

# $V_E$ STPD as a measure of ventilatory acclimatization to hypobaric hypoxia

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This study compared the ventilation response to an incremental ergometer exercise at two altitudes: 633 mmHg (resident altitude = 1,600 m) and following acute decompression to 455 mmHg ( $\approx$ 4,350 m altitude) in eight male cyclists and runners. At 455 mmHg, the  $V_E$ STPD at RER <1.0 was significantly lower and the  $V_E$ BTPS was higher because of higher breathing frequency; at  $VO_{2max}$ , both  $V_E$ STPD and  $V_E$ BTPS were not significantly different. As percent of  $VO_{2max}$ , the  $V_E$ BTPS was nearly identical and  $V_E$ STPD was 30% lower throughout the exercise at 455 mmHg. The lower  $V_E$ STPD at lower pressure differs from two classical studies of acclimatized subjects (Silver Hut and OEII), where  $V_E$ STPD at submaximal workloads was maintained or increased above that at sea level. The lower  $V_E$ STPD at 455 mmHg in unacclimatized subjects at submaximal workloads results from acute respiratory alkalosis due to the initial fall in  $HbO_2$  ( $\approx$ 0.17 pHa units), reduction in  $PACO_2$  ( $\approx$ 5 mmHg) and higher  $PAO_2$  throughout the exercise, which are partially pre-established during acclimatization. Regression equations from these studies predict  $V_E$ STPD from  $VO_2$  and  $P_B$  in unacclimatized and acclimatized subjects. The attainment of ventilatory acclimatization to altitude can be estimated from the measured vs. predicted difference in  $V_E$ STPD at low workloads after arrival at altitude.

**Keywords:** acclimatization, acid–base balance, breathing frequency, Haldane effect, hypobaric hypoxia, hypocapnia, hyperventilation, tidal volume

## Introduction

Measurements of ventilation ( $V_E$ ) at high altitudes in acclimatized males have been reported on Mount Everest at rest (25) and exercise (26), during the “Silver Hut” studies (27) and during the “Operation Everest II” (OEII) experiments by Sutton et al. (32). These latter studies and an earlier one (4) indicate that  $V_E$ STPD at the same submaximal workloads is similar to that measured before at low altitude, but near  $VO_{2max}$  it exceeds that at low altitude. Reeves et al. (30) have pointed out the near constancy of the  $V_E$ STPD/ $VO_2$  ratio at rest and submaximal exercise in acclimatized individuals, and that it increases near maximal effort.

Detailed comparisons of  $V_E$  during exercise in the same individuals following acute (minutes to hours) exposure to different altitudes or hypobaric hypoxia are limited. It is well

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known that  $V_{E}BTPS$  during submaximal workloads increases at lower  $P_B$  during the acclimatization process (6). Observations after acute exposures have been reported by Stenberg et al. (31) and Elliott et al. (10); their data show that in spite of the early increase of  $V_{E}BTPS$  at 462 and 406 mmHg, respectively, the  $V_{E}STPD/VO_2$  ratio was lower than at sea level during steady-state submaximal workloads and at  $VO_2max$  by  $\approx 20\%$ . Other reports contain serial measurements after 1–3 days and later after acclimatization (9, 14, 22, 29). Lower resting  $V_{E}STPD$  during the first day at altitude compared with pre-ascent and subsequent measurements 3–4 days later have also been reported, suggesting early transient hypoxic ventilatory depression and progressive hypocapnia (16). A detailed study of the ventilatory acclimatization process at altitude from resting measurements has been well described by Rahn and Otis (28), but measurements of  $V_{E}STPD$  during exercise immediately after acute exposure to hypobaric hypoxia are lacking. Therefore, no quantitative comparison of  $V_{E}STPD$  between acclimatized and unacclimatized individuals has been made.

In this study, where  $V_E$  measurements during incremental exercise were made at 1,600 and 4,350 m in subjects acutely exposed to higher altitude without prior acclimatization (38), it was noted that  $V_{E}STPD$  was lower at submaximal workloads at 4,350 m than at 1,600 m. This led us to explore and quantify this different response from that noted in earlier studies in acclimatized subjects where ventilatory acclimatization (36) had taken place. The purpose of this report was to determine whether this difference in  $V_{E}STPD$  during exercise might be useful to estimate the magnitude and temporal progression of hypoxic ventilatory acclimatization. If so, this may serve to evaluate the relative effectiveness of acclimatization aids or the “completeness” of acclimatization of an individual or group.

## Materials and Methods

Eight males were recruited from the university and local community to investigate the effects of heat acclimation on  $VO_2max$  under ambient conditions (633 mmHg/1,600 m/5,250 ft) and after acute exposure to reduced pressure in an altitude chamber at 455 mmHg, equivalent to 4,350 m/14,271 ft according to the equation of West (35).

The subjects, cyclists and runners, were consistently performing an average of 6 h/week of moderate and 2–3 h/week of vigorous exercise during the year prior to the study. Mean  $\pm$  SD for  $VO_2max$ , age, height, and weight were  $55 \pm 5$  mL/min/kg,  $28 \pm 6$  year,  $1.78 \pm 0.07$  m, and  $75.4 \pm 8.4$  kg, respectively. All subjects resided between 1,500 and 1,600 m during the 6 months preceding the study, maintaining their exercise routines during the study. Written informed consent was obtained for the study, as approved by the Human Research Review Committee at the University of New Mexico.

### *Experimental design*

Subjects were tested at the same time of the day at 633 and 455 mmHg and refrained from strenuous exercise, caffeine, and alcohol for 24 h prior to testing. The exercise tests were performed in a decompression chamber at the University of New Mexico, Albuquerque, NM, USA. Subjects first completed a  $VO_2max$  test at 633 mmHg with chamber doors open, and 6–9 days later performed the same test at 455 mmHg. Between 22 and 28 days later, these tests were repeated at 455 mmHg, and 4 and 6 days later at 633 mmHg. During the 25-day interval, the subjects underwent a 10-day heat acclimation protocol (38).

All testing was performed on an electronically braked cycle ergometer (Velotron DynaFit Pro, RacerMate, Seattle, WA). The average ambient temperature for all tests was  $22 \pm 2$  °C. Cycling began at 70 W for 1 min, with the workload then increasing 35 W every minute until volitional fatigue or inability to maintain the workload. Subjects pedaled at their preferred rate. The day-to-day error in  $VO_2$ max determination by this incremental exercise test is  $\approx 3$ –4% (2, 37). Gas exchange data were continuously measured breath-by-breath by metabolic cart (ParvoMedics TrueOne 2400, Sandy, UT). Maximal aerobic capacity was the highest  $VO_2$  value averaged over 15 s. Before exercise, the metabolic cart was calibrated with room air (20.94%  $O_2$  and 0.03%  $CO_2$ ) and a gas of known concentrations (16%  $O_2$  and 4%  $CO_2$ ). A 3-L syringe was used to calibrate the flow.

