

# Doubly-differential-cross-section calculations for $K$ -shell-vacancy production in lithium by fast $O^{8+}$ -ion impact

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Inner-shell vacancy production for the  $O^{8+}$ -Li collision system at 1.5 MeV/amu is studied theoretically. The theory combines single-electron amplitudes for each electron in the system to extract multielectron information about the collision process. Doubly differential cross sections obtained in this way are then compared with the recent experimental data by A. C. LaForge *et al.* [*J. Phys. B* **46**, 031001 (2013)], yielding good resemblance, especially for low outgoing electron energy. A careful analysis of the processes that contribute to inner-shell vacancy production shows that the improvement of the results as compared to single-active-electron calculations can be attributed to the leading role of two-electron excitation-ionization processes.

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## I. INTRODUCTION

Single- and multiple-ionization processes of atoms by fast bare-ion impact have been of great interest for decades [1] since they provide basic information about mechanisms for few-body breakup processes. Experimentally, the development of cold-target recoil-ion momentum spectroscopy (COLTRIMS) [2] allows one to study the collision processes in great detail. Yet, due to the limitations posed by the supersonic gas-jet-target technique, the targets of interest are typically limited to rare-gas atoms and molecules [3].

In recent experiments, laser cooling in a magneto-optical trap (MOT) was combined with a reaction microscope (MOTReMi) [4,5] to study single and multiple ionization of alkali-metal atoms by ion impact. With this new approach the first kinematically complete experiment for single ionization of lithium was achieved, and experiments were performed for the  $H^+$ -Li collision system at 6 MeV/amu [6], the  $O^{8+}$ -Li collision system at 1.5 MeV/amu [6,7], and the  $Li^{2+}$ -Li collision system at 2.29 MeV/amu [8]. This triggered theoretical efforts for the interpretation of the experimental data [8–12].

The “hydrogenlike” structure of the lithium atom with its large energy spacing between the  $K$  and  $L$  shells allows for efficient laser cooling in a MOT and hence for accurate studies of single-ionization processes. At the level of the *singly* differential cross section (SDCS) outer-shell ionization has proven to be nearly a single-electron process with a marginal influence of the  $K$ -shell electrons [11]. Yet *doubly* differential cross sections (DDCSs) for outer-shell ionization show that the inner-shell electrons do play a role in the process, e.g., via core polarization by the projectile [12]. In  $K$ -shell-vacancy production, on the other hand, the effects of the presence of all electrons are important already at the level of the SDCS [11].

Here, we study cross sections differential in energy of the outgoing electron and in the transverse momentum transfer by applying a strategy of combining single-electron amplitudes. In this way we incorporate the multielectron nature of the

collision process into the theoretical model. We apply the two-center basis generator method (TC-BGM) [13] to calculate amplitudes for electron transitions within the target atom and combine them with continuum-distorted-wave eikonal-initial-state (CDW-EIS) [14] single-electron ionization amplitudes. The combined amplitudes are then amended with a phase factor that accounts for the nucleus-nucleus interaction and are subsequently Fourier transformed in order to obtain the DDCSs differential in the outgoing electron energy and in the transverse momentum transfer. When compared with experimental data, our results show improvements relative to previous attempts and lead to a better understanding of the underlying processes that take place during inner-shell-vacancy production for this collision system.

This paper is organized as follows. The methodology to extract multielectron information from single-particle amplitudes is given in Sec. II. In Sec. III we present DDCSs for the  $O^{8+}$ -Li system at 1.5 MeV/amu together with a careful analysis of the contributions that constitute the overall DDCS. We draw conclusions in Sec. IV. We use atomic units ( $\hbar = m_e = e = 4\pi\epsilon_0 = 1$ ) throughout the paper unless stated otherwise.

## II. METHODOLOGY

We use the framework of the semiclassical approximation, in which the projectile follows a straight-line trajectory  $\mathbf{R} = \boldsymbol{\rho} + \mathbf{v}t$  with impact parameter vector  $\boldsymbol{\rho}$  and constant velocity  $\mathbf{v}$ . The cross-section differential in the outgoing electron energy  $E_e$  and in the transverse momentum transfer  $\boldsymbol{\eta}$  is given as

$$\frac{d\sigma}{dE_e d\boldsymbol{\eta}} = k_e \eta \int_{-1}^1 d(\cos \theta_e) \int_0^{2\pi} d\phi_e \int_0^{2\pi} d\phi_\eta |R_{i k_e}(\boldsymbol{\eta})|^2, \quad (1)$$

with the transition matrix element  $R_{i k_e}(\boldsymbol{\eta})$  for the transition from the initial state to a state that includes an unbound electron with momentum  $\mathbf{k}_e$ ,

$$R_{i k_e}(\boldsymbol{\eta}) = \frac{1}{2\pi} \int d\rho e^{i\boldsymbol{\eta}\cdot\rho} a_{i k_e}(\boldsymbol{\rho}), \quad (2)$$

and

$$a_{i k_e}(\boldsymbol{\rho}) = e^{i\delta(\rho)} A_{i k_e}(\boldsymbol{\rho}). \quad (3)$$

