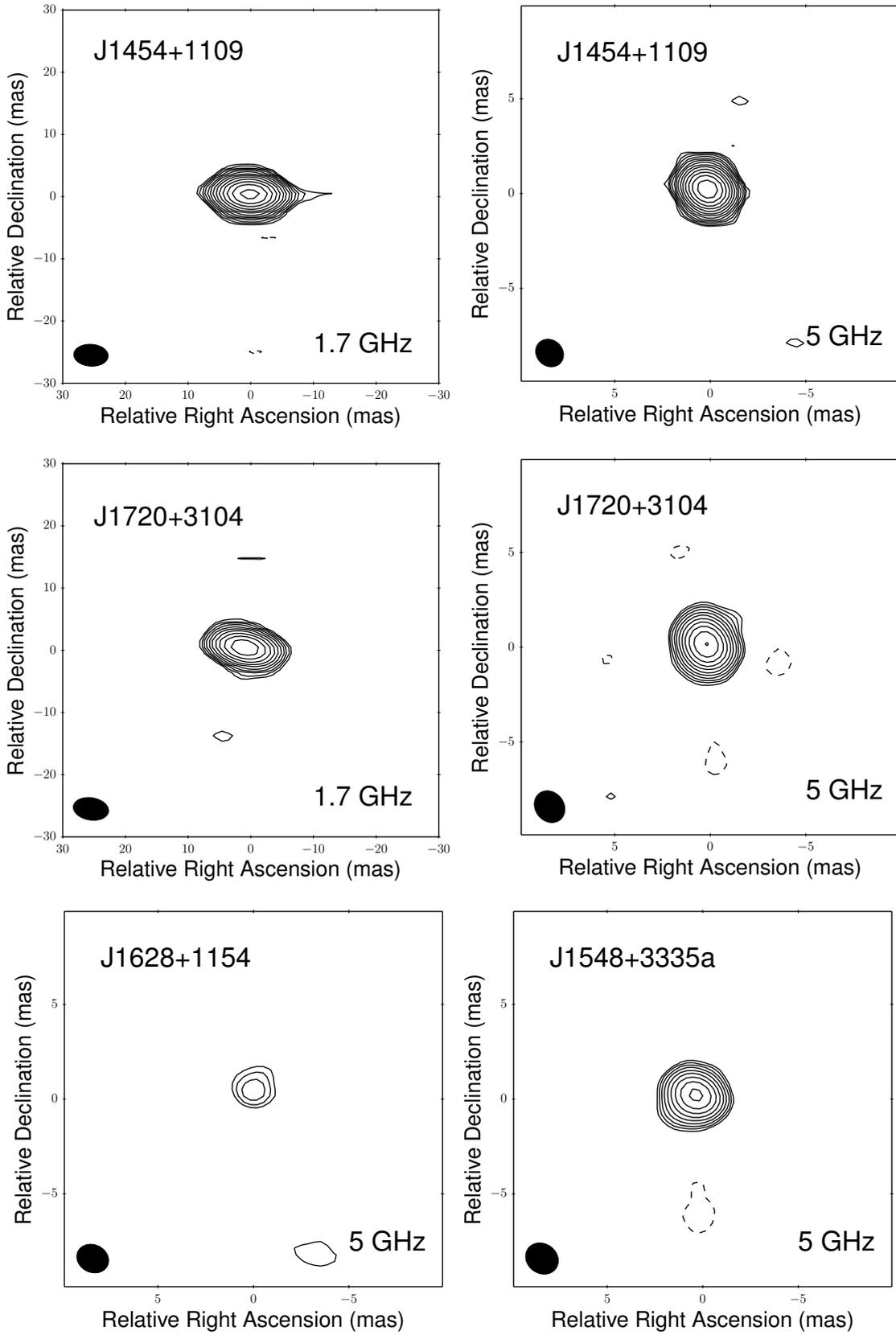


Figure 1 – *continued*

**Table 3.** Image parameters

| ID         | FIRST flux density [mJy] | $\nu$ [GHz] | Project segment | Restoring beam     |                 | $1\sigma$ image noise [mJy beam $^{-1}$ ] |
|------------|--------------------------|-------------|-----------------|--------------------|-----------------|---|
|            |                          |             |                 | [mas $\times$ mas] | PA [ $^\circ$ ] |   |
| J0011+1446 | $24.3 \pm 1.2$           | 1.7         | EC052A, C       | $3.0 \times 6.6$   | 82.3            | 0.11                                      |
|            |                          | 5.0         | EC052B          | $1.4 \times 1.8$   | 64.4            | 0.14                                      |
| J0210–0018 | $8.5 \pm 0.4$            | 1.7         | EC052A, C       | $3.1 \times 6.6$   | 80.2            | 0.10                                      |
|            |                          | 5.0         | EC052B          | $1.5 \times 1.8$   | 59.6            | 0.06                                      |
| J0940+0526 | $58.5 \pm 2.9$           | 1.7         | EC052A, E, F    | $3.2 \times 6.1$   | 80.8            | 0.17                                      |
|            |                          | 5.0         | EC052B          | $1.4 \times 1.5$   | 30.9            | 0.12                                      |
| J1013+2811 | $14.4 \pm 0.7$           | 1.7         | EC052A, C, F    | $3.3 \times 7.0$   | 82.9            | 0.06                                      |
|            |                          | 5.0         | EC052B          | $1.5 \times 1.6$   | 47.9            | 0.10                                      |
| J1311+2227 | $6.5 \pm 0.3$            | 1.7         | EC052A, C       | $3.1 \times 6.0$   | 79.8            | 0.05                                      |
|            |                          | 5.0         | EC052B          | $1.4 \times 1.6$   | 35.7            | 0.03                                      |
| J1400+3149 | $20.5 \pm 1.0$           | 1.7         | EC052E          | $4.1 \times 5.9$   | 80.8            | 0.06                                      |
|            |                          | 5.0         | EC052D          | $1.3 \times 1.6$   | 28.0            | 0.15                                      |
| J1454+1109 | $15.1 \pm 0.8$           | 1.7         | EC052E          | $3.5 \times 5.5$   | 86.4            | 0.08                                      |
|            |                          | 5.0         | EC052D          | $1.4 \times 1.5$   | 45.2            | 0.10                                      |
| J1548+3335 | $37.8 \pm 1.9$           | 1.7         | EC052A, F       | $3.4 \times 9.4$   | 83.9            | $0.06 \& 0.09^a$                          |
|            |                          | 5.0         | EC052B          | $1.6 \times 1.8$   | 52.9            | 0.06                                      |
| J1628+1154 | $41.0 \pm 2.0$           | 1.7         | EC052A, E       | —                  | —               | —   |
|            |                          | 5.0         | EC052B          | $1.5 \times 1.7$   | 63.0            | 0.06                                      |
| J1720+3104 | $10.6 \pm 0.5$           | 1.7         | EC052A, E       | $3.6 \times 5.7$   | 80.5            | 0.10                                      |
|            |                          | 5.0         | EC052B          | $1.5 \times 1.8$   | 36.8            | 0.15                                      |

**Columns:** Col. 1 – source name (J2000); Col. 2 – the source flux density in the FIRST survey; Col. 3 – observing frequency; Col. 4 – project segment(s) during which the source was observed; Col. 5 – Gaussian restoring beam size (FWHM) in Fig. 1; Col. 6 – Gaussian restoring beam major axis position angle (measured from north through east) in Fig. 1; Col. 7 – image noise in Fig. 1.

**Note:** <sup>a</sup> The first noise value is for J1548+3335a and the second is for J1548+3335b.

certainties of RA and DEC were derived by adding the contributions from (1) the statistical uncertainty of the position of the source (which is a function of the angular resolution of the EVN in the given direction and the signal-to-noise ratio), (2) the uncertainty of the position of the phase-reference calibrator, and (3) the uncertainty introduced by the angular separation between the calibrator and the target source.

All of the sources have fitted sizes that are smaller than the Gaussian restoring beam (Table 3) except J1400+3149 at 5 GHz and J1548+3335b at 1.7 GHz. To check whether the remaining sources are resolved, we calculated the minimum resolvable size of each of the sources using Eq. 2 in Kovalev et al. (2005). All of the sources and source components are resolved at both frequencies, except J0210–0018. This object remains unresolved with the array at 1.7 GHz but it is resolved at 5 GHz in our observations.

The redshift-corrected 5 GHz brightness temperatures of the sources were calculated using

$$T_b = 1.22 \times 10^{12} (1+z) \frac{S}{\theta^2 \nu^2} \text{ K} \quad (1)$$

(Condon et al. 1982). Here,  $z$  is the redshift,  $S$  is the integrated flux density in Jy,  $\theta$  is the fitted circular Gaussian full width at half-maximum (FWHM) diameter in mas, and  $\nu$  is the observing frequency in GHz.

### 3.1 Phase self-calibration

In Section 2 we noted that phase-only self-calibration was only applied to the sources in which the sum of the CLEAN component flux densities exceeded  $\sim 10$  mJy. The sources for which phase-only self-calibration was not applied are marked in Table 4. The flux densities of these sources may be underestimated by about 10 per cent (e.g. Martí-Vidal et al. 2010; Frey et al. 2010). Consequently, the brightness temperatures and luminosities of these sources could also be about 10 per cent higher than indicated in Table 4.

