Summary: There are two excellent Arabic sources from the 9th and 11th centuries on sword art: the treatises of al-Kindi and al-Biruni. They contain a wealth of information on Byzantine sword making. These swords can in part be assigned to the ‘‘atiq qala’‘i’ type, i.e. the good quality forged iron type. These double-edged swords were 4 to 5 spans long. The swords from Barbarian Europe that can be likened to Byzantine types and Byzantine sword depictions clearly show that they are in fact similar, but not identical types. There is only uncertain evidence concerning soft iron and steel swords in Byzantium.

Key words: sword, al-Kindi, al-Biruni, ‘‘atiq qala’‘i’, Byzantium.

Although surviving examples of early Islamic sword art are regrettably few,1 much more is known about this kind of metalwork than about that of Byzantium in the 1st millennium AD. The number of surviving swords is higher than the finds from the Byzantine empire, and we also have two excellent sources, one from the 9th century, the other from the earlier 11th century: Abû Ishâq al-Kindi’s The swords and their types, extant in two manuscripts2 (unfortunately both of them are incomplete) and the chapter entitled “On iron” of the Kitâb al-Jamâhir, a treatise on metallurgy by Abû r-Rayhân al-Biruni.3 The latter quotes Pseudo-Dimashq, who lived in the period between the two, and retained some sections of the lost parts of Kindi’s book.

These two sources reveal that close connections can be detected between Byzantine and early Arabic sword art, extending to the common usage of certain types. We may therefore assert that Byzantine and Arabic sword making developed from the same roots, at least in the 6th and 7th centuries AD, and that the Arabs adopted the

1 Some very archaic swords from the 1st millennium AD are kept in Istanbul. They have not been adequately published, only an approximate description with a few illustrations can be found in StockleIn 1934 and StockleIn 1939. Unpublished examples from Nisibûr are also known.

2 An accurate and reliable edition was based on Al-Kindi Ya’qûb b. Ishâq. As-suyûful wa ajnâsuhû, edited by ‘Abdurrahmân Zakî. Bulletin of the Faculty of Letters, Fouad I University, 14/2, 1952, 1–36 (abbreviated as Kindi in the following; introduction and notes quoted as Zaki 1952).

3 The quotations from Biruni are based on the following edition: Abû r-Rayhân al-Biruni, Kitâb al-jamâhir fi ma’rifat al-jawâhir. Edited by F. Krenkow. Haydarabad 1355/1937 (abbreviated as Biruni in the following).
characteristic differences and more advanced techniques of swords under Indian and East Iranian influences, rather than under ones from Southern Europe. The Arab authors knew very little about Northern European sword making, and even this meagre knowledge was restricted to the swords of the Vikings. Although the evidence in this respect is fairly reliable, they reveal hardly more than that there was little connection between Arabic and Northern European techniques.\footnote{Cp. Biruni 254, 8–10. There were certain types – including the European swords – that were made of forged iron, according to Biruni, or of the alloy of forged iron and soft iron, according to Kindi. There is probably no contradiction between the two, with ‘alloy’ meaning no more than that a narmahan or ‘woven’ structure of the two iron forms was hammered into the blade at the channel. Incidentally, Biruni’s knowledge appears to reflect some information about the cross-bar of Viking swords and their decoration.}

The authors used different sword typologies, both of them including a number of definitely ‘European’ types. Relevant to this study is the ‘atiq (‘ancient’, or, according to Kindi’s somewhat uncertain etymology, ‘of high quality’), a steel blade according to Kindi and a forged iron one according to Biruni, the shaburgin that is forged iron not alloyed, and the so-called gala’i. I shall discuss the meaning of this expression later. Swords can primarily be classified according to their alloys and lustre, and only secondarily by their shape and size.

One curious statement made by Kindi is that the ‘mined’ (i.e. not alloyed) swords have three classes because they include soft iron (narmahan) swords.\footnote{For a more detailed description of narmahan swords, cp. Kindi 36.} The meaning of soft iron was doubtless the same in the early Islamic period as today, as shown by a passage of Pseudo-Dimashqī that notes that this soft iron is used for making hoof nails. This would suggest that the swords made using this metal were of a very inferior quality.

