

1 THIS ACCEPTED AUTHOR MANUSCRIPT IS COPYRIGHTED AND PUBLISHED BY
2 ELSEVIER. IT IS POSTED HERE BY AGREEMENT BETWEEN ELSEVIER AND MTA.
3 THE DEFINITIVE VERSION OF THE TEXT WAS SUBSEQUENTLY PUBLISHED IN
4 [CARBOHYDRATE POLYMERS, VOLUME 113, 26 NOVEMBER 2014, PAGES 569–
5 576, [doi:10.1016/j.carbpol.2014.07.054](https://doi.org/10.1016/j.carbpol.2014.07.054)]. AVAILABLE UNDER LICENSE CC-BY-NC-ND.

6
7
8 EFFECTS OF PREPARATION METHODS ON THE STRUCTURE AND MECHANICAL
9 PROPERTIES OF WET CONDITIONED STARCH/MONTMORILLONITE
10 NANOCOMPOSITE FILMS

11
12
13 Péter Müller^{1,2}, Éva Kapin^{1,2}, Erika Fekete^{1,2*},

14
15
16
17 ¹Laboratory of Plastics and Rubber Technology, Department of Physical Chemistry and
18 Materials Science, Budapest University of Technology and Economics, H-1521 Budapest,
19 P.O. Box 91, Hungary

20 ²Institute of Materials and Environmental Chemistry, Research Centre for Natural Sciences,
21 Hungarian Academy of Sciences, H-1525 Budapest, P.O. Box 17, Hungary

22
23
24 *Corresponding author: Phone: +36-1-463-4335, Fax: +36-1-463-3474, Email:
25 ebodine@mail.bme.hu

27 **ABSTRACT**

28 TPS/Na-montmorillonite nanocomposite films were prepared by solution and melt
29 blending. Clay content changed between 0 and 25 wt% based on the amount of dry starch.
30 Structure, tensile properties, and water content of wet conditioned films were determined as a
31 function of clay content. Intercalated structure and V_H-type crystallinity of starch were found
32 for all the nanocomposites independently of clay and plasticizer content or preparation
33 method, but at larger than 10 wt% clay content nanocomposites prepared by melt intercalation
34 contained aggregated particles as well. In spite of the incomplete exfoliation clay reinforces
35 TPS considerably. Preparation method has a strong influence on mechanical properties of wet
36 conditioned films. Mechanical properties of the conditioned samples prepared by solution
37 homogenization are much better than those of nanocomposites prepared by melt blending.
38 Water, which was either adsorbed or bonded in the composites in conditioning or solution
39 mixing process, respectively, has different effect on mechanical properties.

40

41 **KEYWORDS:** TPS/montmorillonite nanocomposite, mechanical properties, wet conditioning,
42 interfacial interactions

43

44 **1. INTRODUCTION**

45 Recently growing interest has been shown in the application of biopolymers as
46 packaging materials in order to reduce the environmental pollution caused by plastic waste
47 and to achieve sustainable development. Starch is considered as one of the most promising
48 biopolymer because it is readily available, cheap and biodegradable. Starch is a
49 semicrystalline polymer and it represents the major form of stored carbohydrate in plants.
50 Starch is composed of repeating α -D glucopyranosyl units, a mixture of two substances, an
51 essentially linear polysaccharide-amylose and a highly branched polysaccharide-amylopectin.

52 In amylose the repeating units are linked by $\alpha(1-4)$ linkages; the amylopectin has an $\alpha(1-4)$ -
53 linked backbone and ca. 5 % of $\alpha(1-6)$ -linked branches (Averous, 2004; Avérous & Pollet,
54 2012; Hayashi, Kinoshita & Miyake, 1981; Zobel, 1988). The relative amounts of amylose
55 and amylopectin depend upon the botanical source. Corn starch granules typically contain
56 approximately 70 % amylopectin and 30% amylose (Lambert & Poncelet, 1997). The
57 properties of starch depend strongly on the ratio of these two components. One of the major
58 problems with granular starch is its limited processability, which can be improved by the use
59 of plasticizers, i.e. thermoplastic starch (TPS). TPS can be obtained by the destruction of the
60 starch granules in the presence of plasticizers under specific conditions. Polyols such as
61 glycerol, glycols as well as water are the most widely used plasticizers (Averous, 2004;
62 Avérous & Pollet, 2012; Chivrac, Pollet & Averous, 2009). The main disadvantages of TPS
63 are its pronounced hydrophilic character and the inadequate mechanical properties. The
64 inferior properties of TPS can be improved by the incorporation of other materials (natural
65 fibers, nanoclays, or other biodegradable polymers) (Averous, 2004; Averous & Boquillon,
66 2004; Averous & Fringant, 2001; Averous, Fringant & Moro, 2001a, b; Chivrac, Pollet &
67 Averous, 2009; Mitrus, 2010; Schwach & Averous, 2004; Vroman & Tighzert, 2009;
68 Yixiang, Junjie & Milford, 2007).

69 Polymer/clay nanocomposites are assumed to exhibit improved barrier, thermal and
70 mechanical properties comparing with traditional composites. Recently several attempts were
71 reported in the literature for the preparation of TPS nanocomposites. In most cases
72 TPS/montmorillonite nanocomposite films were prepared by melt blending (in internal batch
73 mixer or in a twin screw extruder) (Avella, De Vlieger, Errico, Fischer, Vacca & Volpe, 2005;
74 Chen & Evans, 2005; Chiou, Wood, Yee, Imam, Glenn & Orts, 2007; Chivrac, Pollet &
75 Averous, 2009; Chivrac, Pollet, Dole & Averous, 2010; Dean, Yu & Wu, 2007; Huang, Yu &
76 Ma, 2004; Magalhaes & Andrade, 2009; Muller, Laurindo & Yamashita, 2012; Ray &

77 Bousmina, 2005; Tang, Alavi & Herald, 2008) or solution mixing (film casting) (Chaudhary
78 & Liu, 2013; Chivrac, Pollet & Averous, 2009; Cyras, Manfredi, Ton-That & Vazquez, 2008;
79 Kampeerappun, Aht-Ong, Pentrakoon & Srikulkit, 2007; Kelnar, Kapralkova, Brozova,
80 Hromadkova & Kotek, 2013; Majdzadeh-Ardakani, Navarchian & Sadeghi, 2010; Masclaux,
81 Gouanve & Espuche, 2010; Pandey & Singh, 2005; Ray & Bousmina, 2005; Schlemmer,
82 Angelica & Sales, 2010). The results clearly demonstrated that the incorporation of
83 organophilic montmorillonite with apolar character led to the formation of conventional
84 microcomposites, while due to the polar nature of both starch and Na-montmorillonite
85 (NaMMT) the application of NaMMT results in an intercalated/exfoliated structure of TPS
86 nanocomposites (Chivrac, Pollet & Averous, 2009; Ray & Bousmina, 2005). Large extent of
87 exfoliation was achieved using only water or less than 10 wt % glycerol as plasticizer
88 (Chivrac, Pollet & Averous, 2009; Dean, Yu & Wu, 2007; Tang, Alavi & Herald, 2008).
89 Several studies proved that the use of glycerol contents larger than 10 wt% led to the
90 formation of intercalated structures with interlayer basal spacing (d001) increasing from 1.2 to
91 1.8 nm (Chiou, Wood, Yee, Imam, Glenn & Orts, 2007; Chivrac, Pollet & Averous, 2009;
92 Pandey & Singh, 2005). It is difficult to verify whether the starch or the glycerol molecules
93 intercalate between the clay layers, because both have a tendency to penetrate into the silicate
94 layers, but penetration of glycerol is favored owing to its smaller molecular size (Aouada,
95 Mattoso & Longo, 2011; Chaudhary & Liu, 2013). Several investigations confirm the strong
96 influence of the polyol plasticizer on the exfoliation process and thus on the resulting
97 morphology. This effect is likely related to the hydrogen bonds established between glycerol
98 and MMT platelets, which could decrease the attractive forces between starch and clay
99 (Chiou, Wood, Yee, Imam, Glenn & Orts, 2007; Chivrac, Pollet & Averous, 2009; Pandey &
100 Singh, 2005). Exfoliated/intercalated morphology is found to be dependent also on NaMMT
101 content. Exfoliation is the predominant mechanism of clay dispersion at small filler content

102 (Schlemmer, Angelica & Sales, 2010), while increasing the clay content above 5 wt.%
103 favours the formation of intercalated structure.