Oxygen saturation ( $SpO_2$ ) measured from an index finger ( $GO_2$  Pulse Oximeter, Philips Respironics, Andover, MA) was averaged each minute. Venous blood was drawn from an antecubital vein for the determination of hemoglobin (Hb) by established procedures (Beckman Coulter, LH750). This was averaged from samples obtained after the first and before the second  $VO_2$ max tests at 455 mmHg.

For tests at 455 mmHg, the “ascent” rate was 20 mmHg/min with subjects then resting prior to initiating exercise for approximately 30 min. The average exercise time to reach  $VO_2$ max was  $9.8 \pm 1.2$  min at 633 mmHg and  $8.4 \pm 1.1$  min at 455 mmHg. Resting measurements were not made because these are more affected by apprehension, voluntary control of breathing, and discomfort of measuring devices than exercise measurements (14).

### *Statistics and calculations*

Data are reported as mean  $\pm$  SD. Paired *t*-tests were used to determine the significant differences in  $V_E$  at specific equidistant  $VO_2$  values between 633 and 455 mmHg, following a repeated-measures analysis of variance (ANOVA) test for the multiple points. The level of significance was assumed at  $p \leq 0.05$ . Predicted values of  $V_{E}BTPS$  and  $V_{E}STPD$  were obtained for each subject from linear regressions of  $\ln(V_E)$  (*Y*-axis) vs.  $VO_2$  (*X*-axis). Output values, averaged at 15-s intervals, between the  $VO_2$  of 1.0 L/min and  $VO_2$ max were included in the regressions (Fig. 1A). On average, this included 34 values (range: 23–47). For each subject, the two tests at the same  $P_B$  were averaged because there was no significant difference between the slopes and intercepts for the eight subjects by paired *t*-test. The slopes of the regression lines (Fig. 1B) were significantly higher for the runs at 455 mmHg than at 633 mmHg, and the intercepts for  $V_{E}STPD$  were lower. The predicted  $V_E$  values obtained from the regression equations for each subject (Fig. 1C) were plotted and averaged at the specifically chosen  $VO_2$  values.

Figure 1 shows how  $\ln(V_E)$  values linearize the  $V_E$ – $VO_2$  relationship. Panel A shows the  $V_{E}BTPS$  output values for one subject for both tests at 633 mmHg. Each point is a 15-s average obtained by the software. Panel B shows the corresponding  $\ln(V_E)$  values vs.  $VO_2$  and panel C shows the  $V_{E}BTPS$  values predicted from the mean regression equation shown in panel B (curve superimposed in panel A). Similar analyses were performed for  $V_{E}STPD$  vs.  $VO_2$ .

Output values for ventilatory frequency ( $f_R$ ) and tidal volume ( $V_T$ ), calculated from  $V_{E}BTPS$ , were plotted vs.  $VO_2$  as shown in Fig. 1A for all 16 tests at each pressure. Various curve-fitting models were evaluated and selected based on the lowest  $r^2$  for the residuals of predicted vs. actual *Y*-values. Based on this, an exponential growth model was chosen for  $f_R$  and a Gaussian model for  $V_T$ . The resulting equations are shown in Fig. 2 for the same subject as shown in Fig. 1A.

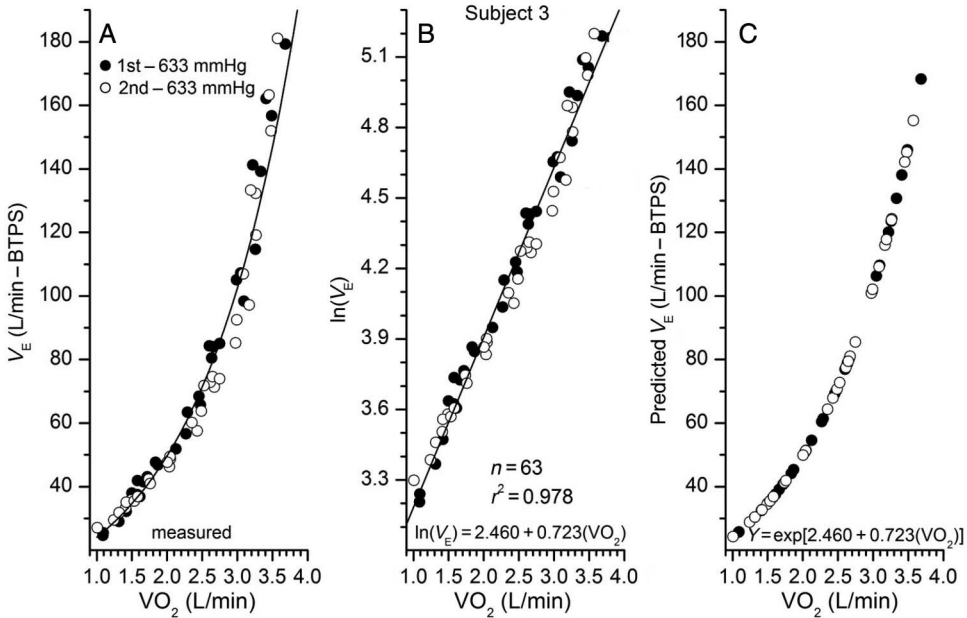


Fig. 1. Example of how predicted  $V_E$  vs.  $VO_2$  was obtained for a single subject. Panel A: example of recorded  $V_{E\text{BTPS}}$  at 15-s intervals, beginning at 1.0 L/min  $VO_2$  for the two tests at 633 mmHg for subject 3. Panel B: linear regression of  $\ln(V_E)$  vs.  $VO_2$ . There was no significant difference in slope and intercept between the first and second runs for the eight subjects for these plots; therefore, values from both runs were combined from one linear regression equation for each subject at each pressure. Panel C: the predicted  $V_E$  from this regression at specific  $VO_2$  values (as shown in Fig. 3). Similar procedures were used to obtain  $V_{E\text{STPD}}$  and also  $V_{E\text{BTPS}}$  and  $V_{E\text{STPD}}$  vs. percent of  $VO_{2\text{max}}$

The accuracy of the curve fitting is indicated by the mean  $r^2$  values for the eight tests at 633 mmHg and the eight tests at 455 mmHg in Table 1 (mean  $r^2 = 0.92$ ). The predicted values of  $V_E$ ,  $f_R$ , and  $V_T$  at 0.5 L/min intervals of  $VO_2$  were then averaged at 1.0, 1.5, 2.0, 2.5, 3.0 and  $VO_{2\text{max}}$  values from the equations obtained for each subject.