The text claims that the narmahan swords came from Byzantium, the Khawārij and India.\footnote{Biruni 248, 10.}

Biruni rejects the claim that Byzantine swords had been forged from soft iron.\footnote{Quoted from al-‘Ajżājī, ibid., 248, 13.} He calls the Byzantine swords gala’i. He does not regard these as first-class products. His opinion is rather realistic, and quotations from old Arabic poetry support it:

\begin{quote}
\textit{The blacksmiths’ Byzantium brought forth once
the white one from the black fluid of iron.}\footnote{Quoted from al-Husayn ibn al-Hammām al-Murri, ibid., 11.}
\end{quote}

and

\begin{quote}
\textit{Their heads are more solid than the rocks,
so that the Byzantine gala’ is nicked on them.}\footnote{Acta Ant. Hung. 41, 2001}
\end{quote}
type since precise information about the material of Byzantine swords can be gleaned from this.

Both authors use the type name *qalaʾī*, but their description is slightly different.⁹ According to Kindī, these blades have a more reddish lustre than the best type, the *yamānī*, they are 4 to 5 spans in length and a little wider than 3 digits, the surface of breaking is silver coloured, they are of equal constitution, they can contain veins. Birūnī reveals less about them: he simply notes that they are wide and have white blades. We can hardly reconcile these two descriptions because the width given by Kindī seems to be medium (the ‘modern’ *yamānī* and *sulaymānī* blades are 4 digits wide!); the ‘whiteness’ mentioned by Birūnī is a relative term, when compared to the Indian swords (Kindī notes that the lustre of the latter is blackish). But Birūnī realized that there was some problem with the colours since he remarks that “it is possible that this is obscure in Arab poetry due to this whiteness because there is a confusion in it.”¹⁰

There is a greater problem than these technical differences. There is an essential disagreement between the two authors concerning the material of the sword. Kindī classifies it as an expressively high quality (“ancient”) damascened steel type, whereas Birūnī claims that its material is *qalaʾī* and that it is thus identical with the *shāburlūqān*.¹¹

This is not the place to discuss the origins of this word, but in my opinion Birūnī’s statement is grammatically well founded since *qalaʾī* is the original Arabic word for forged iron. In trying to interpret this word, classical vocabulary literature varies;¹² there are scarcely two sources that agree on this point and most do not know that a sword name is derived from it. The most detailed explanation can be read in Mīs‘ar b. Muhallīl’s reports on his travels in China: the relevant passage has at least two different interpretations in five sentences, suggesting that there is little reality in the author’s reasoning. *Qalaʾī* swords are classified as an Indian type, but this is an

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⁹ Kindī’s description (Kindī 23.) is shorter, but more plausible, while Birūnī appears to have been more interested in the iron itself, its names, its literature, and its possible identity with the material of meteorites, than in the swords.

¹⁰ Birūnī 248, 14–15.


¹² *Zaki, op. cit.*, 8, note 10, has collected the relevant data. The major interpretations are the following: (1) *Nihāyat al-ʿarab fī funūnī l-adab* 6, 205: “*qalaʾī* is an adjective related to Ālʿa, a place in the desert.”; (2) Al-ʿImrānī: “A place in the desert, from which the swords receive their name. … Ālʿa , with an ‘a’ in advance and afterwards without a vowel, is the name of a mine after which good tin is named. They say it is a mountain in Syria.”; (3) Ibn al-Athīr: “It took its name from Qalaʿa, with an ‘a’ after the ‘q’ and ‘l’, it is a place in the desert after which swords are named.” These interpretations derive the word from a toponym in the Syrian desert, and do not connect it with tin, except for ʿImrānī, but he is evidently mistaken because no one else appears to know of any relation between the so-called tin type and Syria. Birūnī appears to be unaware of this opinion. Other authors know only the tin type or try to derive the name of the iron from the name of the tin: (4) Jawāliqī, Maʿrib 276: “The *qalaʾī* tin is pronounced with an ‘a’ after the ‘l’, and sometimes without a vowel. It is a Persian word, its original is *kalahlī*”; (5) Khāṭfī, Shīfʿāʾ l-ghaliḥ 187: “*qalaʾī*, with an ‘a’ after the ‘l’, sometimes without a vowel, is *kalahlī* Arabicized, thus said ʿAbī Mānṣūr.” Birūnī was apparently familiar with this idea, but he criticizes a derivation from geographical names.
almost unique viewpoint among the sources. It would appear that only Bīrūnī had reliable information. Kindī does not discuss the origins of the word at all.