104 In spite of incomplete exfoliation the TPS/NaMMT nanocomposites have improved
105 properties compared to TPS. Its properties strongly depend on the type of the starch and the
106 montmorillonite used, as well as on the amount of MMT and glycerol. Papers published so far
107 indicate that larger extent of exfoliation results in better properties (Aouada, Mattoso &
108 Longo, 2011; Chen & Evans, 2005; Chivrac, Pollet & Averous, 2009; Dean, Yu & Wu, 2007;
109 Majdzadeh-Ardakani, Navarchian & Sadeghi, 2010; Muller, Laurindo & Yamashita, 2012;
110 Schlemmer, Angelica & Sales, 2010; Tang, Alavi & Herald, 2008). Besides their barrier
111 properties packaging materials should possess also proper mechanical characteristics.
112 Although several papers discuss the stiffness, strength and deformability of TPS
113 nanocomposite films (Aouada, Mattoso & Longo, 2011; Avella, De Vlieger, Errico, Fischer,
114 Vacca & Volpe, 2005; Chivrac, Pollet, Dole & Averous, 2010; Chung, Ansari, Estevez,
115 Hayrapetyan, Giannelis & Lai, 2010; Cyras, Manfredi, Ton-That & Vazquez, 2008; Dean, Yu
116 & Wu, 2007; Huang, Yu & Ma, 2004; Majdzadeh-Ardakani, Navarchian & Sadeghi, 2010;
117 Muller, Laurindo & Yamashita, 2012; Schlemmer, Angelica & Sales, 2010; Tang, Alavi &
118 Herald, 2008), only a limited number of papers reports systematic experiments carried out as
119 a function of filler content in a wide composition range (Aouada, Mattoso & Longo, 2011;
120 Chen & Evans, 2005; Huang, Yu & Ma, 2004; Majdzadeh-Ardakani, Navarchian & Sadeghi,
121 2010), and often very poor mechanical properties are published compared to commodity
122 polymers. Since packaging materials are not usually applied under dry conditions, the
123 mechanical properties of the dry TPS/clay composites investigated generally are not relevant,
124 because it is well known that humidity can strongly influence the strength and the stiffness of
125 TPS nanocomposite films. In spite of this effect, relatively few papers have been published on
126 TPS composites studied under ambient conditions (RH = 30-60 %) (Aouada, Mattoso &

127 Longo, 2011; Chung, Ansari, Estevez, Hayrapetyan, Giannelis & Lai, 2010; Huang, Yu &
128 Ma, 2004). Furthermore the effect of processing technology on the properties of TPS
129 nanocomposites of the same composition has not yet been thoroughly elucidated. Although
130 (Aouada, Mattoso & Longo, 2011, 2013) prepared TPS nanocomposites by the combination
131 of the intercalation from solution and melt-processing preparation methods and they found
132 that the applied method resulted in intercalated/exfoliated structure and good thermal,
133 mechanical properties as well as decreased hydrophobicity and water absorption, indeed, the
134 measured mechanical properties were very poor and the different effect of the individual
135 processes on the morphology and properties of TPS nanocomposites was not investigated at
136 all.

137 As a consequence, the goal of our work was to prepare TPS/NaMMT nanocomposite
138 films with different glycerol and clay content using a melt blending as well as a solution
139 mixing procedure and to determine the structure and properties of dry and wet (conditioned)
140 films in a wide composition range. Considerable attention is paid also to interactions
141 developing among the components.

142

143 2. EXPERIMENTAL

144 High quality corn starch produced in Hungary (Hungrana Ltd.) was used in the
145 experiments. Glycerol was purchased from Aldrich, Hungary. Sodium montmorillonite
146 (Cloisite Na⁺) with a cation exchange capacity (CEC) of 92.6 meq/100 g clay was supplied
147 by Southern Clay Products ((Rockwood Additives Ltd.).

148

149 **2.1. Preparation of plasticized starch/montmorillonite nanocomposite films**

150 TPS nanocomposite films were prepared by solution and melt blending. Solution mixing
151 was carried out in the following way: Native starch was dispersed in the excess amount of

152 distilled water containing 30 and 40 wt % of glycerol. Then the suspension was continuously
153 stirred at 80 °C for 30 min to gelatinize the corn starch granules. The starch concentration of
154 the solution was 4.5 wt %. Sodium montmorillonite (NaMMT) was dispersed in distilled
155 water at concentration of 0.8 wt % by sonication for 30 min at room temperature. The clay
156 dispersion was added to the aqueous gelatinized starch and the mixture was stirred for another
157 30 min at 90 °C. Films were obtained by casting the hot suspension into Petri dishes covered
158 by a Teflon sheet and dried in an oven at 40 °C for 24 hours. Clay content changed between 0
159 and 25 wt% based on the amount of dry starch. Thickness of the films was 0.10 ± 0.02 mm.
160 Before the tests the films were stored at 23 °C and 52 % RH until constant weight was
161 reached. Nanocomposite films were also prepared by melt intercalation. During the process
162 the dry starch was premixed with glycerol (40 wt %) and montmorillonite in a Petri dish and
163 the mixtures were introduced into an internal mixer (Brabender W50 EH) and homogenized at
164 150 °C for 10 min. $0.10 \text{ mm} \pm 0.02 \text{ mm}$ thick plates were compression molded from the melt
165 at 150 °C and 5 min. One part of the films prepared by melt mixing was stored under dry
166 conditions, while the other part was stored at 23 °C and 52 % RH until further study. Table 1
167 contains the list of nanocomposite films, their compositions and the methods used for their
168 preparation.

169

170 **2.2. Characterization**

171 The crystalline structure of TPS and the gallery structure of the filler were studied by
172 X-ray diffraction (XRD) using a Philips PW1830/PW1050 equipment with $\text{CuK}\alpha$ radiation at
173 40 kV and 35 mA. Samples were scanned in the diffraction angle range of $2\text{--}35^\circ$ in 0.1° steps.
174 Diffractograms were recorded on powders (montmorillonite and starch) or films using a
175 multipurpose sample stage. The basal spacing of the silicate layers was calculated using the
176 Bragg's equation. The extent of crystallinity of starch was estimated dividing the crystalline

177 area by the total (crystalline + amorphous) area (Liu, Yu, Simon, Zhang, Dean & Chen,
178 2009).

179 The morphology of the samples was examined by scanning electron microscopy
180 (SEM) using a Jeol JSM 6380 apparatus. The micrographs were taken from surfaces created
181 by cutting with an ultramicrotome. The light transmission of the films was determined using
182 an UV-VIS spectrometer (Unicam W500) at various wavelengths. Only results obtained at
183 700 nm are reported here.

184 The equilibrium water content of the conditioned (at 23 °C at 52 % RH) film samples
185 was determined by thermogravimetric analysis (TGA). Thermogravimetric analysis (TGA)
186 was carried out in a Perkin Elmer TGA 6 equipment from 35 to 700 °C, at a heating rate of 10
187 °C/min, under nitrogen flow. The total weight loss up to 160 °C was identified as the water
188 content of the samples.

189 The tensile properties of the samples were measured using an Instron 5566 apparatus.
190 Young's modulus was determined at 0.5 mm/min while ultimate properties at a cross-head
191 speed of 5 mm/min. All characteristics were derived from five parallel measurements.

192 Properties of TPS nanocomposites were investigated as a function of volume fraction
193 of clay. In order to calculate the volume fraction of the filler we estimated the density of
194 nanocomposites from the compositions (NaMMT, starch, glycerol and water content)
195 assuming the additivity of densities of the components.

