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12 **Phytoplankton of rhithral rivers: its origin, diversity and possible use for quality-**  
13 **assessment**

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24

25 **Abstract**

26 While phytoplankton studies on large potamal rivers have increased in number in recent years, upper  
27 river sections have received considerably less attention. However, in order to better understand  
28 processes that govern the development of dominance of euplanktonic elements in the lower river  
29 sections, detailed studies of the upstream areas are necessary. We studied the composition, diversity  
30 and recruitment of the planktonic algal communities in the River Sajó and in its main tributary River  
31 Hernád, two characteristic rhithral river systems in Central Europe. Results revealed that diatoms are  
32 dominant elements of the phytoplankton in the upper river segments both in terms of taxa richness, and  
33 relative abundance. We found that composition of the phytoplankton showed the closest resemblance  
34 to that of benthic communities of soft substrates, highlighting the key role of riverbed characteristics in  
35 river phytoplankton recruitment. The occurrence of euplanktonic elements was not restricted to human

36 impacted river segments, these taxa also occurred in pristine headwaters. Accordingly, planktonic  
37 algae potentially colonise small headwater streams naturally, although their dominance is expected to  
38 occur only at downstream reaches following impounded river segments. Diatom metrics used in  
39 ecological state assessment were calculated for the rithroplankton. These metrics fell in the range of  
40 values calculated for diatom flora on the hard substrates. However, the potential use of rithroplankton  
41 for quality assessment might be limited, because the high variability of index values at site level would  
42 result in misclassification of the ecological status.

43 **Keywords:** diatom metrics; ecological status; rithroplankton; rhithral rivers

44

## 45 **1. Introduction**

46 Phytoplankton is the main primary producer in the pelagic zone of marine and lake ecosystems. Being  
47 the basis of the food chain, this group received considerable scientific interest since the early ages of  
48 oceanographic and limnological research. Although there is wealth of scientific information about  
49 phytoplankton assemblages of lakes, our knowledge about riverine phytoplankton is still limited  
50 (Szemes, 1967; Uherkovich, 1969; Schmidt, 1994; Kiss, 1995; Dokulil, 2013, 2014; Bolgovics et al.,  
51 2015). Partly because the basis of the food chain is allochthonous material, and partly because  
52 respiration is greater than in-stream primary productivity, rivers are basically considered as  
53 heterotrophic systems (Welker and Walz, 1999), where autotrophic production is only of secondary  
54 importance. However numerous studies demonstrated that the existence of ecosystems in the middle  
55 section of large rivers are not based exclusively on the inefficient processing of organic matter, as  
56 stated in the River Continuum Concept (RCC) (Vannote et al., 1980). Several studies demonstrated  
57 that large rivers enriched in nutrients provide suitable conditions for phytoplankton development (Kiss,  
58 1996; Kiss and Genkal, 1996; Reynolds and Descy, 1996; Wehr and Descy, 1998; Vörös et al., 2000).  
59 Phytoplankton assemblages of these rivers show similar characteristics to those typically observed in  
60 shallow turbid lakes (Reynolds et al., 1994). In both systems dominant species have fast growth rate  
61 and tolerance to highly turbid, light limited environments (Reynolds, 1988; Reynolds et al., 1994;  
62 Kiss, 1995). In temperate rivers Centric diatoms, Chlorococcalean green algae and small  
63 cryptophyceans are the most frequently occurring elements of the phytoplankton (Rojo et al., 1994;  
64 Schmidt et al. 1994; Kiss and Schmidt, 1998; Kiss et al., 2006). However, there are considerable  
65 differences between the natural habitat templates provided by the upper or lower river segments. In the  
66 lower potamal river sections, increased water depth and reduced light have been considered as the  
67 most limiting constraints of algal growth. While in the upstream river segments mostly the short water  
68 residence time (Borics et al., 2007), the high dilution rate (Billen et al., 1994) and the filter-feeding  
69 stream invertebrates limit algal growth (Ward and Stanford, 1983, Köhler et al., 2002). At these  
70 upstream sections, the low-biomass phytoplankton consists primarily of tychoplanktonic elements

71 (Blum, 1954, 1957; Uherkovich, 1966; Rojo et al., 1994), i.e. species that entrained in the plankton  
72 after detached from the substrates (Swanson and Bachmann, 1976).

73

74 The rivers are not isolated ribbons of water. They are elemental parts of the landscape connecting with  
75 other water bodies of the river valley. The various kinds of stagnant waters of river catchments  
76 including small pools and ponds, connecting marshlands, shallows of the rivers and artificial  
77 impoundments continuously enrich the phytoplankton with euplanktonic elements (Stoyneva, 1994;  
78 Borics et al., 2007). Therefore, riverine phytoplankton appears to be an eclectic mixture of the planktic  
79 and benthic algae of different origin (Uherkovich, 1970; Pajak and Kiss, 1990; Kiss and Ács, 2009;  
80 Borics et al., 2014).

81 As it was mentioned above, habitat characteristics of the upper, rhithral river segments show  
82 considerable differences to that of the lower river stretches. Rhithral river systems possess a large  
83 variety of well illuminated benthic habitats, where on the solid-water interface diverse benthic algal  
84 assemblages may develop. Since dispersal of algae is aided by air or animal vectors (Padisák et al.,  
85 2016), theoretically these habitats can be colonised naturally by euplanktonic taxa, which can survive  
86 in benthic habitats both in lakes (Borics et al., 2003) and in rivers (Istvánovics and Honti, 2011). Due  
87 to physical disturbance (i.e. increase in discharge), these taxa together with benthic algae can split off  
88 from the substrates and become the natural elements of the phytoplankton. However, this possibility  
89 has not been thoroughly investigated.

90 At a whole river scale, the sooner the dominance of euplanktonic elements occurs in the  
91 phytoplankton, the sooner opens the opportunity for the development of large biomass assemblages,  
92 leading also to decrease in water quality, which adversely affect water uses (Wehr and Descy, 1998).  
93 Therefore, the question of in which segments of the rivers euplanktonic species occur first and  
94 dominate in the riverine plankton has a crucial importance.

