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This manuscript is contextually identical with the following published paper:

Ágnes Bolgovics, Gábor Várbíró, Éva Ács, Zsuzsa Trábert, Keve Tihamér Kiss, Virág Pozderka, Judit Görgényi, Pál Boda, Balázs-A Lukács, Zsolt Nagy-László, András Abonyi, Gábor Borics, Phytoplankton of rhithral rivers: Its origin, diversity and possible use for quality-assessment, In **Ecological Indicators**, Volume 81, 2017, Pages 587-596, ISSN 1470-160X, <https://doi.org/10.1016/j.ecolind.2017.04.052>.

The original published PDF available in this website:

<http://www.sciencedirect.com/science/article/pii/S1470160X17302406>)

ECOIND3679

DOI: 10.1016/j.ecolind.2017.04.052

Phytoplankton of rhithral rivers: its origin, diversity and possible use for quality-assessment

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Abstract

While phytoplankton studies on large potamal rivers have increased in number in recent years, upper river sections have received considerably less attention. However, in order to better understand processes that govern the development of dominance of euplanktonic elements in the lower river sections, detailed studies of the upstream areas are necessary. We studied the composition, diversity and recruitment of the planktonic algal communities in the River Sajó and in its main tributary River Hernád, two characteristic rhithral river systems in Central Europe. Results revealed that diatoms are dominant elements of the phytoplankton in the upper river segments both in terms of taxa richness, and relative abundance. We found that composition of the phytoplankton showed the closest resemblance to that of benthic communities of soft substrates, highlighting the key role of riverbed characteristics in river phytoplankton recruitment. The occurrence of euplanktonic elements was not restricted to human

36 impacted river segments, these taxa also occurred in pristine headwaters. Accordingly, planktonic
37 algae potentially colonise small headwater streams naturally, although their dominance is expected to
38 occur only at downstream reaches following impounded river segments. Diatom metrics used in
39 ecological state assessment were calculated for the rithroplankton. These metrics fell in the range of
40 values calculated for diatom flora on the hard substrates. However, the potential use of rithroplankton
41 for quality assessment might be limited, because the high variability of index values at site level would
42 result in misclassification of the ecological status.

43 **Keywords:** diatom metrics; ecological status; rhithroplankton; rhithral rivers

44

45 1. Introduction

46 Phytoplankton is the main primary producer in the pelagic zone of marine and lake ecosystems. Being
47 the basis of the food chain, this group received considerable scientific interest since the early ages of
48 oceanographic and limnological research. Although there is wealth of scientific information about
49 phytoplankton assemblages of lakes, our knowledge about riverine phytoplankton is still limited
50 (Szemes, 1967; Uherkovich, 1969; Schmidt, 1994; Kiss, 1995; Dokulil, 2013, 2014; Bolgovics et al.,
51 2015). Partly because the basis of the food chain is allochthonous material, and partly because
52 respiration is greater than in-stream primary productivity, rivers are basically considered as
53 heterotrophic systems (Welker and Walz, 1999), where autotrophic production is only of secondary
54 importance. However numerous studies demonstrated that the existence of ecosystems in the middle
55 section of large rivers are not based exclusively on the inefficient processing of organic matter, as
56 stated in the River Continuum Concept (RCC) (Vannote et al., 1980). Several studies demonstrated
57 that large rivers enriched in nutrients provide suitable conditions for phytoplankton development (Kiss,
58 1996; Kiss and Genkal, 1996; Reynolds and Descy, 1996; Wehr and Descy, 1998; Vörös et al., 2000).
59 Phytoplankton assemblages of these rivers show similar characteristics to those typically observed in
60 shallow turbid lakes (Reynolds et al., 1994). In both systems dominant species have fast growth rate
61 and tolerance to highly turbid, light limited environments (Reynolds, 1988; Reynolds et al., 1994;
62 Kiss, 1995). In temperate rivers Centric diatoms, Chlorococcalean green algae and small
63 cryptophyceans are the most frequently occurring elements of the phytoplankton (Rojo et al., 1994;
64 Schmidt et al. 1994; Kiss and Schmidt, 1998; Kiss et al., 2006). However, there are considerable
65 differences between the natural habitat templates provided by the upper or lower river segments. In the
66 lower potamal river sections, increased water depth and reduced light have been considered as the
67 most limiting constraints of algal growth. While in the upstream river segments mostly the short water
68 residence time (Borics et al., 2007), the high dilution rate (Billen et al., 1994) and the filter-feeding
69 stream invertebrates limit algal growth (Ward and Stanford, 1983, Köhler et al., 2002). At these
70 upstream sections, the low-biomass phytoplankton consists primarily of tychoplanktonic elements

71 (Blum, 1954, 1957; Uherkovich, 1966; Rojo et al., 1994), i.e. species that entrained in the plankton
72 after detached from the substrates (Swanson and Bachmann, 1976).

