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**Evaluation of a multivariate syndromic surveillance system  
for West Nile virus**

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Keyword:	West Nile, Surveillance, Modeling, Time Series Analysis
Abstract:	<p>Background: Various methods are currently used for the early detection of West Nile virus (WNV) but their outputs are either not quantitative and/or do not take into account all available information. Our study aimed to test a multivariate syndromic surveillance system in order to evaluate if the sensitivity and the specificity of detection of WNV could be improved.</p> <p>Method: Weekly time series data on nervous syndromes in horses and mortality in both horses and wild birds were used. Baselines were fitted to the three time series and used to simulate 100 years of surveillance data. WNV outbreaks were simulated and inserted into the baselines based on historical data and expert opinion. Univariate and multivariate syndromic surveillance systems were tested in order to gauge how well they detected the outbreaks; detection was based on an empirical Bayesian approach. The systems' performances were compared using measures of sensitivity, specificity, and area-under-ROC-curve (AUC).</p> <p>Result: When data sources were considered separately (i.e. univariate systems), the best detection performance was obtained using the dataset of nervous symptoms in horses compared to those of bird and horse mortality (AUCs respectively equal to 0.80, 0.75, and 0.50). A multivariate outbreak detection system that used nervous symptoms in horses and bird mortality generated the best performance (AUC = 0.87).</p> <p>Conclusion: The proposed approach is suitable for performing multivariate</p>

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	syndromic surveillance of WNV outbreaks. This is particularly relevant given that a multivariate surveillance system performed better than a univariate approach. Such a surveillance system could be especially useful in serving as an alert for the possibility of human viral infections. This approach can be also used for other diseases for which multiple sources of evidence are available.

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**TITLE:** Evaluation of a multivariate syndromic surveillance system for West Nile virus.

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**ABSTRACT**

**Background:** Various methods are currently used for the early detection of West Nile virus (WNV) but their outputs are not quantitative and/or do not take into account all available information. Our study aimed to test a multivariate syndromic surveillance system in order to evaluate if the sensitivity and the specificity of detection of WNV could be improved.

**Method:** Weekly time series data on nervous syndromes in horses and mortality in both horses and wild birds were used. Baselines were fitted to the three time series and used to simulate 100 years of surveillance data. WNV outbreaks were simulated and inserted into the baselines based on historical data and expert opinion. Univariate and multivariate syndromic surveillance systems were tested in order to gauge how well they detected the outbreaks; detection was based on an empirical Bayesian approach. The systems' performances were compared using measures of sensitivity, specificity, and area-under-ROC-curve (AUC).

**Result:** When data sources were considered separately (i.e. univariate systems), the best detection performance was obtained using the dataset of nervous symptoms in horses compared to those of bird and horse mortality (AUCs respectively equal to 0.80, 0.75, and 0.50). A multivariate outbreak detection system that used nervous symptoms in horses and bird mortality generated the best performance (AUC = 0.87).

**Conclusion:** The proposed approach is suitable for performing multivariate syndromic surveillance of WNV outbreaks. This is particularly relevant given that a multivariate surveillance system performed better than a univariate approach. Such a surveillance system could be especially useful in serving as an alert for the possibility of human viral infections. This approach can be also used for other diseases for which multiple sources of evidence are available.

**Key words:** West Nile, syndromic surveillance, Bayes, horses, multivariate detection

## 1 INTRODUCTION

2 West Nile virus (WNV) is a zoonotic mosquito-borne arbovirus mainly transmitted by mosquitoes from the  
3 genus *Culex* (family Culicidae). Main reservoir hosts are birds but the virus also affects various non-avian  
4 species including horses and humans, with dramatic consequences for public health and for the equine  
5 industry, i.e. potentially fatal encephalitis in humans and horses (Campbell et al., 2002; Castillo-Olivares and  
6 Wood, 2004). In Europe, and more specifically in France, WNV lineage I emerged in the 1960s and several  
7 outbreaks have been documented since that time (Calistri et al., 2010). Even if this lineage is now considered  
8 endemic in a large part of Europe, the number of reported outbreaks is presently increasing in Southern and  
9 Eastern Europe, particularly in Italy, Greece, and Bulgaria (Di Sabatino et al., 2014). WNV lineage II has been  
10 introduced in Europe in 2004 and spread in several parts of Europe. This lineage induces more cases and more  
11 severe symptoms than lineage I in humans, horses, and birds (Bakonyi et al., 2006; Calzolari et al., 2013;  
12 Hernández-Triana et al., 2014). As an example, in Greece, 197 neuroinvasive human cases and 35 deaths were  
13 reported in 2010 with lineage II (Danis et al., 2011). All these elements contribute to make WNV a growing  
14 concern in Europe. Currently, in France and in most of countries, the surveillance of WNV is mainly passive i.e.,  
15 based on the vigilance of owners and veterinary practitioners who declare the cases. To improve early  
16 detection of WNV outbreaks, then, the major challenge is to develop more integrated and quantitative  
17 approaches (Beck et al., 2013; Bellini et al., 2014a).

18 Syndromic surveillance is defined as “the (near) real-time collection, analysis, interpretation and dissemination  
19 of health-related data to enable the early identification of the impact – or absence of impact – of potential  
20 threats. Syndromic surveillance is based not on the laboratory-confirmed diagnosis of a disease but on non-  
21 specific health indicators including clinical signs, symptoms as well as proxy measures” (Triple S Project, 2011).

22 In Europe, the surveillance of nervous syndromes in horses is considered as one of the most cost-effective  
23 surveillance systems in the European context (Chevalier et al., 2011) and has been shown to detect an outbreak  
24 of WNV 3 weeks prior to laboratory identification in the South of France (Leblond et al., 2007a; Saegerman et  
25 al., 2014). In the USA, instead, increased mortality in wild birds is one of the most timely indicators of virus  
26 activity (Brown, 2012). Mortality in wild birds had rarely been reported in Europe until the recent explosive  
27 spread of lineage II in 2008-2009 in Hungary and Austria, which suggests that this parameter could be also  
28 incorporated into future monitoring systems in Europe (Bakonyi et al., 2013). This is consistent with recent

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3 29 experimental infections of European wild birds with various WNV strains, which generated an average  
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5 30 mortality rate of 43% (Del Amo et al., 2014a, 2014b; Dridi et al., 2013; Sotelo et al., 2011; Ziegler et al., 2013).  
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7 31 Apart from mortality in wild birds and nervous symptoms in horses, WNV is also associated with mortality in  
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9 32 horses, which could constitute another signal of a WNV outbreak. Considering that horses and birds should be  
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11 33 affected by WNV before humans (Kulasekera et al., 2001; Leblond et al., 2007a), a surveillance system based on  
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13 34 the analysis of these data could be especially useful in serving as early warning for possible human viral  
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15 35 infections.

