1 Title

2 Dogs rapidly develop socially competent behavior while interacting with a contingently

3 responding self-propelled object

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5 Authors

6 Anna Gergely[1]*, Judit Abdai1, Eszter Petró1, András Kosztolányi2,3, József Topál4 and

7 Ádám Miklósi1,5

8

9 Affiliations

10 1Department of Ethology, Eötvös Loránd University, Pázmány Péter sétány 1/c, 1117

11 Budapest, Hungary

12 2Department of Ecology, Faculty of Veterinary Science, Szent István University, Rottenbiller

13 u. 50, 1077 Budapest, Hungary

14 3MTA-DE "Lendület" Behavioural Ecology Research Group, Department of Evolutionary

15 Zoology, University of Debrecen, Egyetem tér 1, 4032 Debrecen, Hungary

16 4Institute of Cognitive Neuroscience and Psychology, Hungarian Academy of Sciences,

17 Magyar tudósok körútja 2, 1117 Budapest, Hungary

18 5MTA-ELTE Comparative Ethology Research Group, Pázmány Péter sétány 1/c, 1117

19 Budapest, Hungary

20

21 * Corresponding author: Anna Gergely, address: Csikó sétány 11 Fsz. 4, 1214 Budapest,

22 Hungary, tel.: +36307577378, e-mail: anna.gergely66@gmail.com

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25

26   Abstract

27   The relative contribution of evolutionary and ontogenetic mechanisms to the emergence of

28   communicative signals in social interactions is one of the central questions in social cognition.

29   Most previously used methods utilise the presentation of novel signal or a novel context to

30   test effects of predisposition and/or experience. However, all share the common problem that

31   the familiar social partners used in the testing context as actors carry over a variety of

32   contextual information from previous interactions with the subjects. In the present paper we

33   utilise a novel method for separating the familiar actor from the action. We test whether dogs

34   behave in a socially competent way toward an Unidentified Moving Object (UMO) in a

35   communicative situation after interacting with it in a different context. We report that dogs are

36   able to find the hidden food based on the approach behaviour of the UMO only if they

37   obtained previous experience with it in a different context. In contrast no such prior

38   experience is needed in the case of an unfamiliar human partner. These results suggest that

39   dogs' social behaviour is flexible enough to generalise from previous communicative

40   interactions with humans to a novel unfamiliar partner, and this inference may be based on the

41   well-developed social competence in dogs. The rapid adjustment to the new context and

42   maintenance of high performance suggest that evolutionary ritualization also facilitates the

43   recognition of potentially communicative actions.

44

45   Introduction

46   The key question in socio-communicative interactions is how communicative signals achieve

47   their function, i.e. how the action of the sender becomes a signal for the receiver. It is widely

48   accepted that two fundamental mechanisms may play a fundamental role in the emergence of

49   communicative interactions. (1) The process of evolutionary ritualization assumes (Hinde &

50   Tinbergen, 1958) that during evolution an executive behaviour is transformed into a

communicative behaviour with signal properties if it has the potential to predictably modify

the behaviour of the partner. During this process the behaviour pattern is subjected to changes

making it repetitive, exaggerated and stereotyped. (2) Ontogenetic ritualization takes place if

the individuals shape mutually their behaviour during repeated instances of social

interactions; that is, regularly occurring behavioural actions gain communicative function

(Hinde, 1970). In this case one individual performs behaviour X to which its partner reacts

consistently with behaviour Y. As a consequence of many dyadic interactions the first

individual comes to anticipate the other's action. Importantly, action X is not a

communicative signal at the start of the process but develops into one as a result of mutual

interaction and learning (Tomasello, 1996).

Several studies focused on the relative contribution of evolutionary vs. ontogenetic

mechanisms controlling certain communicative signals and their species- or context-specific

aspects. For example, Halina and colleagues (Halina, Rossano, & Tomasello, 2013) examined

gestural communication of captive bonobos (*Pan paniscus*). Based on the flexibility and

variability of these signals they suggested that ontogenetic ritualization is the primary

underlying mechanism for the emergence of diverse signalling behaviour. In contrast,

Hobaiter and Byrne (2011) argued that ape gestures are rather innate and are acquired through

evolutionary ritualization even if they are often used intentionally and flexibly.

A similar argument emerged in relation to the comprehension of human pointing gestures in

dogs (*Canis familiaris*) (for reviews see Miklósi & Soproni, 2006; Udell, Dorey, & Wynne,

2009). One assumption is that dogs must learn to use human communicative signals during

the early ontogeny (ontogenetic ritualization), thus this ability emerges as a consequence of

habitual interaction between dog and owner (Udell & Wynne, 2010; Bentosela, Barrera,

Jakovcevi, Elgier, & Mustaca, 2008). The alternative but non- exclusive explanation is that

during the process of domestication dogs have been selected by humans to be sensitive to

specific human behavioural cues (e.g. pointing: Riedel, Buttelmann, Call, & Tomasello, 2006; gazing: Soproni, Miklósi, Topál, & Csányi, 2001; human voice: Rossano, Nietzschner, & Tomasello, 2014). The superior performance with the human pointing gesture in young dog puppies over socialised wolf puppies provides support for this latter argument (e.g. Gácsi et al., 2009).

Recent discussion converged to the idea that both mechanisms might actually play a role in the emergence of such interspecific signalling (Miklósi & Topál, 2013; Udell, Ewald, Dorey, & Wynne, 2014), however, it is still an open question how the relative contribution of evolutionary and ontogenetic ritualization could be determined. Methodologically three different approaches were used so far: (1) Deprivation of social experience (e.g. shelter dogs: Udell, Dorey, & Wynne, 2010; Hare et al., 2010); (2) Demonstration of the effect of learning on the performance in a communicative interaction between dogs and humans (Udell, Dorey, & Wynne, 2008; Elgier, Jakovcevic, Mustaca, & Bentosela, 2009); (3) Testing the effectiveness of (relatively) novel communicative human signals in typical dog populations (Lakatos, Soproni, Dóka, & Miklósi, 2009).