73

74 The rivers are not isolated ribbons of water. They are elemental parts of the landscape connecting with
75 other water bodies of the river valley. The various kinds of stagnant waters of river catchments
76 including small pools and ponds, connecting marshlands, shallows of the rivers and artificial
77 impoundments continuously enrich the phytoplankton with euplanktonic elements (Stoyneva, 1994;
78 Borics et al., 2007). Therefore, riverine phytoplankton appears to be an eclectic mixture of the planktic
79 and benthic algae of different origin (Uherkovich, 1970; Pajak and Kiss, 1990; Kiss and Ács, 2009;
80 Borics et al., 2014).

81 As it was mentioned above, habitat characteristics of the upper, rhithral river segments show
82 considerable differences to that of the lower river stretches. Rhithral river systems possess a large
83 variety of well illuminated benthic habitats, where on the solid-water interface diverse benthic algal
84 assemblages may develop. Since dispersal of algae is aided by air or animal vectors (Padisák et al.,
85 2016), theoretically these habitats can be colonised naturally by euplanktonic taxa, which can survive
86 in benthic habitats both in lakes (Borics et al., 2003) and in rivers (Istvánovics and Honti, 2011). Due
87 to physical disturbance (i.e. increase in discharge), these taxa together with benthic algae can split off
88 from the substrates and become the natural elements of the phytoplankton. However, this possibility
89 has not been thoroughly investigated.

90 At a whole river scale, the sooner the dominance of euplanktonic elements occurs in the
91 phytoplankton, the sooner opens the opportunity for the development of large biomass assemblages,
92 leading also to decrease in water quality, which adversely affect water uses (Wehr and Descy, 1998).
93 Therefore, the question of in which segments of the rivers euplanktonic species occur first and
94 dominate in the riverine plankton has a crucial importance.

95 The tychoplanktonic/euplanktonic transition in the upper river segments has been demonstrated in
96 several studies (Abonyi et al., 2014; Stankovic et al 2012), and has been used in the phytoplankton-
97 based river quality assessment (Borics et al., 2007; Abonyi et al., 2012). However, while the functional
98 approach successfully represents water quality changes at whole river scale, it is much less sensitive in
99 the uppermost river sections. Therefore, application of the metrics developed for benthic diatoms
100 seems potentially promising to characterize the phytoplankton and the fine scale differences in the
101 ecological state of the uppermost river segments.

102 The aim of this study was to investigate the phytoplankton and benthic algal communities in a
103 relatively unaltered rhithral river system, focusing on the questions of how euplanktonic elements
104 entrain into the riverine phytoplankton; (ii) how diversity of the phytoplankton changes at both site and
105 catchment levels; and (iii) how riverine phytoplankton can be used in water quality assessment. In
106 order to avoid terminological misunderstandings, we use the term rhithroplankton (Bolgovics et al.,
107 2015) for the plankton of rhithral rivers in this study.

108 We hypothesize that

- (i) various benthic habitats contribute differently to the phytoplankton of rhithral rivers;
- (ii) planktonic diatoms occur even in the benthos in the uppermost river sections;
- (iii) water quality metrics calculated for the rhithroplankton indicate similar ecological status than those computed for benthic diatom composition.

2. Material and methods

2.1. Study area

We studied the benthic and rhithroplanktonic algal assemblages of the River Sajó basin (Slovakia-Hungary), a characteristic rhithral river system in Central Europe. The River Sajó system belongs to the River Tisza catchment and consists of two rivers (River Sajó and River Hernád) with almost equal sizes and with similar hydromorphological characteristics (Table 1), and including streams of 1st to 6th river orders. The Slovakian mountainous and the Hungarian lowland sections contain large variety of riverine habitats.

2.2. Sampling

Altogether 42 sampling sites were included in our survey, covering the two river catchments. Besides the main river channels, several small tributaries were also sampled. Because of the low abundance of algae in the rhithroplankton, twenty liters of water taken from the thalweg was filtered using a 10 µm plankton net in every sampling site. The filtered material was concentrated to 50 cm³, fixed with formaldehyde (4% final concentration) and stored in plastic containers.