196

197 3. RESULTS AND DISCUSSION

198 The TPS nanocomposite films prepared by solution mixing or melt intercalation were
199 more or less transparent and showed homogeneous appearance without breaks, fractures,
200 insoluble particles or bubbles (Fig. 1). The films with smaller plasticizer content (water and

201 glycerol) appeared to be stiffer and more brittle than films containing more plasticizer. Cast
202 films were white, while films prepared by compression molding showed brown color.

203

204 **3.1. Structure**

205 *Crystallinity*

206 Fig. 2a shows the XRD patterns obtained for the conditioned TPS (S30-0, S40-0 and
207 M40-0) films prepared by different methods together with the XRD trace of the native starch.
208 The amylopectin side chains of native starch can crystallize in three crystalline forms, namely,
209 the A-type for cereal starches, the B-type for tuberos and amylose rich starch and the C-type
210 which has a structure between those of the A- and B-types (vanSoest, Hullemann, deWit &
211 Vliegthart, 1996). These structures are completely or partially destroyed during processing
212 resulting in an amorphous matrix. According to (vanSoest & Vliegthart, 1997) two types of
213 crystallinity can be distinguished in thermoplastic starch after processing: residual
214 crystallinity and process-induced crystallinity. The residual crystallinity is caused by
215 incomplete melting or solution of starch during processing and can be A-, B- or C-type, as
216 occurs in native starches. The induced crystallinity is associated with the crystallization of
217 amylose and identified as V_H -, V_A - or E_H -types.

218 It is well known that native corn starch crystallizes in the A-form and the characteristic
219 diffraction peaks with strong reflections at 2θ angles of about 15° and 23° and an unresolved
220 doublet at 17° and 18° can be easily identified in the XRD pattern of native starch in Fig. 2a
221 indeed. The absence of these peaks in the XRD patterns of the cast TPS films clearly proves
222 that the original crystalline structure was completely destroyed during the solution mixing
223 process. Some minimal residual A-type crystallinity may be assumed in the TPS film
224 prepared by melt process. The characteristic peaks at $2\theta = 12.9^\circ, 17.3^\circ, 19.7^\circ$ and 22.2°
225 indicate the formation of the V_H -type structure in all films. V_H -type crystallinity is typical for

226 TPS samples in which the water content is larger than 10 wt% (Mitrus, 2010; vanSoest,
227 Hulleman, deWit & Vliegthart, 1996). The extent of starch crystallinity was also calculated
228 for all TPS nanocomposites and the results are presented in Fig. 2b. From Fig. 2b it is obvious
229 that nanocomposites containing 40 wt % glycerol have somewhat larger crystallinity than
230 samples with 30 wt % glycerol content which is probably caused by the larger mobility of
231 starch chains in TPS composites containing more plasticizer. Although the results indicate
232 that the crystallinity of the matrix polymer increases with clay content, the overlapping of the
233 characteristic peak of the clay at $2\theta = 20.05^\circ$ (Zahedsheijani, Faezipour, Tarmian, Layeghi &
234 Yousefi, 2012) and that of the starch at $2\theta = 19.7^\circ$ must be considered here.

235

236 *Dispersion of NaMMT in the TPS matrix*

237 The 2θ range between 2° and 10° was analyzed in order to obtain information about
238 the dispersion of the nanoclay in the TPS nanocomposites. Fig. 3a shows the XRD patterns of
239 Cloisite- Na^+ , TPS (S30-0), and TPS–cloisite- Na^+ nanocomposites (S30) prepared by solution
240 mixing at different NaMMT contents. Starch does not have any characteristic reflection in the
241 studied range while NaMMT exhibits a single 001 diffraction peak at around 7.3° . In the
242 composite films the 001 diffraction peak of the NaMMT (1.21 nm) shifts to smaller angles
243 ($5.2^\circ \pm 0.2^\circ$) corresponding to an interlayer basal spacing (d_{001}) of 1.69 ± 0.06 nm
244 independently of clay content. Similar results were obtained also for the S40 and M40
245 samples. These results indicate that either the glycerol or the polymer chains or both entered
246 into the silicate layers forming intercalated starch/MMT nanocomposites, without reaching
247 complete exfoliation. The similar size of glycerol and glucose units in starch makes it difficult
248 to assess, on the basis of the change in the interlayer spacing, whether starch, glycerol or both
249 entered into the galleries.

250 Figure 3b presents the dependence of the intensity of clay reflection on clay content
251 for nanocomposite films prepared by different methods. Intensity increases almost linearly
252 with filler content up to approximately 3.0 vol % (10 wt % related to dry starch) in all
253 samples, which indicates that exfoliation does not take place during processing or always the
254 same fraction of the silicate exfoliates independently of clay content. Although the scattering
255 of measured intensities of the M40 samples (prepared by melt intercalation) is quite large we
256 can conclude that above 3 vol % clay content the extent of exfoliation is smaller in these
257 nanocomposites than in samples prepared by solution mixing.

258 Further information can be obtained about the structure of the composites from their
259 light transmission. Composites containing particles that are smaller than the wavelength of
260 incident light are transparent while larger ones or aggregates scatter light, make the material
261 opaque. Published results indicate that the transparency of nanocomposites increases with
262 increasing extent of exfoliation (Manias, Touny, Wu, Strawhecker, Lu & Chung, 2001;
263 Pozsgay, Csapo, Szazdi & Pukanszky, 2004; Wan, Qiao, Zhang & Zhang, 2003). Fig. 4 shows
264 the transparency of our composites as a function of clay content. The light transmission of
265 PVC/NaMMT composites is also plotted as reference (Pozsgay, Csapo, Szazdi & Pukanszky,
266 2004). We cannot expect any exfoliation to occur in this latter case. According to Fig. 4 large
267 differences can be observed in the light transmission of the investigated TPS nanocomposites
268 prepared by different procedures. The transparency of nanocomposites prepared by solution
269 mixing remains large in the entire composition range, while that of the samples prepared by
270 melt intercalation decreases significantly. Attention should be paid here to the small
271 transparency of the TPS film prepared by melt blending without NaMMT (M40-0). The small
272 transparency as well as the brown color of this sample might be related to the degradation of
273 starch during melt homogenization. NaMMT obviously does not exfoliate at all in
274 nanocomposites prepared by melt blending, while we may assume some exfoliation to occur

275 in samples prepared by solution mixing. The significant difference in the composition
276 dependence of the transparency of nanocomposites prepared by various techniques does not
277 coincide with their similar gallery distances and scattering intensities determined from the
278 XRD patterns of the samples. Obviously we must not overemphasize either the changes in
279 gallery distance or transparency, but we can safely conclude that during the preparation of
280 TPS composites interactions take place among all components. Complete exfoliation
281 definitely does not take place under the conditions used in this study, but intercalation and
282 limited delamination cannot be excluded completely.

283 The degree of dispersion of NaMMT in the TPS composites was also investigated with
284 the help of SEM micrographs. SEM micrographs taken from the S40-10 and M40-10
285 composites are presented in Fig. 5. These samples were prepared by different methods, but
286 with the same glycerol and filler content. Several large clay aggregates of around 10 μm in
287 diameter were observed in the starch film produced by melt intercalation, while the dispersion
288 of NaMMT was homogeneous in the cast films and only very small particles could be seen in
289 these samples. Similar homogeneous clay distribution was observed in all nanocomposite
290 films (S30 and S40 samples) prepared by film casting.