Tomasello and colleagues (Tomasello, Call, & Gluckman, 1997) proposed that observing infants' and apes' reaction to novel signals would be a feasible method to examine their understanding of communicative signals. They also argued that any genetic predisposition would lead to lesser need for learning (or experience) or rapid learning. The method of tri-angulation (e.g. Heyes, 1997) offers a useful way for such investigations: (1) First, the naïve individual is exposed to specific experience (or has to learn to discriminate) in Context 1 then (2) the individual is exposed to a novel context (Context 2) which overlaps only in specific ways with Context 1 by sharing only a small set of specific features. But this method is not really informative when investigating communication skills because the social partner carries over a considerable part of the contextual information from Context 1 to Context 2. For

example, dogs experience human pointing gestures in everyday life (Context 1), and this experience with humans, including possible genetic predisposition, does not allow to set up an experiment (Context 2) which overlaps only specifically with Context 1 because the human is present in both contexts. Thus it is difficult to judge the relative role of evolutionary/developmental processes. The introduction of unfamiliar communicative partner might be a solution to this problem because it has the potential to reveal subjects' ability to recognise the communicative aspects of the partner's behaviour.

In the present paper we propose a new method which is based on the idea of introducing an unfamiliar moving object (UMO) to the experimental setting. Accordingly, (1) the subject is exposed to a particular type of social interaction in Context 1 and to a different kind in Context 2; (2) in order to reduce the potential effects of previous experience, the social agent (UMO) shares no physical attributes with either the subject (dog) or other potential social partner (human); (3) social interactions share specific features with the natural social interactions among conspecifics and/or heterospecific familiar social agents (A).

The underlying assumptions are that (1) the subject has earlier experience with A and knows that A is able to perform actions X and Y, (2) it recognises that the UMO is performing action X in Context 1, (3) and it infers that UMO can also perform action Y in Context 2.

In the present study dogs were presented with two different partners (Human and UMO) in four different conditions in a between subjects design. The Interactive UMO and the Non-interactive UMO was a remote controlled car. In the Interactive Human and Non-interactive Human conditions the partner was an unfamiliar female human (see Gergely, Petró, Topál, & Miklósi, 2013). During the familiarization phase dogs in the Interactive UMO and Human conditions were presented with a problem situation (Context 1) in which the UMO or a human helped the dog to get an unreachable food reward (see Miklósi, Polgárdi, Topál, & Csányi, 2000; Gergely et al., 2013). In contrast, no such interaction took place in the Non-interactive

conditions. Then in the test phase (Context 2) all dogs had the opportunity to find the hidden food based on the indicating ('signalling') behaviour (directional movement toward one of the two potential hiding places) of the UMO or the human partner. The differences in the familiarization phase tested for the effect of previous social experience with the Human or UMO partner on dogs' choice behaviour when observing the partner's indicating behaviour (Context 2).

METHODS

Ethical Note

Our experiment is based on non-invasive procedures for assessing dogs' behaviour. Non-invasive studies on dogs are currently allowed to be done without any special permission in Hungary by the University Institutional Animal Care and Use Committee (UIACUC, Eötvös Loránd University, Hungary). The currently operating Hungarian law ''1998. évi XXVIII. Törvény'' - the Animal Protection Act – defines experiments on animals in the 9th point of its 3rd paragraph (3. 1/9.). According to the corresponding definition by law, our non-invasive observational study is not considered as an animal experiment. The owners responding to our advertisement at the department's homepage (http://kutyaetologia.elte.hu) volunteered to participate.

Subjects

Eighty two adult pet dogs (36 females, 46 males, mean age (year) ± SD: 4.1±2.4, from 23 different breeds and 25 mongrels) were recruited from the Family Dog database of the Department of Ethology, Eötvös Loránd University. Dogs were randomly divided into four conditions (groups): Non-interactive Human, Non-interactive, Interactive Human and Interactive UMO. We only tested dogs who could be motivated by food. Fourteen dogs lost

151 interests (i.e. they did not make their choice in 60 seconds in the test trial). We also excluded

152 eight dogs because they showed strong side bias (they always approached the same pot either

153 on the left or on right in all 16 trials: two dogs in the Non-interactive Human condition; two

154 dogs in the Non-interactive UMO condition; three dogs in the Interactive Human condition;

155 one dog in the Interactive UMO condition). However, including these dogs into the analyses

156 do not change our conclusions (for the analysis see Appendix). After exclusions we had 60

157 dogs in the four conditions: 15 in the Non-interactive Human (six males, nine females, mean

158 age±SD 4.70±2.48), 15 in the Non-interactive UMO (seven males, eight females, mean

159 age±SD 3.57±1.69), 15 in the Interactive Human (10 males, five females, mean age±SD

160 4.20±2.46) and 15 in the Interactive UMO condition (six males, nine females, mean age±SD

161 17±2.05). Dogs' age did not differ significantly between conditions (ANOVA, $F_{3,56}$ =1.42, P

162 =0.25). Each subject participated only in one condition.

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164 *Apparatus*

165 Dogs were tested at the Department of Ethology, Eötvös Loránd University in a 4.5 m x 3.5 m

166 test room. Each trial was recorded by four cameras from different angles.
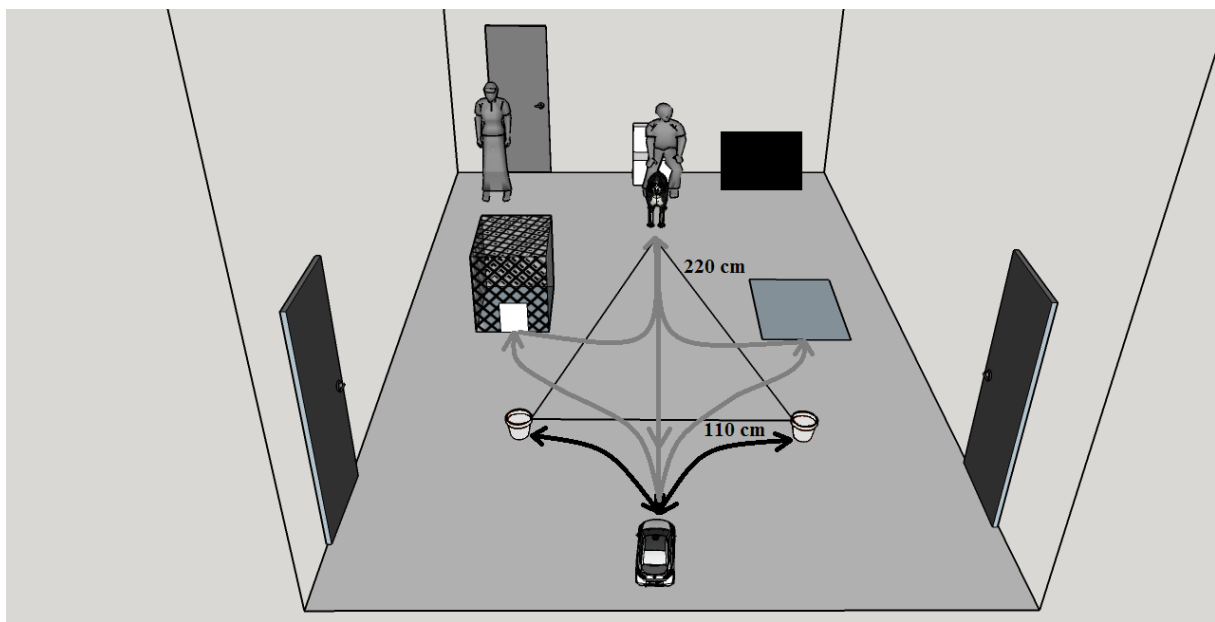
167 During the familiarisation phase in the Interactive Human and Interactive UMO conditions we