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17 36 Multivariate syndromic surveillance combines different syndromic data sources available (Frisén et al., 2010;  
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19 37 Sonesson and Frisé, 2005) and should give better results for outbreak detection in terms of specificity and  
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21 38 sensitivity than univariate methods alone. However, at the time of writing, multivariate syndromic surveillance  
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23 39 has never been implemented for the detection of WNV outbreaks. The aim of our study was to evaluate the  
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25 40 performance of a multivariate syndromic surveillance system in detecting WNV using three datasets: nervous  
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27 41 syndromes in horses and mortality in horses and wild birds. Mortality will be considered in our study as a  
28  
29 42 syndrome. We focused on the French Mediterranean coast, which is a particularly high-risk area for WNV  
30  
31 43 outbreaks. Indeed, in France, WNV has only ever been identified in this area according to the last outbreaks  
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33 44 occurring in 2000, 2004, 2006 and 2015 (Anonymous, 2007; Autorino et al., 2002; Bahuon et al., 2015; Kutasi et  
34  
35 45 al., 2011; Leblond et al., 2007a; Murgue et al., 2001). This French region is especially at risk because  
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37 46 mammalian and avian hosts, bridging vectors, and large protected wetlands with numerous migratory birds are  
38  
39 47 all present.

## 48 **MATERIALS AND METHODS**

### 49 **1. Data sources**

#### 50 *1.1. Nervous syndromes in horses*

51 Data on nervous syndromes in horses are collected through the passive surveillance system "RESPE". This  
52 French network for the surveillance of equine diseases (<http://www.respe.net/>) collects standardized  
53 declarations from veterinary practitioners registered as sentinels. In the RESPE database, nervous symptoms in  
54 horses are defined as any signs of impairment of the central nervous system, i.e. ataxia, paresis, paralysis  
55 and/or recumbency, and/or behavioral disorder. Nervous disorders with evidence of traumatic or congenital  
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origins are excluded. All the samples sent for laboratory diagnosis are systematically tested for two diseases, WNV and equine herpes virus-1, and results are registered in the RESPE database. Currently, the collected data are mainly used to produce alerts when cases with positive laboratory diagnoses are identified. To obtain an outbreak-free baseline dataset, we used data from 2006 to 2013 that included only the 44 declarations without positive laboratory test results from the region of the French Mediterranean coast. The time series of nervous syndromes in horses is designated *NervSy* in subsequent sections.

### 1.2. Mortality in horses

Data on mortality in horses have been centralized since 2011 in the “EDI-SPAN” database, managed by all the French fallen stock companies and the French Ministry of Agriculture (Perrin et al., 2012). As WNV does not produce perinatal mortality, we only considered the 8 742 dead adult horses collected around the French Mediterranean coast between 2011 and 2014. The time series of mortality in adult horses is designated *DeadHorse* in subsequent sections.

### 1.3. Mortality in wild birds

Data on mortality in wild birds are collected through the event-based surveillance system “SAGIR”, the national French surveillance network of diseases in wild birds and mammals, which collects declarations from field workers (e.g., hunters, technicians from departmental hunting federations, and environmental inspectors from the French National Hunting and Wildlife Agency (ONCFS)). Surveillance relies on diagnosis at a local veterinary laboratory (Decors et al., 2014). Between 2007 and 2013, 292 dead wild birds were collected and necropsied around the French Mediterranean coast. The time series of the number of necropsied wild birds is designated *DeadBird* in subsequent sections.

## 2. Data modeling and simulation

### 2.1. Baselines modeling

All time series were aggregated weekly. Using visual examination, abnormal peaks were observed only in *DeadBird* due to health troubles occurring in wild birds’ population (i.e., intoxication). These extreme values were removed based on a method adapted from Tsui *et al.* (Tsui et al., 2001): the entire dataset was first fitted to a negative binomial distribution (see Appendix I) and then values above the 95% confidence interval were deleted and replaced with the average value of the four previous weeks.

83 To calibrate the models, we used *NervSy* data from 2006 to 2010, *DeadHorse* data from 2011 to 2013, and  
84 *DeadBird* data from 2007 to 2011. Instead, to validate the quality of predictions, we used *NervSy* data from  
85 2011 to 2013, *DeadHorse* data from 2014, and *DeadBird* data from 2012 to 2013. To define the background  
86 noise of the time series without outbreaks, we fitted alternative regression models based on Poisson and  
87 negative binomial ( $\mathcal{NB}$ ) distributions (see Appendix I). Models were implemented in R x64 version 3.0.2.  
88 Dynamic regression was performed with the functions *glm* (package {stats}) and *glm.nb* (package {MASS}). The  
89 expected number of counts at time  $t$  was estimated with the *predict* functions of the respective packages.  
90 Models were evaluated using the Akaike information criterion (AIC) (Bozdogan, 1987), and the adjusted  
91 deviance (deviance/degree of freedom) was used as a measure of goodness-of-fit (GOF). The agreement  
92 between predicted and observed values was assessed according to the root-mean-squared error (Chai and  
93 Draxler, 2014). The criterion was assessed within the calibration period (RMSE<sub>c</sub>) and within the validation  
94 period (RMSE<sub>v</sub>). In either case, the lower the value, the better the predictive performance of the model.

## 95 2.2. Baselines simulation

96 For each time series, the best regression model was used to predict the expected value of each week of the  
97 next simulated year. Distribution of cases for each week was defined as a Poisson distribution with lambda  
98 equals to the predicted value for the same week. Weekly samples from 100 fictive years were generated by  
99 random sampling from the previous distributions as proposed by Dórea *et al.* (Dórea *et al.*, 2013).