### 3.2 1.7 GHz flux densities

In this section we check the consistency of the flux densities of the sources that were observed in more than one different project segments at 1.7 GHz. Among these sources, all the flux densities of **J0011+1446** and **J1548+3335a** are consistent with each other within their formal errors (Table 4). This is not the case for **J0210–0018**, **J0940+0526**, **J1013+2811**, **J1311+2227**, **J1548+3335b**, and **J1720+3104**. Therefore we cannot exclude that these sources are variable. Another possibility is that the sources are not variable but the errors of the fitted flux densities are somewhat underestimated. The flux density errors are dominated by the assumed 5 per cent calibration uncertainty. Since it is not pos-

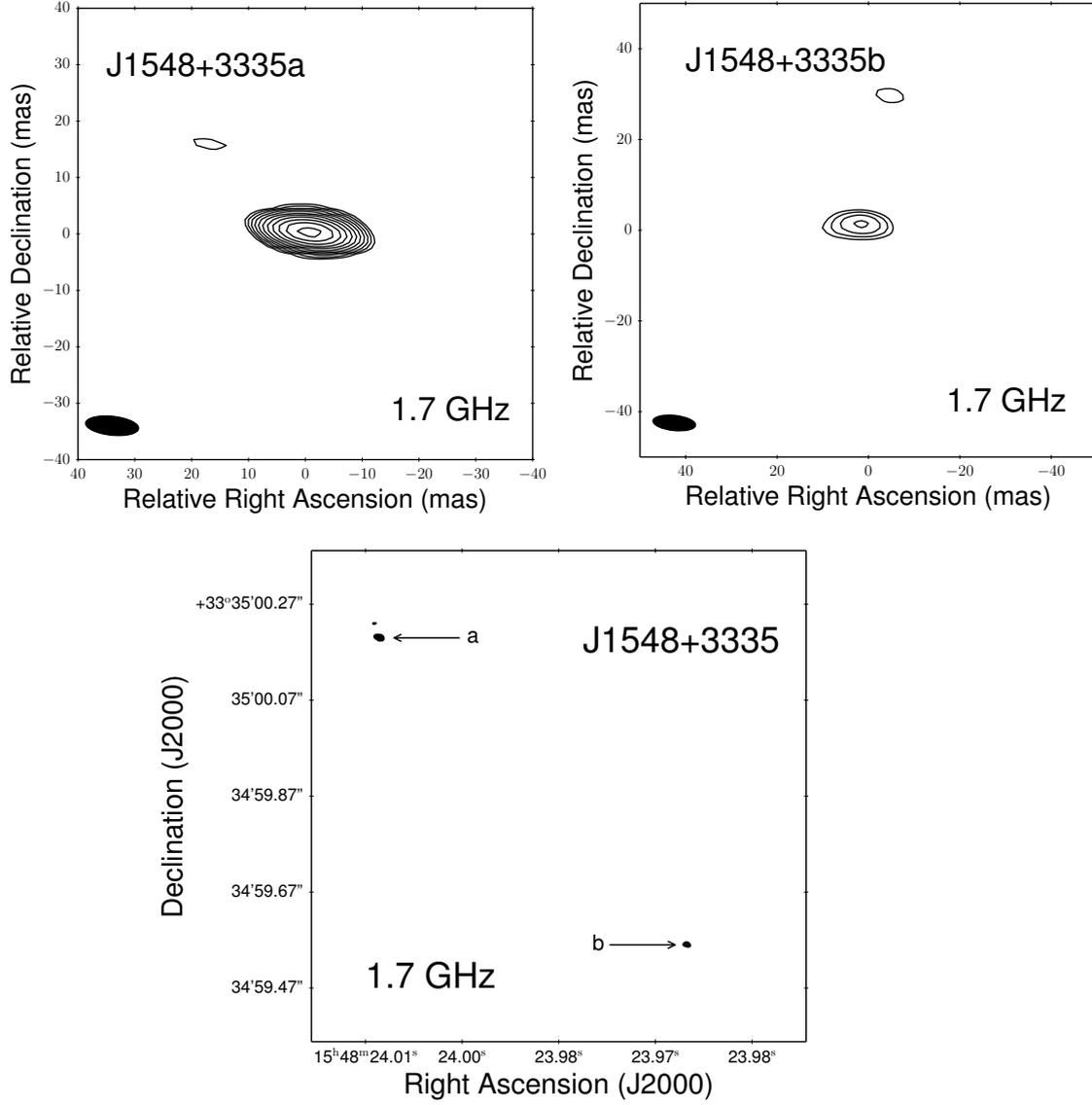


Figure 1 – continued

Table 4. Source parameters

| ID          | $z$  | 1.7 GHz flux density [mJy] |                 |                |                |                 | 5 GHz flux density<br>[mJy] | $\alpha^b$          |
|-------------|------|----------------------------|-----------------|----------------|----------------|-----------------|-----------------------------|---------------------|
|             |      | EC052A                     | EC052C          | EC052E         | EC052F         | combined        |                             |                     |
| (1)         | (2)  | (3)                        | (4)             | (5)            | (6)            | (7)             | (8)                         | (9)                 |
| J0011+1446  | 4.96 | $19.3 \pm 1.0$             | $17.9 \pm 1.1$  | —              | —              | $18.6 \pm 1.0$  | $10.3 \pm 0.6$              | $-0.54 \pm 0.07$    |
| J0210-0018  | 4.65 | $2.0 \pm 0.2^c$            | $1.2 \pm 0.2^c$ | —              | —              | $1.7 \pm 0.2^c$ | $2.8 \pm 0.2^c$             | $0.47 \pm 0.11$     |
| J0940+0526  | 4.50 | $12.8 \pm 0.8$             | —               | $19.0 \pm 2.7$ | $21.5 \pm 1.1$ | $18.3 \pm 1.0$  | $14.0 \pm 0.7$              | $-0.24 \pm 0.07$    |
| J1013+2811  | 4.75 | $9.4 \pm 0.5$              | $11.6 \pm 0.7$  | —              | $9.6 \pm 0.5$  | $10.4 \pm 0.5$  | $6.2 \pm 0.3^c$             | $-0.47 \pm 0.07$    |
| J1311+2227  | 4.61 | $4.1 \pm 0.2^c$            | $3.4 \pm 0.2^c$ | —              | —              | $3.6 \pm 0.2^c$ | $1.7 \pm 0.1^c$             | $-0.65 \pm 0.07$    |
| J1400+3149  | 4.64 | —                          | —               | $10.7 \pm 0.5$ | —              | —               | $5.6 \pm 0.7^c$             | $-0.59 \pm 0.12$    |
| J1454+1109  | 4.93 | —                          | —               | $19.7 \pm 1.0$ | —              | —               | $28.6 \pm 1.4$              | $0.34 \pm 0.06$     |
| J1548+3335a | 4.68 | $7.1 \pm 0.4$              | —               | —              | $7.4 \pm 0.4$  | $7.7 \pm 0.4$   | $3.7 \pm 0.2^c$             | $-0.66 \pm 0.07$    |
| J1548+3335b | 4.68 | $0.6 \pm 0.1$              | —               | —              | $2.2 \pm 1.0$  | $2.3 \pm 1.6$   | —                           | —                   |
| J1628+1154  | 4.47 | —                          | —               | —              | —              | $< 0.6^{a,c}$   | $0.7 \pm 0.1^c$             | $> 0.15 \pm 0.26^a$ |
| J1720+3104  | 4.62 | $14.3 \pm 0.8$             | —               | $10.1 \pm 0.5$ | —              | $10.9 \pm 0.6$  | $16.3 \pm 0.8$              | $0.36 \pm 0.07$     |

Table 4 – continued

| ID<br>(1)   | RA<br>[J2000]<br>(10) | DEC<br>[J2000]<br>(11) | Size [mas]        |                 | $T_b$ [ $10^9$ K]       |                   | $L$<br>[ $10^{26}$ W Hz $^{-1}$ ]<br>(16) |
|-------------|-----------------------|------------------------|-------------------|-----------------|-------------------------|-------------------|---|
|             |                       |                        | 1.7 GHz<br>(12)   | 5 GHz<br>(13)   | 1.7 GHz<br>(14)         | 5 GHz<br>(15)     |   |
| J0011+1446  | 00:11:15.23392 (0.07) | 14:46:01.8116 (1.0)    | $2.73 \pm 0.02$   | $0.82 \pm 0.01$ | $6.64 \pm 0.35$         | $4.53 \pm 0.27$   | $11.54 \pm 1.53$                          |
| J0210–0018  | 02:10:43.16430 (0.07) | –00:18:18.4449 (1.6)   | $< 1.09^e$        | $0.46 \pm 0.01$ | $> 3.54 \pm 0.36^{c,d}$ | $3.75 \pm 0.26^c$ | $0.49 \pm 0.09$                           |
| J0940+0526  | 09:40:04.80070 (0.08) | 05:26:30.9478 (1.9)    | $1.91 \pm 0.02$   | $0.63 \pm 0.01$ | $12.29 \pm 0.67$        | $9.68 \pm 0.52$   | $7.83 \pm 0.98$                           |
| J1013+2811  | 10:13:35.44036 (0.07) | 28:11:19.2424 (1.0)    | $1.31 \pm 0.01$   | $0.59 \pm 0.01$ | $15.52 \pm 0.80$        | $5.02 \pm 0.31^c$ | $5.66 \pm 0.75$                           |
| J1311+2227  | 13:11:21.32190 (0.07) | 22:27:38.6313 (1.0)    | $2.38 \pm 0.04$   | $1.08 \pm 0.03$ | $1.57 \pm 0.10^c$       | $0.41 \pm 0.03^c$ | $2.06 \pm 0.29$                           |
| J1400+3149  | 14:00:25.41675 (0.07) | 31:49:10.6764 (1.0)    | $3.01 \pm 0.02$   | $2.69 \pm 0.28$ | $2.97 \pm 0.16$         | $0.21 \pm 0.04^c$ | $6.04 \pm 1.40$                           |
| J1454+1109  | 14:54:59.30513 (0.08) | 11:09:27.8855 (1.4)    | $0.94 \pm 0.01$   | $0.46 \pm 0.01$ | $59.30 \pm 3.00$        | $38.52 \pm 1.95$  | $6.66 \pm 0.84$                           |
| J1548+3335a | 15:48:24.01400 (0.08) | 33:35:00.0862 (1.4)    | $1.76 \pm 0.02$   | $0.77 \pm 0.01$ | $6.28 \pm 0.33$         | $1.76 \pm 0.11^c$ | $4.60 \pm 0.60$                           |
| J1548+3335b | 15:48:23.95861 (0.14) | 33:34:59.6640 (2.1)    | $20.58 \pm 14.03$ | —               | —                       | —                 | —   |
| J1628+1154  | 16:28:30.46537 (0.07) | 11:54:03.4658 (1.1)    | —                 | $1.40 \pm 0.24$ | —                       | $0.09 \pm 0.03^c$ | —   |
| J1720+3104  | 17:20:26.68897 (0.07) | 31:04:31.6451 (1.2)    | $1.18 \pm 0.01$   | $0.51 \pm 0.01$ | $19.57 \pm 1.05$        | $17.47 \pm 0.94$  | $3.35 \pm 0.42$                           |