168 used a metal wire mesh box (61 cm x 46 cm x 54 cm) with a magnet fixed inside. In these

169 conditions we also used a plastic plate (10 cm x 10 cm) with two metal sheets on its sides. A

170 piece of food (dry dog food) was placed on the plate during the familiarisation phase in the

171 Interactive conditions, and the plate was placed into the wire mesh box so that the dog could

172 get the food only with the partners' help. We covered the dogs' eyes with an occluder (102

173 cm x 76 cm) between test trials.

174

175 *Test Partners*

In the Non-interactive UMO and Interactive UMO conditions we used a remote control (RC) car (#32710 RTR SWITCH, 28 cm x 16 cm x 13 cm) which was equipped with a magnet on its front and a small loudspeaker under the cover. As an attention-getting cue we used a high pitched beeping sound (3200 Hz) emitted from the loudspeaker. In the Non-interactive Human and Interactive Human conditions an unfamiliar woman played the role of the partner. In the Non-interactive Human condition she wore sunglasses and did not use any verbal or non-verbal cues during the test. She used the small loudspeaker in order to emit the same beeping sound (salient attention getter) as the UMO. In the Interactive Human condition the human partner used verbal as well as non-verbal cues. She said „Hi (dog's name), look!" to attract the dogs' attention. Test partners' starting point was at a predetermined location (see Fig. 1).

Figure 1. Experimental layout for the Familiarisation phase (Interactive conditions) and Test phase (all conditions). The UMO's location represents the partners' (UMO or Human) starting point. Familiarisation phase: The grey rectangle indicates the altered position of the box and grey lines show the paths of the partners (UMO or Human) to the box (location of the food), to the dog and back to the start point during Familiarisation trials. Test phase: The triangle

indicates the distances between the dog and the two pots. Black lines show the paths of the partner to the pots (location of the food) and back to the start point. The black rectangle at the back wall represents the position of the occluder (used for covering the dog's eyes) during test trials.

Procedure

Pre-training phase

In the pre-training the dogs were made aware that the pot may contain food. The owner and the dog entered the test room and the dog was allowed to explore the room, meanwhile the experimenters provided information for the owner about the test. After this the owner sat in the chair and held the dog in front of him/herself (Fig. 1). Experimenter 1 (E1) came in with a pot and put it down. She attracted dog's attention with a piece of food in her hand (she said: „*Hi (dog's name), look!"*). She put one piece of food into the pot and the owner was told to release the dog. If the dog ate the food, the owner called the dog back. We have repeated this procedure for four times then E1 left the room with the pot. The pre-training was exactly the same in every condition.

Familiarisation phase

Non-interactive Human: The unfamiliar woman entered the room and walked around for 2 minutes and 30 seconds while the owner made the dog to stand facing her. Then the partner stopped at the starting point (Fig. 1).

Non-interactive UMO: E2 brought the UMO to the room, placed it at the starting point and then she stood in the corner on the right side of the dog (Fig. 1). Then the UMO started to move around the room for 2 minutes and 30 seconds. During this the owner held the dog in front of him/herself. Then the partner stopped at the starting point.

Interactive Human: E1 brought the box to the room and placed it halfway between the dog and the partner on the left (L) or right (R) side of the room. During this the human partner entered and took up her initial standing position at the predetermined point. Next E1 left the room and then re-entered with a piece of food and a plastic plate in her hand. She attracted the dog's attention (*„Hi (dog's name), look!"*) and put the food on the plate. She attached the plate to the magnet inside the box. After E1 left the room the dog was allowed to explore the room and search for the food for 15 s. When the time elapsed, the owner called the dog back. Then the partner addressed the dog (*„Hi (dog's name), look!")* and brought out the plate with the food from the box to the dog. The dog ate the piece of food and the partner returned to her starting position. Then E1 entered the room and placed the box to the other side of the room (Fig. 1). The procedure was repeated as described above except that from the second trial during the 15 s exploration phase, at the moment when the dog looked at the partner, the partner started to move and brought the plate out. If, however, the dog did not look at the partner during the 15 s, the owner called the dog back. The trial was repeated six times in LRLRLR order (L=the box was placed to the left; R=the box was placed to the right).

Interactive UMO: The familiarisation was the same as in the Interactive Human condition, except that the human partner was replaced by the UMO and the Interactive UMO attracted the dog's attention by emitting a beep-beep sound, and the UMO brought out the plate with the help of the magnet attached to its front.

Test phase

The partner was standing at the starting point, facing the dog. E1 entered the room with two identical pots and placed them on each side of the partner (see Fig. 1) and attracted the dog's attention with a piece of food in her hand (*„Hi (dog's name), look*!"). Then the dog's eyes were covered by an occluder, E1 put one piece of food into one of the pots and left the room.

The occluder was removed and the partner called the dog's attention (according to the condition) from the start point and approached the baited pot, touched it with her leg (in Non-interactive and Interactive Human conditions) or its front (in Non-interactive and Interactive UMO conditions) and returned to its/her starting position. The owner released the dog, and it was allowed to select one of the pots. If the dog chose the baited container, it could eat the food, but if it approached the non-baited one, the owner showed the piece of food in the baited one, but the dog was not allowed to eat it. Dogs were presented with sixteen test trials during which the baiting followed RLRLLRLRRLLRLRRL order.

Behavioural Variables and Data Analysis

All trials were videotaped and the dogs' behaviour during the familiarisation (in the Interactive UMO and Interactive Human conditions) and the test phase (all four conditions) was analysed with Solomon Coder 090913 (András Péter, http://solomoncoder.com).