95 The tychoplanktonic/euplanktonic transition in the upper river segments has been demonstrated in  
96 several studies (Abonyi et al., 2014; Stankovic et al 2012), and has been used in the phytoplankton-  
97 based river quality assessment (Borics et al., 2007; Abonyi et al., 2012). However, while the functional  
98 approach successfully represents water quality changes at whole river scale, it is much less sensitive in  
99 the uppermost river sections. Therefore, application of the metrics developed for benthic diatoms  
100 seems potentially promising to characterize the phytoplankton and the fine scale differences in the  
101 ecological state of the uppermost river segments.

102 The aim of this study was to investigate the phytoplankton and benthic algal communities in a  
103 relatively unaltered rhithral river system, focusing on the questions of how euplanktonic elements  
104 entrain into the riverine phytoplankton; (ii) how diversity of the phytoplankton changes at both site and  
105 catchment levels; and (iii) how riverine phytoplankton can be used in water quality assessment. In  
106 order to avoid terminological misunderstandings, we use the term rhithroplankton (Bolgovics et al.,  
107 2015) for the plankton of rhithral rivers in this study.

108 We hypothesize that

- 109 (i) various benthic habitats contribute differently to the phytoplankton of rhithral rivers;  
110 (ii) planktonic diatoms occur even in the benthos in the uppermost river sections;  
111 (iii) water quality metrics calculated for the rhithroplankton indicate similar ecological status  
112 than those computed for benthic diatom composition.

## 114 2. Material and methods

### 116 2.1. Study area

117 We studied the benthic and rhithroplanktonic algal assemblages of the River Sajó basin (Slovakia-  
118 Hungary), a characteristic rhithral river system in Central Europe. The River Sajó system belongs to  
119 the River Tisza catchment and consists of two rivers (River Sajó and River Hernád) with almost equal  
120 sizes and with similar hydromorphological characteristics (Table 1), and including streams of 1<sup>st</sup> to 6<sup>th</sup>  
121 river orders. The Slovakian mountainous and the Hungarian lowland sections contain large variety of  
122 riverine habitats.

### 124 2.2. Sampling

125 Altogether 42 sampling sites were included in our survey, covering the two river catchments. Besides  
126 the main river channels, several small tributaries were also sampled. Because of the low abundance of  
127 algae in the rhithroplankton, twenty liters of water taken from the thalweg was filtered using a 10 µm  
128 plankton net in every sampling site. The filtered material was concentrated to 50 cm<sup>3</sup>, fixed with  
129 formaldehyde (4% final concentration) and stored in plastic containers.

130 In order to study the role of substrate types in shaping riverine algae compositions, various substrates  
131 characteristic for each sample site were sampled. In the upper river segments four substrates (*stones*  
132 *from the thalweg, stones from pools, woods, plants* (i.e. mosses or filamentous algae) were sampled. In  
133 sites of the lower river segments *psammon* samples were also collected. Benthic diatoms were  
134 collected using the EN 13946:2014 standard. In each site at least five replicates were sampled from  
135 each substrate and then mixed together. The material was washed into plastic container and fixed with  
136 formaldehyde. Altogether 128 benthic and 42 plankton samples were collected (Table 2).

### 138 2.3. Sample processing

139 Environmental variables (pH, conductivity, dissolved oxygen and water temperature) were measured  
140 on the field using a multiparametric portable meter (Hach-Lange HQ40D).

141 Phytoplankton samples were analyzed using the inverted microscope technique (Utermöhl, 1958; Lund  
142 et al., 1958). The functional characteristics of rhithroplankton was assessed by using the functional

143 groups concept *sensu* Reynolds et al. (2002) and Borics et al. (2007), recently reviewed by Padisák et  
144 al. (2009).

145 For diatom identification, 1 cm<sup>3</sup> of the phytoplankton samples and materials collected from benthic  
146 substrates were digested with hydrogen peroxide, rinsed with distilled water, and then mounted on  
147 slides using Cargille Meltmount medium (refractive index= 1.7). Diatoms were identified and counted  
148 using Zeiss Axioimager A2 upright microscope, at a magnification of 1000× applying oil immersion  
149 and differential interference contrast (DIC). Altogether 400 valves were counted in each sample.

150

#### 151 2.4. Statistical analyses

152 Composition of the microflora was expressed as relative abundance of functional groups and evaluated  
153 in the various stream orders, determined by visual inspection of appropriate maps proposed by Stahler  
154 (1952).

155 As diversity metrics based on abundance data are frequently affected by short-term physical  
156 disturbances (Borics et al., 2013), in this study, species richness was used as a measure of diversity  
157 both at site- and catchment-scale levels. Chao's sample based extrapolation curves (Chao et al., 2014)  
158 were used to compare the contribution of the various substrate types to the catchment-scale diversity of  
159 the River Sajó. Because of the hydrological and morphological differences of the sampling sites, not  
160 all substrate types have been sampled, thus the numbers of substrates were not equal. Accordingly,  
161 during the catchment-scale richness estimation, besides the plankton samples, only those substrates  
162 were considered which number at catchment scale was larger than 15. These were the followings:  
163 *stone from the thalweg*, *stone from pools*, *wood*, *psammon* and *plant*.

164 In order to determine the most important substrates in the development of rhithroplanktonic algal  
165 assemblages, Jaccard similarity coefficients (incidence data) and Euclidean distances (abundance data)  
166 were calculated between the planktonic and the benthic communities from different substrate types.  
167 One-way analysis of variance (ANOVA) and Tukey's pair-wise test were used to determine significant  
168 differences among values of Jaccard similarity coefficients and Euclidean distances in the different  
169 substrates.

170

171 To study the benthic–planktonic shift in the phytoplankton composition, relative abundance of  
172 euplanktonic algae was studied at each sampling site. In order to obtain higher resolution of size  
173 differences among the streams, we considered the distance of each sampling sites from the source.  
174 Distances were measured on Google Earth images of the catchment. Percentage of the planktonic  
175 algae was plotted against this distance.

