

Small-scale moisture availability increase during the 8.2 ka climatic event inferred from biotic proxy records in the South Carpathians (SE Romania)

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Keywords:	Romania, 8.2 ka event, multi-proxy, pollen, microfossil, diatom, charcoal, early Holocene
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	time suggested a modest increase in available moisture during the growing season. Taken together, these data imply that during the 8.2 ka event winter and spring season available moisture increased, while summers were characterized by alternating moist/cool and dry/warm conditions.

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Small-scale moisture availability increase during the 8.2 ka climatic event inferred from biotic proxy records in the South Carpathians (SE Romania)

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Abstract

In this paper we present high-resolution Early Holocene pollen, plant macrofossil, charcoal, diatom, biogenic silica and loss-on-ignition records from a mountain lake in the South Carpathians in order to reveal ecosystem response to the 8.2 ka climatic oscillation. We found significant changes both in terrestrial vegetation and lake diatom assemblages in the northern slope of the Retezat Mts between c. 8300 and 8000 cal yr BP. Rapid changes in relative frequencies and pollen accumulation rates of the major deciduous pollen types associated with peaks in microcharcoal accumulation rates suggested that vegetation disturbance mainly took place in the mixed-deciduous forest zone, where woodland fires partially destroyed the populations of *Fraxinus excelsior*, *Quercus* and *Corylus avellana*, and facilitated the establishment of *Carpinus betulus* in the forest openings. The diatom record furthermore showed the spread of a planktonic diatom species, *Aulacoseira valida*, at 8150 cal yr BP, coincidentally with a short-lived expansion of *C. betulus*. Since diatom blooms mainly occur in spring in the Retezat Mts, increased spring water-depth and increased water turbulence was inferred from these data. The expansion of *C. betulus* against *F. excelsior* and *C. avellana* at the same time suggested a modest increase in available moisture during the growing season. Taken together, these data imply that during the 8.2 ka event winter and spring season available moisture increased, while summers were characterized by alternating moist/cool and dry/warm conditions.

Keywords

Romania, 8.2 ka event, multi-proxy, pollen, macrofossil, diatom, charcoal

Introduction

Short-term climatic fluctuations and associated ecological changes have been detected in many parts of the globe during the Holocene (~11,600 cal yr BP to the present) (Magny et al., 2007; Mayewski et al., 2004). These climatic fluctuations, often called rapid climate change events (RCCs), occurred repeatedly and each spanned a short time-period, generally 100–300 years (Alley et al., 2003; Mayewski et al., 2004; Stocker, 2000). The analysis of lake sediments, especially in Western Central Europe, provided insights into the characteristics of environmental conditions and biotic responses during these Holocene RCCs (Haas et al., 1998; Joerin et al., 2006; Kofler et al. 2005; Magny, 2007; Tinner and Lotter, 2001; Valsecchi et al., 2010). Among the Holocene RCCs, the rapid climate change around 8200 cal yr BP (the 8.2 ka event) is one of the strongest and most widespread Early Holocene climatic anomalies that has been particularly well-studied in Europe using multi-proxy analyses (Alley

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3 55 et al., 1997; 2003). According to Magny et al. (2003) and Magny (2007) Europe was
4 56 characterized by a tripartite pattern of hydrological change during the 8.2 ka event. The mid-
5 57 European latitudes experienced wetter conditions, while Northern and Southern Europe were
6 58 characterized by drier climate (Figure 1). “[insert Figure 1.]” Magny (2007) explained this
7 59 tripartite division by a reinforcement of cyclonic activity over the mid-European latitudes
8 60 related to a stronger thermal gradient between high and low latitudes and a southward
9 61 displacement of the Atlantic Westerly Jet (Figure 1). Although the results of this meta-
10 62 analysis have recently been challenged in NW Europe by the paleolimnological study of
11 63 Bjerring et al. (2013) that found a complex water depth and lake productivity response
12 64 between 8400–8210 cal yr BP, proxy records in Western Central Europe generally attest to
13 65 spring cooling and increasing available moisture (Tinner and Lotter, 2001). The Retezat Mts
14 66 in the South Carpathians is located at the southern boundary of the mid-European latitudes
15 67 (Figure 1), however it lies deep in the continental interior, in an area from where previously
16 68 no climatic proxy data were available for the 8.2 ka event, or discussed this event in scope of
17 69 other Holocene RCCs (Feurdean et al., 2008, 2013; Tămaş et al., 2005; Draguşin et al., 2014)
18 70 (Figure 1).

19 71 The primary goal of this study is to test if the Western Central European mid-latitude spring
20 72 cooling and moisture increase that was caused by the 8.2 event reached into the Eastern
21 73 Central European sector. Such easterly locations have not been included in Magny’s
22 74 compilation, and it is still questionable whether the influence of the Atlantic Westerly Jet
23 75 reached as far as the Eastern Central European continental interior. One key question is thus
24 76 “How available moisture has changed during the most prominent climatic perturbation of the
25 77 Holocene in Eastern Central Europe?”

26 78 Intensive multi-proxy palaeoecological investigations started in the Retezat Mts in 2007, and
27 79 since then a series of publications have dealt with its Lateglacial and Early Holocene
28 80 environment using multi-proxy palaeoecological analyses of glacial lacustrine sediments
29 81 (plant macrofossils, pollen, siliceous algae, Cladocerans, chironomids as well as geochemical
30 82 and ancient DNA analyses) (Buczko et al., 2009; Korponai et al., 2011; Magyari et al., 2009;
31 83 2011; 2012; 2013; Tóth et al. 2012, 2015). A high-resolution Holocene siliceous algae record
32 84 has also been published recently from Lake Brazi (Buczko et al., 2013).

33 85 In this study we aim to detect ecosystem response to the 8.2 ka climatic oscillation using loss-
34 86 on-ignition (LOI) inferred organic content, pollen, stomata, micro- and macrocharcoal, plant
35 87 macrofossil, biogenic silica and diatom records. We focus on the changes in pollen and
36 88 diatom composition to reconstruct vegetation changes, lake level and productivity changes in
37 89 the northern slopes of the Retezat Mts and thereby determine the prevailing climatic effects
38 90 during the 8.2 ka event. We hope that the results of this study will contribute to understanding
39 91 how ecosystems have responded to this abrupt climate change in Eastern Central Europe.
40 92

41 93 **Study site**

42 94 Lake Brazi (Tăul dintre Brazi in Romanian) is situated on the northern slope of the Retezat
43 95 Mts in the South Carpathians (Figure 2). The lake is set in the subalpine spruce forest belt at
44 96 1740 m a.s.l. Its surface area is 0.4 ha, and the maximum water depth is about 1 m. In August
45 97 2011, conductivity values were between 14 and 17 $\mu\text{s}/\text{cm}$, pH between 6.2 and 6.7, and
46 98 daytime water temperature $\sim 18.7\text{--}19^\circ\text{C}$. The lake is ice covered in the winter (from late
47 99 November/early December to late March/early April). It is surrounded by mixed conifer forest
48 100 with common characteristic species of Norway spruce (*Picea abies*) and stone pine (*Pinus*
49 101 *cembra*). The lakeshore is covered by a floating *Sphagnum* moss carpet on which dwarf pine
50 102 (*Pinus mugo*) shrubs are abundant. In addition, the lakeshore supports populations of

103 *Vaccinium vitis-ideae*, *V. myrtillus*, *Rhododendron myrtifolium*, *Eriophorum vaginatum*,
104 *Juncus filiformis* and several *Sphagnum* species (Magyari et al., 2012). “[insert Figure 2.]”

106 **Materials and methods**

107 *Sediment sampling*

108 The sediment core TDB-1 was taken in the central part of Lake Brazi with a modified
109 Livingstone piston corer in 2007. Sediment lithology was described in the laboratory (details
110 in Magyari et al., 2009).

111
112 *Radiocarbon dating.* Twenty radiocarbon dates are available from Lake Brazi (Table 1). For
113 this study, seven new AMS radiocarbon dates were obtained in the Hertelendi Laboratory of
114 Environmental Studies at ATOMKI in Hungary (Molnár et al., 2013). ¹⁴C dates were
115 calibrated by CALIB Rev 6.1.0 (Stuiver et al., 2011). Outlier ¹⁴C dates were detected in a
116 Bayesian age-depth modelling program BACON (Blaauw and Chirsten, 2011).
117 Since sediment accumulation rates were very different in the Lateglacial and Holocene
118 sections of the core (Magyari et al., 2009, 2012), we calculated age-depth relationships for
119 the Holocene section of the core separately (110–505 cm). In this paper we use the results of
120 the linear age-depth modelling as provided by the software Psimpoll 4.27 (Bennett, 2007),
121 which uses linear interpolation between the median values of the 2σ calibrated age ranges.
122 For this age-depth model we used 12 radiocarbon dates between 550 and 110 cm. “[insert
123 Table 1.]”

124
125 *Pollen and stomata analysis.* In order to examine the sediment section that encompasses the 8.2
126 ka event at higher resolution, we analyzed every cm between 387 and 414 cm (22 samples
127 between 7795–8325 cal yr BP). Altogether 149 samples were analysed in the sediment section
128 between 530 - 289 cm (pollen zones B-6-7-8, i.e. 10,500 - 4950 cal yr BP), resulting in
129 average time resolutions 22.31 years in pollen zones B-6, B-7 and B-8. One cm³ subsamples
130 were prepared for pollen analysis in the laboratory using standard methods, but excluding
131 acetolysis (Bennett and Willis, 2001). Pollen, spores and stomata were counted and identified
132 under a Nikon Eclipse E 600 light microscope at 400x and 1000x magnification. At least 500
133 terrestrial pollen grains were counted in each slide. For pollen identification, the pollen atlases of
134 Reille (1992, 1995, 1998) and the pollen identification key of Moore et al. (1991) were used. To
135 facilitate description and interpretation, pollen diagrams were drawn using Psimpoll 4.27
136 (Bennett, 2007). Local pollen assemblage zones were determined using optimal splitting by
137 information content on the terrestrial pollen taxa. The statistical significance of the pollen
138 assemblage zone boundaries were tested by comparison with the broken stick model (Bennett,
139 1996). This way eight Holocene pollen zones were identified.

140 We distinguished four stomata types, *Picea abies*, *Abies alba*, *Pinus cembra* and *P. mugo*
141 stomata. *Pinus* stomata were identified to species level (*P. cembra* and *P. mugo*) using the key
142 in Magyari et al. (2012). Stomata abundance was expressed as percentages relative to the
143 terrestrial pollen sum.