For the trials we obtained the following response variables. Looking at the partner (binary variable) during the familiarisation phase (Interactive UMO and Interactive Human conditions): we scored each familiarisation trial as 1 if the dog looked at the partner (UMO or human) (i.e. when the subject's head was oriented toward the partner) within the 15 s or as 0 if the dog did not look at the partner (UMO or human) within the 15 s. Choice (binary variable): we scored each test trial as 1 (if the dog approached the baited pot within 10 cm) or 0 (if the dog approached the non-baited pot within 10 cm). Looking at the approaching partner (%) during test trials: relative duration of time spent with the head oriented towards the partner during the indication (from the emission of the attention sound until the partner returned to its/her starting position).

Inter-observer agreements (between two coders) for 'Looking at the partner' and 'Choice' were assessed by means of parallel coding of a randomly selected 25% of the subjects (Cohen's Kappa values: 0.94 for Looking at the partner and 0.99 for dogs' Choice).

269   To control for the non-independence of our data (a dog participated in several trials) we

270   applied random intercept generalized linear mixed-effect models (GLMMs) using the lme4

271   package (version 1.1.7) in the R statistical environment (version 3.1.2, R Development Core

272   Team 2014). In all models Dog ID (dog's name) was included as a random grouping factor.

273   Looking at the partner during familiarisation (binary) and Choice (binary) were analysed by

274   GLMMs with binomial error distribution, whereas Looking at the approaching partner (%)

275   was analysed by GLMM with Gaussian error distribution after arcsine square-root

276   transformation of the response. The significance of explanatory variables was investigated

277   using likelihood ratio tests (LRTs). In case of Looking at the approaching partner (%)

278   (Gaussian error distribution) we used Maximum Likelihood fitting for the LRTs. Post-hoc

279   analyses were conducted using the lsmeans package (version 2.12) in R applying Tukey

280   method to adjust p-values for multiple comparisons. The binary models were not

281   overdispersed and assumptions of models were checked graphically. For extracting

282   predictions from models for the figures only fixed effects were taken into account and in case

283   of arcsine square-root transformation, predictions were back transformed to the original scale.

284   First we tested whether condition influence Choice during the test trials in a model including

285   condition (factor with 4 levels) and trial (factor with 16 levels) as fixed explanatory variables.

286   The non-significant interaction term (LRT, $\chi^2_{45} = 48.74$, $P = 0.325$) was removed from the

287   model. Second, we examined within task learning in dogs in a model including condition and

288   trial phase (factor with four levels) as fixed explanatory variables. For trial phase the 16 test

289   trials were divided into four phases (for details see Results). The interaction term was not

290   significant ($\chi^2_9 = 4.26$, $P = 0.893$) and was removed from the model. Third, we tested whether

291   Looking at the partner during familiarisation differ in the two Interactive conditions in a

292   model including condition (factor with two levels) and familiarisation trial (factor with six

293   levels) in the model. The non-significant interaction term ($\chi^2_5 = 3.39$, $P = 0.639$) was removed

294   from the model. Fourth, Looking at the approaching partner (%) variable was analysed to

295   investigate whether looking time differs between the conditions in a model including only the

296   condition with four levels.

297

298   RESULTS

299   First we investigated the effect of condition and repeated test trials on dogs' performance and

300   it was also compared to chance level (0.5) in each condition. Our results showed that dogs'

301   performance during the test trials was influenced by both condition and trials (binomial

302   generalized linear mixed-effect model (GLMM); Likelihood Ratio Tests (LRTs), condition:

303    $\chi^2_3 = 11.02$, $P = 0.012$; trials: $\chi^2_{15} = 76.24$, $P < 0.001$). During the test phase dogs' chose the

304   approached/baited (correct) container above the chance level in all except the Non-interactive

305   UMO condition. At the same time dogs' performance was significantly higher in the two

306   Interactive conditions than in the Non-interactive UMO condition while we found no

307   significant difference between the Non-interactive Human condition compared to the