## Results

There was no significant difference between the  $VO_{2\text{max}}$  values measured at two different occasions at 633 mmHg (4,195 vs. 4,145 mL/min,  $p = 0.57$ ) and 455 mmHg (3,487 vs. 3,562 mL/min,  $p = 0.41$ ), so they were averaged for each pressure ( $n = 8$ ). The average value at 455 mmHg ( $3,525 \pm 333$  mL/min) was significantly ( $p < 0.001$ ) lower than at 633 mmHg ( $4,170 \pm 484$  mL/min), a percentage reduction of 15.5%. The  $V_{E\text{BTPS}}$  values were also not significantly different on the two different occasions at each pressure (difference  $< 2.5\%$ ,  $p = 0.48$ ).

Figure 3A shows the average  $V_{E\text{STPD}}$  and  $V_{E\text{BTPS}}$  values at both pressures. The  $V_{E\text{BTPS}}$  at 455 mmHg was significantly higher (by repeated-measures ANOVA) between 1.0 and 3.0 L/min of  $VO_2$  than at 633 mmHg by an average of 15.2 L/min (24%), but not significantly higher at  $VO_{2\text{max}}$  ( $p = 0.10$ ). Conversely, the mean  $V_{E\text{STPD}}$  was significantly lower at 455 mmHg at  $VO_2$  between 1.0 and 2.5 L/min by 4.1 L/min (14%) and

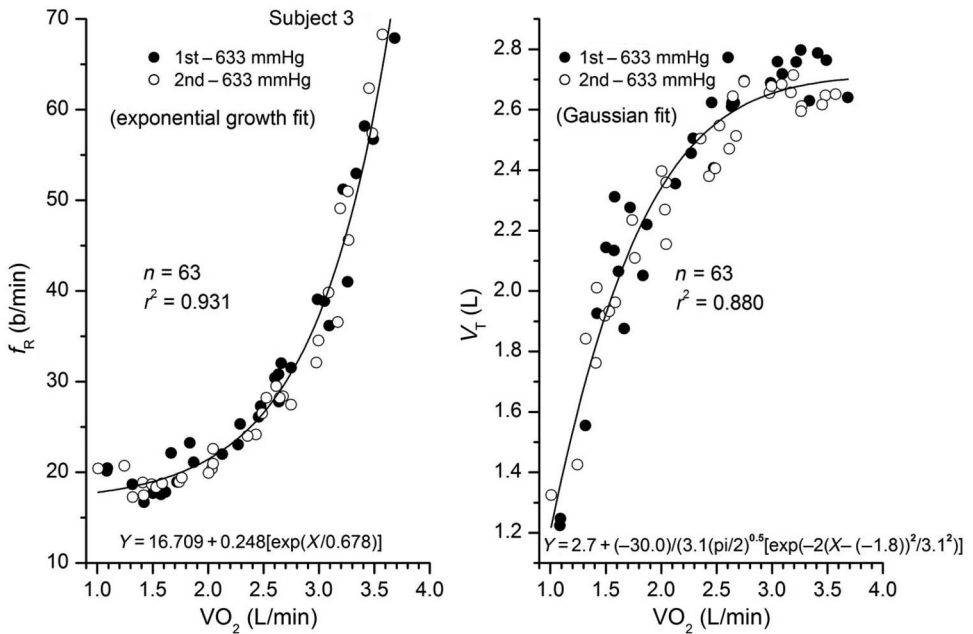


Fig. 2. Example of exponential growth fit to ventilatory frequency ( $f_R$ ) values, and a Gaussian fit to tidal volume ( $V_T$ ) for two tests at 633 mmHg for subject 3.  $V_T$  calculated as  $V_{EBTPS}/f_R$ . Predicted values from these curves for each subject at specific  $VO_2$  values were averaged for the eight subjects to obtain the average  $f_R$  and  $V_T$  values (as shown in Fig. 4)

Table I. The  $r^2$  values for curve fitting 16 recorded vs. predicted values

Curve	Mean	SD	Range
$\ln(V_E)$ vs. $VO_2$ (linear)	0.988	0.007	0.970–0.996
$\ln(V_E)$ vs. percent of $VO_{2max}$ (linear)	0.988	0.007	0.970–0.996
$f_R$ vs. $VO_2$ (exp. growth)	0.901	0.052	0.749–0.966
$f_R$ vs. percent of $VO_{2max}$ (exp. growth)	0.906	0.073	0.660–0.964
$V_T$ vs. $VO_2$ (Gaussian)	0.869	0.051	0.738–0.957
$V_T$ vs. percent of $VO_{2max}$ (Gaussian)	0.864	0.062	0.723–0.958

$V_E$ : ventilation (L/min-BTPS or STPD);  $VO_2$  (L/min);  $f_R$ : breathing frequency;  $V_T$ : tidal volume. Data points (mean  $n = 68$ ) were 15-s averages combined from both tests by each subject at the same pressure

significantly lower at  $VO_{2max}$  by 28 L/min. The same values are shown as percent of  $VO_{2max}$  in Fig. 3B, indicating that  $V_{EBTPS}$  was quite similar at both pressures, but  $V_E$ STPD remained significantly lower at 455 mmHg by approximately 30% throughout the test.