144
145 *Plant macrofossil analysis.* Samples for macrofossil analysis were taken at 2 cm intervals
146 from the Lateglacial and Early Holocene part of core TDB-1 (between c. 15,700–10,000 cal
147 yr BP). The Holocene section of the core was subsampled at 4 cm intervals. After short
148 soaking in 10% NaOH and wet-sieving through 250 μm and 180 μm meshes, identifications
149 were made under a stereomicroscope (Olympus SZ 51 at 10x magnification), using the

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3 150 reference material of the MTA-MTM-ELTE Research Group for Paleontology and various
4 151 identification keys (Bojnanský and Fargašová, 2007; Katz et al., 1965). Needles, seeds and
5 152 other vegetative parts of terrestrial plants were counted, while other sediment components
6 153 (e.g. Cladocera, chironomids) were counted in 5 random quadrats and expressed as number of
7 154 remains in 10 cm³ sediment. Here we present concentrations of woody macrofossils between
8 155 4900–10,500 cal yr BP.
9 156

10 157 *Siliceous algae analysis.* The core was subsampled at 4 cm intervals for diatom analysis,
11 158 except between 420–396 cm (8475–7900 cal yr BP), where samples from every centimeter
12 159 were studied. Samples were prepared using standard digestion procedures (Battarbee, 1986).
13 160 Approximately 350 valves were counted from each sample using a Leica DM LB2
14 161 microscope with 100 HCX PLAN APO objectives. Diatom counts were converted to
15 162 percentage data and results were plotted using Psimpoll 4.27 (Bennett, 2007). Details of
16 163 diatom taxonomy are discussed in Buczkó et al. (2013). In addition, Chrysophycean
17 164 stomatocysts (C) were enumerated without being identified; they are expressed relative to the
18 165 number of diatom frustules (D) counted (C:D ratio). Diatoms were classified into four groups
19 166 according to their life forms (aerophytic, benthic, periphytic and planktonic). For more details
20 167 see Buczkó et al. (2013) and Magyari et al. (2013). For the quantitative diatom-inferred pH
21 168 reconstruction (DI-pH), a transfer-function, based on locally-weighted weighted averaging
22 169 (LWVA) was used. The DI-pH model was developed from a combined modern dataset
23 170 available from the European diatom database (EDDI, Juggins, 2001). The modern diatom
24 171 training set consists of 622 samples and covers a pH range of 4.3 to 8.4 with a mean pH value
25 172 of 6.21. This combined pH calibration model has a root mean square error of prediction
26 173 (RMSEP) of 0.38 pH units, a jackknife r^2 of 0.83, a mean bias of -0.001 pH units, and a
27 174 maximum bias of 0.51 pH units.
28 175

29 176 *Loss-on-ignition and biogenic silica analyses.* Loss-on-ignition (LOI) was used to measure
30 177 the organic content of the sediment at 1–4 cm intervals. Loss-in-weight upon ignition was
31 178 measured at 550°C on 1 cm³ subsamples ignited for 3 hours.
32 179 Biogenic silica (BiSi) was used to evaluate the productivity of siliceous algae. BiSi was extracted
33 180 from homogenized dry sediment samples at 4 cm intervals. Details of the measurement technique
34 181 are discussed in Buczkó et al. (2012).
35 182

36 183 *Charcoal analyses.* For macrocharcoal analysis, contiguous 1cm³ samples were taken in the
37 184 upper 440 cm of the core and treated chemically (5% KOH and NaOCl) and physically
38 185 (sieved gently with a 160 µm mesh size under a soft water jet). The bleached material retained
39 186 on the sieve was then analyzed under a binocular microscope (Leica M80 at x60
40 187 magnification) equipped with a camera and connected to a computer with an image-analysis
41 188 software (Regent Instruments Canada Inc., 2009) that allowed a semi-automatic enumeration
42 189 of charcoal particles. Microcharcoal particles were enumerated on pollen slides, following
43 190 Finsinger and Tinner (2005) and Tinner and Hu (2003) at 400x magnifications.

44 191 The two biomass-burning rate proxies are complementary in that the source areas of micro-
45 192 and macrocharcoal can be significantly different. Whereas microcharcoal particles can be
46 193 indicative for regional biomass burning within a radius of up to several tens of kilometers
47 194 (Duffin et al., 2008; Tinner et al., 1998), macrocharcoal particles >160 µm in size mainly
48 195 reflect local biomass burning within few kilometers from a sedimentary basin as small as
49 196 Lake Brazi (Peters and Higuera, 2007; Whitlock and Larsen, 2001). Hence, a lack of
50 197 synchrony between the peaks of the micro- and macrocharcoal curves may support the notion
51 198 that the different size classes of charcoal represent biomass burning events on different spatial
52 199 scales (Breman et al., 2011).
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201 **Results**202 *Sediment chronology*

203 Figure 3 shows the results of linear age-depth modelling. Overall, we excluded five dates
204 from the age-depth modelling using the outlier detection function of the Bayesian age-depth
205 modelling software Bacon. These five dates (shown in grey in Table 1) were stratigraphically
206 inconsistent with the majority of the ^{14}C dates (Figure 3). The sediment section between dated
207 levels 391 cm (7755 cal yr BP) and 450 cm (9200 cal yr BP) includes the 8.2 ka event.
208 Between these two radiocarbon dates, spanning more than 1000 years, we assumed uniform
209 sediment accumulation rate. Results of the loss-on-ignition analysis (Figure 3) suggest that
210 abrupt changes in sediment accumulation did not characterize this time interval; only one
211 sample showed higher LOI value at 8100 cal yr BP suggesting that sediment accumulation
212 was likely not linear in this sediment section. The deposition time is 24.5 yr cm^{-1} in this
213 section, i.e. each sediment sample represents ~ 25 years. We note, however, that the modelled
214 calibrated BP ages of the sediment samples have some uncertainty due to the relatively low
215 number of ^{14}C dates around the 8.2 ka event. A smooth spline age-depth model was used in a
216 separate study focusing on the Holocene fire history, but the two models agree well in the
217 Early Holocene (maximum age difference < 30 yr; Finsinger et al., 2014). We only use
218 average fire return intervals calculated on this timescale from this study. “[insert Figure 3.]”
219

220 *Pollen stratigraphy, pollen-inferred vegetation changes and fire history*

221 The pollen percentage diagram is presented in Figure 4 and Appendix 2. Figure 4 includes the
222 major terrestrial pollen types, with particular attention to those that show distinct changes
223 around the 8.2 ka event. Eight statistically significant local pollen assemblage zones (B-4 to
224 B-11) were identified in the Holocene part of the record (Appendix 2). Here we describe in
225 detail only three pollen assemblage zones which predates (B-6), includes (B-7) and postdates
226 (B-8) the 8.2 ka event (Figure 4). The main characteristics of these pollen zones, such as
227 arboreal and non-arboreal pollen, micro- and macrocharcoal, total terrestrial pollen
228 accumulation rates and the dominant trees and shrubs, are summarized in Appendix 1, while
229 fire return intervals (FRI) and background charcoal are displayed in Figure 5. “[insert Figures
230 4 and 5.]”
231

232 *B-6 pollen zone, 530–436 cm, 10,450–8870 cal yr BP.* This pollen assemblage zone is
233 characterized by high relative frequencies of arboreal pollen (91%). The percentages of *Pinus*
234 *mugo* and *Picea abies* are comparably high. *P. abies* attained high values (av. 19%) and
235 reached its highest value (29%) around 10,000 cal yr BP suggesting its abundance around the
236 lake. This inference is corroborated by the stomata record (Figure 4), which also suggests the
237 local abundance of *P. abies* along with *Pinus cembra*, *P. mugo* and occasionally *Abies alba*.
238 The relative frequencies of *P. mugo* decrease ($\sim 10\%$) towards the end of the zone. This is also
239 the last time when *A. alba* stomata are recorded (around 9300 cal yr BP) pointing to its
240 presence on the lakeshore. The pollen of deciduous tree taxa are found in significant
241 quantities in the lake sediment that can be attributed to uphill transport into the subalpine and
242 alpine zones from lower altitudes (Ortu et al., 2006). *Ulmus* has high relative frequencies (av.
243 22%), but it declines at the end of the zone (12%). The dominance of *Ulmus*, *F. excelsior* and
244 *Quercus* suggests the presence of continental mixed-deciduous woodlands at lower elevation.
245 These taxa, except *Ulmus*, reach their highest values around the middle of this zone. Around

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3 246 9690 cal yr BP *Corylus* increases gradually and attains 23% by 8775 cal yr BP. It is
4 247 associated with the decrease of other deciduous taxa, especially *Ulmus*. *Corylus* likely
5 248 expanded in the mixed deciduous forest zone and likely also mixed with *P. abies* in the lower
6 249 subalpine zone. Some herbaceous pollen taxa occur throughout this zone, e.g. Poaceae, *Sedum*
7 250 and *Artemisia*.

8 251 The overall trends of the two charcoal records are comparable. Microcharcoal accumulation
9 252 rates attain the highest values in the entire record around 9600 cal yr BP, while macrocharcoal
10 253 accumulation rates slightly later, at 9300 cal yr BP. These peak values in association with the
11 254 generally high background charcoal values and mean FRI around 1300-yr (Figure 5) suggest
12 255 the occurrence of local and regional fire episodes and generally higher Early Holocene
13 256 regional fire activity.
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16 258 *B-7 pollen zone, 436–334 cm, 8870–6520 cal yr BP*. This pollen assemblage zone is
17 259 characterized by continuing high relative frequencies of arboreal pollen (95%). On the basis
18 260 of the pollen and stomata records, the forest around the lake was dominated by *P. abies* with
19 261 admixture of *P. mugo* and *P. cembra* as attested by the occurrence of their stomata. The
20 262 absence of *A. alba* stomata suggests its withdrawal from the lakeshore. The pollen
21 263 percentages of *P. mugo* rapidly decreased from values of 10% to significantly lower values at
22 264 about 8700–8600 cal yr BP. Other trees, such as *Quercus*, *F. excelsior* and *Ulmus*, had stable
23 265 pollen values and we infer that they played a significant role at lower altitudes, reflecting the
24 266 stability of the mixed oak forest zone. The main feature of the zone is the increasingly high
25 267 pollen frequencies of *Corylus* (max. 36% at 8000 cal yr BP) suggesting its expansion in the
26 268 lower altitude mixed deciduous forest zone and likely also in the spruce zone similar to other
27 269 mountain ranges in the Eastern Carpathians (Feurdean, 2005; Feurdean et al., 2008; Tanțău et
28 270 al., 2011).

29
30 271 Macrocharcoal accumulation rate values were generally lower and showed distinct, but lower-
31 272 amplitude peaks than in the preceding pollen zone. The microcharcoal record showed small
32 273 peaks between 7400–7100 cal yr BP and distinct peaks in the high-resolution section
33 274 spanning across the 8.2 event. Interestingly, the two charcoal records showed different
34 275 patterns around the 8.2 event: whereas macrocharcoal accumulation rates were high between
35 276 8450 and 8300 cal yr BP (see also the lowest FRI values on Figure 5 around 8500–8300 cal yr
36 277 BP; 100–200-yr) and thereafter rapidly declined until 7700 cal yr BP, five large microcharcoal
37 278 peaks were detected between 8300 and 8050 cal yr BP. This likely suggests that although
38 279 regional fire activity increased between 8300 and 8050 cal yr BP, the surroundings of Lake
39 280 Brazi were not affected by local forest fires at that time. In association with the 8.2 event we
40 281 found characteristic changes in the relative frequencies and pollen accumulation rates (PAR)
41 282 of several deciduous trees, shrubs and herbs (Figure 6). “[insert Figure 6.]” Monolet fern
42 283 spores and Poaceae show distinct pollen percentage and PAR peaks during most of the
43 284 microcharcoal peaks suggesting the opening up of the vegetation and early succession after
44 285 the forest fires, likely at lower altitudes. The percentages of deciduous pollen taxa (*Quercus*,
45 286 *Fraxinus excelsior*, *Ulmus*) show comparable declines, however, PAR values increase along
46 287 with microcharcoal peaks between 8300 and 8200 cal yr BP. Since this increase is present in
47 288 nearly all pollen taxa, this likely do not indicate real population increases, but an abrupt
48 289 change in sediment accumulation rates.

49
50 290 The stomata record attests to changes in the local vegetation around the 8.2 event as well
51 291 (Figure 4). Around 8250 cal yr BP, *P. abies* stomata temporarily disappeared, while *P.*
52 292 *cembra* stomata reached maximum relative frequencies. Around 8150 cal yr BP the relative
53 293 frequencies and PARs of *Carpinus betulus* increased temporarily (from 1% to 6%) suggesting
54 294 its rapid short-lived population expansion at lower altitudes between 8200–8100 cal yr BP. *C.*
55 295 *betulus* likely colonized forest openings. However, it seems to have failed expanding further,
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3 296 as pollen percentages decreased rapidly back to values <1%. During this interval two other
4 297 taxa, *Corylus* and *F. excelsior*, showed significant relative frequency decreases. If we look at
5 298 their PAR values (Figure 6), it becomes clear that *F. excelsior* showed real population size
6 299 decrease, while *Corylus* populations likely did not decrease on the northern slope, since its
7 300 percentage decrease is not accompanied by PAR decline; on the contrary PAR values increase
8 301 suggesting minor population increase. Also a typical feature of this pollen zone was the
9 302 episodic occurrence and pollen percentage increase of *C. betulus* three more times: at 8750,
10 303 8550 and 7500 cal yr BP. These episodic increases were associated with *Corlyus* declines
11 304 suggesting that the short-term growth of *C. betulus* was likely connected to forest
12 305 disturbances, but these were not always associated with detectable fire events.
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16 307 *B-8 pollen zone, 334–291 cm, 6520–4920 cal yr BP.* Total arboreal pollen percentages
17 308 remained high in this zone. *P. abies*, *Pinus* Subgenus Haploxyton and *P.* Subgenus
18 309 Diploxyton pollen frequencies were stable suggesting stable vegetation composition around
19 310 the lake with the stomata-inferred dominance of *P. abies* and *P. cembra*. Pollen frequency
20 311 changes furthermore suggested that *C. betulus* expanded at lower altitudes from 6640 cal yr
21 312 BP onwards (see Appendix 1). Both local and regional fire activity decreased as attested by
22 313 the increasing FRI and decreasing background charcoal values (Figure 5).