291

292 **3.2. Moisture content of conditioned nanocomposite films**

293 The large water sorption capacity of TPS is well-known and better resistance against
294 water is claimed to be one of the advantages of TPS composites containing layered silicates
295 (Averous, 2004; Chivrac, Pollet & Averous, 2009). Absorbed water acts as plasticizer, thus
296 influencing composite characteristics, mainly mechanical properties. TGA measurements
297 were carried out on the samples of the TPS nanocomposite films prepared by solution or melt
298 mixing and conditioned at 23 ° and 52 % relative humidity in order to determine the exact
299 composition. The results are presented in Fig. 6 and indicate small differences in water

300 content, the original water content of TPS decreases by 3-4 wt% as the filler content increases
301 by 7-8 vol %. The comparison of the water sorption capacity of the various cast films shows
302 that TPS nanocomposites containing 40 wt % glycerol can absorb more water than samples
303 with 30 wt % plasticizer content because of the high hygroscopicity of glycerol. The
304 comparison of the films prepared by unlike methods is difficult, since different interactions
305 can be formed between starch and water depending on the method of preparation.

306

307 **3.3 Mechanical properties**

308 Stiffness, strength and deformability of conditioned cast films as well as the same
309 properties of dry and conditioned films prepared by melt intercalation were determined to
310 characterize the mechanical behavior of the composites. The modulus, tensile strength and
311 elongation-at-break values of the investigated TPS nanocomposites are plotted against clay
312 content in Fig. 7. The figures clearly show that the mechanical properties of the samples
313 strongly depend on their plasticizer (water and glycerol) and clay content. The standard
314 deviation of the measurements is relatively large even though the films showed homogeneous
315 appearance. According to Fig. 7a stiffness increases from around 0.70 GPa up to
316 approximately 2.6 GPa for S30 and dry M40 films and from 0.10 GPa up to 0.45 GPa for S40
317 TPS nanocomposites, i.e. clay reinforce starch strongly. The S40 and dry M40 samples have
318 almost the same plasticizer content, i.e. 40 wt% glycerol for M40 and 30 wt % glycerol +
319 around 10 wt % water for S30 samples, thus the similar modulus of M40 and S30 films
320 containing the same filler amount means that the preparation method has limited effect on
321 stiffness and water seems to have stronger plasticizing effect than glycerol. Preparation
322 technique, plasticizer content and the type of plasticizer have influence tensile strength and
323 deformability more than modulus. The largest tensile strengths and the smallest elongation-at-
324 breaks were measured for dry M40 nanocomposites in the 0-6 vol % NaMMT range.

325 According to Fig. 7b, tensile strength increases from 11.0 MPa up to 20.3 MPa. Above 3-4
326 vol % clay content composite strength does not increase further, which indicates the influence
327 of some structural effect probably the inhomogeneous distribution of the filler discussed
328 above. Presumably the strong plasticizing effect of water results in the smaller strength and
329 larger deformability of the conditioned S30 samples compared to the dry M40 composite.
330 With larger glycerol content tensile strength is smaller and elongation-at-break is larger. The
331 tensile strength of the S40 nanocomposites is smaller than that of the S30 samples and the
332 change with increasing NaMMT content is small (only approximately 3 MPa from 2.1 MPa to
333 5.2 MPa). It is important to note, however, that the stiffness and strength of conditioned M40
334 films are very poor, the worst among all samples in spite of the comparable glycerol and
335 water content of S40 and conditioned M40 TPS nanocomposites. Probably the water is
336 differently bonded during solvent mixing and conditioning and has different effect on
337 mechanical properties. We mentioned earlier that the small transparency and brown color of
338 the M40 samples might be related to the degradation of starch occurring during melt
339 homogenization. If this assumption is true, it can explain the poorer mechanical properties of
340 the conditioned M40 samples.

341

342 **3.4. TPS/clay interaction**

343 Interfacial interactions considerably influence and occasionally determine the
344 properties of all heterogeneous materials. They play an important role also in the studied
345 TPS/clay composites. Reinforcement or the strength of interaction can be estimated from
346 composition dependence of the tensile strength of composites. The use of a simple semi-
347 empirical model developed earlier (Pukánszky 1990), allows us to calculate a parameter (B)
348 which is proportional to the load carried by the dispersed component. The model takes the
349 following form for tensile strength

350
$$\sigma_T = \sigma_{T0} \lambda^n \frac{1 - \varphi}{1 + 2.5\varphi} \exp(B\varphi) \quad (1)$$

351 where λ is the relative elongation (L/L_0), σ_T and σ_{T0} are the true tensile strength ($\sigma_T = \sigma\lambda$) of
 352 the composite and the matrix respectively, n is a parameter taking into account strain
 353 hardening, φ is the volume fraction of the filler and B is related to its relative load-bearing
 354 capacity, i.e. to the extent of reinforcement, which, among other factors, depends also on
 355 interfacial interaction. We can write Eq. 1 in linear form

356
$$\ln \sigma_{Tred} = \ln \frac{\sigma_T (1 + 2.5\varphi)}{\lambda^n (1 - \varphi)} = \ln \sigma_{T0} + B\varphi \quad (2)$$

357 and plotting the natural logarithm of the reduced tensile strength of the composite (σ_{Tred})
 358 against filler content should result in a linear correlation, the slope of which is proportional to
 359 parameter B . In Fig. 8 reduced tensile strength of the composites is plotted against filler
 360 content in the form indicated by Eq. 2. Relatively good straight lines are obtained for the three
 361 selected cases; standard deviation account for the scatter. The slope of the lines gives B . The
 362 parameters of the model, i.e. B and the intersection of the line, which corresponds to the
 363 calculated strength of the matrix, σ_{T0} , are listed in Table 2. The goodness of the linear fit, i.e.
 364 determination coefficient, is also listed in the table, in column four.

365 The value of B ranges from 12.0 to 16.8 for the conditioned S30 and S40, as well as
 366 for the dry M40 nocomposites, and they are very large compared to usual particulate filled
 367 commodity polymers; B is often smaller than 1 for PP/CaCO₃ composites e.g. (Kiss, Fekete &
 368 Pukanszky, 2007; Pukanszky, 1990). Similar, but slightly smaller B parameters (9.6-12.0)
 369 were determined for TPS/wood composites earlier (Müller, Renner, Móczó, Fekete &
 370 Pukánszky, 2013). We could not calculate parameter B for the conditioned M40
 371 nanocomposite samples, because their tensile strength practically does not change with
 372 increasing filler content. The strength of the unfilled conditioned M40 TPS film was very
 373 poor (1.0 MPa).

374 Three main factors influence the value of B : the size of the contact surface between the
375 components, the strength of interaction and the tensile strength of the matrix (σ_0). The first
376 may increase considerably as a result of exfoliation, while the second is determined by the
377 character of interaction (secondary forces, covalent bonds). B is defined as

$$378 \quad B = (1 + A_f \rho_f \ell) \ln \frac{\sigma_i}{\sigma_0} \quad (3)$$

379 where A_f and ρ_f are the specific surface area and density of the reinforcing component, ℓ the
380 thickness of the interphase forming spontaneously in the composite, while σ_i and σ_0 is the
381 strength of the interface and the matrix, respectively. We could see in Fig. 3b that the extent
382 of exfoliation is similar in the three investigated TPS nanocomposites in the range of 0-3 vol
383 % clay content. It has also been proven earlier that the properties of the matrix (σ_0) play an
384 important role in the actual value of B (Müller, Renner, Móczó, Fekete & Pukánszky, 2013;
385 Szazdi, Pozsgay & Pukanszky, 2007). The softer is the matrix, the larger is the reinforcing
386 effect of filler. Comparing the B parameters determined for the S30 and S40 nanocomposites
387 we can see that B calculated for the S30 composites is larger than the one for S40. This is
388 rather surprising, since the tensile strength of the S40-0 TPS matrix is much smaller than that
389 of the S30-0 sample. Assuming similar extent of exfoliation of the montmorillonite in the
390 different nanocomposites we can conclude that somewhat stronger interfacial interaction
391 forms between the starch and the clay in the S30 samples than in the S40 composites. The
392 probable reason for the stronger interaction is the smaller amount of glycerol applied for
393 plasticization. As described in the introductory part, competitive interactions develop among
394 starch, glycerol and clay and several authors (Chiou, Wood, Yee, Imam, Glenn & Orts, 2007;
395 Chivrac, Pollet & Averous, 2009; Pandey & Singh, 2005) pointed out that the presence of
396 glycerol may hinder the interaction between starch and montmorillonite. The comparison of
397 the B value of the M40 samples with those of the S30 and S40 nanocomposites is difficult

398 because of the different preparation techniques used and because of dissimilar plasticizer
399 contents.