176 Several diatom-based metrics have been developed to assess the ecological state of rivers in recent  
177 decades. In this study the three elements of multimetric index (IPSITI) applied in ecological status  
178 assessment of Hungarian running waters (Várbíró et al. 2012), the IPS (Coste in Cemagref, 1982), the  
179 SID and the TID index (Rott et al., 1997, 1999) were used to determine river quality based on

180 rithroplankton and the algal composition of various substrates. All the three indices base on the  
181 Zelinka and Marvan formula:

$$Index (IPS, SID, TID) = \frac{\sum_{i=1}^n a_i s_i v_i}{\sum_{i=1}^n a_i v_i}$$

182 where  $a_i$  : abundance or proportion of valves of species  $i$  in the sample,  $s_i$  : pollution sensitivity  
183 (optimum) of species  $i$  and  $v_i$  = indicator value (tolerance) of species  $i$ .

184 To assess the relationship between the various metrics (IPS, SID and TID) calculated for the benthic  
185 and rhithroplankton samples, the Pearson correlation coefficient was computed (Table 3). Statistical  
186 analyses were performed using the STATISTICA package (version 6; StatSoft Inc., Tulsa, OK, USA).

187

### 188 3. Results

189

#### 190 3.1. Composition of the rhithroplankton

191 Results on algal composition of samples showed that benthic diatoms had overwhelming dominance in  
192 the rhithroplankton both in terms of abundance (Fig. 1a) and taxonomical richness (Fig. 1b.). The non-  
193 diatom components of the plankton constituted only a small proportion of the phytoplankton, however,  
194 their ratio increased steadily with increasing stream order. This feature was observed both for the  
195 abundance and richness data (Fig. 2a and Fig. 2b). Planktonic diatoms (C and D functional groups),  
196 small single-celled and colonial chlorococcalean green algae (belonging to the X1, J, F functional  
197 groups) were the most frequent elements occurring in the plankton (Fig. 2b).

#### 198 3.2. Diatom diversity of the rhithroplankton and of the substrates at site- and catchment-scales

199 Altogether 411 diatom species and lower taxa in 66 genera were identified in our samples. The  
200 observed species richness of rhithroplankton and that of the substrates showed considerable differences  
201 (Table 2). Plankton samples appeared to be the richest in diatom taxa, while substrates *stone from the*  
202 *pool* were the most species-poor habitats. Besides some euplanktonic taxa such as *Cyclotella*  
203 *distinguenda*, *Thalassiosira lacustris*, *Stephanodiscus* cf. *medius*, *S. minutulus*, several large sized  
204 benthic taxa, such as *Cymbella*, *Encyonema*, *Epithemia*, *Fragilaria*, *Gomphonema*, *Gyrosigma*,  
205 *Navicula* spp. were found exclusively in the plankton samples.

206 The position of the sample-based rarefaction and extrapolation curves indicated that the species  
207 richness of plankton samples exceeded that of the benthic substrates (Fig. 3). Species accumulation  
208 curves of three substrates (*plant*, *wood*, *stone pool*) showed asymptotes at about 200 and 250 species  
209 number. Neither the species accumulation curves of the plankton samples nor the curves of the *stone*  
210 *from the thalweg* had plateau-like shapes. Both curves showed steep increase even at 60-80 sample  
211 number range. These two lines are predicted to meet at sample number  $\approx 80$ .

#### 212 3.3. Species recruitment into the phytoplankton

213 To answer the question of which benthic diatoms are recruited into the rhithroplankton, composition of  
214 the plankton samples and that of the various substrates were compared. The ANOVA and pair-wise  
215 comparisons showed significant differences among the algal composition of different substrate types  
216 and that of the plankton samples both based on Euclidean distances ( $F_{[5, 165]} = 3.5514, p=0.00447$ ) (Fig.  
217 4a) and on Jaccard coefficients ( $F_{[4, 117]} = 5.8934, p=0.00023$ ) (Fig. 4b). Algal composition of plankton  
218 samples showed the greatest resemblance to the microflora of *plant* and *psammon* substrates. In terms  
219 of Euclidean distances, the plankton samples were the closest to the *plant*, *psammon* and to the *stone*  
220 *pool* samples.

### 221 3.4. Appearance of euplanktonic forms

222 In order to localise those river sections where the dominance of euplanktonic elements is expected to  
223 occur, a clear differentiation between euplanktonic and benthic taxa is needed. However, this  
224 ecological distinction needs detailed taxonomical resolution. For example, filamentous blue-greens and  
225 *Protococcus*-like chlorococcaleans frequently occurred in the net plankton samples, but because of the  
226 limitations of the inverted microscope technique identification of these taxa at species level is really  
227 challenging, and thus, the origin of these algae (euplanktonic or benthic) could not be identified in  
228 every case. Therefore, we focused exclusively on diatoms, where species level identifications and  
229 consequently the appropriate ecological (benthic/planktonic) distinctions could be identified. Although  
230 in general the relative abundance of planktonic diatoms (mostly centric diatoms) showed a consistent  
231 increase with the size of the streams (Fig. 5a), these algae were occasionally lacking in samples taken  
232 from the lower river reaches. As planktonic species can settle down and captured in the boundary  
233 layers of the substrates, their relative abundance in the benthos has been also investigated (Fig. 5b).  
234 The observed pattern was rather similar to that found for the plankton samples. Abundance of  
235 planktonic forms showed a considerable, continuous increase in case of sampling sites, which  
236 distances from the source were larger than 10 km.

### 237 3.5. River quality assessment

238 Using the diatom composition of five different substrate types and that of the rhithroplankton, three  
239 water quality indices were calculated (Fig. 6a-c). Values of the IPS and SID metrics calculated for  
240 *wood*, *stone from pool*, *stone from the thalweg* and *plant* samples were found to be in similar range,  
241 while the metrics calculated for the *psammon* samples were significantly lower ( $p<0.05$ ). In case of the  
242 trophic index (TID), considerably different distribution of the values was observed. As it was found for  
243 the IPS and SID metrics, the *psammon* samples had the smallest, while the *wood* and *stone* samples the  
244 highest index values. Values of the rhithroplankton and the *plant* samples fell in the middle of the TID  
245 index range. The Pearson correlation tests indicated that indices' values of rithroplankton were the  
246 most similar to those calculated for the *plant* substrates (Table 3).