23 314 *Macrofossil-inferred local vegetation between 9100–6900 cal yr BP*

24 315 According to the macrofossil diagram (Figure 7) three conifer species were present around the
25 316 lake between 9100 and 6900 cal yr BP: *P. abies*, *P. mugo* and *P. cembra*. “[insert Figure 7.]”
26 317 Macrofossils of two more conifer species, *Larix decidua* and *Abies alba*, were present prior to
27 318 9900 cal yr BP suggesting that the Early Holocene forest around Lake Brazi was species rich,
28 319 and likely had a more open character.

29 320 *P. abies* was the most abundant in the studied period pointing to its dominance on the
30 321 lakeshore. *P. mugo* needles were found in low concentration; however, its male blossoms
31 322 were more abundant suggesting continuous presence. *P. cembra* needles were found less
32 323 regularly without other vegetative parts suggesting low abundance on the lakeshore. Around
33 324 8200 cal yr BP compositional change was not seen in the macrofossil record; *P. abies* bud
34 325 scales attained exceptionally high concentration at 8300 cal yr BP, this peak however
35 326 represents a loose bud in the sample. The only notable changes are the temporary
36 327 disappearance of *P. mugo* after 8200 cal yr BP, between 8000 and 7800 cal yr BP, and the
37 328 absence of *P. cembra* between 8700–8050 cal yr BP. The stomata inferred increase of *P.*
38 329 *cembra* and decrease of *P. abies* around 8250 cal yr BP is not supported by the macrofossil
39 330 record, only *P. abies* shows slightly decreased concentrations. Note however that the
40 331 macrofossil record has lower time-resolution. *P. abies* concentrations increase steadily from
41 332 8130 cal yr BP likely indicating denser spruce forests around the lake after this time.
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46 334 *Loss-on-ignition, biogenic silica and siliceous algae records*

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48 335 The siliceous algae record is presented in Figure 8 along with diatom-inferred pH (DI-pH),
49 336 C:D ratio, biogenic silica (BiSi), loss-on-ignition (LOI) and major diatom life form groups.
50 337 Here we describe two diatom assemblage zones (DAZ-8 and DAZ-9; Buczkó et al., 2013), of
51 338 which the first one precedes and the second one includes the 8.2 event. Changes in LOI and
52 339 BiSi are also discussed. “[insert Figure 8.]”
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55 341 *DAZ-8, 482–437 cm, 9650–8890 cal yr BP.* Diatom assemblages with relatively low number
56 342 of species (av. 21.8±5.5; min. 15; max. 26) are typical in this zone. *Stauroforma exiguiformis*
57 343 is the dominant taxon, often reaches relative frequencies around 80%. *Aulacoseira alpigena* is
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344 also a persistent element of the diatom assemblages; its abundance shows a slow increase at
345 the end of this zone. LOI values are ~50% (44–55%), BiSi is ~15% (13–18%) and diatom-
346 inferred pH (DI-pH) is around 6.3–6.9 indicating slightly acidic water.

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348 *DAZ-9, 437–320 cm, 8890–6000 cal yr BP.* After 8900 cal yr BP several distinct changes
349 appear in the diatom composition. Diversity increases (av. number of taxa 27.8±4.8; min. 18;
350 max. 37). Several species appear for the first time and remain present afterwards, e.g.
351 *Psammothidium curtissimum*, *Aulacoseira valida*, *A. pfaffiana*. On the other hand,
352 *Stauriforma exiguiformis* decreases after 9070 cal yr BP and attains a minimum at 8150 cal
353 yr BP (~5%). An important feature of this zone is the local maximum of *A. valida* (~20%) at
354 8150 cal yr BP that coincides with the minimum percentage of *S. exiguiformis*. *A. pfaffiana*
355 appears abruptly at 8150 cal yr BP. *Staurosira venter* shows temporary decrease at the same
356 time, but after that it reaches maximum values around 8100 cal yr BP (~42%). LOI values
357 increase from 55 to ~60% at the beginning of the zone with a single peak value (70%) at 8000
358 cal yr BP. Notable is that biogenic silica values decrease gradually between 8900 and 8100
359 cal yr BP, with a local minimum at 8000 cal yr BP, values are between 6–8% during the 8.2
360 event. DI-pH values (6.6–6.9) indicate slightly acidic water in this zone. Further rapid
361 changes in this zone involve the increase of planktonic/tychoplanktonic diatoms and C:D
362 ratios at 8150 cal yr BP along with the increase of *A. valida*.

364 Discussion

365 *Interpretation of the ecosystem changes around the 8.2 ka event*

366 The high-resolution pollen, stomata and charcoal records allowed identifying distinct
367 vegetation composition changes in the Retezat Mts during the studied rapid climate change
368 event. The Early Holocene forest between c. 9600 and 8900 cal yr BP was composed of *Picea*
369 *abies*, *Pinus cembra* and *Pinus mugo* around Lake Brazi likely with a minor admixture of
370 *Abies alba*. Since this latter tree species has an upper elevation limit of 1400 m in the Retezat
371 today (Nyárádi, 1958), we infer that summer temperatures were higher than at present in the
372 Retezat in this period, likely in association with higher than present summer insolation
373 (Berger and Loutre, 1991; Feurdean et al., 2013). At lower altitudes, mixed deciduous forests
374 were dominated by *Ulmus*, *Quercus*, *Tilia* and *Fraxinus excelsior*. A major compositional
375 change occurred around 8900 cal yr BP. The increase of *Corylus* pollen suggests the
376 formation of a *Corylus* dominated mixed open forest zone. The spread of *Corylus* around
377 9500 cal yr BP, which displays in the continental interior of Europe an altogether different
378 pattern compared to Northwest Europe (Giesecke et al., 2011), was detected in several other
379 Carpathian pollen diagrams (Feurdean, 2005; Feurdean et al., 2013; Tanțău et al., 2011) and
380 was connected to macroclimate change (Feurdean et al., 2008). Finsinger et al. (2006) found a
381 positive correlation between the increase of *Corylus* pollen and fire activity in the Southern
382 Alps. The coincidence between the prominent peak in the micro- and macrocharcoal records
383 and the onset of the *Corylus* pollen increase in the Retezat Mts around 9500 cal yr BP (Figure
384 4) may suggest that fires possibly played an important role in the initial population expansion
385 of the light-demanding *Corylus*. However, the charcoal record does not support the view that
386 fires played an important role in the longer-term population increase that peaked about 1500
387 years later, as suggested by Huntley (1993). Despite the decreasing trend of the summer
388 insolation curve after 9500 cal yr BP, a chironomid-based summer temperature reconstruction
389 from the same sediment record indicates that July temperatures were highest between 9500

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3 390 and 8700 cal yr BP (Tóth et al., 2015). We may thus hypothesize that warmer/drier summers
4 391 were sufficient to favor the expansion of *Corylus* even in the absence of higher fire activity.
5 392 Within this *Picea–Corylus* dominated pollen phase distinct changes occurred between *c.* 8300
6 393 and 8100 cal yr BP, when microcharcoal accumulation rates increased repeatedly, without
7 394 marked macrocharcoal increases, suggesting episodic forest fires in the region, likely in the
8 395 lower elevation mixed deciduous forest zone, but lower fire activity around the lake.
9 396 Moreover, we found ambiguous changes in the representation of *P. cembra* around the lake
10 397 with the stomata record indicating its increasing abundance, while the macrofossil record
11 398 suggested sporadic appearance. The increase of *Carpinus betulus* at ~8150 cal yr BP occurred
12 399 coincidentally with the third microcharcoal peak, but the appearance and first increase of *C.*
13 400 *betulus* was coincident with the first microcharcoal accumulation peak at 8300 cal yr BP
14 401 (Figures 4 and 6), and some of its earlier and later temporary appearances also coincided with
15 402 increased micro- and macrocharcoal intervals (e.g. at 7600, 8500 and 10,900 cal yr BP, but
16 403 not at 8750 cal yr BP; see Figure 4 and Appendix 2). One possible interpretation of these
17 404 changes is that *C. betulus* colonized the forest openings of the mixed deciduous forests, but
18 405 was soon overtaken by *Corylus* and *Fraxinus excelsior* as its PAR values and relative
19 406 frequencies both decreased. Alternatively, the closure of the forest openings decreased its
20 407 pollen production and uphill pollen transport in low fire activity periods; hence it became less
21 408 visible in the subalpine pollen record.
22 409 In the context of the 8.2 ka rapid climate change event, the coincident vegetation response
23 410 was the short-lived further expansion of *C. betulus*. It is evident from the organic content,
24 411 vegetation (Figure 8) and chironomid-inferred July temperature records of the same deposit
25 412 (Tóth et al., 2015) that the 8.2 event is incised in the most productive, warmest summer
26 413 interval of the Holocene in the Retezat Mts, when reconstructed July temperatures were 1.5–
27 414 1.9°C above modern values. In this context the temporary expansion of *C. betulus* can be
28 415 interpreted in two alternative ways. According to the first interpretation, increased forest fire
29 416 activity and secondary succession in the forest areas affected by fire facilitated the
30 417 establishment and temporary expansion of *C. betulus* on the northern slopes of the Retezat
31 418 Mts from 8300 cal yr BP. Studies on fire sensitivity have shown that *C. betulus* generally
32 419 benefits from ground fires (Tinner et al., 2000). As it was favored against *Fraxinus excelsior*
33 420 and *Corylus avellana* that are also early successional, fire-adapted species, other interspecific
34 421 competitions or climatic factors might have also played a role in the temporary increase of *C.*
35 422 *betulus* at 8150 cal yr BP and also at the earlier temporary expansion. Bioclimatic parameters
36 423 of the affected woody species suggest that *C. betulus* has the lowest tolerance to winter cold
37 424 (T_c min -8°C), while its drought resistance is weaker than the other two species ($\alpha^*=0.7$
38 425 against 0.65 for *F. excelsior* and 0.55 for *C. avellana*; Sykes et al., 1996). These parameters
39 426 suggest that the temporary expansion of *C. betulus* during the 8.2 climatic anomaly was likely
40 427 a response to increasing moisture availability between 8200–8100 cal yr BP, which
41 428 interpretation is supported by the coincident increase of planktonic/tychoplanktonic diatoms
42 429 suggesting water-level increase in the same period. Under this scenario the role of the
43 430 repeated regional forest fires was the creation of space for its early establishment (~8300 cal
44 431 yr BP), while the subsequent increase in available moisture helped its temporary spread. This
45 432 interpretation is also supported by the macrocharcoal record that shows low fire activity
46 433 between 8300–7800 cal yr BP (average fire return interval increased from 200–300 yr to 1200
47 434 yr, Figure 5) that maybe related to moister summer conditions in the subalpine zone during
48 435 the 8.2 ka event. As far as the summer temperatures are concerned, the chironomid-inferred
49 436 July temperature reconstruction from Lake Brazi does not indicate decrease around 8200 cal
50 437 yr BP, but before, between 8700–8500 cal yr BP, when *C. betulus* showed also episodic
51 438 advances at two times, while the diatom-based $\delta^{18}\text{O}$ record indicated winter moisture increase
52 439 between 9000–8500 cal yr BP (Magyari et al., 2013; Tóth et al., 2015). Note however, that the