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Here,  $A_{ik_e}(\rho)$  is the impact-parameter-dependent multielectron amplitude calculated without the influence of the internuclear interaction, with details given below. The phase accumulated during the collision process due to the projectile interaction with the target nucleus  $\delta(\rho)$  is given by (see, e.g., Ref. [15])

$$\delta(\rho) = - \int_{-\infty}^{\infty} dt V_{\text{NN}}(R(t)), \quad (4)$$

with the nucleus-nucleus (NN) potential

$$V_{\text{NN}}(R) = \frac{Z_P Z_T}{R}. \quad (5)$$

Within the independent-electron (IEL) model the full three-electron Hamiltonian of the collision problem is replaced by a sum of single-particle operators,

$$H_e(t) = \sum_{i=1}^3 \left( -\frac{1}{2} \Delta_i(t) + V(r_i^i) - \frac{Z_p}{r_p^i} \right). \quad (6)$$

The last two terms in Eq. (6) describe the interaction of the  $i$ th electron with the target and the projectile, respectively;  $r_i^i$  and  $r_p^i$  are the distances between the  $i$ th electron and the target and the projectile, respectively, and  $Z_p$  is the projectile charge.

The time-dependent Schrödinger equation (TDSE) with the Hamiltonian given by Eq. (6) separates into a set of single-particle equations whose solutions are used to calculate electronic transition amplitudes for the three-electron system in a completely specified final state. In order to do so we assemble the single-particle solutions to form a Slater determinant  $|\psi_{1s\uparrow}\psi_{1s\downarrow}\psi_{2s\uparrow}(\rho, t)\rangle$ , where the subscripts indicate the initial single-electron states. We project it at  $t = t_f$  onto the three-electron final-state Slater determinant  $|\alpha_{1\uparrow}\alpha_{2\downarrow}\alpha_{3\uparrow}\rangle$ , where  $\alpha_i$  ( $i = 1, 2, 3$ ) are the final states of interest [16],

$$A_{\alpha_{1\uparrow}\alpha_{2\downarrow}\alpha_{3\uparrow}}(\rho) = \langle \alpha_{1\uparrow}\alpha_{2\downarrow}\alpha_{3\uparrow} | \psi_{1s\uparrow}\psi_{1s\downarrow}\psi_{2s\uparrow}(\rho, t_f) \rangle. \quad (7)$$

The overall DDCS for the process of interest to this work, namely, vacancy production in the inner shell (with the detached electron having a well-defined momentum  $\mathbf{k}_e$ ), is obtained by plugging Eq. (7) into Eq. (3) and working through Eqs. (2) and (1) for every possible final state. The result can be expressed as follows:

$$\frac{d\sigma^{\text{vac}}}{dE_e d\eta} = \sum_{f \neq 1s} \left( \frac{d\sigma_{1s\uparrow f\downarrow k_e\uparrow}}{dE_e d\eta} + \frac{d\sigma_{f\uparrow 1s\downarrow k_e\uparrow}}{dE_e d\eta} + \frac{d\sigma_{1s\uparrow k_e\downarrow f\uparrow}}{dE_e d\eta} \right). \quad (8)$$

Here,  $\frac{d\sigma_{\alpha_1\alpha_2\alpha_3}}{dE_e d\eta}$  is the DDCS for the process with the final state indicated by  $\alpha_i$  ( $i = 1, 2, 3$ ) with the proper spin projections, and the sum runs over the target bound-state manifold. After some simplifications that are outlined in the Appendix we arrive at

$$\begin{aligned} \frac{d\sigma^{\text{vac}}}{dE_e d\eta} &= \frac{d\sigma^{\text{excl}}}{dE_e d\eta} + \sum_{f \neq 1s, 2s} \frac{d\sigma_f^{\text{EI1}}}{dE_e d\eta} + \sum_{f \neq 1s} \frac{d\sigma_f^{\text{EI2}}}{dE_e d\eta} \\ &+ \sum_{f \neq 1s} \frac{d\sigma_f^{\text{ex}}}{dE_e d\eta} + \Delta_{E_e, \eta}, \end{aligned} \quad (9)$$

where we can distinguish the following processes and amplitudes associated with them:

(i) In exclusive ionization, one  $1s$  electron is removed, whereas the two electrons that are left behind remain unaffected,

$$A^{\text{excl}} = \sqrt{2} A_{1s \rightarrow 1s} A_{1s \rightarrow k_e} A_{2s \rightarrow 2s}. \quad (10)$$

(ii) Excitation ionization (EI1) involves one-electron removal from the inner shell and one-electron excitation from the outer shell, and the residual inner-shell electron remains unaffected,

$$A_f^{\text{EI1}} = \sqrt{2} A_{1s \rightarrow 1s} A_{1s \rightarrow k_e} A_{2s \rightarrow f}. \quad (11)$$