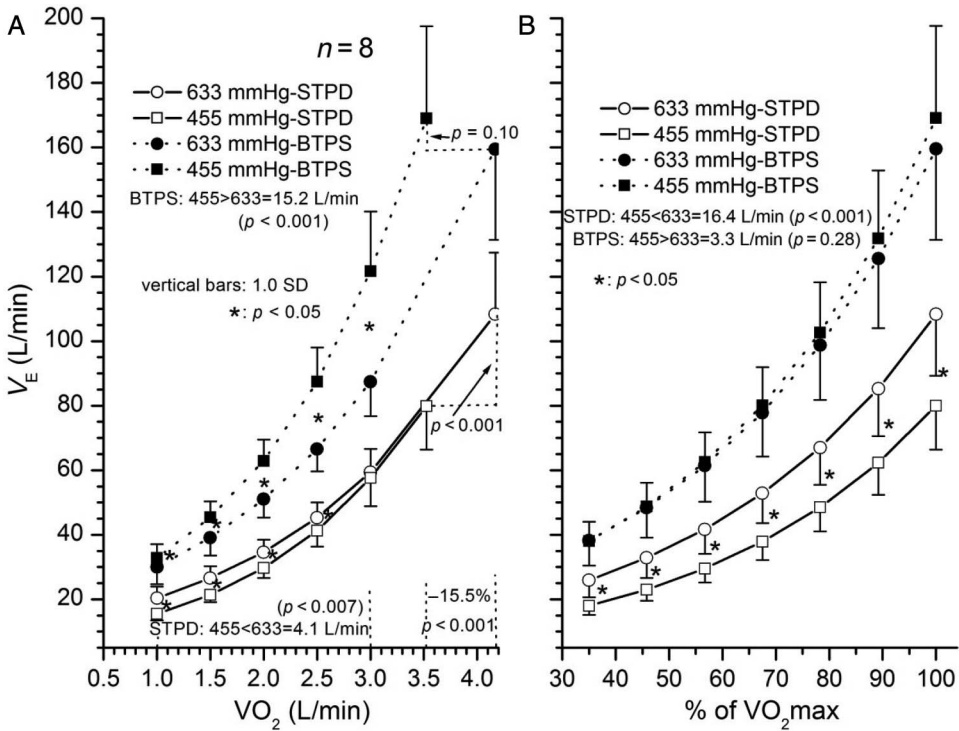


Fig. 3. Mean values for predicted  $V_E$ STPD and  $V_E$ BTPS at specific  $VO_2$  values at 633 and 455 mmHg (panel A) and as the average of percent of  $VO_2$ max for each subject (panel B). Averages were obtained as shown in Fig. 1. X-axis values were chosen equidistant from 1.0 L/min to mean  $VO_2$ max. In panel A, the  $V_E$ STPD is significantly lower at 455 mmHg by an average of 4.1 L/min (14%) for the five values between 1.0 and 3.0 L/min and  $V_E$ BTPS is higher by an average of 15.2 L/min (24%). In panel B,  $V_E$ STPD is significantly lower throughout at 455 mmHg, but at 633 mmHg it is similar to  $V_E$ BTPS. *p*-Values: from repeated-measures ANOVA; \*: from paired *t*-test

The increase in  $V_E$ BTPS at a given  $VO_2$  at 455 mmHg resulted almost exclusively from the significant increase in  $f_R$  with little difference in  $V_T$  (Fig. 4A). As percent of  $VO_2$ max, the consistently higher  $f_R$  at 455 mmHg was almost significant ( $p = 0.059$ ), as shown in Fig. 4B and the  $V_T$  was significantly lower.

#### Comparison of $V_E$ with other studies during exercise in hypobaric hypoxia

In Fig. 5, the measurements from this study (panel A) are presented for comparison with data combined from two other studies following acute altitude exposures (panel B) that reported data as group averages (12, 34). The exercises in the latter studies did not continue to  $VO_2$ max. At each pressure, the reported average  $V_E$ BTPS values were converted to  $\ln(V_E)$  values and the exponents plotted from the linear regression vs. reported  $VO_2$  values (as shown in Fig. 1). In each of those two studies, eight subjects residing near sea level, of similar age to those in this study, were exposed acutely to simulated altitude (632, 523, and 429 mmHg) and exercised sequentially with steady-state cycling at increasing intensity to near  $VO_2$ max. The similarity of Fig. 5A and B is apparent, the  $V_E$ STPD being lower at

submaximal workloads at the lower pressures, but approaching sea level values as exercise intensity increased.

The reduction of submaximal  $V_{E}STPD$  at higher altitude shown in Fig. 5A and B differs from studies of acclimatized subjects on mountains, as noted by Pugh et al. in the “Silver Hut” studies (27) and similar observations in the “Operation Everest II” experiments by Sutton et al. (32) and Cymerman et al. (5). Mean data from these two studies are presented in Fig. 5C and D. In these subjects, acclimatized to various altitudes, it is apparent that  $V_{E}STPD$  is not diminished at low workloads at higher altitudes, but tends to progressively increase as exercise intensity increases and  $VO_{2max}$  is reduced at greater altitude. The  $V_{E}STPD$  exceeds the values at sea level when  $VO_{2}$  is above  $\approx 60\%$  of  $VO_{2max}$ . This is emphasized in Fig. 6, which shows the  $V_{E}STPD/VO_{2}$  ratios plotted vs. percent of  $VO_{2max}$  at three pressures from the measurements shown in Fig. 5C and D, along with the values from this study. The ratios at 455 mmHg in this study are clearly separated from those at 633 mmHg and those of the acclimatized subjects. The ratios increase when exercise  $VO_{2}$  exceeds  $\approx 60\%$  of  $VO_{2max}$ .

The general impression from Fig. 5A, C, and D is that  $V_{E}BTSPS$  may be the limiting factor for exercise at altitude when it reaches  $\approx 160\text{--}200$  L/min, as suggested by Reeves et al. (30) when considering data from the OEII study. The other observation from the 10 end-point values in Fig. 5C and D is that  $VO_{2max}$  is highly correlated with  $V_{E}STPD$  ( $r^2 = 0.971$ ), and  $P_B$  ( $r^2 = 0.919$ ), even though subjects varied in age and aerobic fitness.

## Discussion

Numerous studies have indicated that  $VO_{2max}$  cannot be significantly increased with altitude acclimatization [e.g., (14, 33)]. However, submaximal exercise endurance is clearly increased (22), as is the sense of well being and reduction of altitude illness. A recent meta-analysis of 80 studies, where altitude exposure was limited to 24 h, demonstrated that the decline in  $VO_{2max}$  with altitude was greater for subjects with higher aerobic capacity (21). Their model predicts a 25% reduction from 1,600 to 4,350 m for subjects in this study, well above 15.5%. Reports based on a few subjects have noted that  $VO_{2max}$  was higher upon acute (30 min) altitude exposure when compared with several days of acclimatization in spite of higher  $V_E$  during exercise in the latter (8, 9). Perhaps, a longer exposure (e.g., to 24 h) to 455 mmHg prior to exercise in this study would have reduced  $VO_{2max}$  toward the predicted value.

The similarity of  $V_{E}BTSPS$  as percent of  $VO_{2max}$  (Fig. 3B) during incremental treadmill exercise at different pressures has been reported for residents at 1,000 ft (734 mmHg) taken acutely (40 h) to 10,200 ft (530 mmHg) and for residents at 10,200 ft taken acutely to 1,000 ft (13, 29). It was suggested that  $V_{E}BTSPS$  “. . . is regulated by some mechanism which senses a given effort in terms of exercise capacity.”