## 100 2.3. WNV outbreaks modeling

101 The weekly counts of cases of five real European WNV outbreaks (Anonymous, 2007; Autorino *et al.*, 2002;  
102 Kutasi *et al.*, 2011; Leblond *et al.*, 2007a; Murgue *et al.*, 2001) were fitted to the  $\mathcal{NB}$  distribution and the  
103 resulting distribution of the additional number of nervous cases due to WNV during an outbreak was  
104  $\mathcal{NB}(\mu=3.12, \theta=1.150)$ . The mortality among horses clinically affected by WNV was fitted to a normal  
105 distribution (mean=0.384, standard deviation=0.128) based on (Autorino *et al.*, 2002; Leblond *et al.*, 2007a;  
106 Murgue *et al.*, 2001; Ward *et al.*, 2006). The *NervSy* dataset did not provide the real number of clinically  
107 affected horses, so we assumed that only 50% of horses with nervous symptoms were declared to RESPE. To  
108 estimate the real number of clinically affected horses, we simulated RESPE declarations of nervous symptoms  
109 associated with 100 WNV outbreaks and doubled the counts of horses obtained. The related weekly count of



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3 110 dead adult horses was then deduced and fitted to the  $\mathcal{NB}$  distribution  $\mathcal{NB}(\mu=3, \theta=2.005)$ . The distribution  
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5 111 of the weekly number of dead birds was estimated by expert's opinions to be  $\mathcal{NB}(\text{mean}=2.23, \theta=3.34)$ .  
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7 112 Experts were European diplomates in equine internal medicine and persons involved in SAGIR network, RESPE  
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9 113 network, and reference laboratories. They based their estimation on data available in the literature (Bakonyi et  
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11 114 al., 2013); (Del Amo et al., 2014a, 2014b; Dridi et al., 2013; Sotelo et al., 2011; Ziegler et al., 2013) and their  
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13 115 personal knowledge acquired during the observation of real WNV outbreaks in Hungary, France, Italy and Spain  
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15 116 during the last decade and their knowledge of equine and wild birds diseases in general.

#### 17 117 2.4. WNV outbreaks simulation

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19 118 Data on real WNV outbreaks are scarce, so we used simulated outbreaks to evaluate our detection system. For  
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21 119 each syndrome, the distribution of the number of cases during an outbreak was estimated with the *fitdist*  
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23 120 function of the package {fitdistrplus}. Time series for each syndrome during 100 fictive outbreaks of 8 weeks  
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25 121 were simulated by randomly sampling the corresponding distribution. All the weeks within an epidemic time  
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27 122 period have thus the same probability to have a high (or low) number of cases.

#### 30 123 2.5. Simulated WNV outbreaks insertion in simulated baselines

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32 124 One simulated outbreak was inserted in each year of simulated baseline. The outbreaks related to nervous  
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34 125 cases in horses were randomly inserted, followed by the corresponding outbreaks related to wild bird  
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36 126 mortality, such that the time lag between the first dead bird and the first nervous case in horses due to WNV  
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38 127 was 0, 1, or 2 weeks according to (Kulasekera et al., 2001). The corresponding horse mortality outbreaks were  
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40 128 inserted such that half of the affected horses died the week of onset of clinical signs and half died the week  
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42 129 after (Bunning et al., 2002; Cantile et al., 2000; Trock et al., 2001; Ward et al., 2006). A summary of time lag  
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44 130 between nervous symptoms in horses, horses mortality and wild birds mortality is available in Appendix II  
45  
46 131 figure 1.

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### 50 133 3. Outbreak detection

#### 52 134 3.1. Bayesian framework

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55 135 Bayesian hypothesis testing is based on two mutually exclusive hypotheses which can be expressed in the  
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57 136 syndromic surveillance context as  $H_1$ , "there is an ongoing outbreak of WNV (or another event with similar  
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137 symptoms)", and  $H_0$ , "there is no ongoing outbreak" (Andersson et al., 2014). The relative probability of the  
 138 two hypotheses can be expressed as a ratio ( $O_{pri}$ ) which represents our *a priori* belief about the disease status:

139 Eq.1 
$$O_{pri} = \frac{P(H_1)}{P(H_0)}$$

140

141 When evidence in favor (or not) of each hypothesis is observed, we can build the *a posteriori* belief about the  
 142 disease's status ( $O_{post}$ ):

143 Eq.2 
$$O_{post} = \frac{P(H_1 | E_x)}{P(H_0 | E_x)}$$

144 where  $P(H_1 | E_x)$  is the probability of  $H_1$  given the evidence  $E$  observed in time series  $x$  and  $P(H_0 | E_x)$  is the  
 145 probability of  $H_0$  given the evidence  $E$  observed in time series  $x$  in a particular week.

146

147 Using this general framework with the application of Bayes' theorem,  $O_{post}$  can be calculated as:

148 Eq.3 
$$O_{post} = V_x \times O_{pri} = \frac{P(E_x | H_1)}{P(E_x | H_0)} \times \frac{P(H_1)}{P(H_0)}$$

149 where  $V_x$  is the value of evidence,  $P(E_x | H_1)$  is the probability of observing the number of reported cases of  
 150 syndrome  $x$  in a particular week given that  $H_1$  is true, and  $P(E_x | H_0)$  is the probability of observing the number of  
 151 reported cases of syndrome  $x$  in a particular week given that  $H_0$  is true.

152 In order to estimate  $P(E_x | H_1)$  and  $P(E_x | H_0)$ , information on the probability distribution for the number of  
 153 reported cases in non-outbreak and outbreak situations is used. The probability of  $E_x$  (observation of  $n$  cases in  
 154 time series  $x$ ) during an outbreak is calculated as:

155 Eq.4 
$$P(E | H_1) = \sum_{i=0}^n [P_{base}(i) \times P_{out}(n-i)]$$

156 where  $P_{base}(i)$  is the probability of drawing  $i$  cases from the baseline distribution in time series  $x$  and  $P_{out}(i)$  is  
 157 the probability of drawing  $i$  cases from the outbreak distribution in time series  $x$  based on the shape of the  
 158 outbreak, as previously simulated.