(iii) Excitation ionization (EI2) involves one-electron removal from the outer shell and one-electron excitation from the inner shell, and the residual inner-shell electron remains unaffected,

$$A_f^{\text{EI2}} = \sqrt{2} A_{1s \rightarrow 1s} A_{1s \rightarrow f} A_{2s \rightarrow k_e}. \quad (12)$$

The last two terms on the right-hand side of Eq. (9) correspond to exchange processes  $\frac{d\sigma_f^{\text{ex}}}{dE_e d\eta}$  and an antisymmetry correction term  $\Delta_{E_e, \eta}$  that contains all the cross terms. The origin of these terms is explained in the Appendix. They turn out to be negligibly small and henceforth will be omitted [11].

(iv) In addition to the above, we introduce shake off, a correlated two-electron process that has proven to be of importance for the creation of low-energy electrons during inner-shell-vacancy production [11]. One  $1s$  electron is excited while the  $2s$  electron is shaken off, ending up in the continuum, whereas the residual inner-shell electron remains unaffected,

$$A_f^{\text{shake}} = \sqrt{2} A_{1s \rightarrow 1s} A_{1s \rightarrow f} A_{2s}^{\text{overlap}}. \quad (13)$$

Following [11], we employed the independent-event (IEV) model for the EI2 process, where, in our calculation, ionization takes place from the valence shell of the target in the ground-state configuration and the elastic scattering and excitation processes take place after the inner electrons rearrange to the  $\text{Li}^+$  ground-state configuration.

The amplitudes on the right-hand side of Eqs. (10)–(13) are single-particle transition amplitudes, and  $\sqrt{2}$  accounts for the indistinguishability of the electrons in the  $K$  shell (see the Appendix). In Eq. (13)  $A_{2s}^{\text{overlap}}$  is the overlap between the outer-shell electron orbital and a continuum state of a modified Hamiltonian with the proper outgoing electron energy [11].

Transition amplitudes for electron excitations for processes (i)–(iv) are calculated using the TC-BGM [13]. The interactions within the target atom [see Eq. (6)] have been approximated by the exchange-only optimized potential method (OPM) of density-functional theory [17]. Single-particle TDSEs are solved using a basis that consists of  $1s$ - $4f$  target states and  $1s$ - $4f$  projectile states together with 71 BGM pseudostates [13]. Electron capture has been shown to be very weak in this collision system [11], but the projectile states are included nevertheless to ensure consistency with the calculation of Ref. [11]. All basis states are endowed with electron translation factors to ensure Galilean invariance. Amplitudes for ionization, on the other hand, are obtained from CDW-EIS due to the difficulties posed by the extraction of these amplitudes from TC-BGM. We note that the ionization probabilities calculated from both methods are in very good agreement (see Fig. 1 in Ref. [11]).

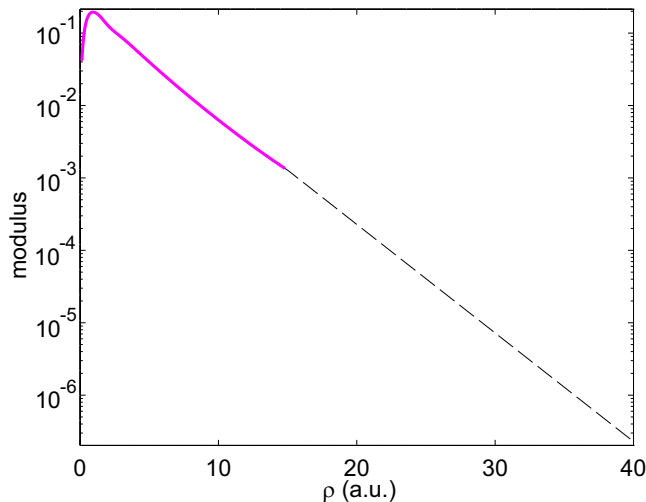


FIG. 1. Modulus of the  $1s \rightarrow 2p_0$  single-particle transition amplitude as a function of impact parameter. Solid magenta line: TC-BGM; dashed black line: fit.

For the Fourier transform of Eq. (2) to be fully converged, one needs the three-electron amplitudes (10)–(13) for impact parameters up to a few hundred atomic units. Unlike the CDW-EIS, the TC-BGM calculations give reliable results only for a limited range of impact parameters. It is therefore necessary to extrapolate the excitation amplitudes from TC-BGM calculations, both their moduli and phases, to avoid numerical issues within the Fourier transform procedure. In Figs. 1 and 2 we depict an example of the absolute value and phase, respectively, of a transition amplitude from TC-BGM together with a fit for a range of impact parameters. For the purpose of this work such fits were carried out for each excitation channel.