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3 440 resolution of the $\delta^{18}\text{O}_{\text{DIAT}}$ and chironomid reconstructions is much lower (~80 years) than the
4 441 pollen, diatom and charcoal records (~20–25 years). The terrestrial proxies-based
5 442 interpretation of the ecosystem and climatic changes around the 8.2 ka event is also supported
6 443 by coincident changes in the lake ecosystem. The high-resolution proxy records for organic
7 444 and biogenic silica content and for diatom compositional change (Figure 8) suggest that the
8 445 8.2 ka event disrupted or ended a phase of decreasing diatom production (indicated by
9 446 decreasing BiSi values) between 8900 and 8250 cal yr BP. Secondly, the temporary increase
10 447 in planktonic/tychoplanktonic diatoms at ~8150 cal yr BP, exactly at the time when *C. betulus*
11 448 pollen increased, suggests that during the major diatom bloom period in spring the lake had
12 449 high turbulence and increased water depth, which is inferred from the rapid increase of
13 450 *Aulacoseira valida*. An increase of this floating and strongly silicified diatom species has also
14 451 been detected in North American lake deposits during the 8.2 ka event, where it was also
15 452 interpreted to be indicative of water turbulence and/or increased water depths in spring
16 453 (Spooner et al., 2002). Moreover, a recently built training set, based on diatom distribution in
17 454 34 South Carpathian lakes, clearly shows positive and significant correlation between
18 455 increasing water depth and increasing relative frequencies of *A. valida* (Buczko, unpublished)
19 456 further supporting that the temporary increase of *A. valida* indicates water depth increase at
20 457 ~8150 cal yr BP. On the other hand, increasing water turbulence may also explain the increase
21 458 of *A. valida* without an accompanying water-level increase, as it was formerly discussed in
22 459 Buczko et al. (2013) using examples from prairie lakes in North America. However, a local
23 460 training set was not yet available at that time. All in all, the diatom data suggest that during
24 461 the spring season the lake received increased water discharge (either by increasing spring
25 462 rainfall or snowmelt) at the same time when vegetation reorganization pointed to increasing
26 463 moisture availability. The proxy records also suggest that after this short episode, the lake
27 464 system reverted to benthic diatom assemblages, decreased BiSi and increasing LOI values, all
28 465 suggesting rapid decrease in water depth and expanding lakeshore mire vegetation. It may
29 466 also be inferred from these data that available moisture likely increased in the early part of the
30 467 vegetation season, while at least in some years late summers were warm and dry allowing for
31 468 the prevalence of occasional fires in the region.

32 469 Although the above interpretation of the high-resolution proxy records is convincing since
33 470 terrestrial and lake proxies are in agreement, we cannot exclude an alternative explanation of
34 471 the short-lived *C. betulus* expansion between 8200–8100 cal yr BP. Although we pointed out
35 472 some differences in the climatic tolerance of the woody species that showed relative
36 473 frequency and accumulation rate changes during the short climatic perturbation, the difference
37 474 between the available moisture tolerance of the two most antagonistically behaving species,
38 475 *F. excelsior* and *C. betulus*, is relatively little. *F. excelsior* is often more abundant in wet
39 476 alluvial soils, given its tolerance to seasonal inundation (Borhidi et al., 2012), but it is also a
40 477 characteristic component of low-built scree forests in the Carpathian foreland that are exposed
41 478 to strong winds, high summer insolation and poor soils, that is extreme habitats (Borhidi et
42 479 al., 2012). Furthermore, *F. excelsior* requires less accumulated heath during the vegetation
43 480 season and tolerates lower winter temperatures than *C. betulus*, which properties allow the
44 481 species to expand much further north in Europe (Sykes et al., 1996). Therefore, the expected
45 482 cooling at 8200 cal yr BP is unlikely to have been the main factor alone that favoured the
46 483 expansion of *C. betulus* (Alley et al., 1997; Wiersma and Renssen, 2006). We discussed
47 484 above that *C. betulus* benefits from increasing soil moisture, while the light-demanding *F.*
48 485 *excelsior* and *C. avellana* can better cope with enduring drought stress under strongly
49 486 continental climatic conditions that characterized the Early Holocene in the Carpathians
50 487 (Feurdean et al., 2013). Climate simulations suggest that early summers were up to 4°C
51 488 warmer and much drier in the Carpathian region in the Early Holocene (Feurdean et al.,
52 489 2013). *C. betulus* cannot cope with hot and dry summers, but its seedlings are light-

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3 490 demanding, therefore its establishment requires canopy gaps that were overall frequently
4 491 provided in the Early Holocene high fire activity, low fire return interval ecosystems of the
5 492 Carpathian region (Feurdean et al., 2012, 2013; Finsinger et al., 2014). So an alternative
6 493 explanation of the short-lived spread of *C. betulus* at 8200 cal yr BP can be the alteration of
7 494 relatively dry/warm summer years that triggered canopy fires with cool/moist summer years
8 495 that in association with the gradually increasing winter temperatures enabled the (1)
9 496 establishment and (2) temporary spread of *C. betulus* at low-mid altitudes. This second
10 497 alternative interpretation of the proxy records differs from the first in emphasizing the
11 498 complexity of the climate change that involved alternating warm/dry summer years and
12 499 cool/moist summer years during the 8.2 ka event. Irrespective of which data interpretation is
13 500 accepted, the main increment of the climatic perturbation was the establishment and
14 501 temporary expansion of a new canopy component in the Early Holocene forests, which
15 502 finding agrees well with the conclusion of other studies (Tinner and Lotter, 2006) that pointed
16 503 out the importance of short-lived climatic perturbations in the establishment of new canopy
17 504 components in climax forests.
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20 21 22 506 *Regional comparisons*

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24 507 The onset of the increased regional biomass-burning rates in the deciduous forest zone of the
25 508 Retezat Mts at ~8300 cal yr BP predates the maximum cooling of $3.3 \pm 1.1^\circ\text{C}$ in Greenland at
26 509 *c.* 8175 cal yr BP (Kobashi et al., 2007), but agrees with the outburst date of Lake Agassiz,
27 510 8470 ± 300 cal yr BP (Hillaire-Marcel et al., 2007), even when we take into account the age-
28 511 depth model's uncertainty (Figure 3). The spread of *Carpinus betulus* and increase of the
29 512 planktonic *Aulacoseira valida* at 8150 cal yr BP, on the other hand, lags maximum cooling
30 513 above Greenland only by 25 years, which is within the dating uncertainty of the Lake Brazi
31 514 record. Overall, the terrestrial ecosystem changes in the Retezat Mts seem to start around
32 515 8300 cal yr BP and culminate at 8150 cal yr BP, which timing is consistent with several other
33 516 European biotic proxy records. For example, a high-resolution isotopic record and
34 517 sedimentological changes in a Danish lake (Bjerring et al., 2013) also found a two-stage lake
35 518 level response around the 8.2 ka climatic anomaly. Their results indicated a lake level
36 519 decrease followed by an abrupt increase, with both events taking place within the window of
37 520 8390–8210 cal yr BP. Since their results partially disagreed with Magny's inference of
38 521 increased humidity during the 8.2 ka event in Northern Europe (Figure 1). Bjerring et al.
39 522 (2013) concluded that the hydrological effects of the 8.2 ka event may be substantially more
40 523 complex than suggested by the latitudinal borders. In a Swedish lake (Lake Källsjön), the
41 524 increase of *Aulacoseira* species in the planktonic diatom assemblages was dated between
42 525 8500–8200 cal yr BP and was explained by wind-induced turbulence (Randsalu-Wendrup et
43 526 al., 2012) similarly to Lake Brazi, but over a prolonged time interval. Cooling was inferred
44 527 from the spread of a centric planktonic diatom at *c.* 8200 cal yr BP as well as from the
45 528 temporary decrease in *Betula* and *Pinus* pollen accumulation rates.

46 529 Comparing the vegetation responses established in this study to the climatic anomaly with
47 530 similar records from Europe reveal several similarities with responses in the Carpathians and
48 531 Balkans (Feurdean et al., 2008; Panagiotopoulos et al., 2013), but antagonistic vegetation
49 532 changes in Northern and Northwestern Europe all emphasizing the role of cooling further
50 533 north and west (Kofler et al., 2005; Ralska-Jasiewiczowa et al., Seppä et al., 2007; 1998;
51 534 Tinner and Lotter, 2001; Veski et al., 2004).

52 535 In Northern Europe, the pollen influx decline of spring-temperature sensitive trees was
53 536 recorded in Lake Rõuge in Estonia between 8250 and 8150 cal yr BP with a simultaneous
54 537 increase in *Betula* accumulation rates suggesting lower temperatures in early spring (Veski et

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al., 2004). This inference was also corroborated by quantitative pollen based climate reconstruction that showed a 2°C drop in annual mean temperatures. Seppä et al. (2007) found a major decrease in pollen percentages of thermophilous tree taxa (esp. *Corylus*, *Alnus* and *Ulmus*) south of 61°N and interpreted it as reflecting decreasing temperature in spring. These data suggest that Northern and Northeastern Europe experienced climate cooling during the 8.2 ka event, consistently with general circulation modelling results (Wiersma et al., 2011). Further south in the Alps, the pollen percentage of *Corylus* decreased significantly (from 40% to 16%) and large-scale expansion of *Pinus*, *Betula*, *Tilia*, *Fagus sylvatica* and *Abies alba* was detected at Soppensee and Schleinsee (Tinner and Lotter, 2001). The authors suggested that climatic cooling reduced drought stress and this allowed more drought-sensitive and taller growing species to out-compete *Corylus avellana* by forming denser forest canopies. This vegetation reorganisation had a long-lasting consequence and suggested increasing available moisture during the vegetation season, partially in agreement with our results in the South Carpathians for the period limited to the 8.2 ka event. However, while at Soppensee charcoal was not correlated with pollen, the microcharcoal record from Lake Brazi shows good correlations with some of the pollen types suggesting causal relationships between episodic fires and vegetation composition between 8355–8000 cal yr BP. Furthermore, the terrestrial vegetation response was much stronger in the Alps, involved the expansion of five tree species, all pointing to cooler and moister summer conditions, while in the South Carpathians we found the expansion of a single tree species (*C. betulus*) that attested to modest available moisture increase, but no significant summer temperature decrease could be inferred from its spread. We infer from this comparison that the differences in the terrestrial vegetation response between the two large European mountain ranges are attributable to their different continentality levels; summer cooling in the Alps was likely more significant during the 8.2 ka event leading to the expansion of tall-growing but cool-summer tree species, while in the South Carpathians the expanding tree species suggested the alteration of warm/dry summer years with cool/moist summers and available moisture increase in the early part of the vegetation season. More significant cooling in the Alps is also attested by stalagmite oxygen isotope data (e.g. Boch et al., 2009) that indicate rapid cooling by ~3°C at 8175 cal yr BP. Pollen and plant macrofossil records that show similar changes to Lake Brazi include the Steregoiu peat bog in the Northwest Carpathians, where the pollen records suggested that between 8300 and 8400 cal yr BP *Picea abies*, *Ulmus* and *Corylus* increased in combination with the episodic expansion of *Fagus sylvatica* (Feurdean and Bennike, 2004; Feurdean et al., 2008). The latter species has similar ecological requirements to *C. betulus*, but it is late successional and has higher moisture requirement. These findings suggest more significant moisture availability increase in NW Romania during the 8.2 climatic oscillation, which inference is well supported by the climatic differences between the two areas. The mountains of NW Romania are influenced more strongly by the Atlantic westerlies and less by Mediterranean water sources (Dragušin et al., 2014), which difference means that in times of intensifying westerly circulation, this area receives more precipitation from the Atlantic ocean. Another NW Romanian low altitude site that showed vegetation response around 8200 cal yr BP is Turbuta. Here the temporary spread of *C. betulus* shows up in the pollen record around 8200 cal yr BP, similarly to Lake Brazi, but the authors do not interpret this pollen compositional change (Feurdean et al., 2007). Similarly to Brazi, the episodic expansion of *C. betulus* likely responds to forests disturbance at low altitude and the species was likely facilitated by increased winter/spring moisture in this area. In connection with the fire events, a recent Holocene fire regime study from lowland Transylvania clearly showed that the 8.2 ka event appeared in an Early Holocene fire zone (10,100–7100 cal yr BP) that was characterised by frequent high intensity fires (mean fire return interval 112 yr, fire frequency: 9 fires/100 yr; see Feurdean et al., 2013). This study