### *Why is $V_{E}STPD$ at submaximal workloads reduced in acute hypobaric hypoxia?*

The lower  $V_{E}STPD$  (and lower rise of  $V_{E}BTSPS$ ) upon acute exposure to lower pressure in this study is undoubtedly related to respiratory alkalosis resulting from three processes occurring simultaneously during the approximately 50 min of “ascent” and rest before and during the exercise: (a) the increase in pH<sub>a</sub> resulting from the 15 to 20% reduction in SaO<sub>2</sub> when exposed to the lower P<sub>I</sub>O<sub>2</sub> (Haldane effect), (b) the increase in  $V_{E}BTSPS$  from the hypoxic chemoreceptor drive in response to the relatively sudden reduction in P<sub>I</sub>O<sub>2</sub> (from 123 to 85 mmHg). This lowers PaCO<sub>2</sub> and further raises pH<sub>a</sub> and PaO<sub>2</sub>, thereby reducing

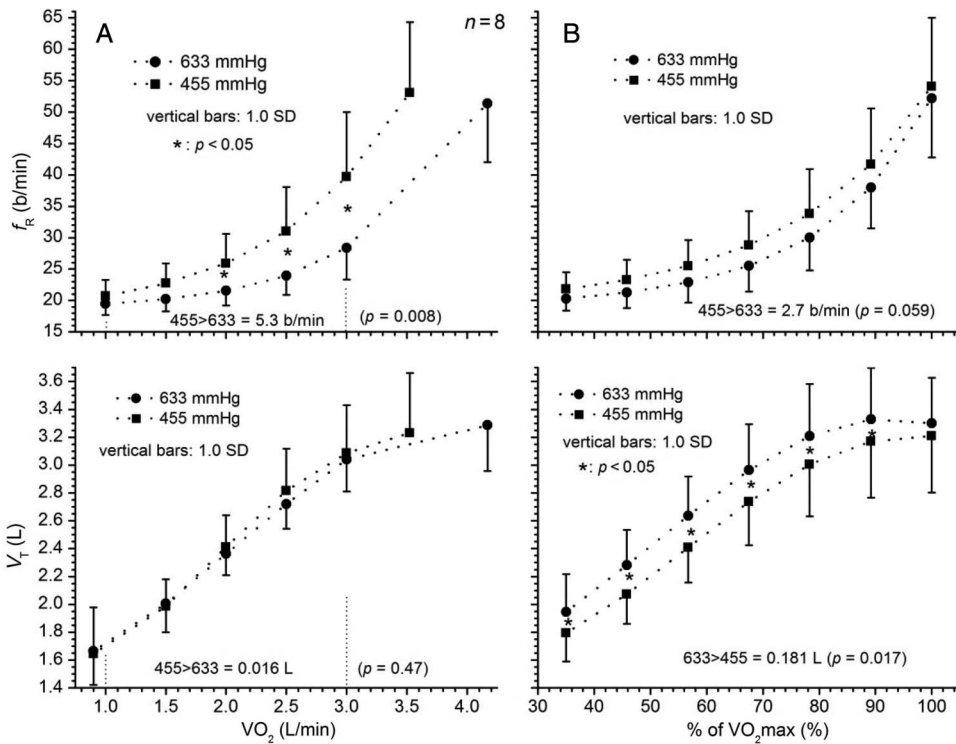


Fig. 4. Mean  $f_R$  and  $V_T$  values for the eight subjects at submaximal  $VO_2$  and  $VO_{2max}$  (panel A) and as percent of  $VO_{2max}$  (panel B) at 633 and 455 mmHg. The  $f_R$  is significantly higher at mid-range  $VO_2$  and  $V_T$  is similar. As percent of  $VO_{2max}$ , the  $f_R$  tends to be higher at 455 mmHg and  $V_T$  is significantly lower.  $p$ -Values: from repeated-measures ANOVA, \*: from paired  $t$ -test

hypoxic chemoreceptor and central ventilatory drive which initiated the hypocapnia, and (c) any asymptotic decline in blood and lungs  $O_2$  stores as  $PIO_2$  stabilizes at the lower  $P_B$ . In chronic hypoxia, these processes have been completed during the acclimatization process prior to exercise measurements. The two main reasons for the recovery of  $V_{E}STPD$  (and greater  $V_{E}BTPS$ ) during subsequent acclimatization are the gradual elimination of  $HCO_3^-$  to lower the  $pH_a$  back to near that at sea level and the increase in hypoxic chemoreceptor sensitivity (7) to compensate for the further reduction in  $PaCO_2$ . This results in  $pH_a$  being relatively lower than in acute hypoxia in spite of  $PaCO_2$  being reduced.

Measured and estimated differences between acute and chronic hypoxia in ventilation and blood gas values during exercise are summarized in Table II, showing values from this and two other studies for comparison.

For this study, alveolar pressures ( $PACO_2$  and  $PAO_2$ ) were approximated from measurements of mixed expired  $O_2$  and  $CO_2$ . These were converted to alveolar values using the anatomical dead space/ $V_{E}BTPS$  ratio calculated for subjects of similar age and weight during exercise obtained from values of  $PaCO_2$ ,  $VCO_2$ , and  $V_{E}BTPS$  reported by Wagner et al. (34). Their average ratio of  $0.200 \pm 0.020$  for 11 measurements during exercise at 752, 523, and 429 mmHg was not significantly correlated with their  $VO_2$  or  $P_B$  variations. The  $PACO_2$  and  $PAO_2$  values are shown in Table II at workloads of 105 W and  $VO_{2max}$ .



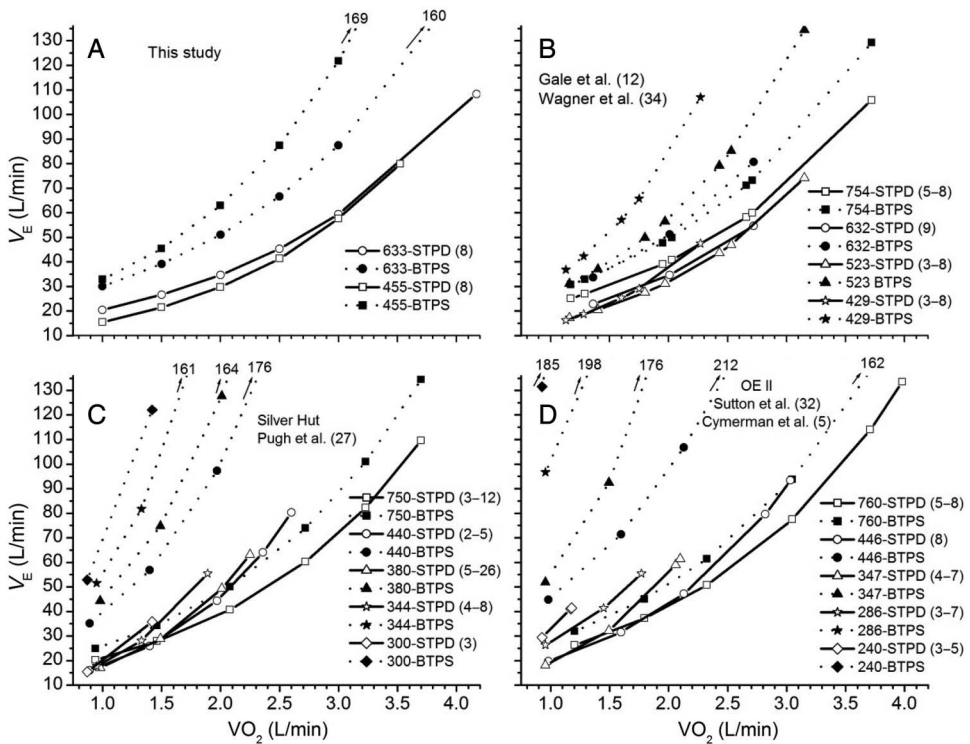


Fig. 5. Mean values for  $V_E$ STPD and  $V_E$ BTSP after acute exposure to lower  $P_B$  in this study (A) and reported study (B), and after days and weeks of acclimatization from reported studies in the field (C), and a hypobaric study (D). Values in parentheses indicate the number of subjects included in each data point at that pressure