Accepting Bīrūnī’s view raises a new problem in Kindī’s treatise because qala’ī swords cannot contain lustre. But this is true only in general for the shābūrgān, not to mention the other unintelligible points in Kindī’s definition (“their iron has neither purity, nor liquid”). Accidental lustre can be seen even on the shābūrgān, and Kindī’s words, “in some places rough, in other ones soft” are actually a very good description of the veined blades of ‘ancient’ swords.

However, this mistake is serious enough; one of the reasons for this might have been the prejudices in public opinion that placed a higher value on ‘ancient’ blades, and also that Kindī appears to have known very little about the qala’ī and fāqīrān types – or, in any case, he devoted very short passages to these and he offers no subdivisions only to those.

Unfortunately, we know very little about related sword types made by others. Bīrūnī has nothing to say concerning the swords of the Khawārij. Anyway, it is most unlikely that these had been made using an essentially different technology than the average 1st century Islamic blades.

Although neither in this one, nor in the other related passage is it claimed that all Byzantine and Khārij swords were forged from soft iron, but no other types are mentioned. This can be explained not only by the author’s minimal knowledge, but also by the possible abbreviation of the text, or perhaps by the fact that the author did not consider it important to mention in connection with a type used by the Ahl as-Sunna that it is found elsewhere too.

Did narmāhan swords really exist or is this type simply a mistake on Kindī’s part? Unfortunately, there is no evidence to resolve this question. Not one single Khārij sword has survived, and even if there had been Indian blades made of soft iron, their percentage must have been very low. Similarly, our knowledge of Byzantine weapons is also very limited – it is practically restricted to a few depictions and literary descriptions, save for the well-known sword from Corinth. Some of the swords from Barbarian Europe, mostly from the Carpathian Basin, are claimed to be

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13 Von Rohr-Sauer, A.: Des Abū Dulaf Bericht über seine Reise nach Turkestan, China und Indien. Bonner Orientalistische Studien 20. Stuttgart 1939, 30, 60: “Afterwards I travelled from China to Kala, the first country from China on the way to India, the ships travel there, and do not go further. There is an immense qal’a there, and there is a mine of qal’ī tin in it. It exists nowhere, but in the qal’ā of it. The qal’ī swords are hammered in this qal’ā, i.e. the Indian atiğî swords. … There is no mine of qal’ī tin elsewhere, but in that qal’ā. The distance between it and the Chinese town of Sandābil is 300 farṣ, and around it there are towns and broad gardens.”

14 The authors who assert that there is no vowel after the ‘l’ in the word qala’, are rather unreliable since the metrics of the verses quoted by Bīrūnī evidently disprove them.

15 For shābūrgān swords, cp. Kindī 12, 4–6: “These swords show lustre neither after the powdering, nor otherwise, and their whole iron has the same colour. They are stiff, they do not bend, do not tremble, their iron has neither purity, nor fluid. They are of strong point and variable blade, in some places rough and in some places mild.”