In order to study the role of substrate types in shaping riverine algae compositions, various substrates characteristic for each sample site were sampled. In the upper river segments four substrates (*stones from the thalweg, stones from pools, woods, plants* (i.e. mosses or filamentous algae) were sampled. In sites of the lower river segments *psammon* samples were also collected. Benthic diatoms were collected using the EN 13946:2014 standard. In each site at least five replicates were sampled from each substrate and then mixed together. The material was washed into plastic container and fixed with formaldehyde. Altogether 128 benthic and 42 plankton samples were collected (Table 2).

2.3. Sample processing

Environmental variables (pH, conductivity, dissolved oxygen and water temperature) were measured on the field using a multiparametric portable meter (Hach-Lange HQ40D).

Phytoplankton samples were analyzed using the inverted microscope technique (Utermöhl, 1958; Lund et al., 1958). The functional characteristics of rhithroplankton was assessed by using the functional

143 groups concept *sensu* Reynolds et al. (2002) and Borics et al. (2007), recently reviewed by Padisák et
144 al. (2009).

145 For diatom identification, 1 cm³ of the phytoplankton samples and materials collected from benthic
146 substrates were digested with hydrogen peroxide, rinsed with distilled water, and then mounted on
147 slides using Cargille Meltmount medium (refractive index= 1.7). Diatoms were identified and counted
148 using Zeiss Axioimager A2 upright microscope, at a magnification of 1000× applying oil immersion
149 and differential interference contrast (DIC). Altogether 400 valves were counted in each sample.

150

151 2.4. Statistical analyses

152 Composition of the microflora was expressed as relative abundance of functional groups and evaluated
153 in the various stream orders, determined by visual inspection of appropriate maps proposed by Stahler
154 (1952).

155 As diversity metrics based on abundance data are frequently affected by short-term physical
156 disturbances (Borics et al., 2013), in this study, species richness was used as a measure of diversity
157 both at site- and catchment-scale levels. Chao's sample based extrapolation curves (Chao et al., 2014)
158 were used to compare the contribution of the various substrate types to the catchment-scale diversity of
159 the River Sajó. Because of the hydrological and morphological differences of the sampling sites, not
160 all substrate types have been sampled, thus the numbers of substrates were not equal. Accordingly,
161 during the catchment-scale richness estimation, besides the plankton samples, only those substrates
162 were considered which number at catchment scale was larger than 15. These were the followings:
163 *stone from the thalweg*, *stone from pools*, *wood*, *psammon* and *plant*.

164 In order to determine the most important substrates in the development of rhithroplanktonic algal
165 assemblages, Jaccard similarity coefficients (incidence data) and Euclidean distances (abundance data)
166 were calculated between the planktonic and the benthic communities from different substrate types.
167 One-way analysis of variance (ANOVA) and Tukey's pair-wise test were used to determine significant
168 differences among values of Jaccard similarity coefficients and Euclidean distances in the different
169 substrates.

170

171 To study the benthic–planktonic shift in the phytoplankton composition, relative abundance of
172 euplanktonic algae was studied at each sampling site. In order to obtain higher resolution of size
173 differences among the streams, we considered the distance of each sampling sites from the source.
174 Distances were measured on Google Earth images of the catchment. Percentage of the planktonic
175 algae was plotted against this distance.

176 Several diatom-based metrics have been developed to assess the ecological state of rivers in recent
177 decades. In this study the three elements of multimetric index (IPSITI) applied in ecological status
178 assessment of Hungarian running waters (Várbíró et al. 2012), the IPS (Coste in Cemagref, 1982), the
179 SID and the TID index (Rott et al., 1997, 1999) were used to determine river quality based on

180 rithroplankton and the algal composition of various substrates. All the three indices base on the
181 Zelinka and Marvan formula:

$$Index (IPS, SID, TID) = \frac{\sum_{i=1}^n a_i s_i v_i}{\sum_{i=1}^n a_i v_i}$$

182 where a_i : abundance or proportion of valves of species i in the sample, s_i : pollution sensitivity
183 (optimum) of species i and v_i = indicator value (tolerance) of species i .

184 To assess the relationship between the various metrics (IPS, SID and TID) calculated for the benthic
185 and rhithroplankton samples, the Pearson correlation coefficient was computed (Table 3). Statistical
186 analyses were performed using the STATISTICA package (version 6; StatSoft Inc., Tulsa, OK, USA).