400

401 4. CONCLUSIONS

402 According to the results of X-ray diffraction analysis, scanning electron microscopy
403 and light transmission measurements all nanocomposites possess intercalated structure, but at
404 larger clay content (above 10 wt%) nanocomposites prepared by melt intercalation contained
405 aggregated particles as well. V_H -type crystallinity was found in all nanocomposites, which is
406 typical for TPS containing more than 10 wt% water. Somewhat larger crystallinity was
407 observed in nanocomposites containing more plasticizer, which is probably due to the larger
408 mobility of starch molecules in these composites. The applied clay content was much larger
409 than the usual 1-5 wt %, and the results proved that these nanocomposites can offer good
410 properties in spite of the lack of the complete exfoliation. Clay reinforces TPS considerably in
411 nanocomposites prepared by solution homogenization. Similarly good mechanical properties
412 were determined also on dry samples prepared by melt blending, but conditioning of these
413 samples resulted in very poor stiffness and strength, the worst among all samples studied. We
414 assume that water either adsorbed or bonded in the composites in conditioning or solution
415 mixing processes, respectively, has different effect on mechanical properties. With the aid of
416 a simple model we could prove the strong interaction between starch and montmorillonite and
417 that the increase in glycerol content decreases the starch/clay interaction.

418

419 5. ACKNOWLEDGEMENTS

420 The financial support of the National Scientific Research Fund of Hungary (OTKA
421 Grant No. K 67936 and K 108934) to the research on polymer nanocomposite films and
422 functional polymers is highly appreciated.

423

424 6. REFERENCES

- 425 Aouada, F. A., Mattoso, L. H. C., & Longo, E. (2011). New strategies in the preparation of
426 exfoliated thermoplastic starch-montmorillonite nanocomposites. *Industrial Crops and*
427 *Products*, 34(3), 1502-1508.
- 428 Aouada, F. A., Mattoso, L. H. C., & Longo, E. (2013). Enhanced bulk and superficial
429 hydrophobicities of starch-based bionanocomposites by addition of clay. *Industrial*
430 *Crops and Products*, 50, 449-455.
- 431 Avella, M., De Vlieger, J. J., Errico, M. E., Fischer, S., Vacca, P., & Volpe, M. G. (2005).
432 Biodegradable starch/clay nanocomposite films for food packaging applications. *Food*
433 *Chemistry*, 93(3), 467-474.
- 434 Averous, L. (2004). Biodegradable multiphase systems based on plasticized starch: A review.
435 *Journal of Macromolecular Science-Polymer Reviews*, C44(3), 231-274.
- 436 Averous, L., & Boquillon, N. (2004). Biocomposites based on plasticized starch: thermal and
437 mechanical behaviours. *Carbohydrate Polymers*, 56(2), 111-122.
- 438 Averous, L., & Fringant, C. (2001). Association between plasticized starch and polyesters:
439 Processing and performances of injected biodegradable systems. *Polymer Engineering*
440 *and Science*, 41(5), 727-734.
- 441 Averous, L., Fringant, C., & Moro, L. (2001a). Plasticized starch-cellulose interactions in
442 polysaccharide composites. *Polymer*, 42(15), 6565-6572.
- 443 Averous, L., Fringant, C., & Moro, L. (2001b). Starch-based biodegradable materials suitable
444 for thermoforming packaging. *Starch-Starke*, 53(8), 368-371.
- 445 Avérous, L., & Pollet, E. (2012). Biodegradable Polymers. In L. Avérous & E. Pollet (Eds.).
446 *Environmental Silicate Nano-Biocomposites* (pp. 13-39): Springer London.

447 Chaudhary, D., & Liu, H. H. (2013). Ultrasonic treatment and synthesis of sugar alcohol
448 modified Na⁺-montmorillonite clay. *Ultrasonics Sonochemistry*, 20(1), 63-68.

449 Chen, B. Q., & Evans, J. R. G. (2005). Thermoplastic starch-clay nanocomposites and their
450 characteristics. *Carbohydrate Polymers*, 61(4), 455-463.

451 Chiou, B. S., Wood, D., Yee, E., Imam, S. H., Glenn, G. M., & Orts, W. J. (2007). Extruded
452 starch-nanoclay nanocomposites: Effects of glycerol and nanoclay concentration.
453 *Polymer Engineering and Science*, 47(11), 1898-1904.

454 Chivrac, F., Pollet, E., & Averous, L. (2009). Progress in nano-biocomposites based on
455 polysaccharides and nanoclays. *Materials Science & Engineering R-Reports*, 67(1), 1-
456 17.

457 Chivrac, F., Pollet, E., Dole, P., & Averous, L. (2010). Starch-based nano-biocomposites:
458 Plasticizer impact on the montmorillonite exfoliation process. *Carbohydrate Polymers*,
459 79(4), 941-947.

460 Chung, Y. L., Ansari, S., Estevez, L., Hayrapetyan, S., Giannelis, E. P., & Lai, H. M. (2010).
461 Preparation and properties of biodegradable starch-clay nanocomposites.
462 *Carbohydrate Polymers*, 79(2), 391-396.

463 Cyras, V. P., Manfredi, L. B., Ton-That, M. T., & Vazquez, A. (2008). Physical and
464 mechanical properties of thermoplastic starch/montmorillonite nanocomposite films.
465 *Carbohydrate Polymers*, 73(1), 55-63.

466 Dean, K., Yu, L., & Wu, D. Y. (2007). Preparation and characterization of melt-extruded
467 thermoplastic starch/clay nanocomposites. *Composites Science and Technology*, 67(3-
468 4), 413-421.

469 Hayashi, A., Kinoshita, K., & Miyake, Y. (1981). The conformation of amylose in solution.1.
470 *Polymer Journal*, 13(6), 537-541.

471 Huang, M. F., Yu, J. G., & Ma, X. F. (2004). Studies on the properties of Montmorillonite-
472 reinforced thermoplastic starch composites. *Polymer*, 45(20), 7017-7023.

473 Kampeerappun, P., Aht-Ong, D., Pentrakoon, D., & Srikulkit, K. (2007). Preparation of
474 cassava starch/montmorillonite composite film. *Carbohydrate Polymers*, 67(2), 155-
475 163.

476 Kelnar, I., Kapralkova, L., Brozova, L., Hromadkova, J., & Kotek, J. (2013). Effect of
477 chitosan on the behaviour of the wheat B-starch nanocomposite. *Industrial Crops and*
478 *Products*, 46, 186-190.

479 Kiss, A., Fekete, E., & Pukanszky, B. (2007). Aggregation of CaCO₃ particles in PP
480 composites: Effect of surface coating. *Composites Science and Technology*, 67(7-8),
481 1574-1583.

482 Lambert, J. F., & Poncelet, G. (1997). Acidity in pillared clays: Origin and catalytic
483 manifestations. *Topics in Catalysis*, 4(1-2), 43-56.

484 Liu, H. S., Yu, L., Simon, G., Zhang, X. Q., Dean, K., & Chen, L. (2009). Effect of annealing
485 and pressure on microstructure of cornstarches with different amylose/amylopectin
486 ratios. *Carbohydrate Research*, 344(3), 350-354.

487 Magalhaes, N. F., & Andrade, C. T. (2009). Thermoplastic corn starch/clay hybrids: Effect of
488 clay type and content on physical properties. *Carbohydrate Polymers*, 75(4), 712-718.

489 Majdzadeh-Ardakani, K., Navarchian, A. H., & Sadeghi, F. (2010). Optimization of
490 mechanical properties of thermoplastic starch/clay nanocomposites. *Carbohydrate*
491 *Polymers*, 79(3), 547-554.