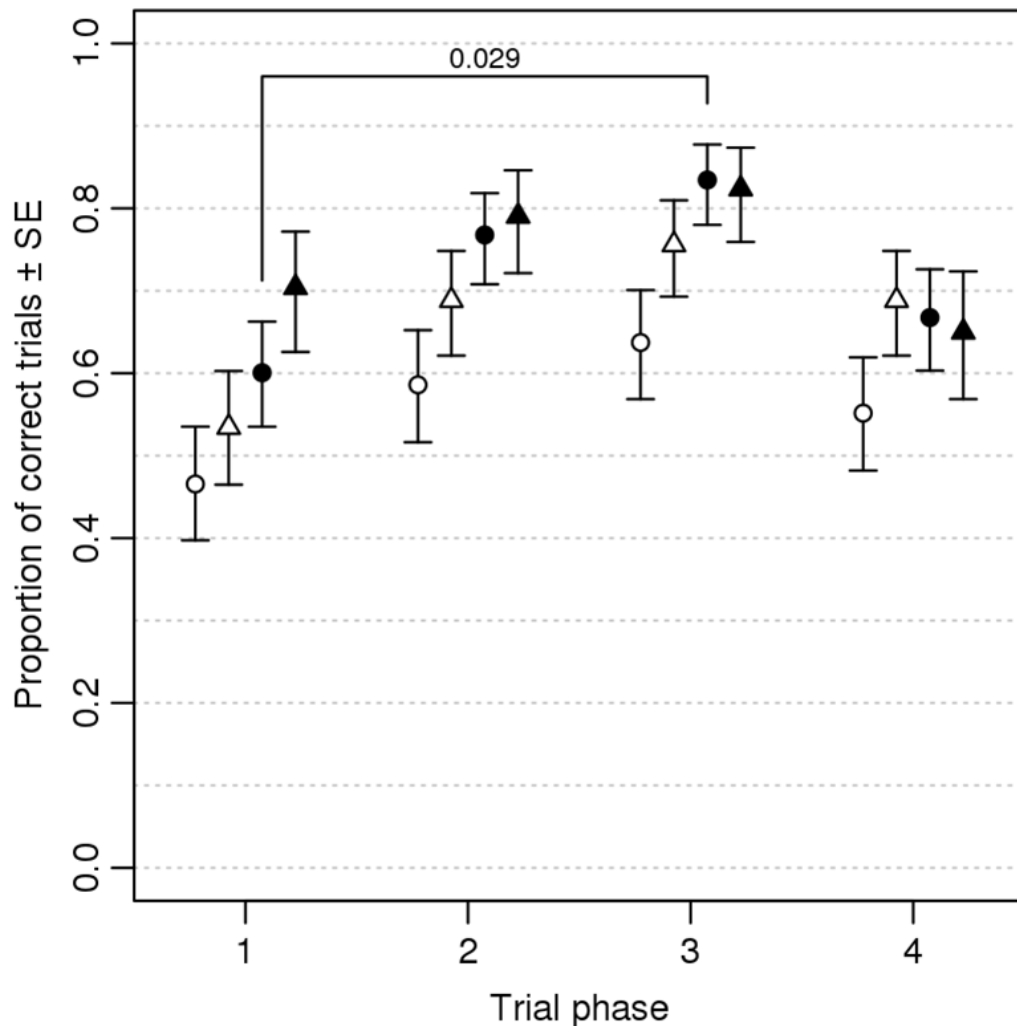308   Interactive Human, Interactive UMO and Non-interactive UMO conditions (see Fig. 2).
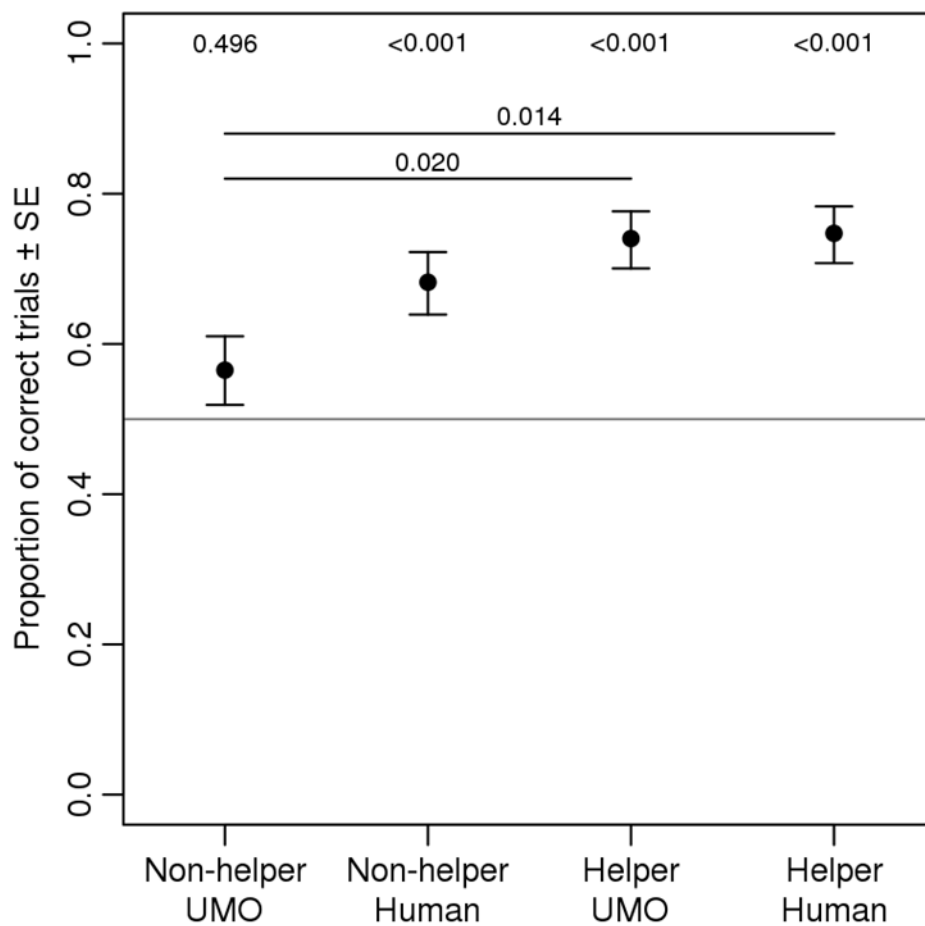
Figure 2. Proportion of trials where the dogs chose correctly during the tests in the four conditions. Estimated means ± SE from a binomial GLMM including condition and trial as fixed effects are given. Values above each error bar give the p-value of the comparison to the 0.5 chance level (horizontal grey line). Horizontal black lines show significant pairwise comparisons with the corresponding p-value above the line.

Then the 16 test trials were divided into 4 phases in order to examine within task learning in dogs (1st phase: 1-4 trials, 2nd phase: 5-8 trials, 3rd phase 9-12 trials, 4th phase 13-16 trials; every phase included two left and two right trials.). Analysis of trial phases showed that dogs' performance was influenced by both condition and trial phase (binomial GLMM; LRTs, condition: $\chi^2_3 = 11.04$, $P = 0.012$; trial phase: $\chi^2_3 = 21.82$, $P < 0.001$). However, per condition analyses revealed that the effect of trial phase was only significant in the Interactive UMO

322 condition ($\chi^2_3 = 9.74$, $P = 0.021$) and pairwise comparisons revealed that the first trial phase

323 was different from the third (Fig. 3). Furthermore, the performance of the dogs was not

324 different between conditions in the first trial phase ($\chi^2_3 = 5.32$, $P = 0.150$).


325

326 **Figure 3.** Proportion of trials where the dogs chose correctly during the four trial phases in

327 the four conditions. Estimated means ± SE from separate binomial GLMMs for each

328 conditions including trial phase as fixed effect are given. The black line shows the only

329 significant pairwise comparison and the corresponding p-value.

330

331 Next we examined whether repeated encounters with the UMO has an effect on dogs' looking

332 behaviour (i.e. look at the UMO/Human partner) during familiarisation trials in the Interactive

333 conditions. We found that dogs' looking behaviour during the familiarisation trials was not

different between the Interactive UMO and Interactive Human conditions (binomial GLMM;

LRT, χ^2_1 = 1.27, P = 0.260), however, dogs' looking behaviour differed between the

familiarisation trials (LRT, χ^2_5 = 22.64, P < 0.001). Pairwise comparisons showed that the

first trial was different from all other trials (all pairwise P < 0.05), but the other trials were not

different from each other (all pairwise $P \geq 0.736$).