**Columns:** Col. 1 – source name (J2000); Col. 2 – redshift; Cols. 3 to 7 – fitted 1.7 GHz flux densities and uncertainties; Col. 8 – fitted 5 GHz flux density and uncertainty; Col. 9 – 1.7 to 5 GHz spectral index; Col. 10 – right ascension and uncertainty in ms (in brackets); Col. 11 – declination and uncertainty in mas (in brackets); Cols. 12 and 13 – fitted 1.7 and 5 GHz circular Gaussian diameter (FWHM); Col. 14 and 15 – 1.7 and 5 GHz brightness temperature; Col. 16 – monochromatic rest-frame 5 GHz luminosity.

**Notes:** <sup>a</sup> J1628+1154 was not detected at 1.7 GHz, the quoted limit corresponds to the  $6\sigma$  detection threshold. <sup>b</sup> For the sources that were observed more than once at 1.7 GHz, the value was calculated using the combined 1.7 GHz flux density. <sup>c</sup> Phase self-calibration was not performed. See Section 3.1 for a discussion. <sup>d</sup> The value is a lower limit since the source is unresolved. <sup>e</sup> Since the source is unresolved, the value is the minimum resolvable size calculated using Eq. 2 in Kovalev et al. (2005).

sible to do absolute flux density calibration during VLBI experiments using standard calibrator sources, it may be that the systematic uncertainty in the flux densities is occasionally larger than five per cent. Increasing the assumed systematic uncertainty to 10 per cent, all of the 1.7 GHz flux densities of J1013+2811 and J1311+2227 also become consistent with each other within their errors. In addition, the quoted errors are  $1\sigma$  uncertainties. This means that there is a 32 per cent chance that the flux density lies outside of the indicated error bar. Taking all of the above into account, we consider it unlikely that J0210–0018 is variable since the flux densities between the observations differ by at most 0.8 mJy. For J1548+3335b, the EC052F and the combined flux densities are consistent within their uncertainties and inconsistent with the EC052A flux density. Considering how faint and extended the component is, it is unlikely that it is variable. The flux density of J0940+0526 measured in the project segment EC052A is lower than the values from EC052E, EC052F and the combined data set. For J1720+3104, the EC052A flux density is higher than the EC052F and the combined values. It is therefore possible that J0940+0526 and J1720+3104 are indeed variable.

Since the combined data sets that include data from all project segments have better  $(u, v)$  coverage and sensitivity than the individual data sets, these were used for imaging (Fig. 1), and the flux densities from the combined data sets were used to calculate the relevant parameters in Table 4. Unless specified otherwise, the discussions in this paper will be based on the results from the combined 1.7 GHz datasets.

### 3.3 Comments on peculiar sources

**J1548+3335.** The 1.7 GHz VLBI image of the source shows two components separated by  $812 \pm 3$  mas, corresponding to a projected linear separation of  $5267 \pm 17$  pc (Fig. 1). This source structure is reminiscent of that of the medium-size symmetric objects (MSOs) (e.g. Fanti et al. 1995). The

fainter component, J1548+3335b, is not detected in the naturally weighted 5 GHz image with a local  $6\sigma$  noise of  $0.18 \text{ mJy beam}^{-1}$ . Considering how faint J1548+3335b is and that it has a size of  $20.58 \pm 14.03$  mas, we conclude that it was resolved out by the higher resolution 5 GHz observations. It is also likely that its spectrum is steep therefore its flux density is lower at 5 GHz than at 1.7 GHz. J1548+3335a is an AGN (see Section 5.1). Because of the large uncertainty on the size of J1548+3335b, the emission from this component could either be from an AGN or star formation (Section 5.1). The SDSS source is  $\sim 60$  mas away from J1548+3335a, indicating that this radio component positionally coincides with the optical AGN, since SDSS sources have a positional uncertainty of  $\sim 60$  mas (e.g. Orosz & Frey 2013).

There are in principle four possibilities for what J1548+3335b could be: (1) it is an unrelated foreground or background AGN or star-forming source; (2) J1548+3335b is a lobe or hotspot in the kpc-scale extended radio structure of J1548+3335a; (3) J1548+3335 is a kpc-scale separation dual AGN system; (4) J1548+3335a and J1548+3335b are gravitationally lensed images of the same source. If J1548+3335a and J1548+3335b are produced by gravitational lensing they should have the same spectral index, in which case J1548+3335b is predicted to have a 5 GHz flux density of  $1.1 \pm 0.8$  mJy. Consequently, if J1548+3335b is compact, it should have been detected in our experiment at 5 GHz. However, because of the large uncertainty on its size, it is still possible that it was resolved out by the higher resolution 5 GHz observations. Therefore we cannot rule out the possibility of gravitational lensing, although the positional coincidence of the corresponding SDSS quasar with J1548+3335a is at odds with the scenario where the optical object should be the blend of the two putative lensed images. A straightforward way to test if J1548+3335a and J1548+3335b are related would be to do lower resolution, higher sensitivity radio interferometric observations of the system. Such observations could detect the emission from a jet or a flat spectrum

core between the components. The former confirming that J1548+3335b is a lobe or hotspot of J1548+3335a and the latter that J1548+3335 is a MSO, ruling out the other possibilities. If no emission is detected between the components, this could also allow a spectral index to be calculated for J1548+3335b, thereby refuting or strengthening the possibility that J1548+3335a and J1548+3335b are gravitationally lensed images of the same source.

Calculating the spectral index of J1548+3335 using the sum of the flux densities of the 1.7 GHz components (rather than calculating the spectral indices separately for each component, as was done in Table 4) gives  $\alpha_{\text{source}} = -1.07 \pm 0.07$ . By extrapolating the power-law spectrum using  $\alpha_{\text{source}}$  we calculated a predicted 1.4 GHz flux density ( $S_{\text{pred}}$ ) from our high-resolution VLBI data. From that, we calculated a 1.4 GHz flux density ratio with FIRST,  $S_{\text{pred}}/S_{\text{FIRST}} = 0.38 \pm 0.06$ . Assuming that J1548+3335 is not variable, this indicates that most of its radio emission is resolved out by the EVN and strengthens the possibility that some of the missing flux density is located in a jet between the two components or in other extended structures such as radio lobes.

As a result of the large uncertainty of the size of J1548+3335b and the brightness temperature being inversely proportional to the size of the source squared, the formal uncertainty of the J1548+3335b brightness temperature becomes twice as large as the value itself. Hence, no value is shown in Table 4.

**J1628+1154.** This source is not detected in the naturally weighted 1.7 GHz image with  $0.6 \text{ mJy beam}^{-1}$  ( $6\sigma$ ) noise. J1628+1154 is detected in the higher resolution 5 GHz image with a flux density slightly above the detection threshold of the 1.7 GHz image. This suggests a positive spectral index or perhaps flux density variability over the time scale of several months.

#### 4 VLBI IMAGES OF $Z > 4.5$ SOURCES FROM THE LITERATURE

To the best of our knowledge, twenty  $z > 4.5$  sources have been imaged with VLBI prior to this paper. These were collected from the literature and the Optical Characteristics of Astrometric Radio Sources (OCARS) catalogue<sup>5</sup> (Malkin & Titov 2008; Titov & Malkin 2009). Table 5 contains a summary of these sources. If a source is composed of two or more components, the integrated flux density in Col. 4 is the sum of the flux densities of the components. The spectral indices in Col. 5 were calculated using the flux densities in Col. 4, and are therefore the spectral indices of the sources as a whole rather than individual component spectral indices (as is the case in Table 4). Column 6 contains a visual classification of each of the sources. A source is marked with S if its VLBI image shows a single component that is symmetric with no appreciable extension. Extended (E) sources have single components that are asymmetric with respect to one of their axes, and are therefore resolved. Multi-component (MC) sources have two or more distinct components. The brightness temperatures (Col. 7) and monochromatic rest-frame luminosities (Col. 8) are either from the VLBI refer-

ence given in Col. 9 or are calculated in the same way as described in Section 3. In case of multi-component sources, both the brightness temperatures and luminosities are of the main (brightest) source component. For these sources, the luminosity is calculated using the spectral index of the main source component, where the spectral index is derived between the same frequencies as the source spectral index reported in Col. 5. Brightness temperatures of unresolved sources and source components are indicated as lower limits.