159 To detect outbreaks, several values for  $O_{post}$  were tested to serve as alarm thresholds.

160 3.2. Combining time series

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3 161 When the three time series were combined,  $V_{tot}$  incorporated evidence from *NervSy*, *DeadHorse*, and *DeadBird*,  
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5 162 respectively denoted as  $E_{NervSy}$ ,  $E_{DeadHorse}$ , and  $E_{DeadBird}$ . Assuming that the three sources of evidence were  
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7 163 conditionally independent given outbreak status and seasonality of baselines,  $V_{tot}$  was calculated as:

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10 164 Eq.5 
$$V_{tot} = \frac{P(E_{NervSy}, E_{DeadHorse}, E_{DeadBird} | H_1)}{P(E_{NervSy}, E_{DeadHorse}, E_{DeadBird} | H_0)} = V_{NervSy} \times V_{DeadHorse} \times V_{DeadBird}$$

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12  
13 165 and  $O_{post\_tot}$  was calculated as:

14  
15 166 Eq.6 
$$O_{post\_tot} = \frac{P(H_1 | E_{NervSy}, E_{DeadHorse}, E_{DeadBird})}{P(H_0 | E_{NervSy}, E_{DeadHorse}, E_{DeadBird})} = V_{tot} \times \frac{P(H_1)}{P(H_0)}$$

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#### 168 4. Performance assessment

23 169 Sensitivity (Se) and specificity (Sp) were calculated as:

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25 170 Eq.7 
$$Se = TP / (TP + FN)$$

26  
27  
28 171 Eq.8 
$$Sp = TN / (TN + FP)$$

29  
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31 172 where TP is the number of true positive alarms, TN the number of true negative alarms, FP the number of false  
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33 173 positive alarms, and FN the number of false negative alarms.

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35 174 The receiver operating characteristic (ROC) curve was generated in R by testing various alarm thresholds, and  
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37 175 the areas under the curves (AUC) were calculated with the *auc* function of the package {flux}. A larger AUC  
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39 176 represented a better detection performance.

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## 178 RESULTS

### 179 1. Modeling time series and simulating data

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48 180 For all time series the best fits were obtained for  $\mathcal{NB}$  distributions. The resulting models' parameters are  
49  
50 181 summarized in table 1 and corresponding baselines and predictions are shown in figure 1. The probabilities of  
51  
52 182 observing  $n$  cases and the resulting value of  $V$  ( $p(E|H_1)/p(E|H_0)$ ) during a non-outbreak ( $p(E|H_0)$ ) and an  
53  
54 183 outbreak ( $p(E|H_1)$ ) situation for each time series are summarized in figure 2.  
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## 184 2. Outbreak detection

185 We estimated the respective performance of each univariate system (*NervSy*, *DeadHorse*, and *DeadBird*) in  
186 detecting WNV outbreaks without considering any *a priori* values for disease status ( $O_{pri}=1$ ). Examples of  
187 simulated baselines with inserted outbreaks and associated variations in  $\log_{10}(V)$  are presented in Appendix II  
188 figure 2.

189 The best results for univariate outbreak detection were obtained for *NervSy*, which outperformed analyses  
190 using *DeadHorse* and *DeadBird* (figure 3 and table 2). *DeadBird* models yielded intermediary detection  
191 performances whereas models using *DeadHorse* were not able to discriminate between outbreak and non-  
192 outbreak situations ( $AUC \approx 0.50$ ).

193 The best results for multivariate outbreak detection were obtained for analyses that combined *NervSy* with  
194 *DeadBird* data, which gave similar results to a combination of the three time series (figure 3 and table 2). The  
195 results of using *NervSy* combined with *DeadBird* were also better than those obtained with each time series  
196 alone. For example, for a specificity set at 0.80, the sensitivity of the detection reached 0.80 with the combined  
197 *NervSy* and *DeadBird* series whereas it was 0.67 with *NervSy* and 0.60 with *DeadBird* alone.

198

## 199 DISCUSSION

200 This is the first time that a real assessment of sensitivity and specificity has been implemented for WNV  
201 syndromic surveillance. Previous early warning systems developed for WNV only identified risk factors of WNV  
202 outbreaks, but did not evaluate the detection performances of those systems (Bellini et al., 2014b; Brown,  
203 2012; Chaskopoulou et al., 2013; El Adlouni et al., 2007; Gosselin et al., 2005; Rosà et al., 2014; Shuai et al.,  
204 2006; Valiakos et al., 2014). Only two attempts to assess the sensitivity and specificity of surveillance have been  
205 made (Andersson et al., 2014; Leblond et al., 2007a) but the parameters of interest were only evaluated based  
206 on a limited number of outbreaks, which did not allow any conclusions to be drawn regarding overall system  
207 performance. Timeliness has occasionally been evaluated but only based on a limited number of real WNV  
208 outbreaks, and has not been associated with a further evaluation of system performance (Calzolari et al., 2013;  
209 Chaintoutis et al., 2014; Eidson et al., 2001; Johnson et al., 2006; Mostashari et al., 2003; Veksler et al., 2009).

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3 210 In our study, we have refrained from assessing timeliness as there is currently little or no data to support  
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5 211 assumptions on the temporal course on WNV outbreak in Europe especially in wild birds. Indeed, we are  
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7 212 currently only able to estimate the number of cases expected during an epidemic time period, but not the  
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9 213 difference between the number of expected cases at the start of an outbreak and later on. All the weeks within  
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11 214 an epidemic time period are thus independent and have the same probability to have a high (or low) number of  
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13 215 cases. In this situation, assessing which one is detected first would be not informative about the timeliness of  
14  
15 216 our detection. However, further studies should be conducted on that point to rule on the efficiency of such  
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17 217 surveillance in serving as early warning system for possible human viral infections.

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19 218 Our results indicated that when using a univariate detection method, *NervSy* was the best indicator of WNV  
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21 219 outbreaks. This is consistent with the number of expected cases during an outbreak compared to the baseline  
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23 220 of each time series considered (i.e. high number of case for *NervSy*, moderate number of cases for *DeadBird*,  
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25 221 and low number of cases for *DeadHorse*). Indeed, models based only on the *DeadHorse* data resulted in poor  
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27 222 detection performance at the regional level because mortality in horses is mainly due to causes other than  
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29 223 WNV. To implement such surveillance system on the field, it would be necessary to assess the cost-  
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31 224 effectiveness of the system in order to define, in close collaboration with decision-makers, the best  
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33 225 balance between sensitivity and specificity. In addition, the real representativeness of datasets are still  
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35 226 unknown and should be assessed as they might have a great impact on systems performances. However, it is  
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37 227 hoped that our promising results will promote the timely collection and analysis of relevant data and the  
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39 228 implementation of such studies.