Finally, we investigated dogs' looking behaviour (i.e. look at the UMO/Human partner)

during the approaching action of the partner during the test trials in order to exclude the

possibility that low performance of the dogs in the Non-interactive UMO condition was

caused by the fact that they did not watch the partner's action. We found that condition had a

highly significant effect on the percentage of time the dog spent on looking at the approaching

partner (Gaussian GLMM, LRT, χ^2_3 = 34.61, P < 0.001), however, there was no difference

between the Interactive and Non-interactive UMO (pairwise P = 0.611), and between Non-

interactive and Interactive Human conditions (pairwise P = 0.907, Fig. 4).
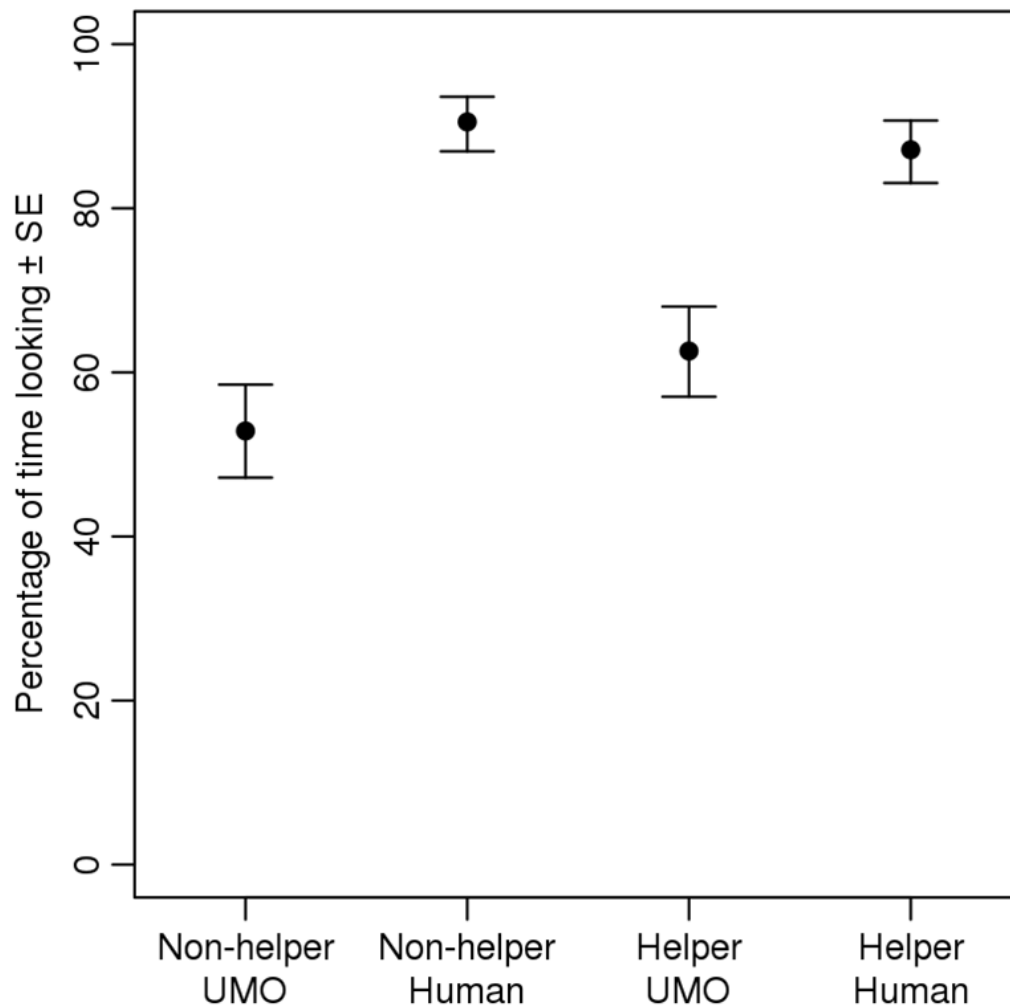
Figure 4. Percentage of time the dog was looking at the partner during the test trials. Estimated means ± SE from a Gaussian GLMM including condition as fixed effect are given.

DISCUSSION

The present study demonstrates that dogs are able to use directional movement (approach) of a non-living interactive partner (UMO) as effectively as a similar human signal to locate the hidden food. The finding that dogs performed at chance with the Non-interactive UMO supports the notion that previous social interaction with the UMO is indispensable when interpreting a partner's movement as cues for food location. It seems that the 'turn-taking' behaviour of the UMO during familiarisation could promote learning about the informing

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aspects of the agent's directional behaviour. At the same time dogs utilised human directional behaviour efficiently from the beginning and irrespectively of prior experience in the familiarisation phase.

Dogs in the present study had no previous experience with the UMO. They perceived its skills for the first time in the familiarisation phase (Context 1) when the UMO obtained the food for the dogs that they could not get from the box. We assume that based on this short social interaction dogs had formed some expectations about the behaviour of the UMO which facilitated the recognition of the goal-directedness of its directional action in the novel situation (Context 2).

The changes in dogs' performance during the test phase also provide interesting insights. In line with our predictions we found no evidence of within task learning in case of the Interactive Human and Non-interactive Human partner, which suggests that the 'quality' of previous social experience with the unfamiliar human (in the familiarisation phase) has no effect on the way dogs interpret her directional signal. Similarly, there was no learning during repeated trials in the Non-interactive UMO condition. In contrast, rapid learning occurred in the Interactive UMO condition. This rapid learning about a novel action of the Interactive UMO suggests that dogs may have generalized from past experience with humans but a facilitating effect of some genetic predisposition cannot be excluded.

Thus dogs may recognise that the partner is attempting to communicate with them via some signal (Tomasello et al., 1997). Dogs may have endowed the Interactive UMO with some agency cues following the familiarisation phase, and consequently they tended to relate to the UMO socially in the novel testing context. This is also in agreement with findings that dogs failed to use a physical marker by itself as a simple spatial index but consider it as a communicatively significant cue if they can associate the placing of a marker with a human (Riedel et al., 2006; Agnetta, Hare, & Tomasello, 2000). Apparently, dogs consider the action

of the Non-interactive UMO merely as a physical marker, and in the absence of specific

experience they did not associate its movements and the place of food during 16 trials.