492 Manias, E., Touny, A., Wu, L., Strawhecker, K., Lu, B., & Chung, T. C. (2001).
493 Polypropylene/Montmorillonite nanocomposites. Review of the synthetic routes and
494 materials properties. *Chemistry of Materials*, 13(10), 3516-3523.

495 Masclaux, C., Gouanve, F., & Espuche, E. (2010). Experimental and modelling studies of
496 transport in starch nanocomposite films as affected by relative humidity. *Journal of*
497 *Membrane Science*, 363(1-2), 221-231.

498 Mitrus, M. (2010). TPS and Its Nature. *Thermoplastic Starch* (pp. 77-104): Wiley-VCH
499 Verlag GmbH & Co. KGaA.

500 Muller, C. M. O., Laurindo, J. B., & Yamashita, F. (2012). Composites of thermoplastic
501 starch and nanoclays produced by extrusion and thermopressing. *Carbohydrate*
502 *Polymers*, 89(2), 504-510.

503 Müller, P., Renner, K., Móczó, J., Fekete, E., & Pukánszky, B. (2014). Thermoplastic
504 starch/wood composites: Interfacial interactions and functional properties. *Carbohydrate*
505 *Polymers*, 102, 821-829.

506 Pandey, J. K., & Singh, R. P. (2005). Green nanocomposites from renewable resources: Effect
507 of plasticizer on the structure and material properties of clay-filled starch. *Starch-*
508 *Starke*, 57(1), 8-15.

509 Pozsgay, A., Csapo, I., Szazdi, L., & Pukanszky, B. (2004). Preparation, structure, and
510 properties of PVC/montmorillonite nanocomposites. *Materials Research Innovations*,
511 8(3), 138-139.

512 Pukanszky, B. (1990). Influence of interface interaction on the ultimate tensile properties of
513 polymer composites. *Composites*, 21(3), 255-262.

514 Ray, S. S., & Bousmina, M. (2005). Biodegradable polymers and their layered silicate
515 nanocomposites: In greening the 21st century materials world. *Progress in Materials*
516 *Science*, 50(8), 962-1079.

517 Schlemmer, D., Angelica, R. S., & Sales, M. J. A. (2010). Morphological and
518 thermomechanical characterization of thermoplastic starch/montmorillonite
519 nanocomposites. *Composite Structures*, 92(9), 2066-2070.

520 Schwach, E., & Averous, L. (2004). Starch-based biodegradable blends: morphology and
521 interface properties. *Polymer International*, 53(12), 2115-2124.

522 Szazdi, L., Pozsgay, A., & Pukanszky, B. (2007). Factors and processes influencing the
523 reinforcing effect of layered silicates in polymer nanocomposites. *European Polymer*
524 *Journal*, 43(2), 345-359.

525 Tang, X. Z., Alavi, S., & Herald, T. J. (2008). Effects of plasticizers on the structure and
526 properties of starch-clay nanocomposite films. *Carbohydrate Polymers*, 74(3), 552-
527 558.

528 vanSoest, J. J. G., Hulleman, S. H. D., deWit, D., & Vliegenthart, J. F. G. (1996).
529 Crystallinity in starch bioplastics. *Industrial Crops and Products*, 5(1), 11-22.

530 vanSoest, J. J. G., & Vliegenthart, J. F. G. (1997). Crystallinity in starch plastics:
531 Consequences for material properties. *Trends in Biotechnology*, 15(6), 208-213.

532 Vroman, I., & Tighzert, L. (2009). Biodegradable Polymers. *Materials*, 2(2), 307-344.

533 Wan, C. Y., Qiao, X. Y., Zhang, Y., & Zhang, Y. X. (2003). Effect of different clay treatment
534 on morphology and mechanical properties of PVC-clay nanocomposites. *Polymer*
535 *Testing*, 22(4), 453-461.

536 Yixiang, X., Junjie, G., & Milford, A. H. (2007). Starch-Based Biodegradable Packaging.
537 *Encyclopedia of Agricultural, Food, and Biological Engineering* (Vol. null, pp. 1-4):
538 Taylor & Francis.

539 Zahedsheijani, R., Faezipour, M., Tarmian, A., Layeghi, M., & Yousefi, H. (2012). The effect
540 of Na⁺ montmorillonite (NaMMT) nanoclay on thermal properties of medium density
541 fiberboard (MDF). *European Journal of Wood and Wood Products*, 70(5), 565-571

542 Zobel, H. F. (1988). Molecules to granules – a comprehensive starch review. *Starch-Starke*,
543 40(2), 44-50.

544

545 Table 1. Preparation method and composition as well as designation of TPS nanocomposites

Sample	Method	Glycerol content (g/100g starch)	Clay content	
			g/100 g starch	(vol %)
S30	Solution	30	0 - 25	0 - 8
S40	Solution	40	0 - 25	0 - 7
M40	Melt	40	0 - 25	0 - 7

546

547

548 Table 2. Calculated tensile strengths of TPS matrices, load-bearing capacities of clays and
549 the goodness of linear fits

Sample	σ_0 (MPa)	B	R ²
S30 nanocomposites	6.8	15.47	0.9612
S40 nanocomposites	2.2	11.97	0.9783
Dry M40 nanocomposites	10.8	16.80	0.9752

550

551

552 CAPTIONS

553

554 Fig. 1 Different appearance of TPS nanocomposites prepared by solution (left) and
555 melt blending (right)

556 Fig. 2 Crystalline structure of TPS/NaMMT nanocomposites: a) X-ray patterns of
557 starch and TPS samples prepared by different methods; b) Effect of clay
558 content on the crystallinity of TPS nanocomposites

559 Fig. 3 X-ray results: a) X-ray spectra of NaMMT, TPS and S30 nanocomposites; b)
560 Integrated intensity of the clay reflection plotted against clay content

561 Fig. 4 Effect of clay content on the transparency of different TPS/clay and PVC/clay
562 composites

563 Fig. 5 Scanning electron micrographs recorded on the cryo-cut surfaces of S40-10 (a)
564 and M40-10 (b) TPS/NaMMT nanocomposites

565 Fig. 6 Effect of filler and glycerol content as well as preparation technique on the
566 equilibrium water content of TPS nanocomposites

567 Fig. 7 Mechanical properties: effect of NaMMT content on the stiffness (a), strength
568 (b) and elongation-at-break (c) of TPS/NaMMT nanocomposites

569 Fig. 8 Tensile strength of TPS/NaMMT nanocomposites plotted against filler content
570 in the linear representation of Eq. (1)