The sums in Eq. (9) run over the target bound-state manifold. Due to the restricted basis in the TC-BGM calculations we truncate these sums at  $n = 4$ , where  $n$  is the principal quantum

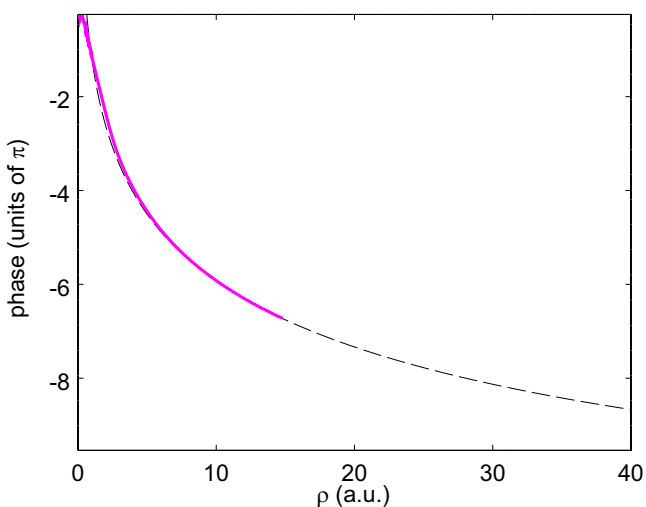


FIG. 2. Phase of the  $1s \rightarrow 2p_0$  single-particle transition amplitude as a function of impact parameter. Solid magenta line: TC-BGM; dashed black line: fit.

number. Even after the truncation the procedure is still very demanding numerically, with the main challenge posed by the two-dimensional (2D) Fourier transform of three-electron amplitudes in Eq. (2) that has to be calculated for each channel.

We note that in most of the previous calculations for the lithium MOTReMI experiments the single-active-electron (SAE) model was used. It is important to stress that in the SAE one does not account for interactions of the projectile with the nucleus or the passive electrons in the single-particle transition amplitude. Therefore, one should amend it by a phase factor that incorporates a potential,  $V_{\text{NN}}$ , that accounts for these interactions, i.e.,  $V_{\text{NN}} = \frac{Z_p Z_T}{R} + V_{\text{scr}}(R) + V_{\text{pol}}(R)$ , where the last two terms on the right-hand side are the screening potential of the passive electrons and a polarization potential, respectively (see, e.g., [12]). It has been shown that one has to properly take into account the phase accumulated via  $V_{\text{NN}}$  for a complete understanding of the outer-shell ionization process [12]. In our IEL model it would be inconsistent to include screening and polarization terms in  $V_{\text{NN}}(R)$  since all electrons are active.  $V_{\text{scr}}(R)$  is inherently accounted for in this framework [15], while polarization would have to be modeled in terms of a time-dependent effective potential in the single-particle Hamiltonian.

Finally, we note that a similar approach was employed earlier to calculate SDCSs where, however, probabilities, instead of amplitudes, for different transitions have been combined. In that case it was not necessary to carry out the 2D Fourier transform. The approach allowed us to explain SDCSs for the  $\text{O}^{8+}$ -Li collision system at 1.5 MeV/amu [11]. However, it did not agree well with the experimental observations for the case of  $\text{Li}^{2+}$ -Li collisions at 2.29 MeV/amu [8].

### III. RESULTS

The method just outlined gives access to calculating the DDCS differential in the outgoing electron energy  $E_e$  and in the transverse momentum transfer  $\eta$ . This is not possible when we have transition probabilities as a starting point (see Ref. [11]). The SDCSs can be calculated by integrating the DDCSs of Eq. (1) over the transverse momentum transfer for every  $E_e$ . To connect our work to the previous findings we cross-checked our overall SDCS results as well as results for the SDCSs for the contributing processes with those of [11] and concluded that the agreement between the two approaches is very good (not shown).

Now we turn our attention to the results for inner-shell-vacancy production obtained from the procedure outlined in the previous section. We compare the results of the present calculations with the SAE results from the CDW-EIS model and with the experimental data.

The original experimental data from Ref. [6] are only relative. In Ref. [4] the SDCSs were normalized using SAE CDW-EIS for the  $2s$  ionization channel for which the multielectron effects were found to be unimportant. The SDCS is obtained by integrating the experimental DDCS for the lowest  $E_e = 2$  eV over the transverse momentum transfer. It is then normalized to match the experimental value of the SDCS from Ref. [4]. This procedure fixes the normalization of all channels (in  $K$ -shell ionization for  $E_e = 2, 10, 20$  eV).