With the assumption of equality between  $P_{aCO_2}$  and  $P_{ACO_2}$ , and other measurements and assumptions noted below, the  $pH_a$  at 633 and 455 mmHg was estimated. The increase in  $pH_a$  with  $HbO_2$  reduction at rest was found to be 0.008 pH units by Rahn and Otis (28) following acute exposure from sea level to 12,000 ft (3,658 m), a  $PAO_2$  reduction of about 55 mmHg, and 10%  $HbO_2$ . In this study,  $SpO_2$  was 15% lower at 455 mmHg than at 633 mmHg at 105 W and  $VO_{2max}$ . With a Haldane factor of 0.28, the steeper  $O_2$  dissociation curve at 455 mmHg results in a greater immediate  $pH_a$  increase of approximately 0.011 pH units at 105 W and 0.20 units at  $VO_{2max}$  (17).

Circulating Hb concentration rises with incremental exercise; therefore, resting Hb values were corrected from data published by Luft et al. (20) from a study on 10 subjects comparable to the present one (shown in column 3), where the rise was 0.76 g/dL per 1.0 L/min increase in  $VO_2$ . This increment was added to the mean resting Hb measured in the eight subjects (15.7 g/dL). The  $HCO_3^-$  was obtained from an equation derived with data from two studies. In one study,  $VO_{2max}$  was measured at sea level and on the first day at altitude (406 mmHg) in 10 subjects (19). Another study included an incremental exercise study in 10 subjects breathing 12%  $O_2$ , where  $VO_2$ , arterial lactate (LA), and  $HCO_3^-$  were serially obtained (10). The rise in LA as percent of  $VO_{2max}$  was similar in both studies. The close inverse relationship between LA rise and  $HCO_3^-$  as percent of  $VO_{2max}$  when  $PIO_2$  is acutely reduced has been confirmed in other studies (3, 18, 24). Also, the similarity of the rise in LA

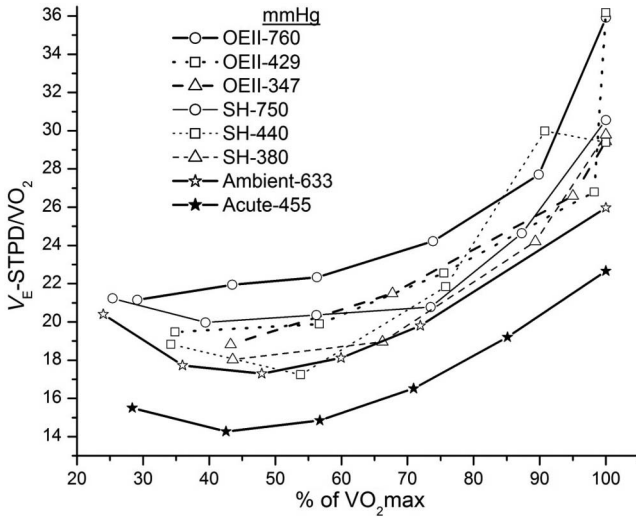


Fig. 6.  $V_E$ STPD/ $VO_2$  vs. percent of  $VO_{2max}$  for this study and from the mean data from Operation Everest (OE) and Silver Hut (SH) studies as shown in Fig. 5C and D

in relation to percent of  $VO_{2max}$  has been demonstrated during normoxia and normobaric hypoxia during ramp exercise (1, 23, 33). The resulting equation utilized in Table II was  $HCO_3^- = 1.125 - 0.340[\exp(\text{percent of } VO_{2max}/0.2915)]$ , with  $r^2 = 0.965$  for 24 predicted vs. measured values from the two studies. The  $pH_a$  was calculated from this  $HCO_3^-$  and  $PACO_2$ , and with measured  $SpO_2$ , the  $PaO_2$  was calculated according to the equations of Ellis and Severinghaus (11) and base excess (BE) for fully oxygenated whole blood (18). Table II indicates that the average reduction of  $H^+$  during exercise at 455 mmHg compared to 633 mmHg is  $\approx 7$  nmol/L, contributing to offset the chemoreceptor hypoxic drive. In acclimatized subjects, shown in column 6 at a  $VO_2$  of 1.6 L/min, the  $pH_a$ ,  $HCO_3^-$ , and BE are lower than at 1.4 L/min in this study (column 4) because these have been shifted downward before exercise in the acclimatization process (32).

The breathing pattern response to exercise in poikilocapnic hypoxia in Fig. 4A shows that the increase in  $V_E$ BTPS at 455 mmHg results from the higher  $f_R$ . The relatively greater resting hypocapnia at the lower  $PIO_2$ , combined with the exercise stimulus, would favor the greater  $f_R$  and offset the contribution of  $V_T$  compared to 633 mmHg. The 28% lower gas density at 455 mmHg would also tend to favor  $f_R$  over  $V_T$  for increasing  $V_E$  (15). In agreement with our findings in Fig. 4A, Hansen et al. (14) have shown that shortly after arrival at 458 mmHg, the rise in  $V_E$ BTPS during submaximal exercise was accomplished entirely by  $f_R$  in subjects ascending abruptly with an additional contribution from  $V_T$  to increasing  $V_E$  in those that ascended gradually.