#### 4.1 Comments on peculiar literature sources

**J0311+0507.** The VLBI image of the source (shown in Parijskij et al. 2014) has a complex structure with eight components. J0311+0507 has an angular size of 2.8 arcsec which translates to a linear size of 18.7 kpc.

**J0324–2918, J1606+3124 and J2102+6015.** The sources are bright VLBI calibrators (Beasley et al. 2002; Petrov et al. 2006, 2008). Since we could not find published brightness temperature or luminosity values, we downloaded the calibrated Very Long Baseline Array (VLBA) visibility data from the Astrogeo data base. The data were imaged and model-fitted in DIFMAP, and the values in Table 5 were calculated in the same way as described in Sections 2 and 3.

**J1205–0742.** Based on its brightness temperature, the spectral index between 1.4 and 350 GHz, linear size and morphology, Momjian et al. (2005) concluded that J1205–0742 is a nuclear starburst without a radio-loud AGN.

**J1430+4204.** Veres et al. (2010) reported on VLBA observations of J1430+4204 made at two different epochs at 15 GHz and found the source to be variable (Table 5), which is consistent with the results of the 15 GHz total flux density monitoring (Fabian et al. 1999).

## 5 DISCUSSION

In this section, we discuss the origin of the radio emission, variability properties, spectral indices and Doppler boosting of the  $z > 4.5$  VLBI sources. The sample consists of the objects for which VLBI images are reported in this paper for the first time, and those found in the literature. A summary of the properties of each source is given in Table 7.

### 5.1 The origin of the radio emission

Thermal emission from star formation typically has  $T_b < 10^5$  K (Sramek & Weedman 1986; Condon et al. 1991; Kewley et al. 2000) while  $T_b \geq 10^6$  K indicates non-thermal emission from AGN (e.g. Kewley et al. 2000; Middelberg et al. 2011). Since all of the sources have  $T_b > 10^6$  K, the expectation is that they are all AGN except **J1205–0742** in which the radio emission is from a nuclear starburst (Section 4.1). Non-thermal emission could, however, also originate from a supernova remnant or a nuclear supernova remnant complex (e.g. Alexandroff et al. 2012). Hence, brightness temperature on its own is not sufficient to prove that the emission is from an AGN.

Using optical and near-infrared spectral energy distributions of 942 1.4 GHz radio sources, McAlpine et al. (2013)

<sup>5</sup> [http://www.gao.spb.ru/english/as/ac\\_vlbi/ocars.txt](http://www.gao.spb.ru/english/as/ac_vlbi/ocars.txt)

**Table 5.** Sources at  $z > 4.5$  that have previously been imaged with VLBI.

| ID         | $z$  | $\nu$<br>[GHz] | Flux density <sup>f</sup><br>[mJy] | $\alpha_{\text{source}}^b$   | Classification <sup>d</sup> | $T_b$<br>[K]                       | $L$<br>[ $10^{26} \text{ W Hz}^{-1}$ ] | VLBI<br>ref.<br>(9) |
|------------|------|----------------|------------------------------------|------------------------------|-----------------------------|------------------------------------|--|---------------------|
| (1)        | (2)  | (3)            | (4)                                | (5)                          | (6)                         | (7)                                | (8)                                    | (9)                 |
| J0131–0321 | 5.18 | 1.7            | $64.4 \pm 0.3$                     | —                            | S                           | $> 2.8 \times 10^{11} e$           | $> 30.00 e$                            | 11                  |
| J0311+0507 | 4.51 | 1.7            | 417.7                              | $-1.48^{(1.7,5)}$            | MC                          | $> 2.0 \times 10^7 e,g$            | $> 11.88 e,g$                          | 19                  |
|            |      | 5              | 82.0                               |                              | MC                          | —                                  | —                                      | 19                  |
| J0324–2918 | 4.63 | 2.3            | $214.0 \pm 10.9$                   | $-0.48 \pm 0.06^{(2.3,8.7)}$ | S                           | $(1.13 \pm 0.06) \times 10^{11}$   | $188.70 \pm 21.68$                     | 22                  |
|            |      | 8.6            | $113.8 \pm 6.9$                    |                              | S                           | $(6.8 \pm 0.5) \times 10^{10}$     | $100.34 \pm 11.98$                     | 22                  |
| J0813+3508 | 4.92 | 1.6            | $17.1 \pm 0.9$                     | $-0.77 \pm 0.07^{(1.6,5)}$   | MC                          | —                                  | $15.04 \pm 1.71$                       | 10                  |
|            |      | 5              | $7.3 \pm 0.4$                      |                              | E                           | $(1.5 \pm 0.1) \times 10^9$        | $8.40 \pm 0.40$                        | 10                  |
| J0836+0054 | 5.77 | 1.6            | 1.1                                | $-1.03^{(1.6,5)}$            | S                           | $> 3.2 \times 10^7 e$              | $> 4.25 e$                             | 6                   |
|            |      | 5              | 0.34                               |                              | S                           | $> 3.4 \times 10^6 e$              | $> 1.40 e$                             | 7                   |
| J0906+6930 | 5.47 | 15             | $121.3 \pm 0.5$                    | $-0.94 \pm 0.05^{(15,43)}$   | MC                          | $> 5.3 \times 10^9 e$              | $> 351.77 \pm 28.79 e$                 | 5                   |
|            |      | 43             | $46.1 \pm 2.2$                     |                              | MC                          | $> 1.1 \times 10^9 e$              | $> 128.47 \pm 12.01 e$                 | 5                   |
| J0913+5919 | 5.11 | 1.4            | $19.4 \pm 0.1$                     | —                            | S                           | $> 4.2 \times 10^{10} e$           | —                                      | 1                   |
| J1026+2542 | 5.27 | 1.7            | $180.4 \pm 5.0$                    | $-0.75 \pm 0.04^{(1.7,5)}$   | E                           | $> (2.3 \pm 0.1) \times 10^{12} e$ | $> 56.01 \pm 7.26 e$                   | 18                  |
|            |      | 4.9            | $69.6 \pm 2.5$                     |                              | MC                          | $(1.7 \pm 0.1) \times 10^{10}$     | —                                      | 12 & 13             |
|            |      | 5              | $79.2 \pm 2.9$                     |                              | MC                          | $> (5.7 \pm 0.3) \times 10^{11} e$ | $> 28.65 \pm 3.70 e$                   | 18                  |
| J1146+4037 | 5.01 | 1.6            | $15.5 \pm 0.8$                     | $-0.53 \pm 0.06^{(1.6,5)}$   | S                           | —                                  | $18.28 \pm 2.29$                       | 10                  |
|            |      | 5              | $8.6 \pm 0.4$                      |                              | E                           | $(4.5 \pm 0.3) \times 10^9$        | $10.70 \pm 0.50$                       | 10                  |
| J1205–0742 | 4.69 | 1.4            | $0.57 \pm 0.05$                    | —                            | MC                          | $(2.2 \pm 0.3) \times 10^4$        | —                                      | 2                   |
| J1235–0003 | 4.69 | 1.4            | $17.2 \pm 0.1$                     | —                            | S                           | $> 5.4 \times 10^9 e$              | —                                      | 1                   |
| J1242+5422 | 4.73 | 1.6            | $17.7 \pm 0.9$                     | $-0.55 \pm 0.07^{(1.6,5)}$   | E                           | —                                  | $17.59 \pm 2.28$                       | 10                  |
|            |      | 5              | $9.7 \pm 0.5$                      |                              | S                           | $(5.9 \pm 0.5) \times 10^9$        | $9.00 \pm 0.50$                        | 10                  |
| J1427+3312 | 6.12 | 1.4            | $1.8 \pm 0.1$                      | $-1.06^{(1.6,5)}$            | MC                          | $3.9 \times 10^8$                  | —                                      | 8                   |
|            |      | 1.6            | 1.5                                |                              | MC                          | $9.4 \times 10^7$                  | 1.78                                   | 9                   |
|            |      | 5              | 0.46                               |                              | E                           | $1.5 \times 10^7$                  | 0.89                                   | 9                   |
| J1429+5447 | 6.21 | 1.6            | $3.3 \pm 0.1$                      | $-1.09 \pm 0.06^{(1.7,5)}$   | S                           | $(1.40 \pm 0.06) \times 10^9$      | $13.48 \pm 1.53$                       | 16                  |
|            |      | 5              | $0.99 \pm 0.06$                    |                              | E                           | $(7.7 \pm 0.7) \times 10^8$        | 4.50                                   | 16                  |
| J1430+4204 | 4.72 | 2.3            | 232.0 <sup>a</sup>                 | $0.17 \& - 0.04^{(5,15),c}$  | E                           | —                                  | —                                      | 14 & 15             |
|            |      | 5              | 173.0                              |                              | S                           | $2.7 \times 10^{11}$               | $50.92 \& 73.40^c$                     | 3                   |
|            |      | 8.6            | 111.0 <sup>a</sup>                 |                              | E                           | —                                  | —                                      | 14 & 15             |
|            |      | 15             | 209.0 & 166.0 <sup>c</sup>         |                              | E                           | $4.3 \& 6.0 \times 10^{11} c$      | $61.52 \& 70.43^c$                     | 4                   |

calculated luminosity functions and redshifts for star forming and AGN dominated radio galaxies. From this, [Magliocchetti et al. \(2014\)](#) showed that at  $z > 1.8$  sources with 1.4 GHz radio luminosities smaller than  $4 \times 10^{24} \text{ W Hz}^{-1}$  are powered by star formation while sources with radio luminosities larger than  $4 \times 10^{24} \text{ W Hz}^{-1}$  are AGN powered. While it is possible that a source powered by star formation could be classified as AGN powered or vice versa, [Magliocchetti et al. \(2014\)](#) noted that the probability of this happening is very small.