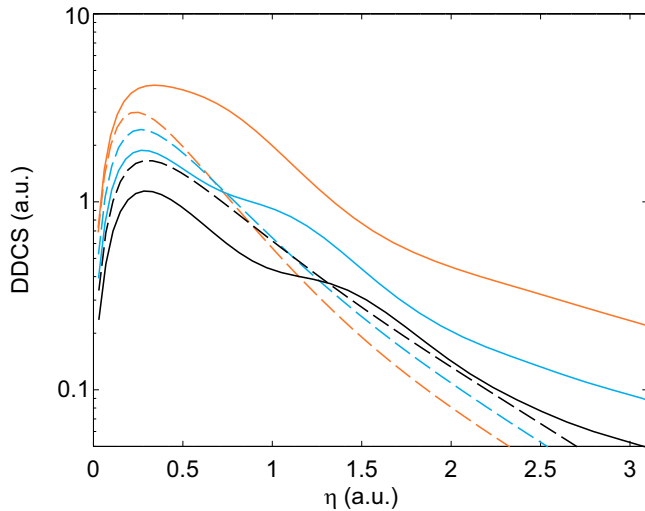


FIG. 3. DDCS for the 1.5 MeV/amu  $O^{8+}$ -Li collision system as a function of impact parameter for three outgoing electron energies:  $E_e = 2$  eV (orange), 10 eV (blue), 20 eV (black). Solid lines: present overall results; dashed lines: CDW-EIS in the SAE approximation.

Finally, we carefully study the contributions to the overall DDCS from processes (i)–(iv) introduced in the previous section.

#### A. DDCSs

In Fig. 3 we compare our overall results for electron energies  $E_e = 2, 10, 20$  eV (solid lines) with SAE CDW-EIS results (dashed lines). When comparing with Ref. [6], we note that our own SAE CDW-EIS results agree very well in shape, but we do not apply any arbitrary factors to change the spacing between the curves for all three energies.

The SDCS differential in the energy of the outgoing electron was shown to have a very weak dependence on the electron energy in the range of interest when calculated in the SAE model [11]. This trend is also seen in the case of the DDCSs; that is, the dashed lines are very close to each other. The SAE CDW-EIS theory predicts that for low transverse momentum transfers (which classically correspond to large impact parameters, i.e., distant collisions) it is more likely to produce low-energy electrons, and for high transverse momentum transfers one obtains more high-energy electrons. The orange (2 eV) and blue (10 eV) dashed curves cross at transverse momentum transfer  $\eta \approx 0.67$  a.u., and the blue (10 eV) and black (20 eV) dashed curves cross at around  $\eta \approx 1.10$  a.u.

After the multielectron processes have been incorporated, the SDCS decreases much more rapidly with increasing outgoing electron energy [11]. At the level of the DDCS this leads to the cross sections being more separated from each other, as seen in Fig. 3 (solid lines). Moreover, the lines never cross and tend to be parallel at  $\eta > 2.5$  a.u. Hence, slow electrons are more likely to be released than the fast ones for the whole range of  $\eta$ .

Our results are compared with the experimental data in Fig. 4. The similarity in shape of the theoretical and experimental results for  $E_e = 2$  eV up to  $\eta \approx 2.2$  a.u. is striking.

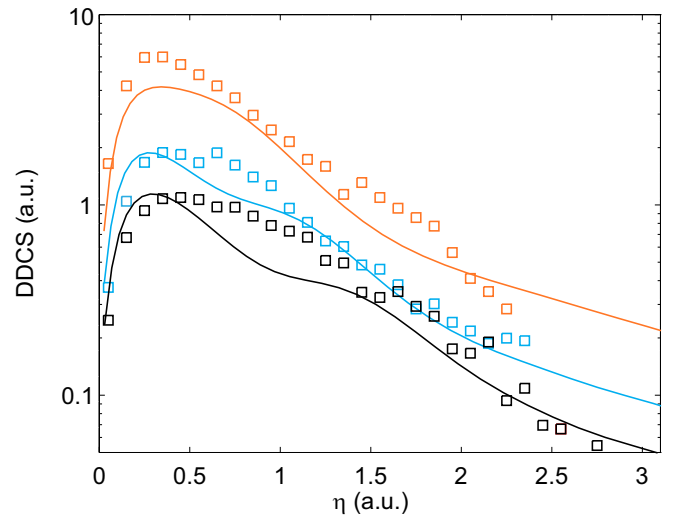


FIG. 4. DDCS for the 1.5 MeV/amu  $O^{8+}$ -Li collision system as a function of impact parameter for three outgoing electron energies:  $E_e = 2$  eV (orange), 10 eV (blue), 20 eV (black). Squares: experimental data from Ref. [6]; solid lines: present overall results.

The general trend of the theoretical curve lying below the experimental points is attributed to the fact that only a limited number of excitation channels have been accounted for (up to  $n = 4$ ). In the case of the SDCS incorporation of all excitation channels in an approximate way increased the cross section by 20% [11]. This fact, we believe, explains the small difference between theory and experiment for low momentum transfers. An analogous approximation on the level of amplitudes is not possible without introducing arbitrary phases of excitation amplitudes, causing unpredictable changes in the DDCSs. Therefore, we refrain from extrapolating the excitation amplitudes for  $n > 4$ .

The sudden decrease of DDCSs at around  $\eta = 2$  a.u. at small electron energies seen in [6] is now deemed to be an artifact caused by the limited experimental momentum acceptance [18]. Therefore, we have removed the three experimental points for  $E_e = 2$  and 10 eV for the highest transverse momentum transfer [compare Fig. 4 with Fig. 3(b) from [6]].