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3 588 also showed modelled early summer temperatures with the highest values between 11,500 and
4 589 8300 cal yr BP, i.e. the driest/warmest summer interval ended by the time of the 8.2 ka event.
5 590 Even though fire activity was high before 8200 cal yr BP, this study recognized the 8.2 ka
6 591 event as a short-lived decline in biomass burning that the authors associated with cool/moist
7 592 summers. Notable that these inferences are based on the macrocharcoal record that agrees
8 593 well with the Lake Brazi macrocharcoal record and suggest decreasing fire activity in the
9 594 vicinity of both sites. Other Holocene rapid climate change events were also recognized as
10 595 low fire intervals (e.g. 4200, 2800 cal BP) by Feurdean et al. (2013) and associated with
11 596 cool/moist summers. Overall, the regional picture of fire histories seems to be consistent
12 597 when the same proxies are applied, but the Lake Brazi microcharcoal record deviates, and at
13 598 least in the Retezat Mts suggests the episodic occurrence of fires during the 8.2 ka event.
14 599 Climatic changes around the 8.2 ka event have also been inferred from stalagmite isotope
15 600 records in the Romanian Carpathians. These show a minor decrease or no significant change
16 601 in $\delta^{18}\text{O}$ composition (e.g. Ursilor and V11 caves in Romania; Onac et al., 2002; Tămaş et al.,
17 602 2005) suggesting no significant change in annual temperatures. However, $\delta^{13}\text{C}$ values
18 603 increased in the V11 and Sofular Caves, the latter of which is located further south in the
19 604 southern Black Sea coast. This record was interpreted as being indicative of a prominent
20 605 decrease in moisture availability over a prolonged time interval from c. 8400 to 7800 BP
21 606 (Göktürk et al., 2011). This period agrees well with increased organic content in Lake Brazi,
22 607 what we interpreted as a trend of gradually decreasing lake levels in the same period.
23 608 However, we detected a short and modest lake level increase within this period, at 8150 cal yr
24 609 BP, a correspondent decrease in $\delta^{13}\text{C}$ values is however missing in the Sofular record
25 610 (Göktürk et al., 2011) suggesting that springs were not moister during the 8.2 ka event in the
26 611 southern Black Sea coast. In summary, the isotopic records from the region suggest that the
27 612 8.2 ka event appears within a longer dry period in the southern Black Sea area and remains
28 613 undetectable in the isotope records.

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32 614 Finally, we mention the findings of pollen and diatom studies from the Rila Mountains that
33 615 are located south of the Retezat (Figure 1). These mountains are under relatively strong
34 616 Mediterranean climatic influence, but still receive precipitation from Atlantic water sources
35 617 (Tonkov et al., 2008). In these mountains a short-term steep decline was detected in arboreal
36 618 pollen accumulation rates at 8230 cal yr BP, mainly in *Pinus* Subgenus *Diploxylon* suggesting
37 619 either dying or depressed flowering of pine trees and shrubs at high altitude in this period
38 620 (Tonkov et al., 2016). In addition to this likely direct vegetation response, *Abies alba*
39 621 expanded directly after 8200 cal yr BP, and vegetation disturbance around 8200 cal yr BP
40 622 likely facilitated its expansion (Tonkov et al., 2008). Since *A. alba* is the most demanding
41 623 species regarding its moisture requirement among conifers (Tinner and Lotter, 2001; Tonkov
42 624 et al., 2008), these data suggest that the Rila Mts also experienced climatic cooling and
43 625 associated available moisture increase during the 8.2 event, which facilitated the spread of the
44 626 more moisture demanding late successional *A. alba*. Climatic conditions after the 8.2 event
45 627 however likely remained less continental in the Rila further helping the advance of *A. alba*. It
46 628 is also notable that *Aulacoseira alpigena*, the planktonic diatom species showing the strongest
47 629 response in lake Brazi at 8150 cal yr BP, increased in abundance in Lake Sedmo Rilsko (2250
48 630 m asl; Lotter and Hoffman, 2003) around 4500 cal yr BP. Although its increased abundance
49 631 was longer lasting and appeared much later than the 8.2 event, it was associated with the
50 632 expansion of *Fagus sylvatica* and increasing diatom productivity in the Rila Mts, and the
51 633 authors interpreted these changes as indicative of increasing moisture availability (Lotter and
52 634 Hoffman, 2003), which interpretation agrees well with our inference at Lake Brazi.
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3 636 *Did available moisture increase in the South Carpathians during the 8.2 ka event? Testing the*
4 637 *hypothesis of Magny (2007)*

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6 638 The interpretation of the 8.2 ka event is complicated by the fact that both temperature and
7 639 hydrological conditions appear to have been altered, therefore it is difficult to disentangle if
8 640 hydrological or temperature changes are the main source of available moisture changes during
9 641 this event. Since most Early Holocene Central European hydrological studies agree on that
10 642 water levels in lakes of forested regions were controlled primarily by winter precipitation
11 643 (Carcaillet and Richard, 2000; Roberts, 1998), and a recently published diatom oxygen
12 644 isotope record from Lake Brazi (Magyari et al., 2013) also suggests that fluctuation in the
13 645 $\delta^{18}\text{O}_{\text{DIAT}}$ values reflect alternating contribution by winter precipitation, we can safely infer
14 646 that as long as the planktonic/tychoplanktonic diatom maximum indicate water level increase
15 647 at 8150 cal yr BP in Lake Brazi then it was a response to increased winter/spring
16 648 precipitation. This interpretation would agree with Magny's meta-analysis results of lake level
17 649 anomalies in Europe around the 8.2 ka event that indicated a more humid climate
18 650 accompanied by lake level increases in mid-Central Europe (Figure 1, see Magny et al.,
19 651 2003). It is obvious, however, that our lake proxies are strongly skewed towards the winter
20 652 half year, whereas the terrestrial proxies are skewed towards the vegetation season (mainly
21 653 summer), and the two systems show slightly different change. Our inference from this result
22 654 mirrors the conclusion of Bjerring et al. (2013) that climate change was more complex and
23 655 likely seasonally different during the climatic anomaly. This inference is also supported by
24 656 the pollen studies of Seppä et al. (2007) that showed a strong vegetation response in Northern
25 657 Europe up to 61°N, but no response in the sub-arctic areas. They suggested that this might be
26 658 explained by cooling mostly during the winter and spring, to which the ecosystems in the
27 659 south responded sensitively since cooling occurred at the onset of the growing season. In
28 660 contrast, in the sub-arctic area, where the vegetation remains dormant longer, the cold event is
29 661 not reflected in pollen-based or lake sediment-based records. Such interpretation is consistent
30 662 with our results, but our proxies rather sensitively showed the changes in available moisture
31 663 during winter/spring in positive direction.

32 664 Overall, if the increase of planktonic diatoms at 8200 cal yr BP was not merely the result of
33 665 increasing wind turbulence but a response to increased water-depth, then our biotic proxy-
34 666 based climatic and lake level inferences support Magny's interpretation of this climatic
35 667 anomaly in that we infer increasing lake levels in the mid-European sector. However, we
36 668 argue on the basis of our other biotic proxies (pollen and charcoal) that this lake level rise
37 669 principally resulted from increased precipitation during winter or spring, which was followed
38 670 by alternating dry and moist summers, i.e. the continentality of the area was maintained, but
39 671 there were climatic years with weaker continentality, or available moisture increased mainly
40 672 in the early part of the vegetation season.

41 673

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43 675 Conclusion

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45 677 We provide in this study high resolution multi-proxy analyses on a South Carpathian
46 678 mountain lake sediment profile in order to study biotic responses of the mountain vegetation
47 679 to the 8.2 climatic oscillation. We show that significant changes both in terrestrial vegetation
48 680 and lake diatom assemblages appeared between c. 8300 and 8000 cal yr BP and involved the
49 681 short-lived spread of *C. betulus* and *Aulacoseira valida* in association with regional fire
50 682 events. Ecosystem responses overall suggest that water depth and turbulence increased at
51 683 8150 cal yr BP in Lake Brazi in response to increased winter/spring precipitation. Terrestrial
52 684 vegetation disturbance mainly took place in the mixed-deciduous forest zone, where

woodland fires partially destroyed the populations of *Fraxinus excelsior*, *Quercus* and *Corylus avellana*, and facilitated the establishment of *Carpinus betulus* in the forest openings. We conclude that during the 8.2 ka event winter and spring season available moisture increased, while summers were characterized by alternating moist/cool and dry/warm conditions in this region. Our results are relevant for predicting vegetation and lake responses to the expected future climate warming. Climate models project weaker summer precipitation as well as higher summer temperatures for the next century in Eastern Central Europe (Beniston et al., 2007; Kjellström et al., 2007; Lorenzoni and Pidgeon, 2006). Our results suggest that the most critical issue in the mid-altitude forested regions will likely be the increasing abundance and intensity of forest fires that may lead to significant vegetation reorganization in the deciduous forest zone of the Carpathians.

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702

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889

890 **Figure legend**

891 **Figure 1.** Location of our study site, Lake Brazi (Retezat Mts, South Carpathians) in Central Europe (modified
 892 after Tóth et al., 2012). The mid-latitude zone of Europe was characterized by wetter conditions during the 8.2
 893 ka event (between the black broken lines) (Magny, 2007). Sites used for comparison are also shown. 1) Our
 894 study site, Lake Brazi in the Retezat Mts in the South Carpathians; 2) Preluca Tiganului and Steregoiu on the
 895 western flank of the Gutaiului Mountains (Feurdean and Bennike, 2004; Feurdean et al., 2008); 3) Lake
 896 Trilistnika and Lake Sedmo Rilsko in the Rila Mountains, Bulgaria (Lotter and Hofmann, 2003; Tonkov et al.,
 897 2008); 4) Lake Sarup in Denmark (Bjerring et al., 2013); 5) Lake Kälvsjön in Sweden (Randsalu-Wendrup et al.,
 898 2012). Lakes located in the Alps: 6) Schleinsee (Tinner and Lotter, 2001); 7) Soppensee (Tinner and Lotter,
 899 2001); 8) Lago Piccolo di Avigliana (Finsinger et al., 2006). Cave sites in Romania: 9) V11 and Ursilor Caves in
 900 the Apuseni Mountains (NW Romania) (Onac et al., 2002; Tămaş et al., 2005).

901
 902 **Figure 2.** The location of Lake Brazi (1740 m a.s.l.) in the Retezat Mountains. Map (a) shows the location of the
 903 Retezat Mts in the Carpathians, while map (b) shows the location of Lake Brazi and the vegetation zones on the
 904 northern slopes of the Retezat Mountains.

905
 906 **Figure 3.** Age-depth model for the Holocene section of the Lake Brazi TDB-1 core (between 111 and 521 cm).
 907 The model is based on twelve ^{14}C dates, calibrated using CALIB Rev 6.1.0 and age-model modelling using
 908 linear interpolation in Psimpoll 4.27. Note that the top of the sediment is at 111.14 cm; sediment depth
 909 calculation included the lake water column. Loss-on-ignition values are also shown on the right. The red
 910 rectangles (grey in the printed version) highlight the sediment section that encompasses the 8.2 ka event.

911
 912 **Figure 4.** Changes in relative frequencies of the main pollen taxa and stomata, as well as the micro- and
 913 macrocharcoal accumulation rates, core TDB-1, Lake Brazi, Retezat Mts, Romania. Macrocharcoal
 914 accumulation rates were recalculated to constant sample interval (40-yr).