Using the spectral indices of the main source components we calculated predicted 1.4 GHz flux densities for the main components of the  $z > 4.5$  sources and from that derived the 1.4 GHz monochromatic rest-frame luminosities of the components. Using the smallest values of the luminosities allowed inside their uncertainties, the sources indicated in Table 7 are all powered by AGN. 1.4 GHz luminosities could not be calculated for the remaining five sources be-

cause, for these sources, the flux densities of the main components are not available at both frequencies over which the spectral indices are calculated. It is therefore possible that the radio emission in these sources are from star formation. We do, however, consider it unlikely because of their large brightness temperatures, all of which are lower limits except in the case of **J1628+1154**. This conclusion is supported by the result that the majority of extragalactic sources with 1.4 GHz flux densities greater than  $\sim 10 \text{ mJy}$  are AGN while star forming sources start to dominate at flux densities below  $\sim 1 \text{ mJy}$  (e.g. [Thuan & Condon 1987](#); [de Zotti et al. 2010](#); [Condon et al. 2012](#), and references therein).

## 5.2 Variability

Based on the available radio flux density data, we make an attempt to assess the variability of the sources. To check source variability in a uniform way, we matched all of the

**Table 5** – *continued*

| ID         | $z$  | $\nu$<br>[GHz] | Flux density <sup>f</sup><br>[mJy] | $\alpha_{\text{source}}^b$     | Classification <sup>d</sup>    | $T_b$<br>[K]                         | $L$<br>[ $10^{26} \text{ W Hz}^{-1}$ ] | VLBI<br>ref.         |    |
|------------|------|----------------|------------------------------------|--------------------------------|--------------------------------|--------------------------------------|--|----------------------|----|
| (1)        | (2)  | (3)            | (4)                                | (5)                            | (6)                            | (7)                                  | (8)                                    | (9)                  |    |
| J1606+3124 | 4.56 | 2.3            | $952.1 \pm 48.2$                   | $-0.38 \pm 0.09^{(2,3,4,8)}$   | S                              | $(3.8 \pm 0.2) \times 10^{11}$       | $908.45 \pm 154.23$                    | 20                   |    |
|            |      | 4.8            | $712.0 \pm 32.8$                   |                                | MC                             | $(2.2 \pm 0.1) \times 10^{11}$       | $602.30 \pm 102.27$                    | 13                   |    |
|            |      | 8.3            | $471.7 \pm 23.4$                   |                                | MC                             | $(5.8 \pm 0.3) \times 10^{10}$       | —                                      | 20                   |    |
| J1611+0844 | 4.54 | 1.6            | $13.0 \pm 0.8$                     | $-0.01 \pm 0.07^{(1,6,5)}$     | S                              | —                                    | $5.24 \pm 0.71$                        | 10                   |    |
|            |      | 5              | $12.9 \pm 0.6$                     |                                | E                              | $(4.7 \pm 0.3) \times 10^9$          | $4.60 \pm 0.20$                        | 10                   |    |
| J1659+2101 | 4.78 | 1.6            | $29.3 \pm 1.5$                     | $-0.92 \pm 0.08^{(1,6,5)}$     | E                              | —                                    | $21.41 \pm 3.04$                       | 10                   |    |
|            |      | 5              | $10.6 \pm 0.7$                     |                                | E                              | $(3.0 \pm 0.4) \times 10^8$          | $12.30 \pm 0.80$                       | 10                   |    |
| J2102+6015 | 4.58 | 2.3            | $298.6 \pm 14.9$                   | $-0.99 \pm 0.07^{(2,3,8,3),j}$ | S                              | $(4.1 \pm 0.2) \times 10^{10}$       | $618.26 \pm 77.67^h$                   | 20                   |    |
|            |      | 2.3            | $296.4 \pm 14.9$                   |                                | $-0.57 \pm 0.05^{(2,3,8,6),k}$ | S                                    | $(2.7 \pm 0.1) \times 10^{10}$         | $296.42 \pm 31.45^i$ | 21 |
|            |      | 8.3            | $82.4 \pm 5.9$                     |                                | S                              | $> (1.14 \pm 0.08) \times 10^{11}^e$ | $170.66 \pm 23.18^h$                   | 20                   |    |
|            |      | 8.6            | $140.6 \pm 7.2$                    |                                | S                              | $(1.49 \pm 0.08) \times 10^{10}$     | $140.57 \pm 15.00^i$                   | 21                   |    |
| J2228+0110 | 5.95 | 1.7            | $0.30 \pm 0.12$                    | —                              | S                              | $> 3.1 \times 10^8^e$                | —                                      | 17                   |    |

**Columns:** Col. 1 – source name (J2000); Col. 2 – redshift; Col. 3 – VLBI observing frequency; Col. 4 – integrated VLBI flux density; Col. 5 – source spectral index; Col. 6 – visual classification of the compact radio structure; Col. 7 – brightness temperature of the main (brightest) source component; Col. 8 – monochromatic rest-frame luminosity at the VLBI observing frequency of the main (brightest) source component; Col. 9 – VLBI literature reference.

**Notes:** <sup>a</sup> The value is the peak brightness in mJy beam<sup>-1</sup>. <sup>b</sup> The frequencies (in GHz) between which the spectral indices were calculated are given as superscripts to the values. <sup>c</sup> Veres et al. (2010) imaged the source twice to search for variability. The first value corresponds to the first image and the second value to the second image. <sup>d</sup> S: single-component; E: extended; MC: multi-component. <sup>e</sup> The value is a lower limit since the source or component (in the case of a multi-component source) is unresolved. <sup>f</sup> For sources composed of multiple components, the quoted value is the sum of the flux densities of the components. <sup>g</sup> Parijskij et al. (2014) found that J0311+0507 is composed of eight components. The flux density of the third component was used to calculate the value as the authors conclude that it is the core. <sup>h</sup> The value was calculated using the spectral index between 2.3 and 8.3 GHz. <sup>i</sup> The value was calculated using the spectral index between 2.3 and 8.6 GHz. <sup>j</sup> The value was calculated using the Beasley et al. (2002) 2.3 GHz flux density. <sup>k</sup> The value was calculated using the Petrov et al. (2008) 2.3 GHz flux density.

**References:** 1: Momjian et al. (2004); 2: Momjian et al. (2005); 3: Paragi et al. (1999); 4: Veres et al. (2010) 5: Romani et al. (2004); 6: Frey et al. (2003); 7: Frey et al. (2005) 8: Momjian et al. (2008) 9: Frey et al. (2008); 10: Frey et al. (2010); 11: Gabányi et al. (2015); 12: Frey et al. (2013); 13: Helmboldt et al. (2007); 14: Cheung et al. (2012); 15: Fey et al. (2004); 16: Frey et al. (2011); 17: Cao et al. (2014); 18: Frey et al. (2015); 19: Parijskij et al. (2014); 20: Beasley et al. (2002); 21: Petrov et al. (2008); 22: Petrov et al. (2006)

$z > 4.5$  sources to the FIRST and the National Radio Astronomy Observatory (NRAO) VLA Sky Survey (NVSS; Condon et al. 1998) catalogues, and calculated the integrated flux density ratio ( $S_{\text{FIRST}}/S_{\text{NVSS}}$ ) between the catalogue values (Table 6). Both surveys were performed at the same observing frequency of 1.4 GHz, using different configurations of the VLA. The resulting angular resolutions are  $\sim 5$  arcsec (B configuration) and  $\sim 45$  arcsec (D configuration) in FIRST and NVSS, respectively.

Using a search radius of 2.5 and 23 arcsec for FIRST and NVSS, respectively, we found unique matches for all of the sources except **J0324–2918**, **J0906+6930**, **J1205–0742**, **J1427+3312**, **J2102+6015** and **J2228+0110**. For J1205–0742, J1427+3312 and J2228+0110, the FIRST flux densities are all smaller than 1.32 mJy. These sources are below the NVSS detection threshold of 2.5 mJy beam<sup>-1</sup> (Condon et al. 1998). No match could be found for J0324–2918, J0906+6930 and J2102+6015 in FIRST because they lie outside the survey coverage.

In Table 6, the ratio of the sources’ ‘predicted’ 1.4 GHz VLBI flux density and the FIRST flux density ( $S_{\text{pred}}/S_{\text{FIRST}}$ ) is also given. As described in Section 3.3,  $S_{\text{pred}}$  is calculated for each source by extrapolating its measured VLBI flux density to 1.4 GHz using its spectral index ( $\alpha_{\text{source}}$ ) and assuming a power-law radio spectrum. We con-

sider  $S_{\text{pred}}$  as characteristic to the compact VLBI structure at 1.4 GHz, which can be directly compared with the FIRST and NVSS values measured at this frequency.

For assessing the variability, we first consider the  $S_{\text{FIRST}}/S_{\text{NVSS}}$  values, defining a source to be variable if its FIRST and NVSS flux densities differ by more than 10 per cent. If the flux density difference could be less than 10 per cent inside the uncertainties we do not classify the source as being variable. As discussed later in this section, we note that this does not mean that the source is not variable. We also note that because of the difference in resolution between FIRST and NVSS, we only classify a source as being variable if the FIRST (the higher resolution catalogue) flux density is higher than the NVSS flux density. If the NVSS flux density is higher than the FIRST flux density, the difference could be because the source is resolved in FIRST, or it could be caused by variability. Based on their  $S_{\text{FIRST}}/S_{\text{NVSS}}$  values, the only sources that could be variable are **J0011+1446**, **J0836+0054**, **J1429+5447** and **J1454+1109**.