The agreement between theory and experiment is less satisfying for the two higher outgoing electron energies ( $E_e = 10$  and 20 eV). While the general trend of the experimental results appears to be reproduced, the extended plateaus between  $\eta = 0.25$  a.u. to  $\eta = 0.75$  a.u. and  $\eta = 0.25$  a.u. to  $\eta = 1.0$  a.u. for  $E_e = 10$  eV and  $E_e = 20$  eV, respectively, are not accounted for properly. Instead of the aforementioned plateaus, the theoretical results display narrower maxima followed by “knees” that widen the distribution over the momentum transfer as compared to the SAE CDW-EIS results.

We elaborate more on the origin of the maxima and knees in the next section. For momentum transfers between the maxima and knees there is a region for which a large discrepancy between theory and experiment is observed. Moreover, similar to the case of the lowest outgoing electron energy, the theory overestimates the experimental data for high momentum transfers, especially for  $E_e = 10$  eV. Nevertheless,

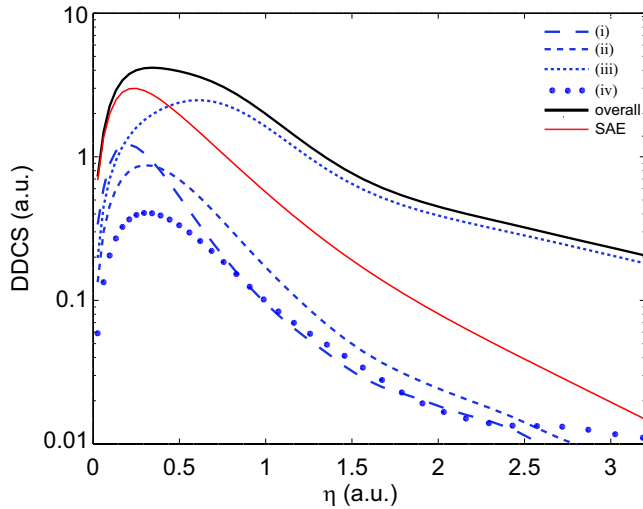


FIG. 5. Partial contributions to the DDCCS for processes (i)–(iv), the overall DDCCS, and SAE CDW-EIS results for the  $O^{8+}$ -Li system at 1.5 MeV/amu collision for outgoing electron energy  $E_e = 2$  eV.

there is significant improvement compared to the SAE CDW-EIS results, allowing us to conclude that we qualitatively understand the experimental data.

To elucidate the role of the multielectron processes for this collision system we now turn to a discussion of each process individually.

### B. Contributions

Each process discussed in Sec. II has a different dependence on the transverse momentum transfer  $\eta$  for different outgoing electron energy  $E_e$ . This results in a distinct variation of the magnitude of the DDCCS for different  $E_e$  and in different shapes of each DDCCS, as seen in Figs. 3 and 4. The contributions are depicted in Figs. 5, 6, and 7 for  $E_e = 2$ , 10, and 20 eV, respectively.

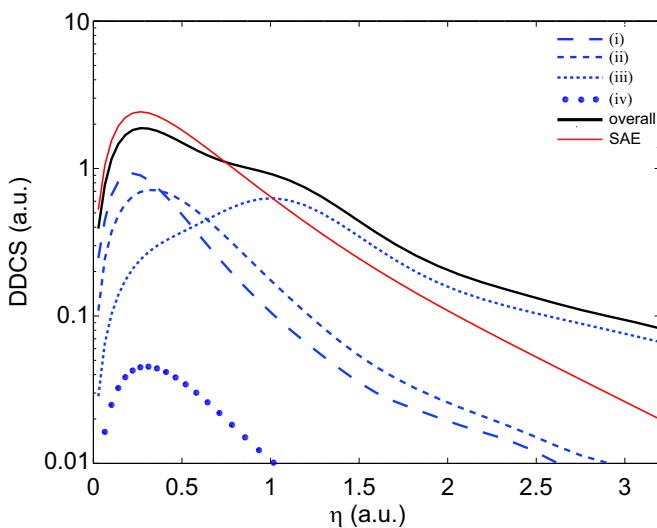


FIG. 6. Same as Fig. 5, but for outgoing electron energy  $E_e = 10$  eV.