915
 916 **Figure 5** Background fire and fire return intervals (FRIs, years between consecutive detected fire episodes) as
 917 previously quantified in Finsinger et al. (2014). Calculated on interpolated (40-yr) macroscopic charcoal
 918 accumulation rates that are based on charcoal-area (CHARa) and charcoal-number (CHARc) measurements.

919
 920 **Figure 6.** Changes in pollen percentages (a) and pollen accumulation rates (b) of the selected pollen taxa, micro-
 921 and macrocharcoal accumulation rates between 8000 and 8500 cal yr BP from Lake Brazi, core TDB-1.

922
 923 **Figure 7.** Changes in the concentration of woody plant macrofossils between 4900–10,500 cal yr BP in core
 924 TDB-1, Lake Brazi, Romania. min. needles: minimum number of needle leaves calculated using the formula
 925 $\text{Count}_{\text{needle top or base (depending which is more)}} + \text{Count}_{\text{intact needle}}$; sumfrag: sum of all macrofossil remains.

926
 927 **Figure 8.** Relative frequencies of selected diatom taxa, loss-on-ignition, biogenic silica, diatom life form groups
 928 and Chrysophyte:Diatom (C:D) ratios from Lake Brazi, core TDB-1. On the right diatom inferred pH (DI-pH)
 929 values are also shown. DAZ: Diatom Assemblage Zone. *Aulacoseira valida* and *A. paffiana* relative frequency
 930 curves have blue fillings (grey in the printed version); these two planktonic/tychoplanktonic taxa show the
 931 strongest response at the 8.2 ka event.

932
 933 **Table 1.** Radiocarbon dates from Lake Brazi, core TDB-1

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936 **Appendices**

937 **Appendix 1.** Main characteristics of pollen assemblage zones B-6, B-7 and B-8. LPAZ: Local Pollen
938 Assemblage Zone.

939
940 **Appendix 2.** Holocene relative frequency pollen diagram from Lake Brazi (Retezat Mts, Romania), core TDB-1
941 plotted against cal BP age. Beside the major pollen types, coniferous stomata percentages, micro- and
942 macrocharcoal accumulation rates are shown. Stomata are expressed as relative frequencies relative to the
943 terrestrial pollen sum. LPAZ: Local Pollen Assemblage Zone. Macrocharcoal accumulation rates were
944 recalculated to constant sample interval (40-yr).

For Peer Review

Table 1.

Core	Laboratory code	Dated material	Depth (cm)	¹⁴ C age years BP	Calibrated range years BP	Error of the average years BP	Remarks
TDB-1	Poz-26103	<i>Picea abies</i> needles	119	725±30	652-723		outlier
TDB-1	I/338/1#	>180 µm fraction, plant macrofossil	127	375±25	319-503	411±92	
TDB-1	I/338/2#	>180 µm fraction, particular organic matter	127	1018±23	913-970		outlier
TDB-1	Poz-26104	<i>Pinus mugo</i> cone scale	160	1735±30	1562-1712	1637±75	
TDB-1	I/338/3#	<i>Pinus mugo</i> shoot	204	2611±23	2724-2763	2743.5±19.5	
TDB-1	Poz-206106	<i>Pinus mugo</i> cone	238	3045±30	3205-3356	3280.5±75.5	
TDB-1	I/338/4#	>180 µm fraction, plant macrofossil	280	3962±30	4381-4520		outlier
TDB-1	I/338/5#	>180 µm fraction, particular organic matter	280	3987±26	4416-4521	4468.5±52.5	
TDB-1	Poz-26107	<i>Pinus</i> twig	315	5040±40	5708-5902	5805±97	
TDB-1	Poz-26108	<i>Picea abies</i> needles	355	6320±40	7163-7324	7243.5±80.5	
TDB-1	I/338/6#	>180 µm fraction, plant macrofossil	391	6925±30	7683-7828	7755.5±72.5	
TDB-1	Poz-26109	<i>Picea abies</i> needles	393	6130±40	6926-7160		outlier
TDB-1	Poz-26110	<i>Picea abies</i> needles and seed	450	8240±50	9072-9326	9199±127	
TDB-1	Poz-26111	<i>Picea abies</i> needles	505	8810±50	9670-10,155	9912.5±245.5	
TDB-1	Poz-31714	<i>Pinus mugo</i> needles	521	9150±50	10,226-10,433	10,329.5±103.5	
TDB-1	Poz-26112	<i>Picea abies</i> cone	545	9610±50	10,766-11,167	10,966.5±200.5	
TDB-1	Poz-31715	<i>Pinus mugo</i> needles	557	9980±100	11,216-11,826	11,521±305	
TDB-1	Poz-31716	charcoal	569	10,870±70	12,598-12,925	12,761.5±163.5	
TDB-1	Poz-27305	<i>Pinus sp.</i> needles (2)	578	11,590±60	13,287-13,620	13,453.5±166.5	
TDB-1	Poz-26113	<i>Picea abies</i> cone scales	591	9690±50	11,067-11,225		outlier

Figure 1.

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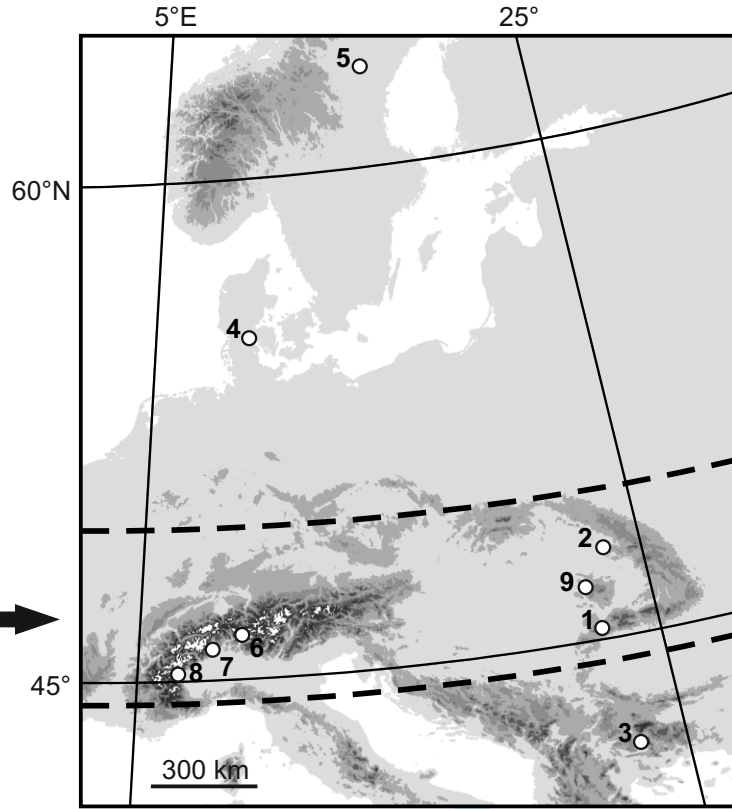


Figure 2.

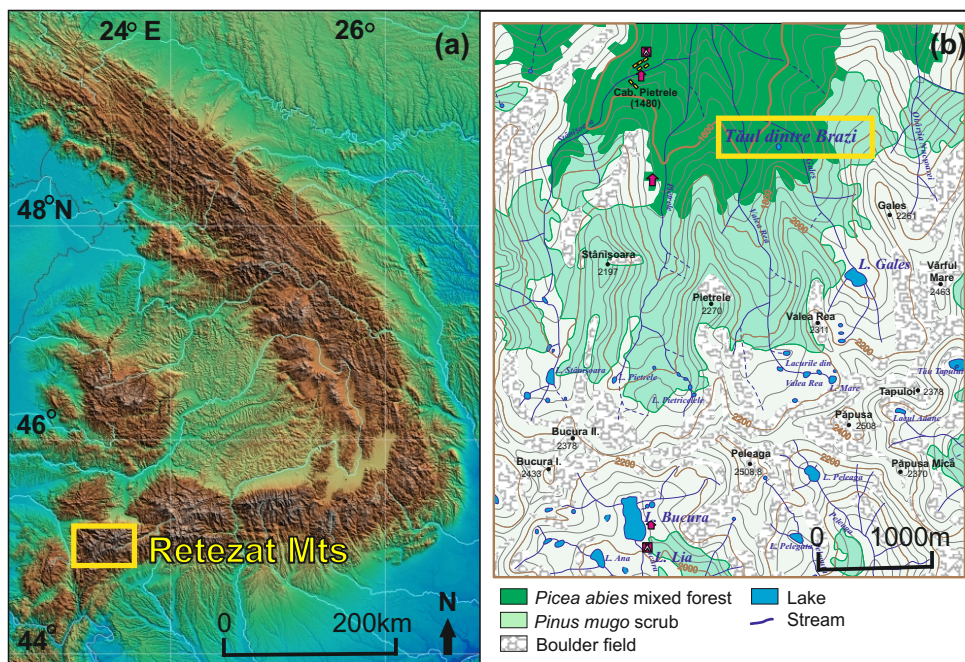
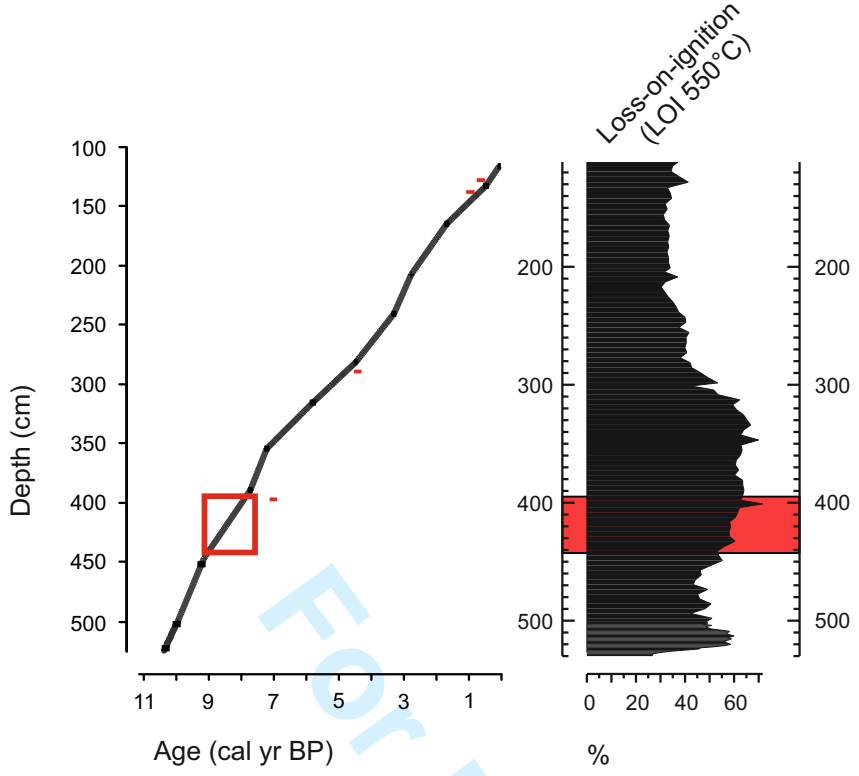