571

572

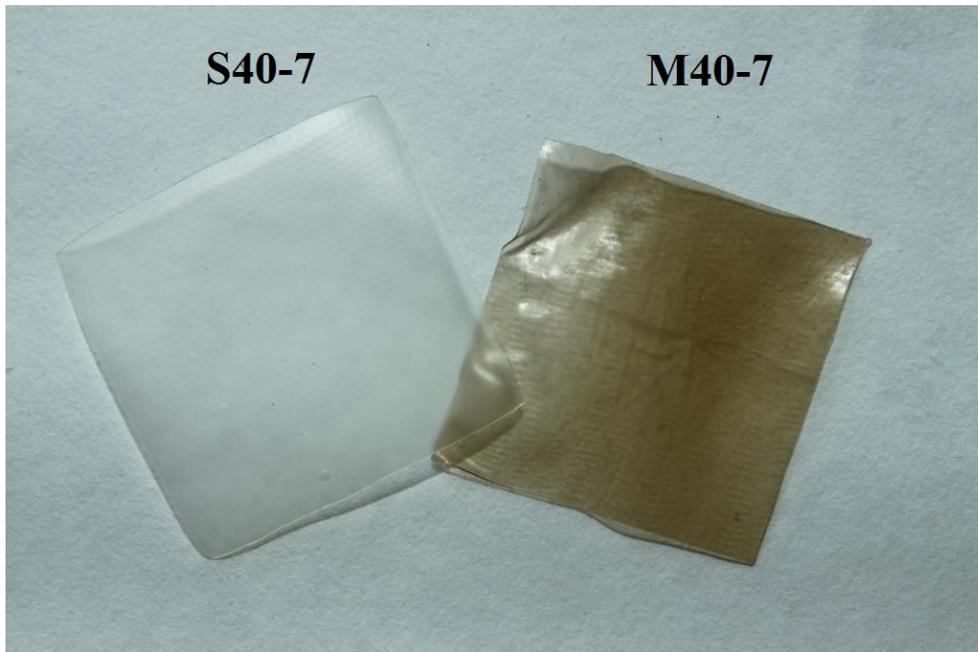
573

574

575

576

577 Müller, Fig. 1
578
579

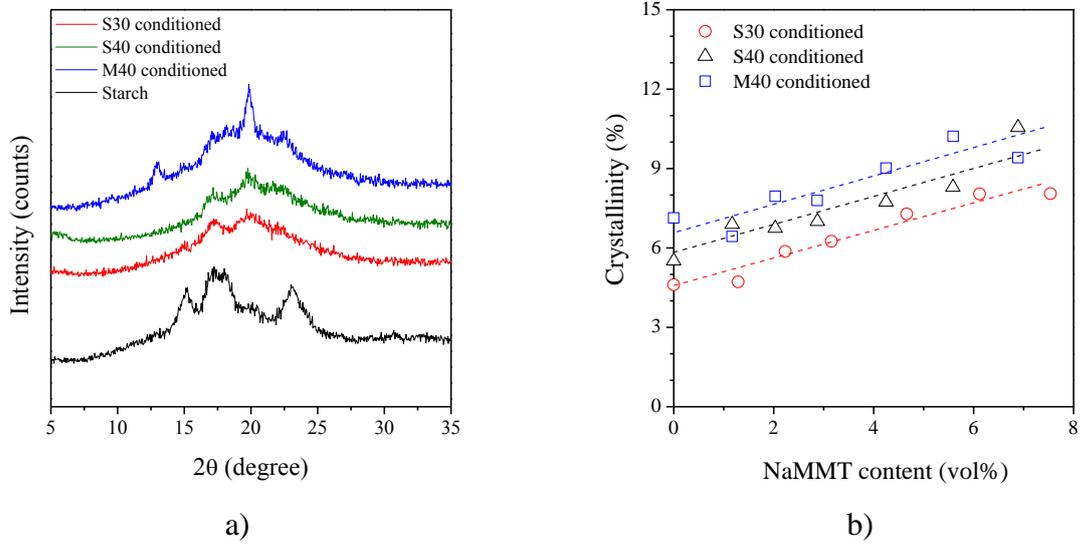


580
581
582
583 Fig. 1

Different appearance of TPS nanocomposites prepared by solution (left) and melt blending (right)

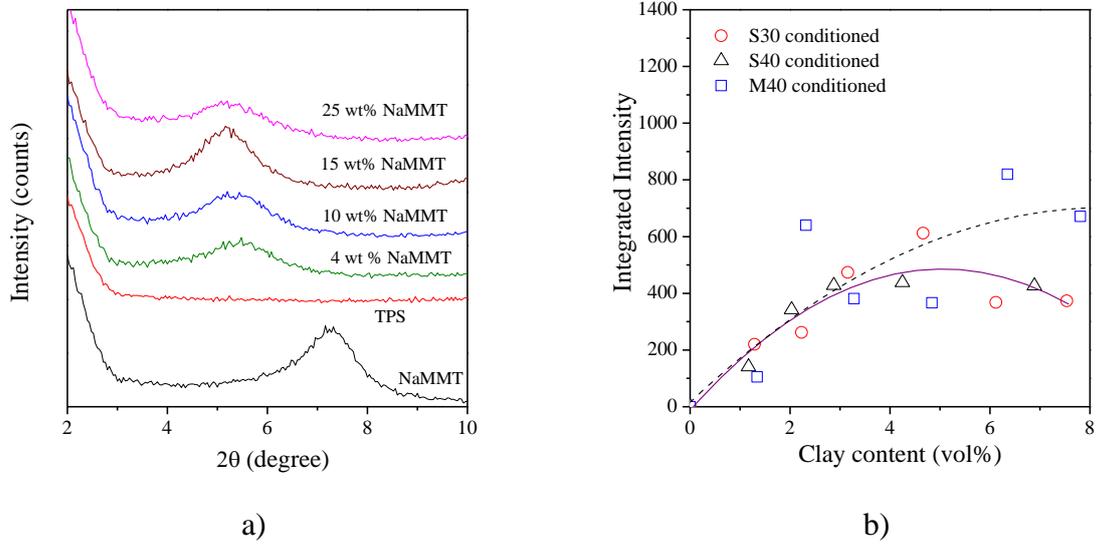
584
585
586

587 Müller, Fig. 2
588
589



590
591 Fig. 2 Crystalline structure of TPS/NaMMT nanocomposites: a) X-ray patterns of
592 starch and TPS samples prepared by different methods; b) Effect of clay
593 content on the crystallinity of TPS nanocomposites
594
595

596 Müller, Fig. 3
597
598



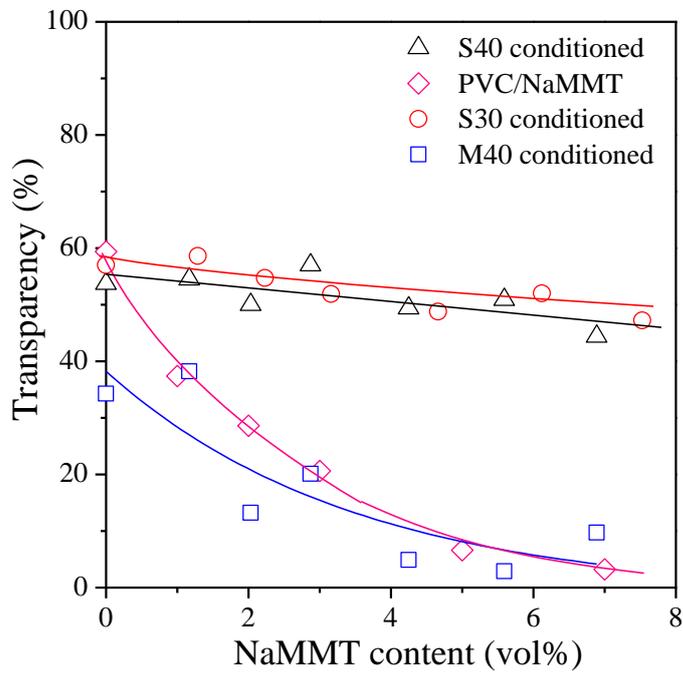
599
600
601 Fig. 3

X-ray results: a) X-ray spectra of NaMMT, TPS and S30 nanocomposites; b)