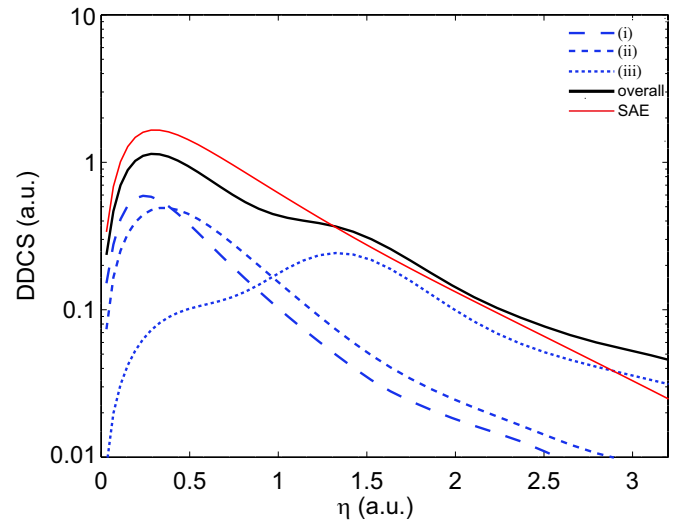


FIG. 7. Same as Fig. 5, but for outgoing electron energy  $E_e = 20$  eV. The shake-off process has been removed due to the negligible influence on the overall DDCCS.

The DDCCSs for processes with the inner-shell electron detachment as the ionization channel, as well as the shake process [(i),(ii), and (iv)], resemble the SAE results; that is, they have narrow maxima at low values of the momentum transfer and decrease rapidly with increasing  $\eta$ . The EI2 DDCCS, on the other hand, peaks at larger  $\eta$  and decreases less rapidly as  $\eta$  increases. This behavior is inherited from the outer-shell ionization [see Figs. 1(c)–3(c) of Ref. [12] for the valence-shell ionization DDCCSs] by combining the outer-shell ionization amplitude with amplitudes for inner-shell excitations and elastic scattering. While all the processes from (i) to (iv) play an important role in increasing the overall DDCCS to match the experimental values at low  $\eta$ , it is the EI2 process that is responsible for the broadening of the DDCCS such that it agrees well with the experiment at  $E_e = 2$  eV (Fig. 5).

As the energy of the outgoing electron  $E_e$  increases, the partial DDCCS shapes for all the aforementioned processes, except the EI2 process, remain practically unchanged, and only the overall strength diminishes. In particular the shake-off process becomes negligible at  $E_e = 20$  eV. The DDCCS for the EI2 process, on the other hand, is clearly suppressed, changing the shape most noticeably (see Figs. 5, 6, and 7): the maximum moves to higher momentum transfers as  $E_e$  increases. This trend allows us to explain the broadening of the experimental DDCCS, as well as the appearance of knees described in the previous section. Hence, it can be concluded that the shift of the maximum in the EI2 DDCCS with increasing outgoing electron energy is responsible for the broadening of the overall DDCCS. This feature improves the agreement with the experimental data for most of the transverse momentum transfer range.

Finally, we note that calculations for the  $H^+$ -Li system at 6 MeV/amu have shown that for weak perturbations the role of multielectron processes becomes negligible. A similar observation has been made for the  $Li^{2+}$ -Li system at

2.29 MeV/amu [8]. In the latter case multielectron processes amounted to less than 10% of the overall SDCS, whereas for the  $H^+$ -Li system at 6 MeV/amu these contributions are less than 1%. The cause of the observed discrepancy between theory and experiment for these systems remains unknown but can perhaps be attributed to electron correlations that are not accounted for in our model.

#### IV. CONCLUSIONS

We presented a method to analyze multielectron processes by combining TC-BGM results for excitation with CDW-EIS results for ionization at the level of amplitudes. This approach allowed us to explain the experimental DDCSs for the  $O^{8+}$ -Li collision system at 1.5 MeV/amu. We attribute the leading role in broadening the DDCSs compared to SAE CDW-EIS results to excitation-ionization processes, in particular, the EI2 process.

Multielectron processes become less important as the perturbation parameter ( $\beta = Z_p/v$ ) decreases. Hence, it would

be interesting to see experimental results for systems with perturbation parameter between  $\beta = 1.03$  for the  $O^{8+}$ -Li collision system at 1.5 MeV/amu [6] and  $\beta = 0.21$  for  $Li^{2+}$ -Li collisions at 2.29 MeV/amu [8]. This would allow us to trace how the role of the multielectron processes scales with the perturbation parameter.

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#### APPENDIX

We show the validity of Eq. (9) by exploiting the approach given by Eq. (7). The three-electron amplitude for a given set of spin projections is written as

$$A_{\alpha_{1\uparrow}, \alpha_{2\downarrow}, \alpha_{3\uparrow}} = \begin{vmatrix} \langle \alpha_{1\uparrow} | \psi_{1s\uparrow} \rangle & 0 & \langle \alpha_{1\uparrow} | \psi_{2s\uparrow} \rangle \\ 0 & \langle \alpha_{2\downarrow} | \psi_{1s\downarrow} \rangle & 0 \\ \langle \alpha_{3\uparrow} | \psi_{1s\uparrow} \rangle & 0 & \langle \alpha_{3\uparrow} | \psi_{2s\uparrow} \rangle \end{vmatrix}, \quad (A1)$$

where we made use of the fact that due to the lack of spin dependence of the Hamiltonian, projections of the final single-electron wave functions onto final states with an opposite spin projection are zero, and henceforth, we omit the spin projections for brevity with the exception of the subscripts in the amplitudes that follow to specify the final-state configuration.