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42 229 The best detection performance was obtained using multivariate syndromic surveillance based on reports of  
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44 230 nervous symptoms in horses (*NervSy*) and wild bird mortality (*DeadBird*). It is complicated to know how  
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46 231 different datasets complement one another. However, we can expect that dead birds would be mainly used to  
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48 232 signal the start of an outbreak and that horses confirm the occurrence. To our knowledge, this is the first time  
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50 233 that multivariate syndromic surveillance has been implemented for WNV detection. Our results offer a wide  
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52 234 range of opportunities but raise also questions regarding practical implementation on the field of such  
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54 235 multivariate system. In the model, the value of evidence compares the probability of observing syndromes  
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56 236 under baseline conditions and during a WNV outbreak and the calculation of specificity refers to false alarms  
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58 237 from random aberrations. Consequently peaks in the syndromic data streams due to other causes such as (i.e.,  
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238 equine herpes virus-1 for *NervSy* or Avian Influenza or intoxication for *DeadBird*) will be presented as evidence  
239 in favor of WNV. The Bayesian framework offers the possibility to include differential diagnoses and specify  
240 their prior probability and expected impact on the distribution of counts in each data-stream. Doing so would  
241 enable us to estimate the posterior probability and evidence in favor of a WNV outbreak. However, such a  
242 model would be very complicated and hard to support with data. Instead we explicitly define our hypothesis of  
243 interest. When the model triggers an alarm, the distinction between WNV and other diagnoses will be made  
244 using field investigations.

245 The Bayesian framework is a comprehensive and logical way to combine syndromic data from several data-  
246 streams and it seems well adapted for multivariate WNV detection using three indicators for WNV outbreak  
247 detection. This framework provides a means of weighting the results from syndromic surveillance and thus,  
248 additional information can be easily added. Then, a next step in the early detection of WNV outbreaks should  
249 be to test the efficiency of the method with other data, such as the predicted abundance of mosquitoes  
250 (Calistri et al., 2014; Rosà et al., 2014), environmental risk factors (Tran et al., 2014), and risk of introduction  
251 (Bessell et al., 2014; Brown et al., 2012). In addition, the Bayesian approach could be easily adapted to  
252 spatiotemporal analysis. Such approach could be especially relevant for WNV surveillance as there are strong  
253 links between environment and WNV outbreaks and as we expect local clusters of cases (e.g., (Leblond et al.,  
254 2007b; Mostashari et al., 2003)). Without integrating a spatiotemporal approach, the usefulness of a  
255 multivariate syndromic approach could be limited especially for vector-borne diseases surveillance, and thus  
256 the next step would be to develop and test a spatiotemporal model. However, the quality of geographical  
257 information of reported cases used in our study are currently insufficient to implement spatiotemporal  
258 analysis. In future studies, it would be interesting to improve data quality in order to test if spatiotemporal  
259 analysis could also improve WNV detection and to rule on the usefulness of *Deadhorse* time series. Indeed,  
260 using another spatiotemporal scale, local clusters of deaths in horses might be used as a signal of a WNV  
261 outbreak.

## 262 CONCLUSION

263 The proposed approach gives promising results for improving surveillance of WNV in France, and maybe also  
264 more generally in Europe. It offers a comprehensive and logical way to combine syndromic data from several

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3 265 data-streams which can be relevant to improve the surveillance of many other diseases (e.g., Bluetongue virus  
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5 266 combining data from milk yield and stillbirths, or Japanese encephalitis combining data on nervous symptoms in  
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7 267 horses and reproductive losses in swine). However, questions remain on the practical implementation on the  
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9 268 field of such multivariate system especially regarding interpretation of combined signal, and detection's  
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11 269 timeliness to serve as an early signal for possible human WNV infections in Europe. It is hoped that our results  
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13 270 will support the implementation of further studies to solve these questions and that they will contribute to  
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15 271 develop more collaborative work between existing surveillance networks.  
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## 46 285 **DISCLOSURE STATEMENT**

47  
48  
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39 423 **ILLUSTRATIONS**

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42 424 **Figure 1: Three time series considered.** *NervSy*: number of declaration of nervous syndrome in horses without  
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44 425 positive lab result. *DeadHorse*: number of dead adult horses collected by French fallen stock companies.  
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46 426 *DeadBird*: number of dead wild birds autopsied with values above the 95% confidence interval deleted. Dotted  
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48 427 lines = training data, solid black lines = test data, solid blue lines = predicted value, solid red lines = 95%  
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50 428 Confidence interval.  
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56 430 **Figure 2: Value of evidence and probabilities of observing n cases during a non-outbreak (Base) and an**  
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58 431 **outbreak (Out) situation.** Base= distribution of distribution into the baseline, Out = distribution of cases related  
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3 432 to a WNV outbreak, Tot= distribution of cases during an outbreak (Base + Out),  $\text{Log}(V)=$   
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5 433  $\log_{10}(p(n|\text{outbreak})/p(n|\text{baseline}))$ . Out was estimated with *fitdistr* function of the package *{fitdistrplus}* and  
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7 434 was based for *NervSy* on NB( $\mu= 3.12$ ,  $\theta=1.150$ ), for *DeadHorse* on NB( $\mu= 3$ ,  $\theta=2.005$ ), and for  
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9 435 *DeadBird* on NB(mean= 2.23,  $\theta=3.34$ ).