The attenuation of  $V_E$ STPD shortly after arrival at altitude is evident in previous studies, but rarely mentioned because the focus has been on  $V_E$ BTPS. Measurements by Hansen et al. (14) indicated a 28% reduction in  $V_E$ STPD from sea level to 458 mmHg in eight subjects during submaximal exercise 2 days after being transported to an altitude in 6 h, while the decrease in eight others who ascended gradually over 2 days was only 11%. After 15–18 days at altitude,  $V_E$ STPD in both groups increased to near baseline. The  $V_E$ STPD at rest showed similar trends, as did a report on resting measurements by Huang et al. (16) at the same altitude. Elliot et al. (10) reported a 14% reduction the first day after arrival at 406 mmHg at 84%  $VO_{2max}$  in eight subjects. Stenberg et al. (31) showed a 14% reduction upon acute

Table II. Mean measured and estimated submaximal and maximal values of the eight subjects at 633 and 455 mmHg

Variable	Submax	Max	Max (Luft et al.)	Submax	Max	Submax (Sutton et al.)
$P_B$ (mmHg)	633	633	630	455	455	428
$n$	8	8	10	8	8	8
Workload (W)	<b>105 (1)</b>	<b>365 (44)</b>	295	<b>105 (0)</b>	<b>321 (41)*</b>	120
$VO_2$ (L/min)	<b>1.502 (0.097)</b>	<b>4.170 (0.484)</b>	3.279	<b>1.433 (0.110)</b>	<b>3.525 (0.333)*</b>	1.598
$V_E$ BTPS (L/min)	<b>39.6 (6.5)</b>	<b>159.5 (28.1)</b>	126.3	<b>44.1(6.0)*</b>	<b>169.0 (28.6)</b>	71.8
$V_E$ STPD (L/min)	<b>26.9 (4.4)</b>	<b>108.3 (16.6)</b>	85.3	<b>20.8 (2.8)*</b>	<b>77.5 (14.2)*</b>	31.7
$V_E$ STPD/ $VO_2$	<b>17.9 (2.5)</b>	<b>26.7 (3.0)</b>	26.0	<b>14.5 (1.6)*</b>	<b>22.6 (3.0)*</b>	19.8
RER	<b>0.86 (0.07)</b>	<b>1.19 (0.04)</b>	1.09	<b>0.87 (0.03)</b>	<b>1.20 (0.04)</b>	0.87
$PACO_2$ (mmHg)	<b>35.9 (3.6)</b>	<b>33.5 (3.6)</b>	29.7 (a)	<b>31.0 (3.6)*</b>	<b>27.7 (3.9)*</b>	23.9 (a)
$PAO_2$ (mmHg)	<b>82.1 (5.1)</b>	<b>93.6 (3.4)</b>	94.3 (b)	<b>50.8 (4.0)*</b>	<b>61.4 (3.7)*</b>	53.1 (b)
$SpO_2$ (%)	<b>94.6 (2.8)</b>	<b>90.9 (2.8)</b>	91.7	<b>80.0 (3.1)*</b>	<b>75.8 (3.5)*</b>	74.2
Hb (g/dL)	16.6 (c)	18.5 (c)	18.2	16.6 (c)	18.1 (c)	16.7 (c)
$HCO_3^-$ (mmol/L)	23.9 (d)	14.6 (d)	14.1	23.7 (d)	14.6 (d)	15.7
pHa	7.445 (e)	7.261 (e)	7.304	7.505 (e)	7.343 (e)	7.440
$H^+$ (nmol/L)	35.9	54.8	49.7	31.3	45.4	36.3
BE (mmol/L)	0.8 (f)	-10.7 (f)	-9.9	1.6 (f)	-9.3 (f)	-6.2 (f)
$PaO_2$ (mmHg)	70.4 (g)	70.2 (g)	68.0	39.5 (g)	43.3 (g)	42.2

Column 3: average measurements from Luft et al. (20). Column 6: average of acclimatized subjects from Sutton et al. (32). Bold: measured mean ( $\pm 1.0$  SD); \*: value significantly different ( $p < 0.05$ ) from corresponding value at 633 mmHg.  $PACO_2$  and  $PAO_2$ : calculated from mixed expired gas pressures and estimated alveolar dead space (see the text). (a): arterial  $PCO_2$ ; (b):  $PAO_2$  calculated by alveolar equation with  $PaCO_2$ ; (c): calculated as resting value ( $15.7$  g/dL) +  $0.76 \times (VO_2 - 0.3)$ ; (d):  $HCO_3^-$  bicarbonate concentration calculated by equation in the text from percent of  $VO_{2max}$ , assuming baseline = 24.0 mmol/L; (e): calculated from  $HCO_3^-$  and  $PACO_2$  by Henderson-Hasselbalch equation; hydrogen ion concentration ( $H^+$ ) from pHa; (f): base excess (BE) calculated from oxygenated whole blood (18); (g): calculated from  $SpO_2$  with equation by Ellis, corrected to pHa with equation by Severinghaus (11)

exposure to 462 mmHg in a protocol similar to ours in six subjects. Maher et al. (22) reported a 32% reduction on day 2 which returned minimally after remaining 10 days at 458 mmHg. Average data from Dill et al. (9) showed a 15% decrease at 455 mmHg in four subjects after less than 1 h. Reeves et al. (29) reported an insignificant drop in five young males on the second day after ascent from 734 to 530 mmHg, suggesting that the altitude may have been too low to induce sufficient hypocapnia because the  $PaO_2$  measured in two subjects was near 60 mmHg, the threshold of hypoxemia required for  $V_E$  to respond (28). The range in values of  $V_E$ STPD attenuation in these reports indicates that it depends on the magnitude of the

pressure change, the length of the time and the rate of the pressure drop before measurements are made, the subjects' hypoxic chemosensitivity, and the intensity of the exercise where measurements are made. If  $V_E$  is measured repeatedly over time at the same workload in the same subject at the reduced pressure, the time course of the  $V_{E\text{STPD}}$  rise to pre-ascent levels should correlate with ventilatory acclimatization. This must be measured at a workload below 60% of  $\text{VO}_2\text{max}$  predicted for the lower pressure to avoid the additional contribution to  $V_E$  by metabolic acidosis.

#### *Predictions of the extent of ventilatory acclimatization by $V_{E\text{STPD}}$*

Multiple linear regression equations for the prediction of  $V_{E\text{STPD}}$  from  $\text{VO}_2$  and  $P_B$  were obtained from mean data points in Figs 5A–D (excluding the two lowest pressures in C and D). The accuracy of these predictions is indicated in Table III, where predicted values are shown for 760, 633, and 455 mmHg. The predictions are more accurate for  $V_{E\text{STPD}}$  than  $V_{E\text{BTPS}}$  as indicated by the higher SEE for the latter. The differences between acclimatized and unacclimatized subjects are consistent between the two pairs of studies evaluated at a low submaximal level of  $\text{VO}_2$  at 1.5 L/min. For subjects acutely exposed to a simulated altitude, the  $V_{E\text{STPD}}$  is reduced from the baseline altitude by the same amount (13%), even though the subjects in this study were residing at 633 mmHg and those in study 2 at near sea level. The two studies of acclimatized subjects show an increase in predicted  $V_{E\text{STPD}}$  from 760 to 455 mmHg of  $\approx 25\%$ . Table III indicates that the degree of ventilatory acclimatization can be