Byzantine products. The primary indication of their origin was the uniform style of their hilts; at the same time Kindí’s descriptions leave no doubt that – in contrast to Viking swords – Arab swords were produced and traded without hilts. Even the identification of a specific type is often impossible without examining the tang. We do not know which trade routine was used in Byzantium, but in view of the geographical and typological connections the oriental one, without hilts, seems most probable. In any case, the style of the hilts does not indicate directly the origin of the blade, and these swords can hardly be compared with the Arabic ones without removing their hilt. Although some tendencies can be noted, only metallurgical analyses could resolve the problem. Also, we know that there was a wide variety of Byzantine swords since about eight different names for the ones used in the army are known.

The expression Rūm al-qayún, “the blacksmiths’ Byzantium” is not a poetical exaggeration at all because we know from literary sources that iron enough for 4000 weapons was stored in Constantinople.

As far as I know, the sources do not mention the material of these swords, daggers and knives, save for a very important, but little known piece of information that damascened steel swords had appeared in Europe before the arrival of the Arabs, from Sassanian Iran; the Byzantines knew as well as the Arabs that this technology originated from India. Damascening is first mentioned by Cassiodorus in the early 6th century; it is comparable only with Imru’ ul-Qays’ verse cited by Birünî, although the interpretation of this verse does not necessarily imply damascening, it could simply be the accidental lustre or veins of the shāburgān.

This does not mean that damascened swords were frequent in Europe – Cassiodorus’ passage is a reference to a blade in royal property; we may assume that Sassanian techniques were not disseminated in the West for strategic reasons, if for nothing else; they spread more freely in the Caliphate after the conquest of Iran, and only after some centuries did they become general. It would nonetheless appear that there was a widespread knowledge and an exceptional use in Europe of the swords made from Oriental, ‘Indian’ (indaniyos, idaniyos, ferrum audanicum) iron.

18 JANKOVIĆ, Implements and Weapons, 60, mentions a 10th or 11th century sword that was found at Klječ-Dunova in Serbia. It is not rare that swords with Byzantine decorations are claimed to be of Byzantine origin, such as the finds from Kunagota or Malaya Pereshchepina, although such claims are difficult to prove. The corpus of swords from the Carpathian Basin that are believed to be Byzantine products was assembled by Éva Garam and Athila Kiss (GARAM 1991, KISS 1987). These swords date from different periods, ranging from the early 7th to the 10th century. However, the few surviving specimens do not enable typological classifications.

19 KOLIAS 1988, 132.

20 KOLIAS 1988, 135.


23 Imru’ ul-Qays, 4. 9.

24 KAHAN–AUSTIN 1946,181–87; RUSEV 1961, 2. 8–14. THEODORIDIS 1971, 61–64, rejects this etymology of the word indaniyos; in his opinion the sword is a Caucasian type (Ossetian ʿəndən, Chechen

In view of the above it is groundless to speak about soft iron swords, at least in relation to Byzantium. The empire would have been at an insuperable technological disadvantage to the Sassanians, if it had used soft iron blades (cp. Kindi’s descriptions of the Fārsī and Khurāsānī types). We must therefore assume that Kindi simply ascribed the worst quality to the Occidental world automatically, without a personal knowledge of the arms used there.

The other question raised by the poetic qala ’ī term, the question of whether the ‘ancient’ swords were in part Byzantine products, cannot be answered so definitely.

The length of the Byzantine sword types varied. The most important type, the double-edged spatha was in fact a 4 to 4.75 spans long sword; length of the hilt was about two-thirds of a span.25 The Byzantine span, measuring 23.4 cm, was divided into 12 digits.26 We may assume that the early Islamic span was roughly the same length, although such an equivalence may pose some problems. In this case, the spatha of Constantinople and the qala ’ī of the Arabs were almost identical in length,27 and the length of the normal sulaymānī, the modern yamānī and the longest ancient yamānī swords corresponded to the minimal length of a spatha. Unfortunately the Arabic authors offer very little information concerning the hilt.