247 We also investigated how the assessment results based on the rhithroplankton and the substrates *stone*  
248 *from thalweg* and *plants* related to each other depending on the position of the sampling sites in the

249 catchment. Differences of these values ( $\text{Difference}_{\text{stone, plankton}} = \text{IPS}_{\text{stone}} - \text{IPS}_{\text{plankton}}$  and  $\text{Difference}_{\text{plant, plankton}} = \text{IPS}_{\text{plant}} - \text{IPS}_{\text{plankton}}$ ) were plotted against the spatial distances of sampling points from the  
250 source (Fig. 11-12). When the *stone* and *plankton* samples were compared, the rivers (and sites) could  
251 be separated into two groups. In case of rivers stretches shorter than  $< 10$  km in length, the  $\text{IPS}_{\text{stone}}$   
252 metric displayed higher values than those calculated for the *plankton* samples (Fig. 7a). In larger  
253 rivers, there was no systematic difference between  $\text{IPS}_{\text{stone}}$  and  $\text{IPS}_{\text{plankton}}$  values. In case of the *plant*  
254 substrates whose indices' values showed the closest resemblance to the *plankton* samples (Fig. 7b) the  
255 rivers could be divided into three sections. In small rivers (distance from the source is  $< 10$  km) the  
256 *plant* samples indicated better conditions; while in larger rivers (distance from source  $> 40$  km) an  
257 opposite tendency could be observed. In middle sized rivers (in the range of 10 to 40 km river length)  
258 such a tendency was not observed.

260

## 261 4. Discussion

262

### 263 4.1. Composition of the rhithroplankton

264 Since phytoplankton of rhithral rivers is dominated by benthic algae detached from the substrates  
265 (Blum, 1954; Uherkovich, 1969), benthic assemblages basically determine the algal composition of  
266 riverine phytoplankton. Although the benthic life form of algae appears in almost all freshwater  
267 divisions, only cyanobacteria, chlorophytes and diatoms occur frequently in river phyto-benthos  
268 (Hendricks and Luttenton, 2007; Schaumburg et al., 2004). Our results, however, indicated that these  
269 major algal groups do not contribute equally to the phytoplankton. In our case, diatoms gave the  
270 highest contribution to the *plankton*, both in terms of taxonomical richness and abundance, implying  
271 that this group adapted most successfully to the strong and selective riverine environment. Besides the  
272 benthic life forms, euplanktonic algae also appeared in the rhithroplankton. The occurring taxa  
273 belonged to those functional groups (C, D, J, F, X1; mostly planktonic diatoms and various  
274 chlorococcalean green algae) which dominance has been repeatedly identified in middle to  
275 downstream river sections of lowland rivers (Schmidt, 1994; Reynolds and Descy, 1996; Bahnwart et  
276 al., 1999; Friedrich and Pohlmann, 2009; Tavernini et al., 2011; Várбірó et al., 2007).

277

### 278 4.2. Benthic diatom diversity

279 The overall diatom taxonomic richness highlighted in our study in River Sajó catchment corresponds  
280 well with those reported from other temperate river catchments e.g. Idaho rivers (350 species, 46  
281 genera, Fore and Grafe 2002); Rhone (931 species, Rimet and Bouchez 2012); small to large rivers in  
282 Hungary (496 species, Van Dam et al. 2007). The number of taxa identified in our study is relatively  
283 high considering the relatively small catchment size of the River Sajó ( $12,708 \text{ km}^2$ ). Distinct gradients  
284 in depth and current velocity, alternation of riffles and pools, differences in sediment types create high  
285 mosaicity in benthic habitats and in the corresponding algal communities (Pringle et al., 1988). In our

286 study, the high number of observed taxa can be partly explained by the large sampling effort.  
287 However, it is also important to note that in our study not only the benthic flora, but diatoms of  
288 plankton samples were also considered. One potential limitation of the applied phytoplankton  
289 sampling method (net sampling) is that large sized taxa can be over-, while the smaller ones  
290 underrepresented in the net samples. On the one hand, it means that this approach might result in some  
291 bias in the relative abundance of the taxa. On the other hand, our results revealed that this technique  
292 increased the possibility of finding rare and large sized taxa, which were not present in samples of  
293 benthic substrates.

294

#### 295 4.3. *Species recruitment into the rhithroplankton*

296 We hypothesised that the contribution of various benthic habitats to the composition of the  
297 rhithroplankton is different, which was supported by the results. The algal composition of *psammon*,  
298 *stone from pool* and *plant* substrates showed the closest resemblance to the rhithroplankton. The  
299 common feature of these substrates is that they can be found preferably in lentic parts of streams.  
300 Algae adapted to lentic habitats may be more easily detached from the substrates as a consequence of  
301 disturbance (flood) events, compared to those species that live on stone surfaces in the thalweg and are  
302 continuously exposed to strong currents. Our findings highlight that similarly to large rivers, where  
303 river morphology (notedly river shallows) plays a key role in determining recruitment processes of  
304 phytoplankton (Stoyneva, 1994), in small upstream rhithral systems, river bed morphology also plays a  
305 crucial role in shaping rhithroplankton composition.

#### 306 4.4. *Appearance of euplanktonic forms*

307 The increase of euplanktonic forms with increasing stream size (distance from the source), as we have  
308 demonstrated in this study, is in well accordance with the River Continuum Concept (Vannote et al.,  
309 1980), and with several field observations (Uherkovich, 1966, 1970; Hynes, 1970; Pajak and Kiss,  
310 1990; Várбірó et al., 2007; Kiss and Ács, 2009). In some cases, however, dominance of euplanktonic  
311 forms was observed even in small streams of 1<sup>st</sup> and 2<sup>nd</sup> orders. While detailed investigation of the map  
312 in several cases revealed the presence of river embankments or off-river reservoirs, in some cases, the  
313 same euplanktonic taxa occurred in benthic samples from near source sampling stations (< 1km  
314 distance from the source), where reservoirs or connecting lakes could not be detected. Former studies  
315 investigating the phytoplankton communities near the headwater of River Danube reported similar  
316 results (Kiss et al., 2003). A species rich phytoplankton community was found five kilometers from the  
317 source of Breg at Furtwangen (The stream Breg is one of the “source tributary” of River Danube, the  
318 altitude is 1000 m at Furtwangen). Below the source several small pools (some natural bog-like pool  
319 and artificial pools for cattle) can be found. Small marshy pools with an area of few m<sup>2</sup> are  
320 characteristic parts of creeks and drains, and can potentially capture euplanktonic species and inoculate  
321 the lower sections. Contrariwise, on the upper part of the River Danube (at Nasgenstadt) already 17 %  
322 of the total species number was planktonic in the phytobenthos (Ács et al., 2003). Furthermore 15-35%

323 of relative abundance of centric diatoms was found in phytobenthos of a small Hungarian stream  
324 (Rákos-stream) by Szabó et al. (2004) originated from fishponds constructed on the stream.