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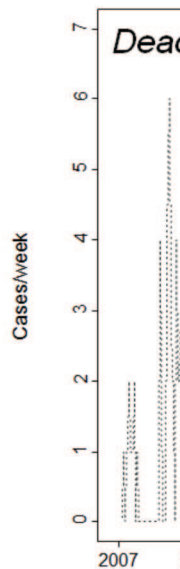
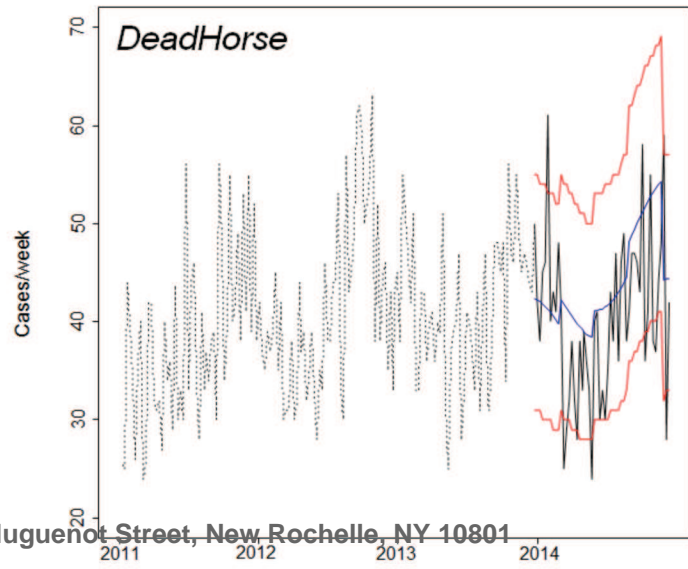
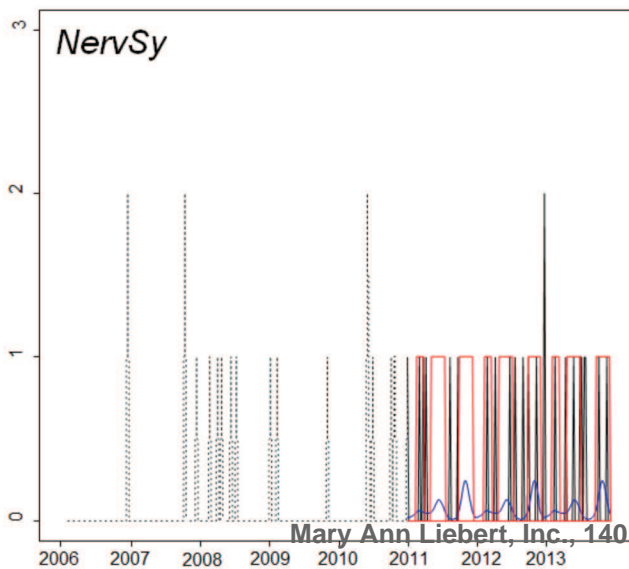
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14 437 **Figure 3: ROC curves for univariate and multivariate outbreak detection using *NervSy*, *DeadHorse* and**

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16 438 ***DeadBird*.**

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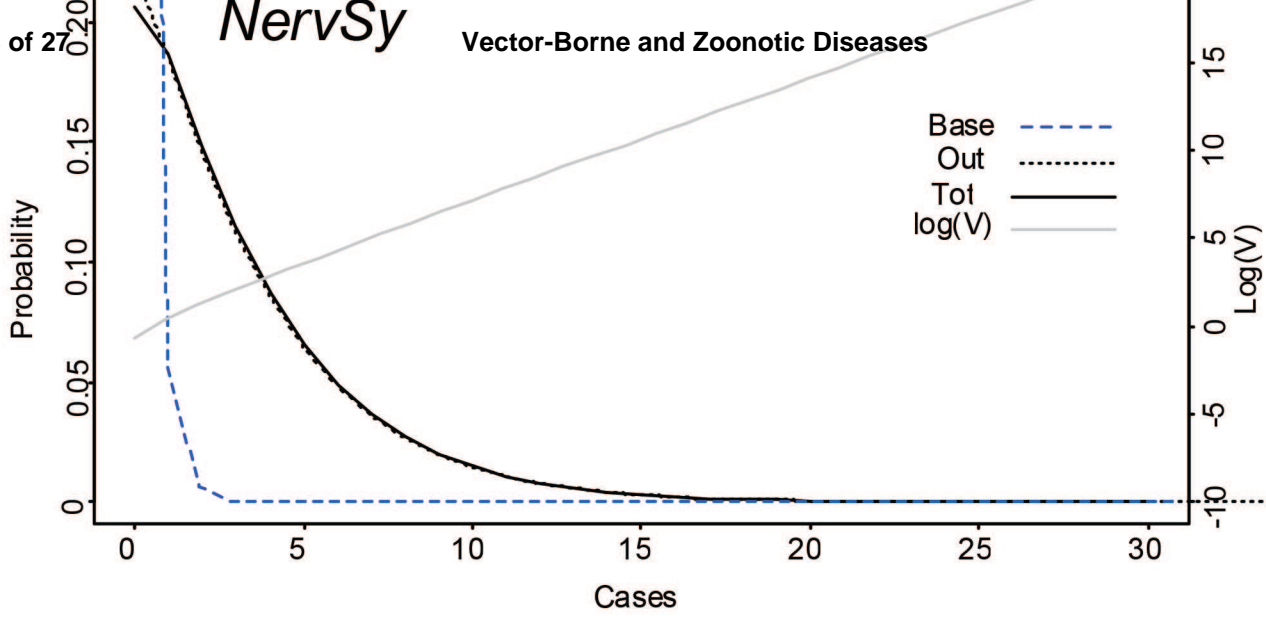
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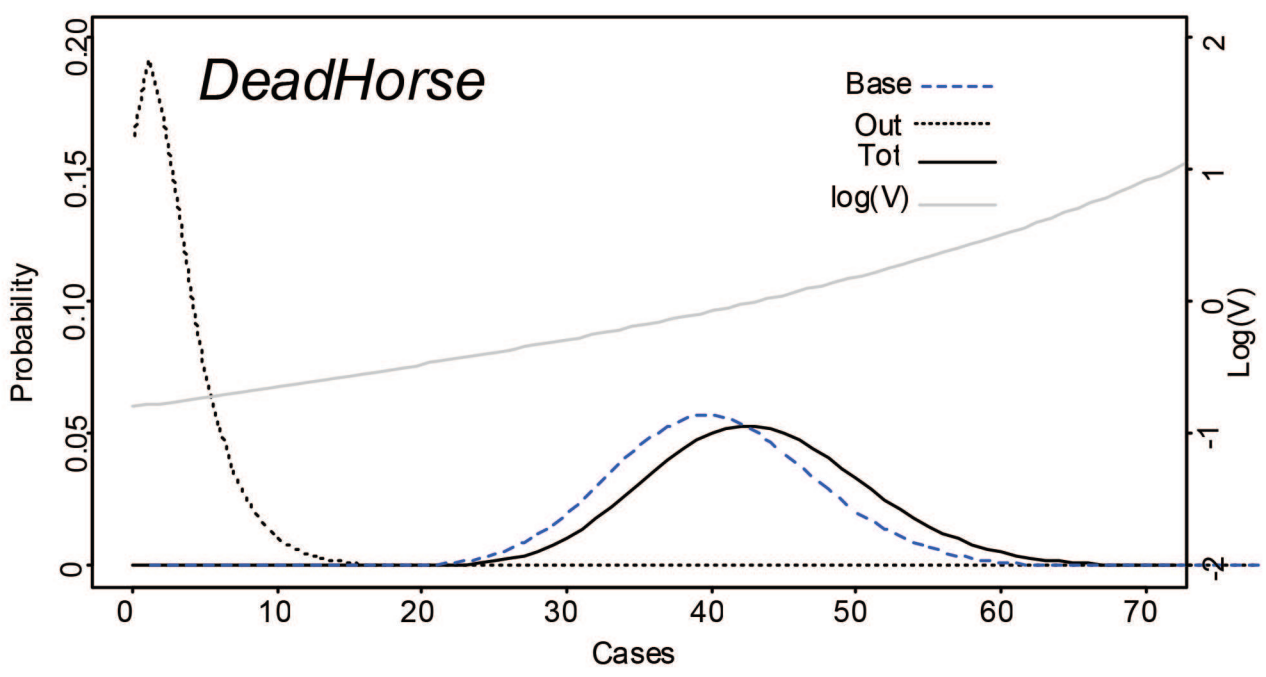
# NervSy