Table III. Regression equations for  $V_{E\text{STPD}}$  (L/min) in four studies and changes predicted for  $\text{VO}_2 = 1.5$  L/min at 760, 633, and 455 mmHg

Study	Equation	<i>n</i>	SEE	$P_B$	$V_{E\text{STPD}}$	$V_{E\text{BTPS}}$
This study (acclim. to 633 mmHg)	$V_{E\text{STPD}} = \exp[2.094 + 0.557(\text{VO}_2 - \text{L/min}) + 0.000461(P_B - \text{mmHg})]$	13	3.0	760	26.6	32.2
				633	25.1	37.0
			(5.3)	455	23.1 (–13%)	48.9 (52%)
Gale et al. (12) and Wagner et al. (34) (acclim. to 750 mmHg)	$V_{E\text{STPD}} = \exp[1.977 + 0.655(\text{VO}_2 - \text{L/min}) + 0.000441(P_B - \text{mmHg})]$	22	5.3	760	27.0	32.7
				633	25.5	37.6
			(8.0)	455	23.6 (–13%)	49.9 (53%)
Pugh et al. (27) (Silver Hut) (acclim. from 400 to 300 mmHg)	$V_{E\text{STPD}} = \exp[2.469 + 0.747(\text{VO}_2 - \text{L/min}) - 0.000462(P_B - \text{mmHg})]$	15	9.1	760	25.5	30.9
				633	27.0	39.8
			(14.2)	455	29.3 (15%)	62.0 (101%)
Sutton et al. (32) and Cymerman et al. (5) (OEII) (acclim. from 428 to 282 mmHg)	$V_{E\text{STPD}} = \exp[2.560 + 0.685(\text{VO}_2 - \text{L/min}) - 0.000339(P_B - \text{mmHg})]$	15	12.3	760	27.9	33.8
				633	29.2	43.0
			(23.4)	455	31.0 (11%)	65.6 (94%)

*n*: number of averaged data points at pressures (as shown in Fig. 5) from which equation was obtained; SEE: standard error of linear regression of measured  $V_{E\text{STPD}}$  vs. predicted  $V_{E\text{STPD}}$  by equation; (SEE): standard error of linear regression of measured  $V_{E\text{BTPS}}$  vs. predicted  $V_{E\text{BTPS}}$  by equation (not shown). Percentage change in  $V_E$  from 760 to 455 mmHg is in parentheses

evaluated by measuring  $V_E$  in response to a low level of work at reduced pressure;  $V_{E}BTPS$  is predicted to increase between 53 and 120% above sea level values at 455 mmHg depending on the progress of ventilatory acclimatization. These predictions remain to be established for acutely breathing hypoxic gas mixtures, where  $P_B$  remains constant (normobaric hypoxia) and  $PIO_2$  is reduced.

### Conclusion and Limitations

A measure of ventilatory acclimatization to hypobaric hypoxia can be approximated by comparing  $V_{E}STPD$  measured serially over time at low  $P_B$  (LP) during a low submaximal workload, e.g., 100 W where  $VO_2 \approx 1.5$  L/min, with  $V_{E}STPD$  measured earlier at the higher  $P_B$  (HP) resident altitude. If considering only  $V_{E}BTPS$ , the “acclimatized” level will be reached when  $V_{E}BTPS$  (LP) =  $V_{E}BTPS$  (HP)  $\times$  (HP-47)/(LP-47). For example, in this study at a  $VO_2$  of 1.5 L/min, the  $V_{E}BTPS$  should have increased from the measured value of 39.6 at 633 mmHg to 56.9 L/min at 455 mmHg (+44%) if the subjects had been acclimatized, compared with the measured value of 44.1 L/min (+11%) shown in Table II, columns 1 and 4.

These observations are based on estimations and assumptions from subjects residing at 1,600 m. Differences found in sea level residents would probably be minor as the resting  $SaO_2$  and  $pHa$  are minimally reduced at 1,600 m compared to sea level. Serial measurements of blood gases at rest and exercise following transitions in  $P_B$  during longer continuous exposures would refine this model and allow for more precise estimates of the early time course of the acid–base and ventilatory aspects of acclimatization.

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### Conflict of interest

The authors declare no conflicts of interest.

### Abbreviations

BE	= base excess; deviation of total buffer base from normal (mmol/L)
$f_R$	= ventilation frequency (breaths/min)
$H^+$	= concentration of hydrogen ions in blood (nmol/L)
Hb	= hemoglobin concentration of whole blood (g/dL)
HbO <sub>2</sub>	= concentration of oxygenated Hb (%)
HCO <sub>3</sub> <sup>-</sup>	= concentration of bicarbonate ions in blood (mmol/L)
LA	= lactate concentration in blood (mmol/L)
$\ln(V_E)$	= natural log of the $V_E$ value
PaCO <sub>2</sub>	= partial pressure of CO <sub>2</sub> in arterial blood (mmHg)
PAO <sub>2</sub>	= partial pressure of CO <sub>2</sub> in mixed alveolar gas (mmHg)

$\text{PaO}_2$  = partial pressure of  $\text{O}_2$  in arterial blood (mmHg)  
 $\text{PAO}_2$  = partial pressure of  $\text{O}_2$  in mixed alveolar gas (mmHg)  
 $P_B$  = barometric (atmospheric) pressure (mmHg)  
 $\text{pHa}$  = negative common log of hydrogen ion concentration ( $\text{H}^+$ ) in arterial blood  
 $\text{PIO}_2$  = partial pressure of  $\text{O}_2$  in inspired air (mmHg)  
 $\text{RER}$  = respiratory exchange ratio ( $\text{VCO}_2/\text{VO}_2$ )  
 $\text{SaO}_2$  = blood oxygen saturation, measured by oximetry (%)  
 $\text{SpO}_2$  = blood oxygen saturation, measured by pulse oximetry (%)  
 $\text{VCO}_2$  = output of  $\text{CO}_2$  (L or mL/min)  
 $V_E$  = pulmonary ventilation (L/min)  
 $V_{E\text{BTPS}}$  = ventilation volume calculated at body temperature ( $37^\circ\text{C}$ ), ambient pressure, saturated  
 $V_{E\text{STPD}}$  = ventilation volume calculated at standard temperature ( $0^\circ\text{C}$ ), pressure (760 mmHg), dry  
 $\text{VO}_2$  = oxygen consumption (L or mL/min)  
 $\text{VO}_{2\text{max}}$  = maximal  $\text{O}_2$  consumption during a ramp exercise (mL/min/kg body wt)  
 $V_T$  = tidal volume per breath (L or mL)  
 $W$  = Watt; unit of physical power performed

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