325 However, our finding may be not surprising if we consider that algae can disperse in various ways  
326 (Padisák et al., 2016). Air as an agent for dispersal is of primary importance for many algal groups  
327 including diatoms (Chrisostomou et al., 2009). Algae can survive in aerosols and can be transported by  
328 winds over long distances, and thus, can colonize remote environments. Although the dominance of  
329 euplanktonic diatoms can be expected in lakes larger than  $10^3$ – $10^4$  m<sup>2</sup> (Borics et al., 2016), low  
330 abundance populations of these taxa can be present in tiny pools as small as  $10^{-2}$  m<sup>2</sup> (Bolgovics et al.,  
331 2016). Thus, it can be argued that the natural inoculation of rivers by euplanktonic algae already starts  
332 from the river source areas, even if its efficiency may be much lower than expected in case of  
333 reservoirs established in upstream river sections (Abonyi et al., 2012).

#### 334 4.5. River quality assessment

335 While in case of large rivers, riverine phytoplankton composition can be successfully used to evaluate  
336 ecological status (Borics et al., 2007, Abonyi et al., 2012; Stankovic et al., 2012; Borics et al., 2014),  
337 in rhithral rivers, monitoring of benthic biota' (benthic algae, macroinvertebrates and fish) provides  
338 primary information on the ecological status of water bodies (Birk et al., 2012). Based on the  
339 autecology of benthic diatoms, several metrics were elaborated and proposed to use for river quality  
340 assessment (Coste in Cemagref, 1982; Rott et al., 1997, 1999). These metrics should meet two  
341 important criteria: sensitivity to stressors and robustness. The latter is closely linked to the substrate  
342 selection. Analysing the US Geological Survey National Water-Quality Assessment program,  
343 Potapova and Charles (2005) did not find significant differences between the metric values of soft and  
344 hard substrates taken from the same sampling sites. On the other hand, Kelly et al. (1998) deemed it  
345 necessary to restrict diatom sampling to a single substrate type, and they proposed the use of rocks or  
346 other hard substrates in river quality assessment. Kröpfl et al. (2006) clearly demonstrated that biofilm  
347 production, abundance of algae and IPS diatom index were influenced by the substrates in River Tisza.  
348 Our results also support this view, as values of all the applied metrics showed large and significant  
349 differences. Despite the composition of rhithroplankton was the most similar to that of the soft  
350 substrates (*psammon, plants*), the index values calculated for the plankton data fell in the range of the  
351 values computed for hard substrates. At first glance, it is tempting to conclude that monitoring and  
352 evaluation of rhithroplankton diatom composition provides as reliable results as generally accepted for  
353 hard substrates, but pairwise comparison of the assessment results (Fig. 7a-b) indicated considerable  
354 differences. The differences between the values calculated for rhithroplankton and for benthic  
355 substrates covered a range of 10 scores, which would result in high uncertainty in quality assessment.  
356 Benthic diatoms are used for river quality assessment in Hungary (Szilágyi et al., 2008) and type  
357 specific boundaries were set for the metrics (Várbíró et al., 2012). Since a given quality class ranges  
358 approximately 3 scores in the Hungarian running water quality system, the observed differences

359 between the values calculated for the plankton and for stone surfaces occasionally would result in two  
360 class differences in the assessment results.

361

## 362 **Conclusions**

363 Based on our detailed study of the rhithroplankton of Sajó–Hernád river system (Central Europe) the  
364 following conclusions can be drawn:

365 The rhithroplankton is dominated by benthic diatoms. Since composition of the rhithroplankton shows  
366 the closest resemblance to that of the soft substrates, indirectly, river-bed characteristics (i.e. number  
367 and area of the shallows of the river channel) have a pronounced impact on phytoplankton recruitment.  
368 Since microflora of the various kinds of habitats contribute to the species pool of rhithroplankton, its  
369 diversity exceeds that of the benthic substrates both at site and catchment scale.

370 Dominance of euplanktonic elements in the rhithroplankton can be expected if impoundments or  
371 reservoirs are found in the catchment, but occurrence of these taxa (although in low abundance) can be  
372 observed in the unimpacted upper river segments.

373 Although diatom-based metrics can be calculated for the rhithroplankton, these results cannot be used  
374 as a substitute for results on benthic diatom samples, because considerable differences may occur  
375 between metric values of the plankton and that of the benthic substrates.

376

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380

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### 563 **Legends for figures and tables**

564 Table 1. Chemical, physical, and morphological features of the rivers.

565

566 Table 2. Observed numbers of taxa in the sampled habitats.

567

568 Table 3. Pearson correlation values and p values of the correlations between the diatom indices  
569 calculated for plankton samples and for the substrates (significant correlations are indicated with bold).

570

571 Fig. 1. a: Relative abundance (percentage %) of the benthic diatoms (black) and other groups of algae  
572 (grey) in the rhithroplankton of streams in different river orders; b: Percentage of the number of taxa  
573 (black: benthic diatoms, grey: others) in the rhithroplankton of streams in different river orders.

574

575 Fig. 2 a: Relative abundance (percentage %) of the non-diatom algae belonging to the various  
576 functional groups in the rhithroplankton of streams in different river orders; b: Percentage of the  
577 number of taxa belonging to the various functional groups in the rhithroplankton of streams in  
578 different river orders.