## Vector-Borne and Zoonotic Diseases

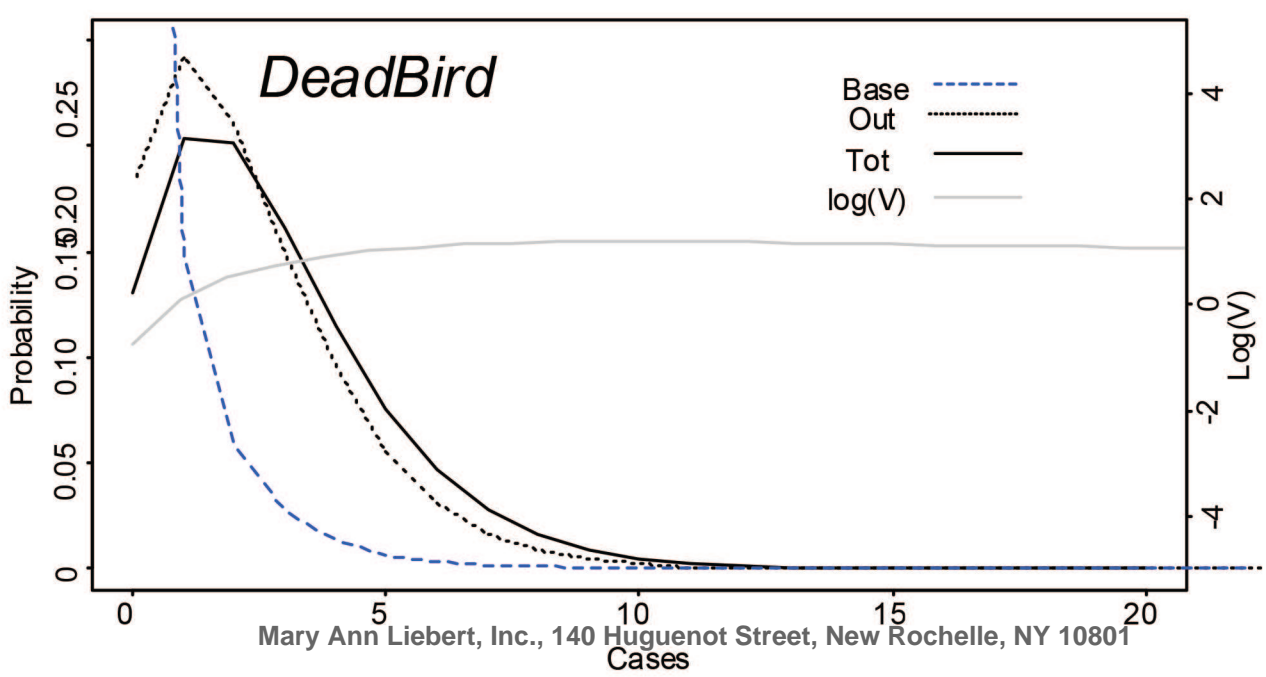


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# DeadHorse



# DeadBird

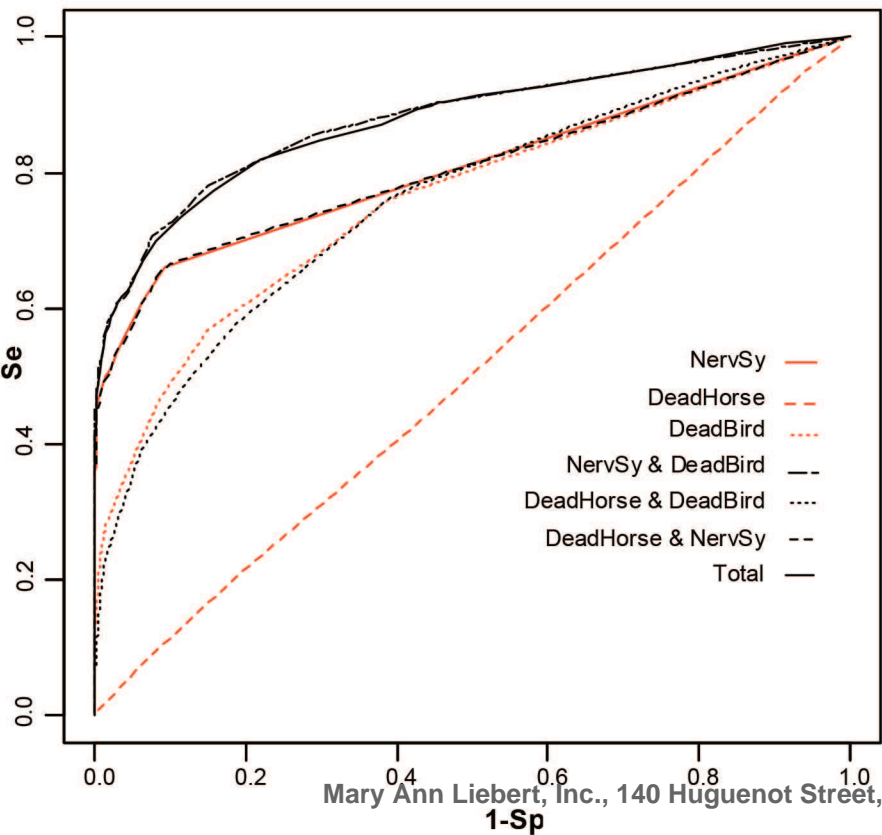




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for Distribution



Negative binomial distribution			AIC	GOF	RMSE <sub>c</sub>	RMSE <sub>v</sub>
Formulae	theta	mean				
NervSy ~ $\sin(2\pi(t - 4)/18.33) + \sin(2\pi t/26.5)$	0.413	0.077	143	0.279	0.30	0.39
DeadHorse ~ $4 \times (t - 4)/52 + t + \sin(2\pi(t - 12)/53)$	176	40.3	1063	1.016	7.06	8.57
DeadBird ~ $4 \times (t - 4)/52 + \sin(2\pi t/26.5)$	0.373	0.520	497	0.675	1.03	1.05

**Table 1: Models and models parameters obtained for the three time series.** Theta is the dispersion parameter as defined in the function *glm.nb* (package {MASS}) in R x64 version 3.0.2.

	<i>NervSy</i>	<i>DeadHorse</i>	<i>DeadBird</i>	<i>NervSy &amp; DeadBird</i>	<i>NervSy &amp; DeadHorse</i>	<i>DeadHorse &amp; DeadBird</i>	<i>Total</i>
<b>AUC</b>	0.80	0.50	0.75	0.87	0.80	0.75	0.87
<b>Standard error</b>	0.0082	0.0097	0.0089	0.0068	0.0081	0.0089	0.0068

**Table 2: Area under the ROC curve (AUC) and standard error for univariate and multivariate outbreak detection using *NervSy*, *DeadHorse* and *DeadBird*.**

## Appendix I:

**Table 1: Variables tested for each time series available and for Poisson and negative binomial distributions**

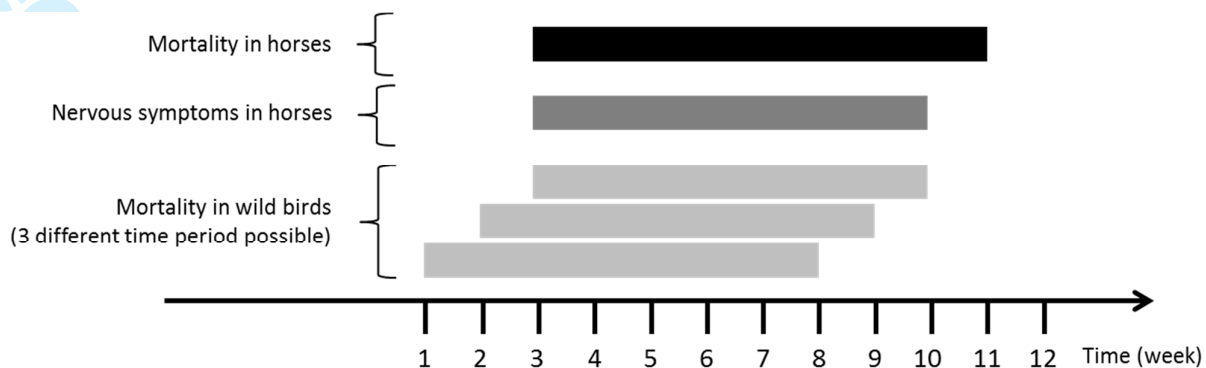
Variable name	Variable description
year	Year considered
week	Week of the year considered
time	Week number according to the total number of weeks available in the dataset