**J0011+1446** has a FIRST and NVSS flux density of  $24.3 \pm 1.2$  and  $35.8 \pm 1.5$  mJy, respectively. Looking at the higher-resolution FIRST image, there is a second and third source that are 16.4 and 29.3 arcsec away from J0011+1446 with flux densities of  $3.0 \pm 0.2$  and  $2.8 \pm 0.2$  mJy, respectively.

**Table 6.** Flux density ratios of the  $z > 4.5$  sources

| ID         | $S_{\text{FIRST}}/S_{\text{NVSS}}$ | $S_{\text{pred}}/S_{\text{FIRST}}$      |
|------------|------------------------------------|---|
| J0011+1446 | $0.68 \pm 0.04$                    | $0.84 \pm 0.07$                         |
| J0131-0321 | $1.07 \pm 0.06$                    | —                                       |
| J0210-0018 | $0.85 \pm 0.06$                    | $0.18 \pm 0.02$                         |
| J0311+0507 | $1.02 \pm 0.06$                    | 1.05                                    |
| J0324-2918 | —                                  | $1.15 \pm 0.09^c$                       |
| J0813+3508 | $1.05 \pm 0.06$                    | $0.52 \pm 0.04$                         |
| J0836+0054 | $0.44 \pm 0.09$                    | 1.14                                    |
| J0906+6930 | —                                  | —                                       |
| J0913+5919 | $0.99 \pm 0.06$                    | $1.11 \pm 0.06^b$                       |
| J0940+0526 | $0.95 \pm 0.06$                    | $0.33 \pm 0.03$                         |
| J1013+2811 | $0.91 \pm 0.06$                    | $0.78 \pm 0.06$                         |
| J1026+2542 | $0.93 \pm 0.05$                    | $0.85 \pm 0.05$                         |
| J1146+4037 | $1.00 \pm 0.06$                    | $1.35 \pm 0.11$                         |
| J1205-0742 | —                                  | $1.05 \pm 0.32^a$                       |
| J1235-0003 | $1.01 \pm 0.06$                    | $0.93 \pm 0.05^b$                       |
| J1242+5422 | $1.03 \pm 0.06$                    | $0.96 \pm 0.08$                         |
| J1311+2227 | $0.87 \pm 0.07$                    | $0.61 \pm 0.05$                         |
| J1400+3149 | $0.94 \pm 0.06$                    | $0.58 \pm 0.06$                         |
| J1427+3312 | —                                  | 1.72                                    |
| J1429+5447 | $0.78 \pm 0.11$                    | $1.35 \pm 0.09$                         |
| J1430+4204 | $1.02 \pm 0.06$                    | —                                       |
| J1454+1109 | $1.54 \pm 0.11$                    | $1.24 \pm 0.10$                         |
| J1548+3335 | $0.99 \pm 0.06$                    | $0.38 \pm 0.06$                         |
| J1606+3124 | $1.03 \pm 0.06$                    | $1.67 \pm 0.18$                         |
| J1611+0844 | $1.01 \pm 0.08$                    | $1.48 \pm 0.13$                         |
| J1628+1154 | $0.97 \pm 0.06$                    | —                                       |
| J1659+2101 | $0.98 \pm 0.06$                    | $1.19 \pm 0.10$                         |
| J1720+3104 | $0.93 \pm 0.06$                    | $0.97 \pm 0.08$                         |
| J2102+6015 | —                                  | $1.51 \pm 0.13$ & $1.24 \pm 0.09^{c,d}$ |
| J2228+0110 | —                                  | —                                       |

**Columns:** Col. 1 – source name (J2000); Col. 2 – ratio of integrated FIRST to NVSS flux densities; Col. 3 – ratio of ‘predicted’ 1.4 GHz VLBI flux density to FIRST flux density.

**Notes:** <sup>a</sup> The value was calculated using the flux densities of the 1.4 GHz VLBI and VLA A configuration observations of the source reported in Momjian et al. (2005). <sup>b</sup> The value was calculated using the Momjian et al. (2004) 1.4 GHz VLBI flux density. <sup>c</sup> The value was calculated using the NVSS flux density. <sup>d</sup> The two values were calculated using the 2.3 to 8.3 GHz and 2.3 to 8.6 GHz spectral indices reported in Table 5, respectively.

Since the NVSS beam size is 45 arcsec (Condon et al. 1998), both of the nearby sources will blend with J0011+1446 in NVSS. However, the sum of the flux densities of these two sources is still less than the difference between the FIRST and NVSS flux densities. The remaining flux density difference could be explained by variability of J0011+1446 or of the two nearby sources. Given that we did not find evidence for variability in the multi-epoch EVN observations of J0011+1446, it is likely that J0011+1446 is non-variable. Another possible explanation is that J0011+1446 or the nearby sources are extended beyond 5 arcsec and this structure may be resolved out in FIRST. From Table 6,  $S_{\text{pred}}/S_{\text{FIRST}}$  nearly equals 1 for J0011+1446. Considering that the source is not variable, this indicates that J0011+1446 is compact on angular scales between 5 arcsec and  $\sim 5$  mas. Hence, it is unlikely that J0011+1446 is extended beyond 5 arcsec.

The FIRST and NVSS flux densities of **J0836+0054** are  $1.11 \pm 0.06$  mJy and  $2.5 \pm 0.5$  mJy, respectively. This could indicate that J0836+0054 is extended at angular

scales beyond 5 arcsec. However, Petric et al. (2003) observed J0836+0054 with the VLA in A configuration at 1.4 GHz with a resolution of 1.5 arcsec and found a flux density of  $1.75 \pm 0.04$  mJy. Considering that the Petric et al. (2003) observations have higher resolution than FIRST and show  $\sim 60$  per cent higher flux density, we conclude that J0836+0054 is variable. It appears that this conclusion is supported by  $S_{\text{pred}}$  being higher than that of FIRST (Table 6). However, as no error is available for the predicted flux density, this cannot be said for certain.

The NVSS flux density of **J1429+5447** ( $3.8 \pm 0.5$  mJy) is higher than that of FIRST ( $3.0 \pm 0.2$  mJy) and  $S_{\text{pred}} = 4.0 \pm 0.2$  mJy, which is equal to or slightly higher than the NVSS flux density. We therefore conclude that J1429+5447 is variable.

From Table 6, the EVN flux density of **J1454+1109** is higher than its FIRST flux density, which is higher than its NVSS flux density. We therefore conclude that J1454+1109 is variable.

We now turn to  $S_{\text{pred}}/S_{\text{FIRST}}$ . **J1146+4037**, **J1427+3312**, **J1429+5447**, **J1454+1109**, **J1606+3124**, **J1611+0844** and **J2102+6015** all have  $S_{\text{pred}}/S_{\text{FIRST}} > 1.1$ . In the discussion above, we already concluded that J1429+5447 and J1454+1109 are variable. For J1146+4037, J1427+3312, J1606+3124, J1611+0844 and J2102+6015,  $S_{\text{pred}}/S_{\text{FIRST}} > 1.1$  could indicate that they are also variable. However, care should be taken. When calculating  $S_{\text{pred}}$  it is assumed that the spectral index of the source is constant between the two frequencies over which it is calculated. This assumption is not necessarily true since it is likely that at least some of the sources are gigahertz peaked-spectrum (GPS) and megahertz peaked-spectrum (MPS) sources (Coppejans et al. 2015, 2016). GPS and MPS sources are radio-loud AGN that are identified based on their peaked spectra with steep optically thin spectra above the spectral turnover. The only difference between the GPS and MPS sources are that they have spectral turnovers above and below 1 GHz, respectively<sup>6</sup>. If a source has a spectral turnover between 1.7 and 5 GHz,  $S_{\text{pred}}$  will be overestimated resulting in  $S_{\text{pred}}/S_{\text{FIRST}} > 1$ , without the source being variable. In Section 6, we argue that a significant fraction of the sources are GPS and MPS sources. Consequently, while it is possible that J1146+4037, J1427+3312, J1606+3124, J1611+0844 and J2102+6015 are variable based on their  $S_{\text{pred}}/S_{\text{FIRST}}$  values, no definite statement can be made. No  $S_{\text{pred}}/S_{\text{FIRST}}$  values are given for **J0906+6930** and **J1430+4204**, since the lowest of the frequencies used for determining their spectral index is above 5 GHz. Consequently, there is a very large uncertainty of their predicted 1.4 GHz flux density. Additionally, it is very likely that their spectra cannot be described by a simple power-law with a constant spectral index between 1.4 and 15 GHz, and 1.4 and 43 GHz, respectively.

The most likely explanation for the sources with  $S_{\text{pred}}/S_{\text{FIRST}} < 1$  is that some of their radio emission is diffuse and extended beyond the angular scales probed by VLBI. Therefore they are partly resolved out. It is, however, also possible that they are variable. Carilli et al. (2001) observed **J1235-0003** at 1.4 GHz with the VLA in A config-

<sup>6</sup> See O’Dea (1998) for a review of GPS sources.

uration and a resolution of  $\sim 1.5$  arcsec, and found a flux density of  $18.8 \pm 0.4$  mJy. Considering that the NVSS and FIRST flux densities of J1235–0003 are  $18.4 \pm 0.9$  mJy and  $18.1 \pm 0.7$  mJy, respectively, and that its 1.4 GHz VLBI flux density is within 7 per cent of the FIRST flux density (Tables 5 and 6), we conclude that J1235–0003 is likely not variable. In the case of **J0913+5919**, comparing its 1.4 GHz VLA flux densities with FIRST, Momjian et al. (2004) concluded that J0913+5919 is not variable. The flux density values of **J0131–0321** in NVSS, FIRST, and the 1.7 GHz VLBI flux density (Gabányi et al. 2015) are  $31.4 \pm 1.0$  mJy,  $33.7 \pm 1.7$  mJy and  $64.4 \pm 0.3$  mJy, respectively. J0131–0321 was only observed at 1.7 GHz with VLBI and we therefore do not have a spectral index for it. To reconcile the VLBI and FIRST flux densities, J0131–0321 would be required to have a spectral index of  $\sim 3.3$ , which is unphysical. We therefore conclude that J0131–0321 is variable. **J2228+0110** was also only observed at 1.7 GHz with VLBI (Cao et al. 2014). Considering that its VLBI flux density in Table 5 is significantly lower than its FIRST flux density of  $1.32 \pm 0.07$  mJy, it is likely resolved out.