579

580 Fig. 3. Sample-based rarefaction (solid lines) and extrapolation (dotted lines) curves of the diatom  
581 species diversity for the plankton and for benthic substrates. The 95% confidence intervals (grey  
582 shades) are obtained by a bootstrap method based on 200 replications. The symbols represent the  
583 observed number of species.

584

585 Fig. 4 a Euclidean distances of diatom assemblages of the various substrates from the rhithroplankton;  
586 b: Jaccard similarities of diatom assemblages of the various substrates to the rhithroplankton.

587

588 Fig. 5 a: Percentage of centric diatoms in the rhithroplankton samples (stream sizes are expressed as  
589 distances from the sources and indicated on the x axis; symbols: •- sample sites on the River Hernád;  
590 ✖- sample sites on the River Sajó; b: Percentage of planktonic species in benthic samples (stream sizes  
591 are expressed as distances from the sources and indicated on the x axis; symbols: •- sample sites on the  
592 River Hernád; ✖ - sample sites on the River Sajó.

593

594 Fig. 6 a. Distribution of IPS diatom index values in the five benthic substrates and in the  
595 rhithroplankton samples; b: Distribution of SID diatom index values in the five benthic substrates and  
596 in the rhithroplankton samples; c: Distribution of TID diatom index values in the five benthic  
597 substrates and in the rhithroplankton samples.

598

599 Fig. 7 a: Differences between the IPS values calculated for the substrate “*stone thalweg*” and the  
600 rhithroplankton samples ( $\text{Difference}_{\text{stone, plankton}} = \text{IPS}_{\text{stone}} - \text{IPS}_{\text{plankton}}$ ; stream sizes are expressed as  
601 distances from the sources and indicated on the x axis); b: Differences between the IPS values  
602 calculated for the substrate “plant” and the rhithroplankton ( $\text{Difference}_{\text{plant, plankton}} = \text{IPS}_{\text{plant}} - \text{IPS}_{\text{plankton}}$ ;  
603 stream sizes are expressed as distances from the sources and indicated on the x axis). Grey areas  
604 represent different river sections.

605

606

607 **Figures and tables**

608

609 Table 1.

	<b>Sajó</b>	<b>Hernád</b>
<b>Chemical, physical, and morphological features of rivers</b>		
Stream order	1 <sup>st</sup> to 6 <sup>th</sup>	1 <sup>st</sup> to 5 <sup>th</sup>
Elevation of source (m asl.)	1 280	1 500
Catchment area (km <sup>2</sup> )	12 708	5 436
Length (km)	223	286
Minimum and maximum discharge (m <sup>3</sup> s <sup>-1</sup> )	2-545	6–450
Average discharge (min. and max.) (m <sup>3</sup> s <sup>-1</sup> )	60	28
Minimum and maximum water residence time (day)	7.5-13.2	7.6-11.3
Mean precipitation in the watershed (mm)	600-1 250	
Mean temperature (°C)	23	21
pH	8.2	8.24
Conductivity (µS cm <sup>-1</sup> )	562.62	387.04
Dissolved O <sub>2</sub> (mg/l)	8.13	8.19
Water temperature (°C)	23	20.9

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615 Table 2.

Habitat type	Observed number of taxa	Number of samples
Rhithroplankton	253	42
Stone thalweg	208	33
Plant	202	35
Wood	196	35
Stone pool	148	17
Psammon	137	8

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621 Table 3.

	IPS	SID	TID
wood	<b>0.4441</b> <b>N=35</b> <b>p=0.009</b>	<b>0.5269</b> <b>N=35</b> <b>p=0.001</b>	<b>0.7020</b> <b>N=35</b> <b>p=0.000</b>
stone, pool	0.2911 N=17 p=0.141	0.0467 N=17 p=0.817	<b>0.4551</b> <b>N=17</b> <b>p=0.017</b>
stone, thalweg	<b>0.5923</b> <b>N=33</b> <b>p=0.001</b>	<b>0.4265</b> <b>N=33</b> <b>p=0.019</b>	<b>0.5916</b> <b>N=33</b> <b>p=0.001</b>
plant	<b>0.6998</b> <b>N=35</b> <b>p=0.000</b>	<b>0.6047</b> <b>N=35</b> <b>p=0.000</b>	<b>0.7549</b> <b>N=35</b> <b>p=0.000</b>
psammon	0.5391 N=8 p=0.168	0.4432 N=8 p=0.271	<b>0.7315</b> <b>N=8</b> <b>p=0.039</b>