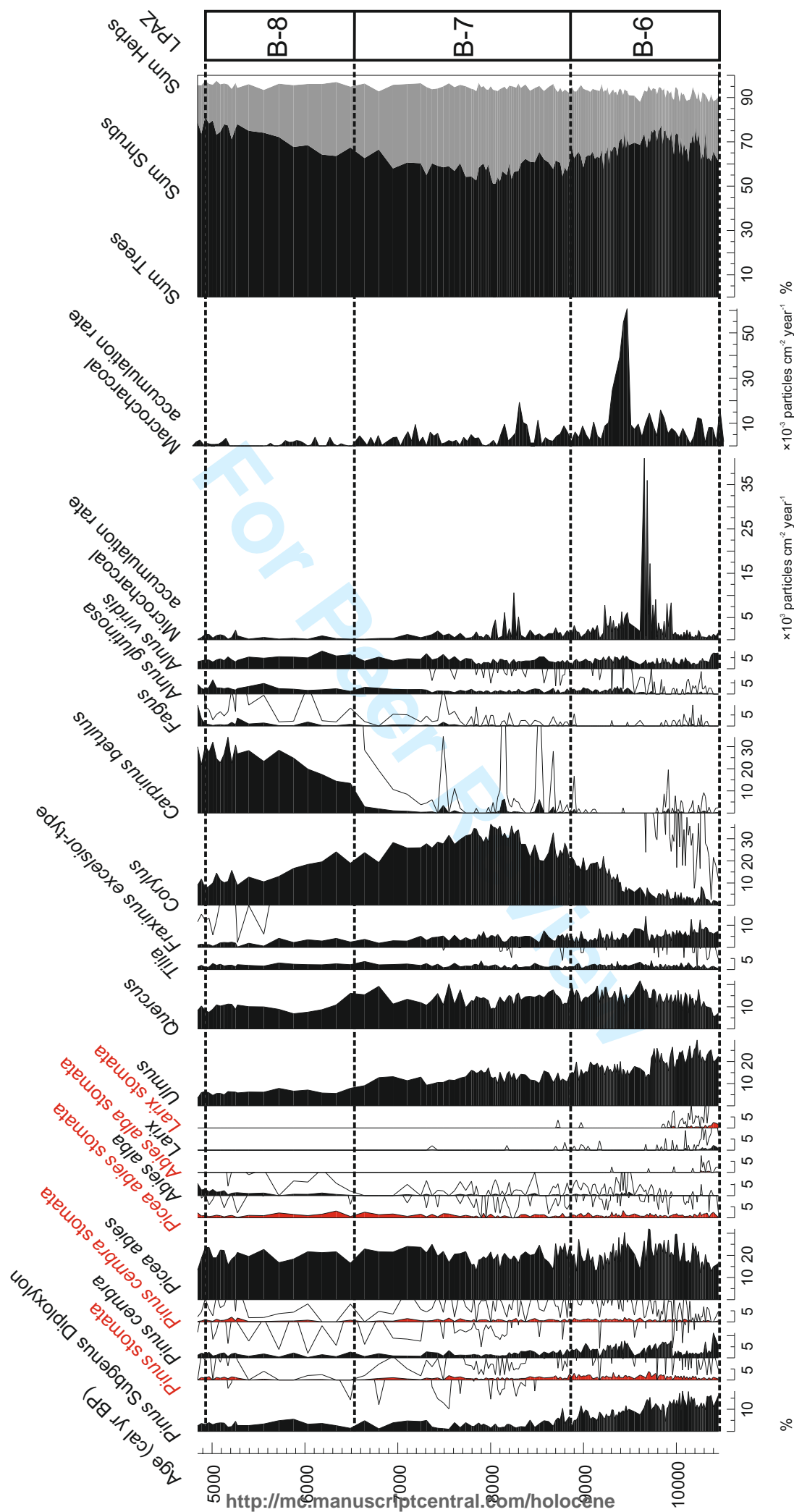
Figure 3.



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Figure 4.

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For Peer Review

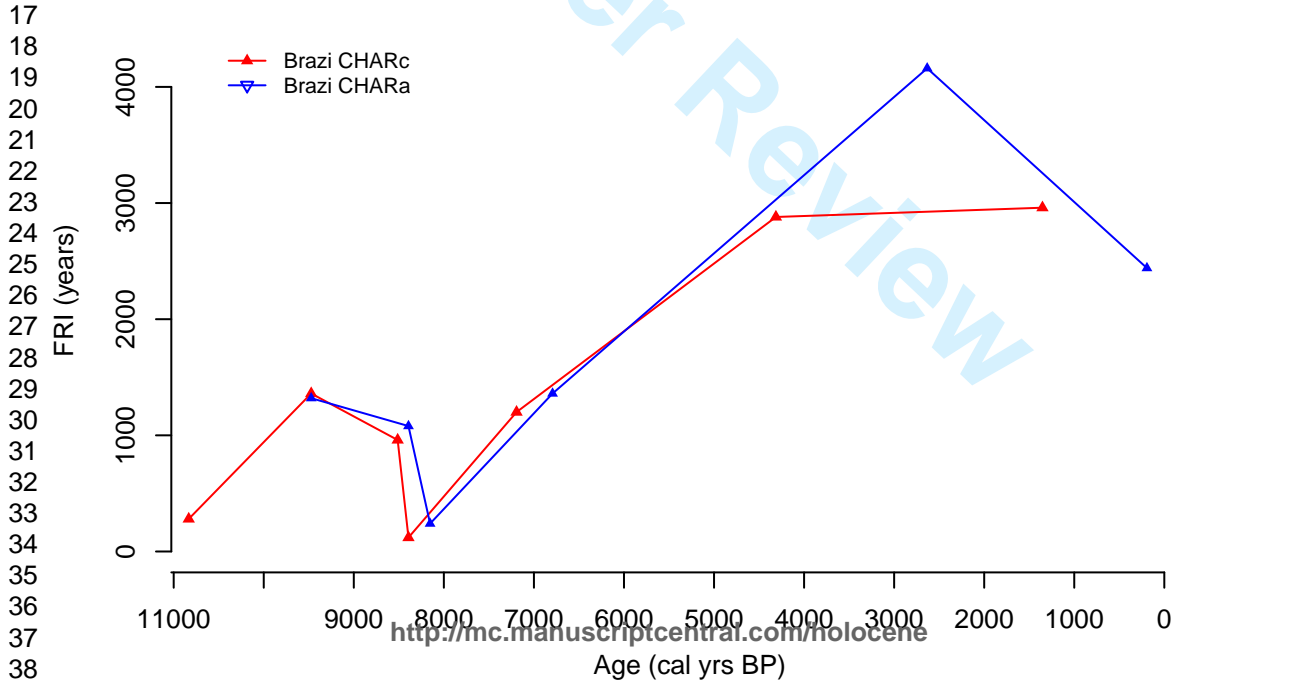
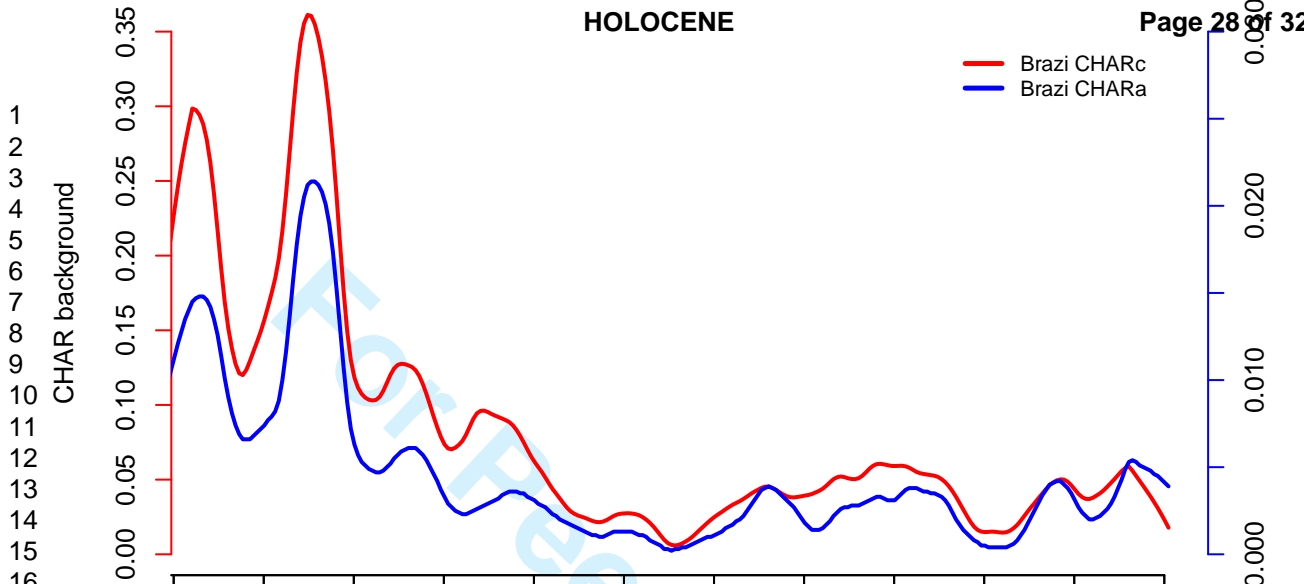
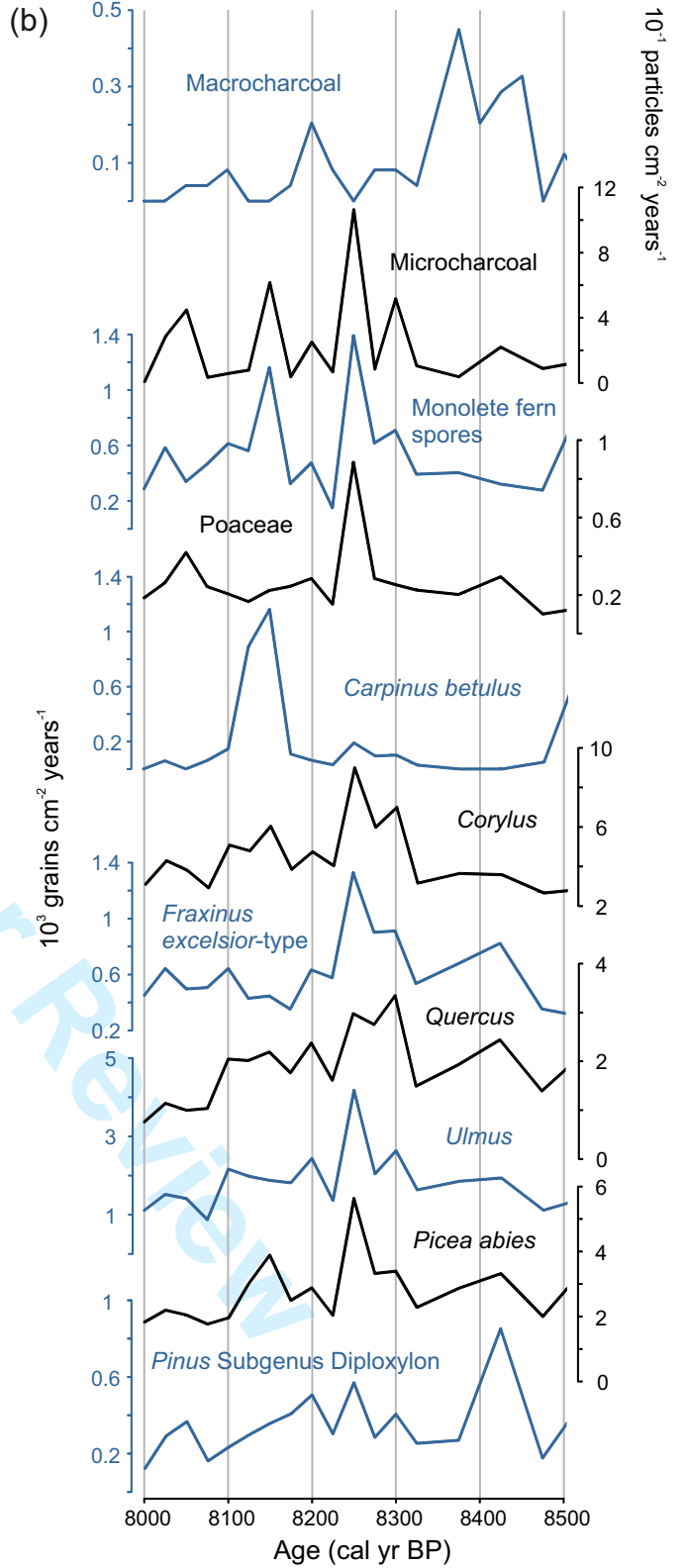
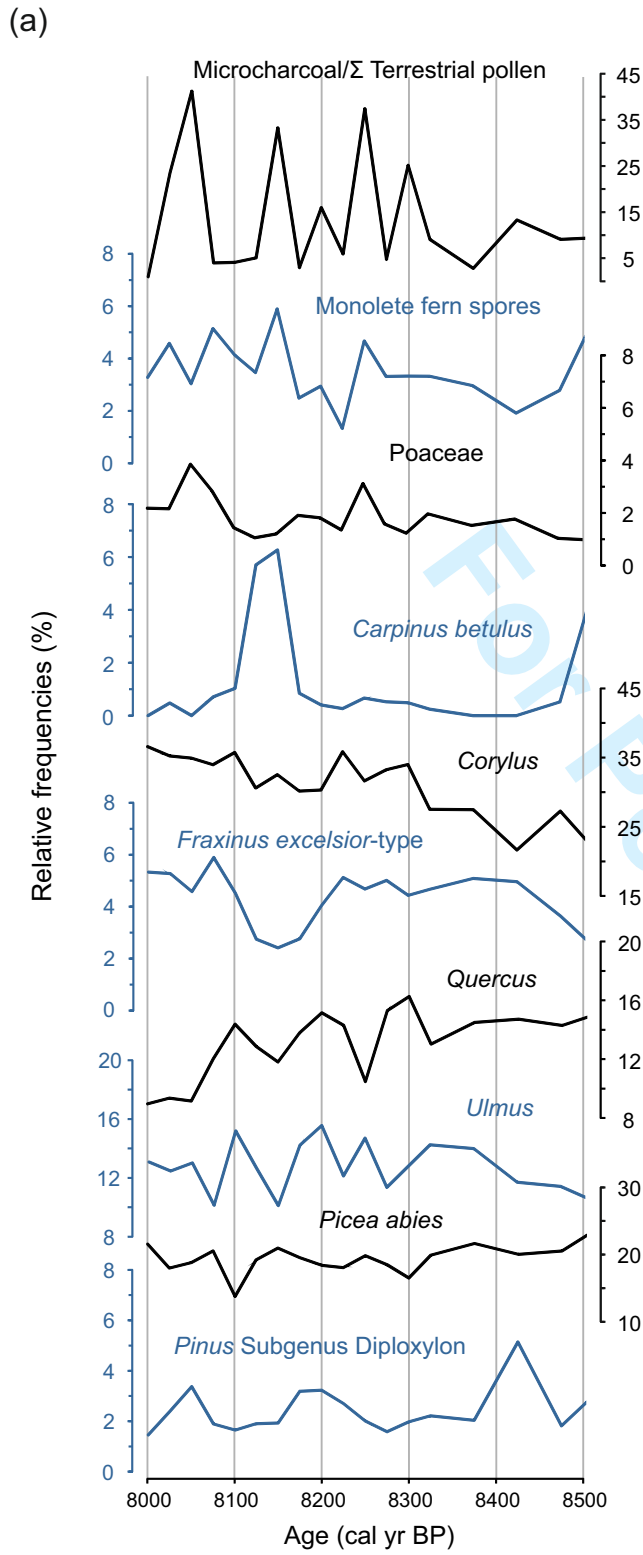
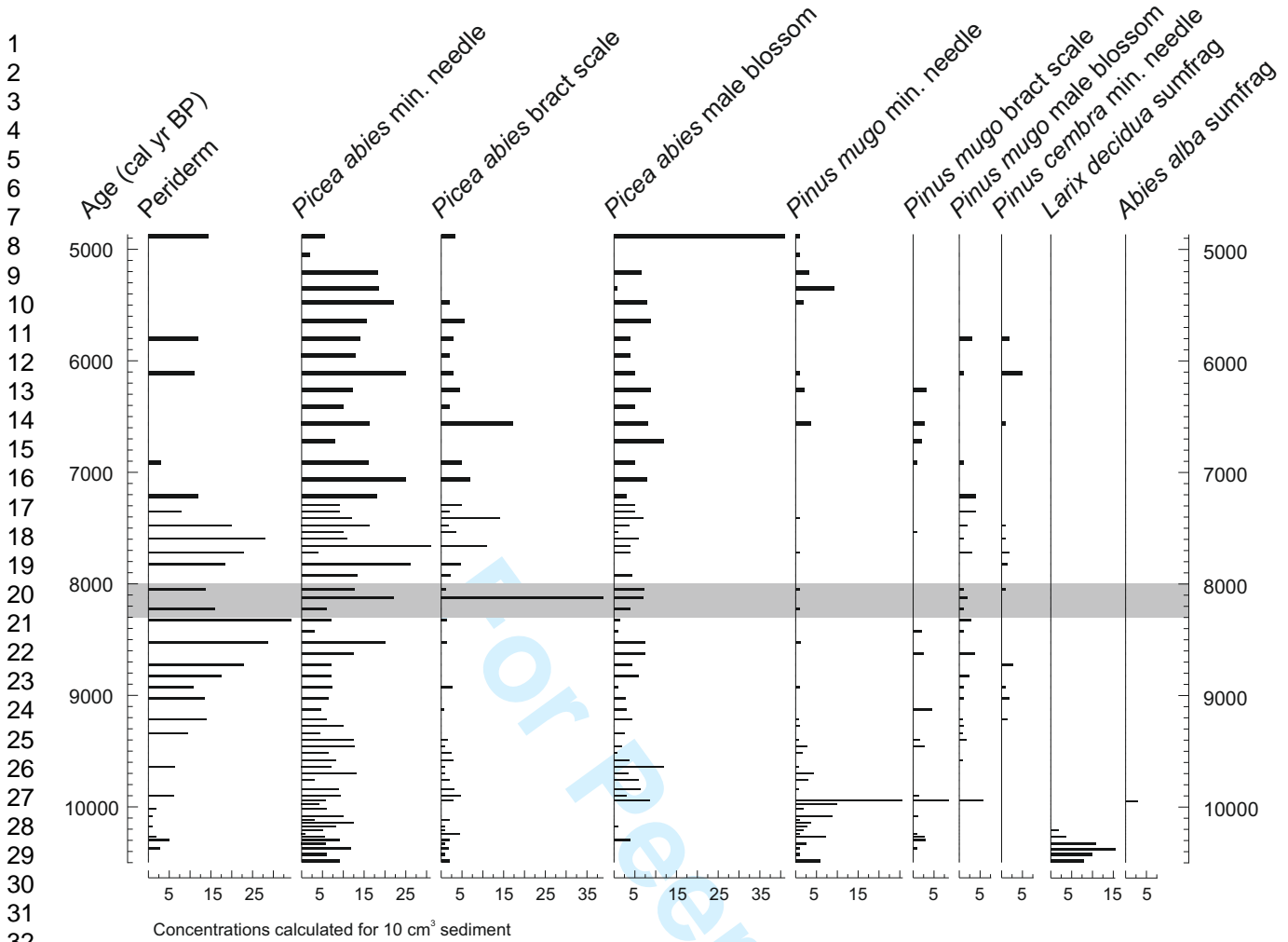