**J0311+0507**, **J0913+5919**, **J1235–0003**, **J1242+5422**, **J1659+2101** and **J1720+3104** all have  $0.9 < S_{\text{FIRST}}/S_{\text{NVSS}} < 1.1$  and  $0.9 < S_{\text{pred}}/S_{\text{FIRST}} < 1.1$  within their uncertainties. This indicates that these sources are likely not variable, as was already concluded for J0913+5919 and J1235–0003 in the previous paragraph. In Section 3.2 we concluded from our multi-epoch EVN observations that J1720+3104 could be variable, apparently contradicting this result. Consequently, it is possible that J1720+3104 is variable. As mentioned in Section 3.2, from the multi-epoch EVN observations of **J0940+0526**, it is possible that it is variable.

It should be made clear that, just because its NVSS and FIRST flux densities are similar, an individual source cannot be claimed as non-variable. It is possible that an otherwise variable source was observed by NVSS and FIRST when it showed the same flux density by chance. In other words, regular flux density monitoring observations would be essential to reach a firm conclusion on the invariability of any individual radio source. This is clearly illustrated by **J1430+4204** whose FIRST and NVSS flux densities are nearly identical (Table 6) but for which Fabian et al. (1999) and Veres et al. (2010) found strong 15 GHz variability (Section 4.1). Similarly the two 2.3 GHz VLBI observations of **J2102+6015** (Table 5) indicate that it is not variable. However, to reconcile the 8.3 and 8.6 GHz VLBI flux densities requires an unphysical spectral index of  $\sim 15$ , indicating that J2102+6015 is variable. We note that in both cases, the lack of low frequency variability could be the result of the lower frequencies probing regions farther away from the black hole. In these regions, the variability amplitude will be lower, and the time scale will be longer than in the regions closer to the black hole, that are probed by the higher frequency observations.

In summary, it is striking that in only four of the 24 sources for which we have NVSS and FIRST flux densities, the values indicate variability, and even in one of those cases (J0011+1446) the difference is likely because of other factors. If the majority of the sources would be strongly variable, a few of them could have, by chance, been observed by FIRST and NVSS at the same flux density. However, the majority of the sample should still show variability. It therefore

appears that most of the known  $z > 4.5$  VLBI radio sources are not significantly variable. This tendency is qualitatively consistent with the finding by Lovell et al. (2008); Koay et al. (2011, 2012) that sources at  $z \lesssim 2$  are more variable than sources at  $2 \lesssim z \lesssim 4$ . We do, however, point out that the authors in these studies searched for variability on timescales of a few days and only studied flat-spectrum sources. Consequently, care should be taken when comparing the results. A dedicated follow-up study on the combined sample would allow conclusive statements to be made.

### 5.3 Spectral index

From the summary of the properties of the  $z > 4.5$  radio sources found in the literature (Table 5), the spectral indices of the sources with multiple components were calculated by summing the flux densities of all components at each frequency. **J1548+3335** is the only source in our new EVN sample that is resolved into more than one component (Table 4). As noted in Section 3.3, its source spectral index equals  $-1.07 \pm 0.07$ .

If we conventionally define a flat spectral index as  $|\alpha_{\text{source}}| < 0.5$ , there are nine sources that have flat spectra. Taking the uncertainties of the spectral index into consideration, there are three sources that have flat spectra, but could have positive or negative spectral indices within their uncertainties. Similarly, there are four sources that have negative spectral indices, but they could have flat spectra within the uncertainties.

The spectral index of all of the sources except **J0906+6930** and **J1430+4204** were calculated between observing frequencies of  $\sim 1.7$  and  $\sim 8$  GHz. At  $z = 5$  this corresponds to the rest-frame frequencies of  $\sim 10$  and  $\sim 50$  GHz, respectively. The spectral index for J1430+4204 was calculated between the rest-frame frequencies of 29 and 86 GHz, while for J0906+6930 between 97 and 278 GHz, assuming a power-law dependence of the flux density from the frequency. Excluding J1628+1154 (for which we only have a lower limit on its spectral index), J0906+6930 and J1430+4204, there are  $8_{-3}^{+4}$  sources with flat spectra, out of the 22 objects which have reliable spectral indices. In other words,  $36_{-14}^{+18}$  per cent of the sources have flat VLBI source spectra between  $\sim 10$  and  $\sim 50$  GHz in their rest frames.

### 5.4 Doppler boosting

For the intrinsic brightness temperature of the sources we assume the equipartition brightness temperature of a relativistic compact jet. It is estimated as  $T_{\text{b,eq}} \simeq 5 \times 10^{10}$  K (Readhead 1994). Since the Doppler factor is  $\delta = T_{\text{b}}/T_{\text{b,eq}}$  (e.g. Veres et al. 2010, and references therein), the jet emission in sources with  $T_{\text{b}} > T_{\text{b,eq}}$  is Doppler-boosted. We therefore conclude that 12 sources are Doppler-boosted.

Excluding the five sources for which we only have lower limits on  $T_{\text{b}}$  and that have  $T_{\text{b}} < T_{\text{b,eq}}$ , there are 13 sources out of the remaining 25 with  $T_{\text{b}} < T_{\text{b,eq}}$ . There are two possible physical reasons for why a source could have  $T_{\text{b}} < T_{\text{b,eq}}$ : (1) the jet viewing angle is moderate, resulting in the emission being Doppler-deboosted; (2) the flux density of the source is measured far away from the spectral peak frequency caused by synchrotron self-absorption. It is therefore possible that the sources with  $T_{\text{b}} < T_{\text{b,eq}}$  could still

be Doppler-boosted. Finally, brightness temperature is inversely proportional to the size of the source squared (Eq. 1). A source could therefore have  $T_b < T_{b,eq}$  because its size is overestimated or the error on its size is underestimated. In cases where the sources are too faint for phase self-calibration, or where the source components are not clearly resolved, the brightness temperatures should consequently be taken as lower limits.

## 6 WHAT ARE THE $Z > 4.5$ VLBI SOURCES?

Based on their spectra, variability and whether or not they are Doppler-boosted, we now classify the  $z > 4.5$  radio sources studied with VLBI to date into three basic classes. A summary of the parameters used for the classification, and the classification of each source are given in Table 7.

The first one, which only contains a single object, **J1205–0742**, is the class of *star-forming sources*. The second class is the *flat-spectrum radio quasars* (FSRQs). **J1454+1109** is the archetypal example of a FSRQ, having a flat spectrum over a wide spectral range, showing variability and being Doppler-boosted. **J1430+4204** similarly satisfies all three criteria, albeit the frequencies used for calculating its spectral index are higher than for the other sources. We do, however, note that calculating its spectral index based on the integrated 5 GHz flux density and the 2.3 GHz peak intensity gives  $\alpha_{source} = -0.38$ , which also indicates a flat spectrum. While there is no solid evidence that **J0940+0526**, **J1606+3124** and **J1720+3104** are variable, there are indications that they are (Section 5.2), and they all have flat radio spectra and Doppler-boosted jet emission. **J0210–0018**, **J0324–2918** and **J1013+2811** are FSRQs since they have flat spectra and are Doppler-boosted. **J0131–0321**, **J0913+5919** and **J1026+2542** join the FSRQs based on Doppler-boosting, and, in the case of **J0131–0312**, variability. For the three sources above, we do not have spectral information regarding their VLBI structure. In Section 5.2 we concluded that **J0913+5919** is not variable. While this seems to indicate that it is not a FSRQ, non-variability based on a few sparsely obtained flux density measurements cannot be regarded as certain. Therefore we leave it in this class, based on its high measured brightness temperature as the evidence for Doppler-boosting. **J1026+2542** has a steep negative spectral index, which would also contradict the FSRQ classification. However, this is likely the result of two components which are resolved in the 5 GHz VLBI observations but not at 1.7 GHz (Table 5). The steep spectrum could also (at least in part) be caused by variability. **J2102+6015** is a FSRQ because it is Doppler-boosted and variable, the latter of which likely explains its steep negative spectral index. Finally **J1611+0844** joins the FSRQs based on its flat spectrum and indications of variability.

The final, third class of objects is the *steep-spectrum sources*. All of them have negative spectral indices with  $\alpha_{source} < -0.5$ , are therefore not FSRQs, and have  $T_b < T_{b,eq}$ . Since their flux densities cannot continue to increase indefinitely toward lower frequencies, their spectra will turn over because of synchrony self absorption at some point. These sources are thus likely MPS or GPS sources, something that could be proven using low-frequency ( $< 1$  GHz)

observations. We note that the two wide-separation double sources (**J0311+0507** and **J1548+3335**) are also included in this class. For the majority of the sources in this class, there is either insufficient information about variability, or we concluded that they are not variable in Section 5.2. The exceptions to this are **J0836+0054**, **J1146+4037**, **J1427+3312** and **J1429+5447** for which we found indication of variability or concluded that they are variable in Section 5.2. While the GPS (and therefore the MPS) sources are the least variable class of radio sources (O’Dea 1998), there are GPS sources which show significant variability (e.g. Wehrle et al. 1992; O’Dea 1998). Hence, variability does not necessarily mean that the source is not a GPS or MPS source. We also note that some of the flat-spectrum sources could be GPS sources with a spectral turnover near the center of the frequency range over which the spectral index is measured. Finally, for **J1235–0003**, **J1628+1154** and **J2228+0110**, the information available at present is not sufficient to assign them with any of the three classes above.