It should be noted that our findings are open to post hoc interpretations of associative nature

(Byrne & Bates, 2007), however a close investigation shows that this interpretation may be

actually more complex. Taking on face value one may argue that dogs associate the actions of

the Interactive UMO with getting food reward. However, dogs in the familiarisation phase

(Context 1) observe the following sequence of events (E_{fam}): UMO produces attention getting

sound ($E_{fam}1$); it approaches the food plate in the cage (food visible) ($E_{fam}2$); UMO

approaches the dog ($E_{fam}3$). In contrast, in the test phase (Context 2), the dogs observe the

following sequence of events (E_{test}): UMO produces attention-getting sound ($E_{test}1$); it

approaches the bowl ($E_{test}2$); UMO leaves the bowl ($E_{test}3$). Apart from many physical

differences between Context 1 and 2 (location of food, food bowls etc), only $E_{fam}1$ and $E_{test}1$

are the same the following events are different ($E_{fam}2 \neq E_{test}2$ and $E_{fam}3 \neq E_{test}3$). Note that in

$E_{fam}3$ and $E_{test}3$ the UMO actually moves in different directions (approach vs. departure).

Based on learning theory dogs should have associated the last action with the reward during

familiarisation phase and learn the whole sequence of events backward (Pearce & Bouton,

2001). In addition, there is much everyday experience that family dogs' performance in

executing a newly learnt actions drops significantly in a novel context (Braem & Mills, 2010),

and usually more trials (experience) are need to establish an association between an arbitrary

action of the partner and the presence of food (Udell et al., 2008; Elgier et al., 2009). Lakatos

and co-workers (2013) have recently reported an experiment in which dogs had an

opportunity to observe their owners interacting with a human-like robot (PeopleBot). At the

end of this interaction the robot called the dog's attention by emitting a ''beep–beep'' sound

and dropped a piece of food to the dog from its hand. This was repeated three times. This

interaction followed by a pointing session (similar procedure which was applied in our test

phase) in which the robot indicated the location of the hidden food by pointing with its arm. Despite the fact that the robot provided food three times dogs' performance was at chance level. Thus the food reward provided by a robot was insufficient to initiate learning in dogs about the informative aspect of its pointing movement.

Although we cannot exclude that some underlying associative mechanisms play a role here, in our view the interpretation of the dog's behaviour and performance as being based on more general inference from previous social experience is a viable alternative explanation.

We emphasize that the utilization of an UMO has the potential to investigate the relative role of evolutionary/developmental processes behind dogs' social skills. The hypothesis of genetic predisposition predicts that dogs in the present experiment should rely on a human partner's directional ('indicative') behaviour efficiently from the very beginning of the test phase regardless of prior social interaction in the familiarisation phase. Furthermore, dogs from both interactive and non-interactive familiarization condition with the UMO would show rapid learning about the informative aspects of the UMO's directional behaviour (but they probably learn more quickly after interactive familiarization).

The ontogenetic hypothesis also predicts that dogs efficiently use the human partner's directional movements as signals regardless of prior social interaction in the familiarisation phase because they have extensive experience of interacting with people. In contrast, dogs would not be able to find the hidden food based on the directional movements of the UMO after short prior social experience in the familiarisation phase because they lack the necessary ontogenetic experience to rely on the UMO's directional movements. In line with the previous assumptions (e.g. Gácsi et al., 2009; Miklósi & Topál, 2013; Rossano et al., 2014) we assume that the two hypotheses are not mutually exclusive but complementary. During domestication dogs evolved an inherent sensitivity to those human communicative signals that have directional components. We suggest that this skill is flexible enough to allow the dog to learn

434 in a wide range of situations and generalize also in case of an UMO's directional movement.

435 In summary, we propose that the observed flexibility of dogs' social behaviour is due to the

436 fact that they have shared environment with humans (heterospecific agents) thus they are

437 probably able to generalise their wide range of social experience with humans to another type

438 of agent as well. These results support the findings that dogs are able to attend to some social

439 aspect of the behaviour of an UMO which resembles neither conspecific nor human (Gergely

440 et al., 2013). The relative little experience with the UMO suggests that it is unlikely that the

441 present results can be explained solely on the basis of ontogenetic processes. Our results

442 suggest that genetic predisposition is also involved which facilitates the socially competent

443 reaction to actions performed by an UMO if it shows behaviour signs characteristic to a social

444 partner (Miklósi & Topál, 2013).

445

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453

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525

526   APPENDIX

527   Analyses of the full data ($N = 68$) including the eight dogs showing strong side bias (they

528   always approached the same pot either on the left or on right in all 16 trials).

529

530   Effect of condition and repeated test trials on dogs' performance

531   Binomial generalized linear mixed-effect model (GLMM) was fitted with Dog ID (dog's

532   name) as random grouping factor. The significance of explanatory variables was investigated

533   using likelihood ratio tests (LRTs). The non-significant interaction ($\chi^2_{45} = 42.28$, $P = 0.588$)

534   was removed from the model. Results showed that dogs' performance during the test trials

535   was influenced by both condition ($\chi^2_3 = 9.75$, $P = 0.021$) and trials ($\chi^2_{15} = 108.20$, $P < 0.001$)

536   (see Fig. A1).
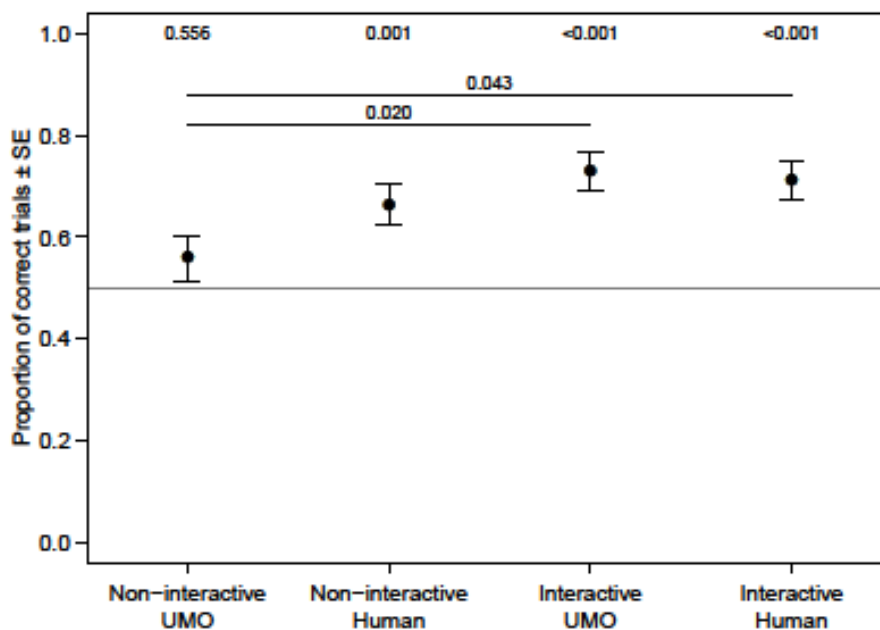
537

538   **Figure A1.** Proportion of trials where the dogs chose correctly during the tests in the four

539   conditions. Estimated means ± SE from a binomial GLMM including condition and trial as

540   fixed effects are given. Values above each error bar give the P-value of the comparison to the

541   0.5 chance level (horizontal grey line). Horizontal black lines show significant pairwise

542   comparisons with the corresponding P-value above the line (P-values were adjusted by Tukey

543   method).