season	Season of the year considered
month	Month of the year considered
sin	$\sin(2\pi * (\text{week}/53))$
cos	$\cos(2\pi * (\text{week}/53))$
period8	$\text{Round}(\text{week} * 8/52)$
period8shift	$\text{Round}((3 + \text{week}) * 8/52)$
season.shift2	$\text{Round}((\text{week} - 4) * 4/52)$
sinX2	$\sin(2\pi * \text{week}/26.5)$
sinX2.shift	$\sin(2\pi * (\text{week} - 6)/26.5)$
sinminus6	$\sin(2\pi * (\text{week} - 6)/53)$
sinminus12	$\sin(2\pi * (\text{week} - 12)/53)$
sinminus18	$\sin(2\pi * (\text{week} - 18)/53)$
sinX4.shift	$\sin(2\pi * (\text{week} - 3)/13.25)$
sinX4	$\sin(2\pi * \text{week}/13.25)$
sinX3.shift	$\sin(2\pi * (\text{week} - 4)/18.33)$
sinX3	$\sin(2\pi * \text{week}/18.33)$
histmean	mean of the 53 previous weeks (and guard band of 4 weeks)

**Table 2: Negative binomial model used to remove extreme values from *DeadBird* using Tsui et al. approach**

Negative binomial distribution			AIC	GOF	RMSE <sub>c</sub>
Formulae	theta	mean			
$\text{DeadBird} \sim 8 \times (3 + t)/52 + \sin(2\pi t/26.5)$	0.22	0.79	819	0.65	1.84

Appendix II:

Supplementary figure 1: Course of WNV outbreak considering mortality in wild birds, nervous symptoms in horses and mortality in horses: duration of syndromes and time lag between them.



Supplementary figure 2: Examples of simulated baseline with inserted outbreak and corresponding variation of the value of evidence (V). solid black line = simulated data, solid blue line = predicted value, solid red line = 95% confidence interval, Dotted lines = log<sub>10</sub>(V)