Figure 6.

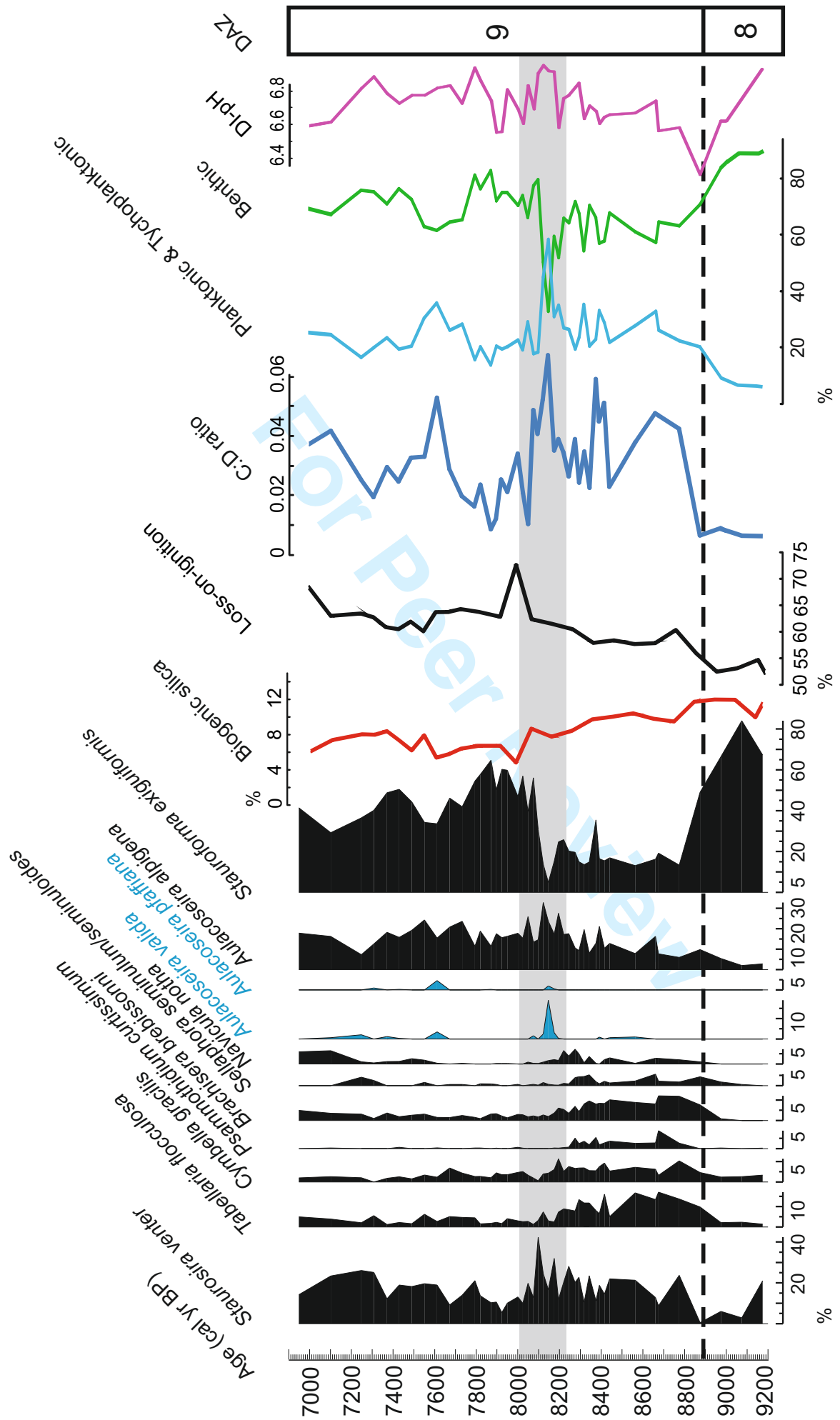




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Figure 8.

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Supplementary Table 1

LPAZ	Depth (cm) and Calibrated age range (cal yr BP)		Arboreal pollen (AP %)	Non-arboreal pollen (NAP %)	Total terrestrial pollen concentration (pieces/cm ³)	Micro-charcoal concentration (pieces/cm ³)	Dominant (mean %) trees	Dominant shrubs (mean %)	
B-6	530–436	Min.	69	4	117,606	5309	<i>Ulmus</i> (21%), <i>Picea</i> (19%), <i>Quercus</i> (11%), <i>Fraxinus excelsior</i> (8%)	<i>Pinus</i> Subgenus Diploxylon (13%), <i>Corylus</i> (5%)	
		Max.	113	12	657,712	48,008			
	10,450–8870	Mean	91	9	296,203	16,307			
		Min.	82	3	207,437	2009			
B-7	436–334	Max.	106	8	695,351	260,176	<i>Picea</i> (20%), <i>Ulmus</i> (13%), <i>Quercus</i> (13%)	<i>Pinus</i> Subgenus Diploxylon (3%)	
		8870–6520	Mean	95	5	349,917			35,519
	Min.		79	3	244,407	3378			
	B-8	334–291	Max.	113	7	472,564			33,982
6520–4920			Mean	96	4	293,761	13,063		
			Min.	79	3	244,407	3378		