Let us have a look at the surviving specimens.28 Most of the ‘Barbaric’ blades that are believed to be of Byzantine origin, are shorter than the average spatha and considerably narrower than the Arabic types. Three blades can be regarded as a spatha of minimal length: one from Csőka (4 spans long, 1.8 digits wide), one from Mali Idos (3 spans 11 digits long, 1.38 digits wide) and perhaps the damaged sword from Kunágota (with an original length of cca. 4 spans and a width of 2.5 digits). This latter specimen tapers towards its point and is therefore unrelated to the qala ’ī; if related to any known type, it is an uncommonly long yamānī with average width.

A few short and narrow swords are also known: their dimensions are fractions in the Byzantine and Arabic system, and they differ considerably from every Oriental type because of their narrow width (Târnok: 3 spans 9.7 digits long, 1.44–1.55 digits wide; Csépa: 3 spans 8.6 digits long, 1.55–1.8 digits wide). Their origin is uncertain, but they can hardly have been Byzantine products. A few blades are similar to a light yamānī one, although they are slightly narrower (this is not an absolute obstacle to identification since the surviving Arabic swords are also slightly narrower than the regular standard): these blades include the specimens from Aradka (3 spans 6 digits long, 1.5–2 digits wide, tapering towards the point) and perhaps from Zillingtal, although the latter is very narrow (3 spans 6 digits long, 1.54 digits wide). Although its length is identical with that of a Fārsī white sword, the great geographic distance and its narrowness makes any identification doubtful. The sword from Sepsiszentgyörgy–

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27 Kindi 23: the length of a qala ’ī sword is 4–5 spans.
28 These blades are quoted according to the corpus compiled by Éva Garam and Attila Kiss (see note 18).

Eprestētō (3 spans 3 digits long, 2.5 digits wide) is perhaps a similar type, but it is not an exact parallel of any oriental type.

The one-edged sword from Babare is striking similar in form and length to the Kūfī white type (3 spans 4 digits), but its narrow width (1.8 digits) suggests that the (presumably) Byzantine one-edged type differed from the Oriental one, even though they had the same length.

Although any identification is impossible without removing the hilt, the ‘Barbaric’ swords that are shorter than a spatha seem to represent a Mediterranean – perhaps Byzantine – type that can be compared to the ‘ancient yamānī’ forged iron swords in terms of form and length, even it has a slightly smaller width and does not feature the characteristic grooves of the Arabic ones: it is therefore a related, but not identical type.

The few depictions from the 9th–11th centuries allow the approximate reconstruction of forms and sizes. These are mostly straight bladed, although some curved ones also occur, even if the latter seem a flight of fancy on the illustrator’s part because their scabbards are shown in a straight form. Their approximate length is generally around 4 spans or even longer. One very early depiction from the 9th century shows a far shorter, cca. 3 spans long two-edged sword with a rhombic section, provided with conical top and a stiffening channel. Most of these representations compare well with the Arabic gala’ī swords, although the latter one is more similar to a one-channeled yamānī, the so-called samsām or shahādast sword. Still, this similarity means very little since Kindi did not record the sizes of the European type, he simply notes that they are also one-channeled. Several forms of the Byzantine swords can be quoted as parallels: a type with identical length and conic head (resembling the gala’ī or samsām blades of the Arabs), a type tapering towards the point (bearing some resemblance to the sulaymānī swords), and a type with broad end and narrow head (corresponding to Kindi’s description of Western European swords). The blade is sometimes inscribed and the hilt sometimes has a cross-guard. It does not contradict Kindi’s description that the cross-guard is a peculiarity of the West European sword, and the inscriptions are designed to hide the veins, an important feature of the shābūrqān blade that contains some narmāhan too.

In sum, we may say that the Byzantine swords cannot be identified with Kindi’s ‘Byzantine narmāhan’ type, although many of them correspond to the ‘alloyed Western European’ type, and some are gala’ī-like in shape and size; in view of the Arabic data about their material, it is quite possible that this type had also been produced in the Byzantine empire, not only by the Arabs. Still, the currently available evidence does not allow any conclusion concerning a type similar to the sulaymānī.

30 Kolias 1988, Pl. 7. 2.
31 Kindi 32, 12.
32 Kolias 1988, 144–45.
33 Kindi 35, 1.

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