602 Integrated intensity of the clay reflection plotted against clay content

603
604

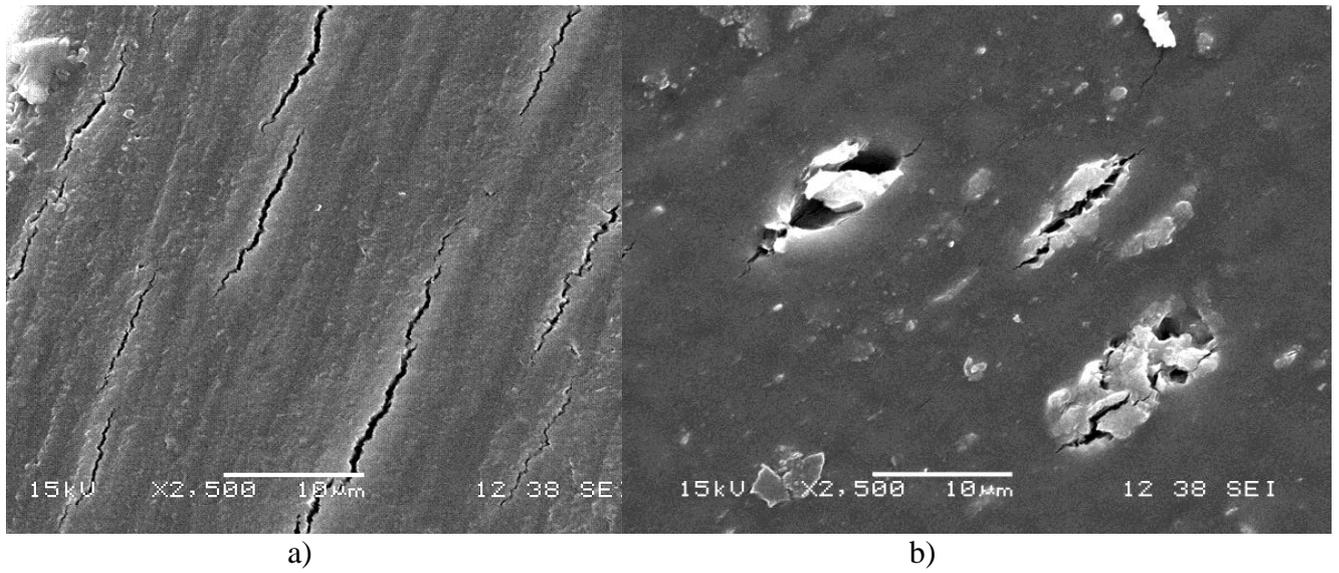
605 Müller, Fig. 4
606
607



608 Fig. 4 Effect of clay content on the transparency of different TPS/clay and PVC/clay
609
610 composites

611
612

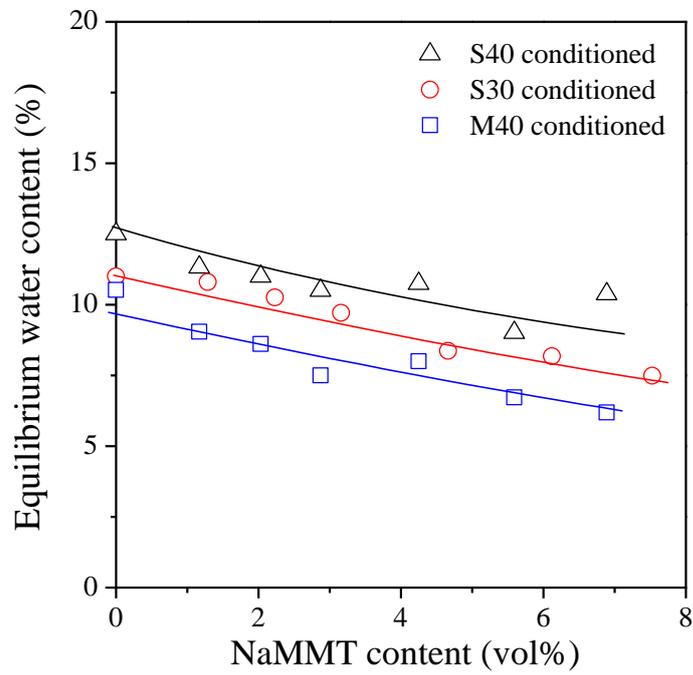
613 Müller, Fig. 5
614
615



616 Fig. 5 Scanning electron micrographs recorded on the cryo-cut surfaces of S40-10 (a)
617 and M40-10 (b) TPS/NaMMT nanocomposites

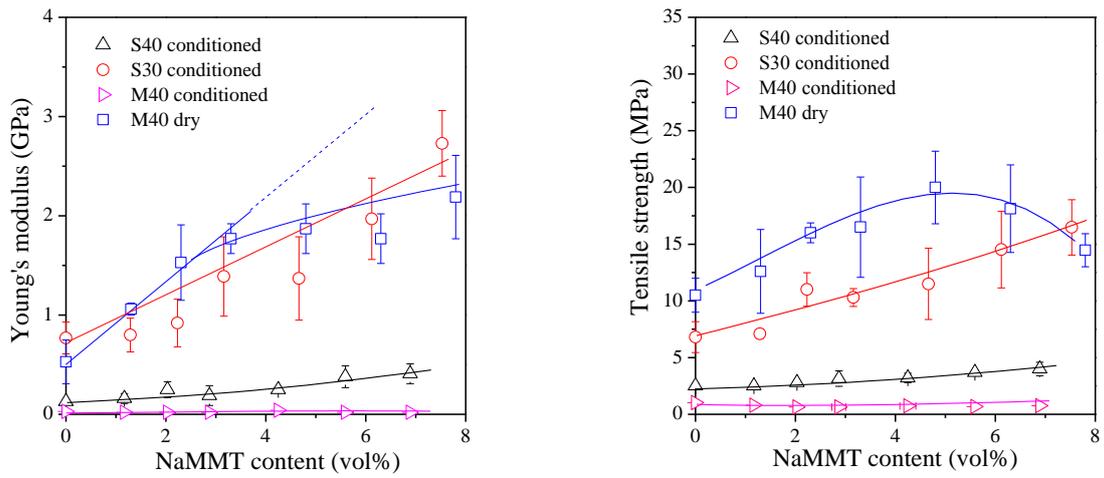
618
619

620 Müller, Fig. 6
621
622



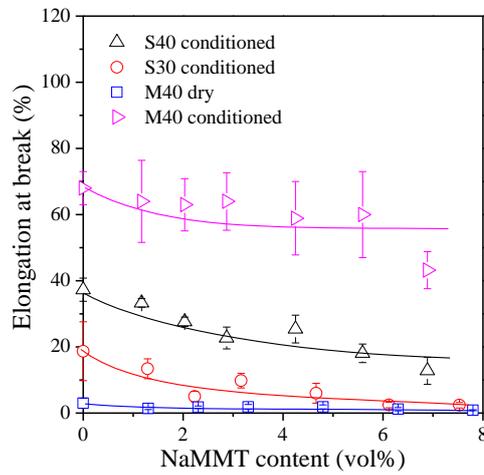
623 Fig. 6 Effect of filler and glycerol content as well as preparation technique on the
624 equilibrium water content of TPS nanocomposites
625

626
627
628



a)

b)



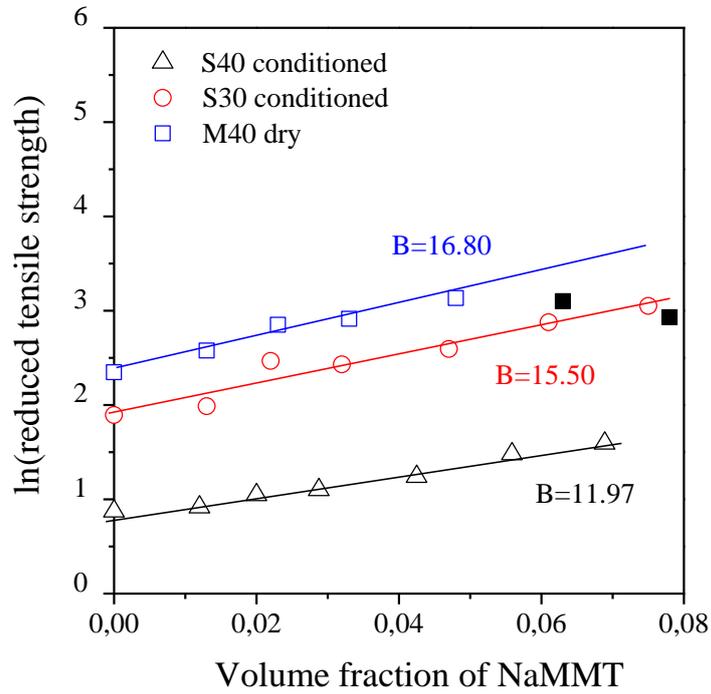
c)

630
631

632 Fig. 7 Mechanical properties: effect of NaMMT content on the stiffness (a), strength
633 (b) and elongation-at-break (c) of TPS/NaMMT nanocomposites

634
635
636

637 Müller, Fig. 8
638
639



640
641 Fig. 8 Tensile strength of TPS/NaMMT nanocomposites plotted against filler content
642 in the linear representation of Eq. (1)
643
644
645