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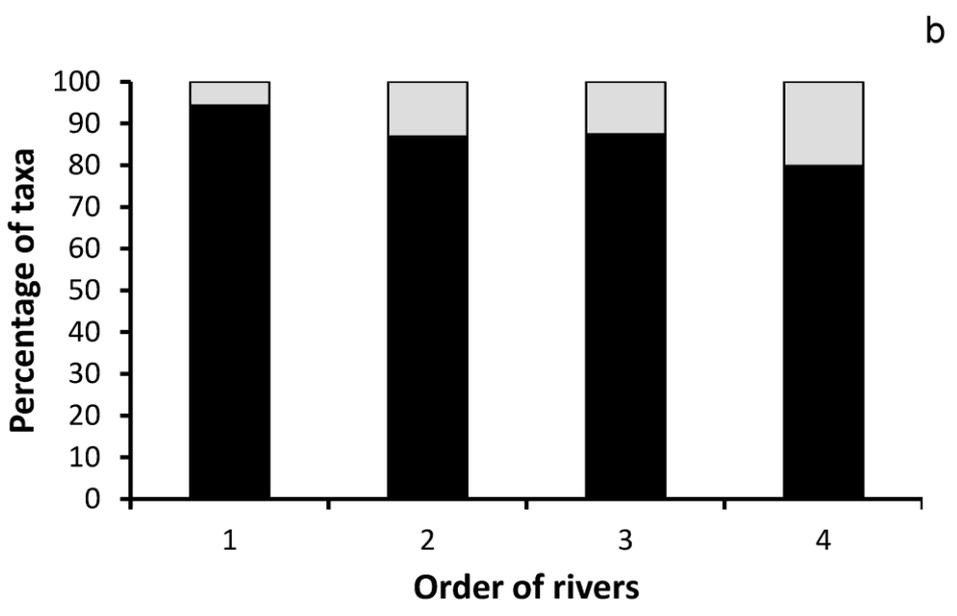
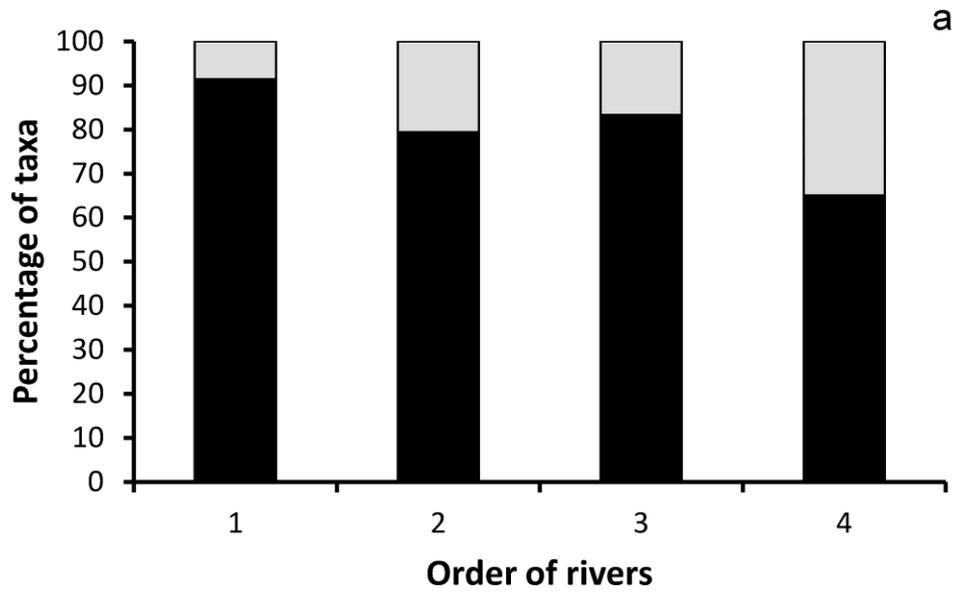