### 6.1 Correlations between variability, brightness temperature and spectral index

To check for potential correlations between variability and brightness temperature/spectral index, we derived a characteristic brightness temperature and spectral index for each of the sources using the values in Tables 4 and 5. For both spectral index and brightness temperature, the characteristic value is the average of all of the values for the source that are not upper or lower limits. The median spectral index and brightness temperature of the sources that are indicated as being variable, or possibly variable, in Table 7 are  $-0.38$  and  $1.5 \times 10^{10}$  K, respectively. Repeating this for the remaining sources, gives median values of  $-0.65$  and  $3.9 \times 10^9$  K for the spectral index and brightness temperature, respectively.

We note that caution should be taken when interpreting these values since there is insufficient information to say whether 13 of the sources are variable or not and, as mentioned previously, regular flux density monitoring observations are essential to reach a firm conclusion on the invariability of any individual radio source. Despite this, it would appear that the sources in our sample that are variable, or for which there are indications that they are variable, have flatter spectra and higher brightness temperatures than the other sources. This result fits the picture that the variable sources are FSRQs, which have flat spectra and in which the emission is Doppler boosted, which causes their brightness temperatures to be higher than for the other classes.

We finally also checked for a correlation between brightness temperature and spectral index, but could not find one.

## 7 SUMMARY AND CONCLUSIONS

In this paper, we presented 1.7 and 5 GHz EVN observations of ten  $z > 4.5$  sources. These observations increased the number of  $z > 4.5$  sources that have been imaged with VLBI by 50 per cent from 20 to 30. Combining our new sources with those from the literature, we investigated the origin of the radio emission, variability properties, spectral indices and Doppler boosting of the  $z > 4.5$  VLBI sources (Section

**Table 7.** Classification of the  $z > 4.5$  sources

| ID<br>(1)  | Origin of the radio emission <sup>e</sup><br>(2) | Spectrum <sup>a,c</sup><br>(3) | Variable <sup>c</sup><br>(4) | Boosted <sup>c</sup><br>(5) | Classification <sup>c</sup><br>(6) |
|------------|--|--------------------------------|------------------------------|-----------------------------|------------------------------------|
| J0011+1446 | AGN: $T_b$ & $L$                                 | Negative (flat)                |                              |                             | Steep-spectrum                     |
| J0131–0321 | AGN: $T_b$                                       |                                | Yes                          | Yes                         | FSRQ                               |
| J0210–0018 | AGN: $T_b$ & $L$                                 | Flat (positive)                |                              | Yes                         | FSRQ                               |
| J0311+0507 | AGN: $T_b$ & $L$                                 | Negative                       | No                           |                             | Steep-spectrum/wide double         |
| J0324–2918 | AGN: $T_b$ & $L$                                 | Flat (Negative)                |                              | Yes                         | FSRQ                               |
| J0813+3508 | AGN: $T_b$ & $L$                                 | Negative                       |                              |                             | Steep-spectrum                     |
| J0836+0054 | AGN: $T_b$ & $L$                                 | Negative                       | Yes                          |                             | Steep-spectrum                     |
| J0906+6930 | AGN: $T_b$ & $L$                                 | Negative <sup>b</sup>          |                              |                             | Steep-spectrum                     |
| J0913+5919 | AGN: $T_b$                                       |                                | No                           | Yes                         | FSRQ                               |
| J0940+0526 | AGN: $T_b$ & $L$                                 | Flat                           | Possibly                     | Yes                         | FSRQ                               |
| J1013+2811 | AGN: $T_b$ & $L$                                 | Flat (Negative)                |                              | Yes                         | FSRQ                               |
| J1026+2542 | AGN: $T_b$ & $L$                                 | Negative                       |                              | Yes                         | FSRQ                               |
| J1146+4037 | AGN: $T_b$ & $L$                                 | Negative (flat)                | Possibly                     |                             | Steep-spectrum                     |
| J1205–0742 | Star-forming <sup>d</sup>                        |                                |                              | No                          | Star-forming                       |
| J1235–0003 | AGN: $T_b$                                       |                                | No                           |                             |                                    |
| J1242+5422 | AGN: $T_b$ & $L$                                 | Negative (flat)                | No                           |                             | Steep-spectrum                     |
| J1311+2227 | AGN: $T_b$ & $L$                                 | Negative                       |                              |                             | Steep-spectrum                     |
| J1400+3149 | AGN: $T_b$ & $L$                                 | Negative (flat)                |                              |                             | Steep spectrum                     |
| J1427+3312 | AGN: $T_b$ & $L$                                 | Negative                       | Possibly                     |                             | Steep-spectrum                     |
| J1429+5447 | AGN: $T_b$ & $L$                                 | Negative                       | Yes                          |                             | Steep-spectrum                     |
| J1430+4204 | AGN: $T_b$ & $L$                                 | Flat <sup>b</sup>              | Yes                          | Yes                         | FSRQ                               |
| J1454+1109 | AGN: $T_b$ & $L$                                 | Flat                           | Yes                          | Yes                         | FSRQ                               |
| J1548+3335 | AGN: $T_b$ & $L$                                 | Negative                       |                              |                             | Steep-spectrum/wide double         |
| J1606+3124 | AGN: $T_b$ & $L$                                 | Flat                           | Possibly                     | Yes                         | FSRQ                               |
| J1611+0844 | AGN: $T_b$ & $L$                                 | Flat                           | Possibly                     |                             | FSRQ                               |
| J1628+1154 | AGN: $T_b$                                       |                                |                              |                             |                                    |
| J1659+2101 | AGN: $T_b$ & $L$                                 | Negative                       | No                           |                             | Steep-spectrum                     |
| J1720+3104 | AGN: $T_b$ & $L$                                 | Flat                           | Possibly                     | Yes                         | FSRQ                               |
| J2102+6015 | AGN: $T_b$ & $L$                                 | Negative                       | Yes                          | Yes                         | FSRQ                               |
| J2228+0110 | AGN: $T_b$                                       |                                |                              |                             |                                    |

**Columns:** Col. 1 – source name (J2000); Col. 2 – the origin of the radio emission from Section 5.1; Col. 3 – spectral classification from Section 5.3; Col. 4 – source variability from Section 5.2; Col. 5 – Doppler boosting from Section 5.4; Col. 6 – source classification.

**Notes:** <sup>a</sup> Wording such as ‘Negative (flat)’ indicates that the source has a negative spectral index, but that it could be flat within the uncertainties. <sup>b</sup> The spectral index is calculated between higher rest frame frequencies than for the other sources. See Section 5.3. <sup>c</sup> Blank space indicates that the property could not be determined because of insufficient information. <sup>d</sup> See Section 4.1. <sup>e</sup> Wording such as ‘AGN:  $T_b$  &  $L$ ’ indicates that the source is AGN powered based on both its brightness temperature and 1.4 GHz luminosity.

5). Based on these properties we classified the sources as star-forming, flat-spectrum radio quasars (FSRQ) and steep-spectrum sources (Section 6).

Of the 27 sources that can be classified, 13 (48 per cent) are FSRQs. One of the sources is star-forming, illustrating that even at  $z > 4.5$  not all VLBI-detected sources are necessarily AGN. The remaining 13 objects are steep-spectrum sources that are likely GPS or MPS sources. The idea that a large fraction of the high-redshift sources are GPS and MPS sources was first proposed by Peterson et al. (1982) and O’Dea et al. (1991). Our conclusion that  $\sim 50$  per cent of the sources are GPS and MPS sources is supported by the finding in Section 5.2 that the majority of the sources are not significantly variable, since the GPS and MPS sources are the least variable class of radio sources (O’Dea 1998). It is likely that our classification is uncertain for a few sources, i.e. some of the steep-spectrum sources are in fact FSRQs and vice versa. Despite this, it is clear that roughly half of the sources are FSRQs. This result seems to support the finding by Volonteri et al. (2011) that beyond  $z = 3$ , the

number of high-redshift radio-loud sources is significantly lower than what is expected from the number of blazars at these redshifts (Section 1). However, it is difficult to accurately assess the selection effects that are at play here. The presently known sample of  $z > 4.5$  radio sources imaged with VLBI is incomplete and rather inhomogeneous, but can be regarded as optically selected because of their measured spectroscopic redshifts. Moreover, like in the case of the new 10-element sample reported in this paper, the selection for follow-up high-resolution VLBI observations generally involves a flux density lower limit and arcsec-scale structural compactness based on e.g. FIRST data. Both the optical and radio selection criteria may result in a sample biased towards sources with high luminosities, high radio-loudness and Doppler-boosted radio emission. In this respect, not the significant fraction of FSRQs in Table 7 is surprising, but the relatively large number of steep-spectrum sources with unboosted radio jet emission.

While the new observations presented in this paper significantly increased the number of  $z > 4.5$  sources im-

aged with VLBI, the overall number of sources that have been observed is still very small. Continuing observing efforts are therefore needed to classify new sources as star-forming, FSRQ or steep-spectrum, address open questions such as whether the average bulk Lorentz factor evolves with redshift and to test cosmological models. Complementary to this, non-VLBI observations at frequencies between 100 MHz and  $\sim 20$  GHz will allow the sources to be classified based on their broad-band spectra and variability. Specifically, observations below 1.4 GHz will allow the GPS and MPS nature of the steep-spectrum sources to be confirmed or refuted.

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