To sketch the steps to get from Eq. (8) to Eq. (9) we write the amplitudes of interest as

$$A_{1s\uparrow f\downarrow k_e\uparrow} = \begin{vmatrix} \langle 1s | \psi_{1s} \rangle & 0 & \langle 1s | \psi_{2s} \rangle \\ 0 & \langle f | \psi_{1s} \rangle & 0 \\ \langle k_e | \psi_{1s} \rangle & 0 & \langle k_e | \psi_{2s} \rangle \end{vmatrix} = \langle 1s | \psi_{1s} \rangle \langle f | \psi_{1s} \rangle \langle k_e | \psi_{2s} \rangle - \langle k_e | \psi_{1s} \rangle \langle f | \psi_{1s} \rangle \langle 1s | \psi_{2s} \rangle, \quad (A2)$$

$$A_{f\uparrow 1s\downarrow k_e\uparrow} = \begin{vmatrix} \langle f | \psi_{1s} \rangle & 0 & \langle f | \psi_{2s} \rangle \\ 0 & \langle 1s | \psi_{1s} \rangle & 0 \\ \langle k_e | \psi_{1s} \rangle & 0 & \langle k_e | \psi_{2s} \rangle \end{vmatrix} = \langle f | \psi_{1s} \rangle \langle 1s | \psi_{1s} \rangle \langle k_e | \psi_{2s} \rangle - \langle k_e | \psi_{1s} \rangle \langle 1s | \psi_{1s} \rangle \langle f | \psi_{2s} \rangle, \quad (A3)$$

$$A_{1s\uparrow k_e\downarrow f\uparrow} = \begin{vmatrix} \langle 1s | \psi_{1s} \rangle & 0 & \langle 1s | \psi_{2s} \rangle \\ 0 & \langle k_e | \psi_{1s} \rangle & 0 \\ \langle f | \psi_{1s} \rangle & 0 & \langle f | \psi_{2s} \rangle \end{vmatrix} = \langle 1s | \psi_{1s} \rangle \langle k_e | \psi_{1s} \rangle \langle f | \psi_{2s} \rangle - \langle f | \psi_{1s} \rangle \langle k_e | \psi_{1s} \rangle \langle 1s | \psi_{2s} \rangle. \quad (A4)$$

Using Eqs. (A2)–(A4), we can express Eq. (8) via Eqs. (1)–(3) as

$$\frac{d\sigma^{\text{vac}}}{dE_e d\eta} = \sum_{f \neq 1s} \left( \frac{d\sigma_{2s \rightarrow k_e}^{1s \rightarrow 1s}}{dE_e d\eta} + \frac{d\sigma_{2s \rightarrow 1s}^{1s \rightarrow k_e}}{dE_e d\eta} + \frac{d\sigma_{2s \rightarrow k_e}^{1s \rightarrow f}}{dE_e d\eta} + \frac{d\sigma_{2s \rightarrow f}^{1s \rightarrow 1s}}{dE_e d\eta} + \frac{d\sigma_{2s \rightarrow f}^{1s \rightarrow k_e}}{dE_e d\eta} + \frac{d\sigma_{2s \rightarrow 1s}^{1s \rightarrow f}}{dE_e d\eta} + \Delta_{E_e, \eta}^f \right) \quad (A5)$$

$$= \sum_{f \neq 1s} \left( 2 \frac{d\sigma_{2s \rightarrow k_e}^{1s \rightarrow 1s}}{dE_e d\eta} + 2 \frac{d\sigma_{2s \rightarrow 1s}^{1s \rightarrow k_e}}{dE_e d\eta} + 2 \frac{d\sigma_{2s \rightarrow f}^{1s \rightarrow 1s}}{dE_e d\eta} + \Delta_{E_e, \eta}^f \right) \quad (A6)$$

$$= \frac{d\sigma^{\text{excl}}}{dE_e d\eta} + \sum_{f \neq 1s, 2s} \frac{d\sigma_f^{\text{EI1}}}{dE_e d\eta} + \sum_{f \neq 1s} \left( \frac{d\sigma_f^{\text{EI2}}}{dE_e d\eta} + \frac{d\sigma_f^{\text{ex}}}{dE_e d\eta} + \Delta_{E_e, \eta}^f \right), \quad (A7)$$

where  $\frac{1s \rightarrow \alpha_1}{dE_e d\eta}$ ,  $\frac{d\sigma 1s \rightarrow \alpha_2}{dE_e d\eta}$ ,  $\frac{2s \rightarrow \alpha_3}{dE_e d\eta}$  are the DDCSs for the transitions to the  $|\alpha_1\alpha_2\alpha_3\rangle$  final state and  $\Delta_{E_e,\eta}^f$  consists of all the cross terms. Finally, the factors of 2 in Eq. (A6) are incorporated into the respective amplitudes, resulting in  $\sqrt{2}$  factors in Eqs. (10)–(12).

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