Fig. 2

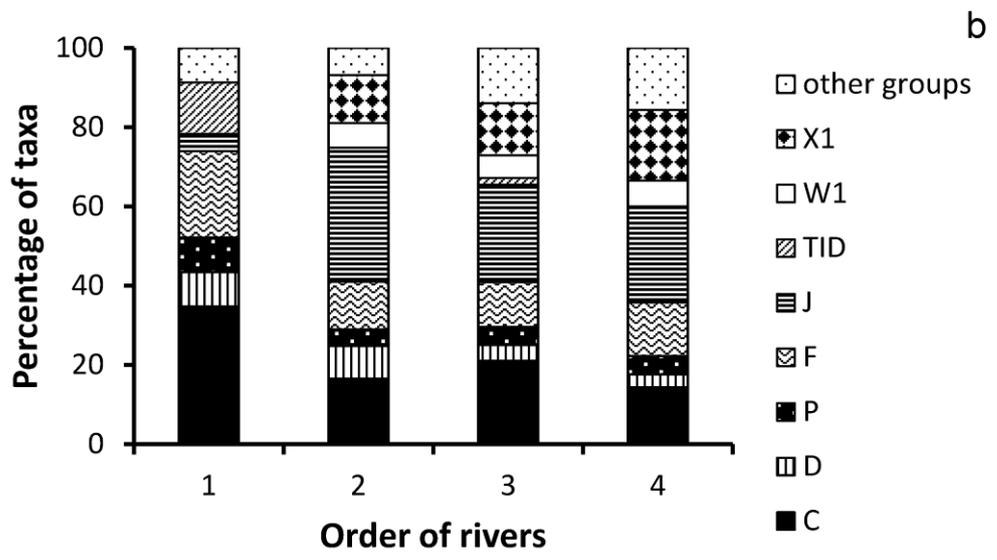
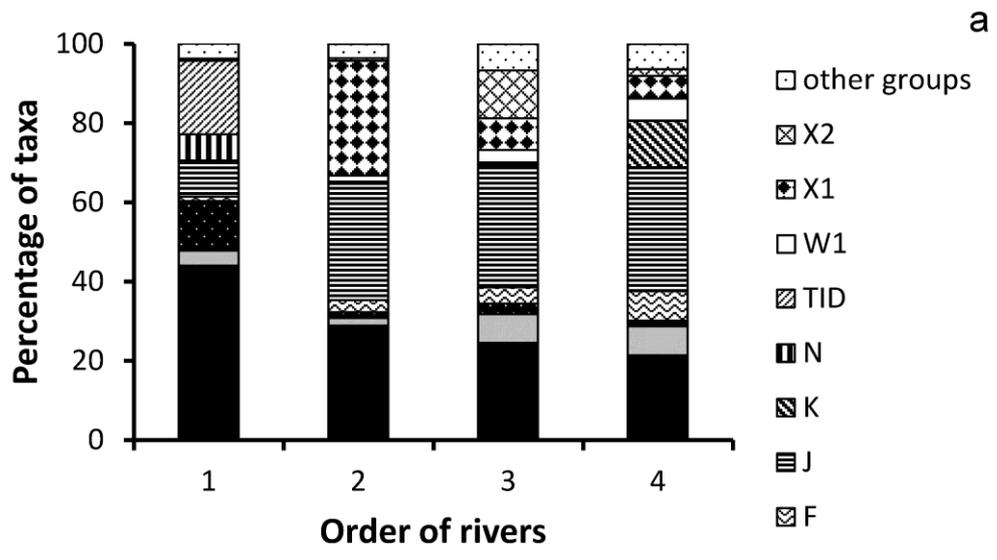
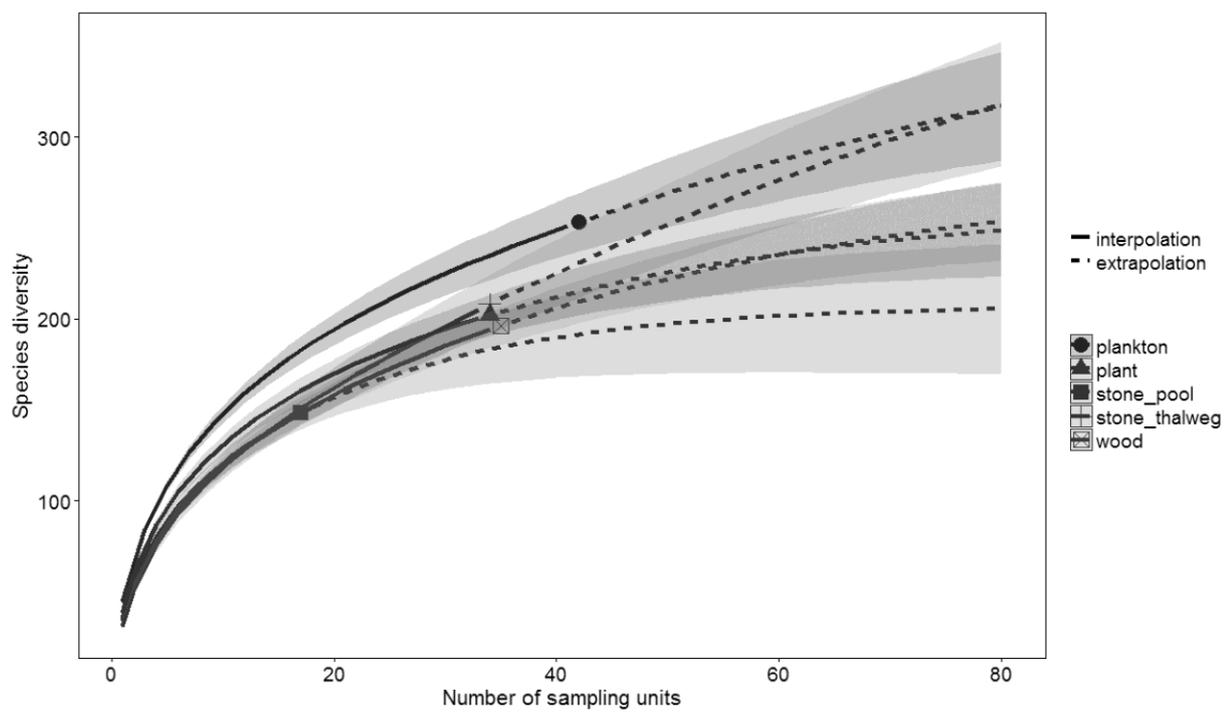
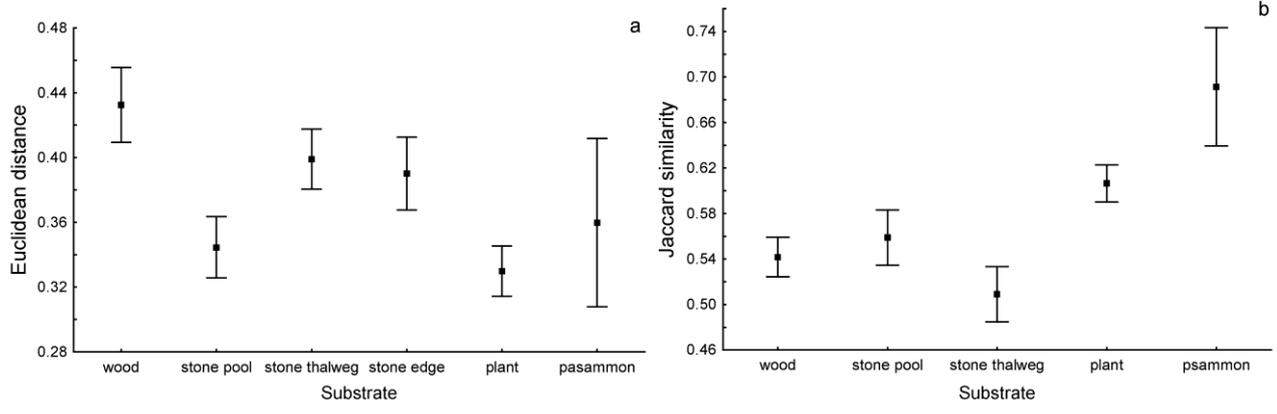


Fig. 3



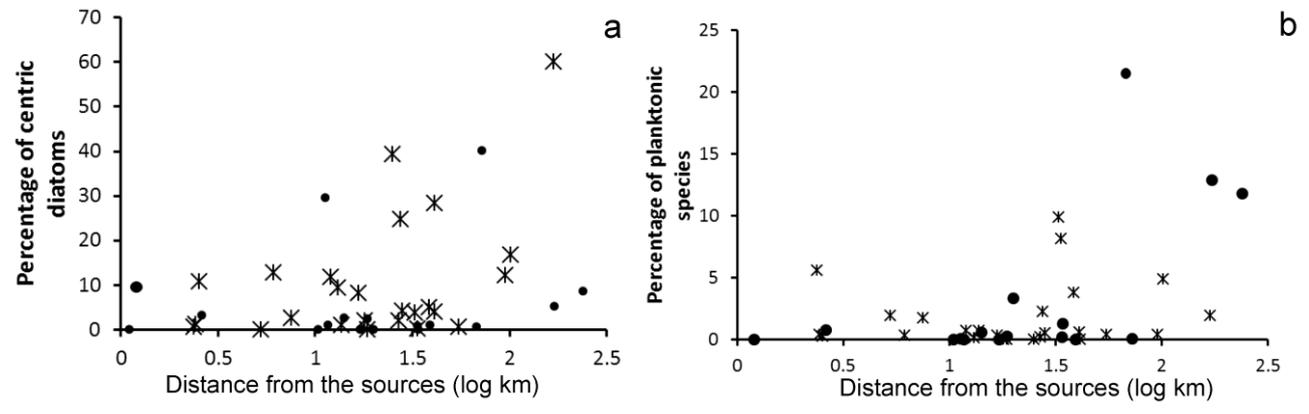
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635 Fig. 4



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637 Fig. 5



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