544

545   Effect of condition and trial phases on dogs' performance

546   The non-significant interaction ($\chi^2_9 = 3.92$, $P = 0.917$) was removed from the Binomial

547   GLMM. Analysis of trial phases showed that dogs' performance was influenced by both

548   condition and trial phase (binomial GLMM; LRTs, condition: $\chi^2_3 = 9.78$, $P = 0.021$; trial

549   phases: $\chi^2_3 = 18.64$, $P < 0.001$). Per condition analyses revealed that the effect of trial phase

550   was only significant in the Interactive UMO condition ($\chi^2_3 = 8.92$, $P = 0.030$). Furthermore,

551   the performance of the dogs was not different between conditions in the first trial phase ($\chi^2_3 =$

552   4.86, $P = 0.182$) and pairwise comparisons revealed that the first trial phase was different

553   from the third (see Fig. A2).
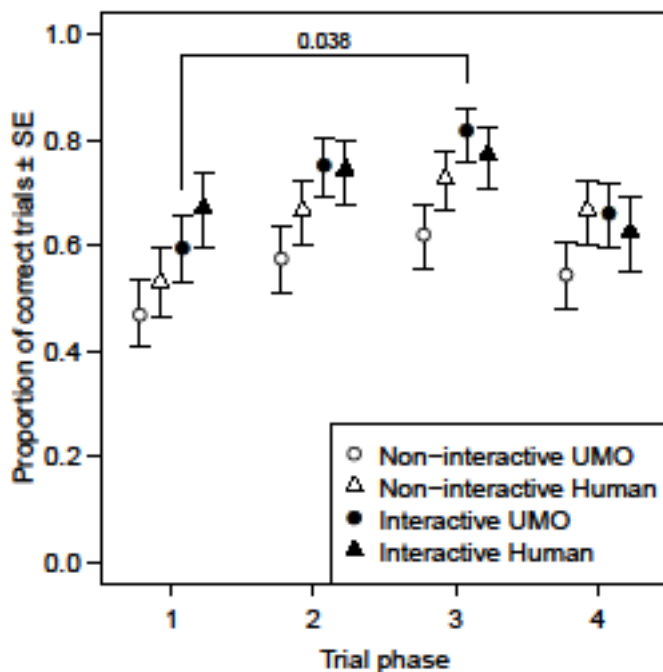
554

555   **Figure A2.** Proportion of trials where the dogs chose correctly during the four trial phases in

556   the four conditions. Estimated means ± SE from separate binomial GLMMs for each

557   conditions including trial phase as fixed effect are given. The black line shows the only

558   significant pairwise comparison and the corresponding *P*-value after Tukey adjustment.

559

560   Effect of repeated encounters on dogs' looking behaviour (i.e. look at the UMO/Human

561   partner) during familiarisation trials in the Interactive conditions

26

562   The non-significant interaction (χ^2_5 = 4.56, P = 0.472) was removed from the Binomial

563   GLMM. Our results showed that dogs' looking behaviour during the familiarisation trials was

564   not different between the Interactive UMO and Interactive Human conditions (binomial

565   GLMM; LRT, χ^2_1 = 1.56, P = 0.212) but differed between familiarisation trials (LRT, χ^2_5 =

566   26.26, P < 0.001). Pairwise comparisons using Tukey method revealed that the first trial was

567   different from all other trials (all P < 0.021), whereas other trials were not different from each

568   other (all $P \geq 0.795$).

569

570   Dogs' looking behaviour (i.e. look at the UMO/Human partner) during the approaching action

571   of the partner during the test trials

572   GLMM with Gaussian error distribution after arcsine square-root transformation of the

573   response. We found that condition had a highly significant effect on the percentage of time

574   the dog spent on looking at the approaching partner (LRT, χ^2_3 = 39.04, P < 0.001). At the

575   same time, there was no difference between the Interactive and Non-interactive UMO

576   (pairwise P = 0.850, using Tukey adjustment), and between Non-interactive and Interactive

577   Human conditions (pairwise P = 0.978, using Tukey adjustment) (see Fig. A3).
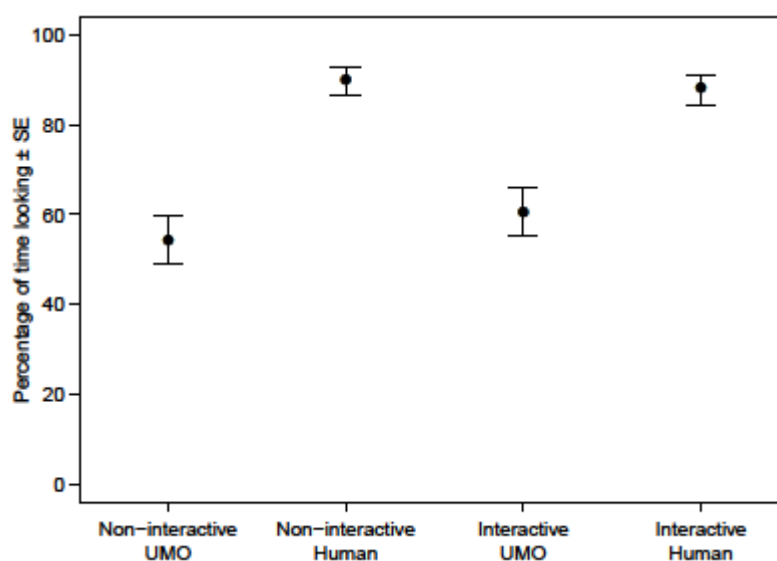
578

579 **Figure A3.** Percentage of time the dog was looking at the partner during the test trials.

580 Estimated means ± SE from a Gaussian GLMM including condition as fixed effect are given.