187

188 3. Results

189

190 3.1. Composition of the rhithroplankton

191 Results on algal composition of samples showed that benthic diatoms had overwhelming dominance in
192 the rhithroplankton both in terms of abundance (Fig. 1a) and taxonomical richness (Fig. 1b.). The non-
193 diatom components of the plankton constituted only a small proportion of the phytoplankton, however,
194 their ratio increased steadily with increasing stream order. This feature was observed both for the
195 abundance and richness data (Fig. 2a and Fig. 2b). Planktonic diatoms (C and D functional groups),
196 small single-celled and colonial chlorococcalean green algae (belonging to the X1, J, F functional
197 groups) were the most frequent elements occurring in the plankton (Fig. 2b).

198 3.2. Diatom diversity of the rhithroplankton and of the substrates at site- and catchment-scales

199 Altogether 411 diatom species and lower taxa in 66 genera were identified in our samples. The
200 observed species richness of rhithroplankton and that of the substrates showed considerable differences
201 (Table 2). Plankton samples appeared to be the richest in diatom taxa, while substrates *stone from the*
202 *pool* were the most species-poor habitats. Besides some euplanktonic taxa such as *Cyclotella*
203 *distinguenda*, *Thalassiosira lacustris*, *Stephanodiscus* cf. *medius*, *S. minutulus*, several large sized
204 benthic taxa, such as *Cymbella*, *Encyonema*, *Epithemia*, *Fragilaria*, *Gomphonema*, *Gyrosigma*,
205 *Navicula* spp. were found exclusively in the plankton samples.

206 The position of the sample-based rarefaction and extrapolation curves indicated that the species
207 richness of plankton samples exceeded that of the benthic substrates (Fig. 3). Species accumulation
208 curves of three substrates (*plant*, *wood*, *stone pool*) showed asymptotes at about 200 and 250 species
209 number. Neither the species accumulation curves of the plankton samples nor the curves of the *stone*
210 *from the thalweg* had plateau-like shapes. Both curves showed steep increase even at 60-80 sample
211 number range. These two lines are predicted to meet at sample number ≈ 80 .

212 3.3. Species recruitment into the phytoplankton

213 To answer the question of which benthic diatoms are recruited into the rhithroplankton, composition of
 214 the plankton samples and that of the various substrates were compared. The ANOVA and pair-wise
 215 comparisons showed significant differences among the algal composition of different substrate types
 216 and that of the plankton samples both based on Euclidean distances ($F_{[5, 165]} = 3.5514$, $p=0.00447$) (Fig.
 217 4a) and on Jaccard coefficients ($F_{[4, 117]} = 5.8934$, $p=0.00023$) (Fig. 4b). Algal composition of plankton
 218 samples showed the greatest resemblance to the microflora of *plant* and *psammon* substrates. In terms
 219 of Euclidean distances, the plankton samples were the closest to the *plant*, *psammon* and to the *stone*
 220 *pool* samples.

221 3.4. Appearance of euplanktonic forms

222 In order to localise those river sections where the dominance of euplanktonic elements is expected to
 223 occur, a clear differentiation between euplanktonic and benthic taxa is needed. However, this
 224 ecological distinction needs detailed taxonomical resolution. For example, filamentous blue-greens and
 225 *Protococcus*-like chlorococcaleans frequently occurred in the net plankton samples, but because of the
 226 limitations of the inverted microscope technique identification of these taxa at species level is really
 227 challenging, and thus, the origin of these algae (euplanktonic or benthic) could not be identified in
 228 every case. Therefore, we focused exclusively on diatoms, where species level identifications and
 229 consequently the appropriate ecological (benthic/planktonic) distinctions could be identified. Although
 230 in general the relative abundance of planktonic diatoms (mostly centric diatoms) showed a consistent
 231 increase with the size of the streams (Fig. 5a), these algae were occasionally lacking in samples taken
 232 from the lower river reaches. As planktonic species can settle down and captured in the boundary
 233 layers of the substrates, their relative abundance in the benthos has been also investigated (Fig. 5b).
 234 The observed pattern was rather similar to that found for the plankton samples. Abundance of
 235 planktonic forms showed a considerable, continuous increase in case of sampling sites, which
 236 distances from the source were larger than 10 km.

237 3.5. River quality assessment

238 Using the diatom composition of five different substrate types and that of the rhithroplankton, three
 239 water quality indices were calculated (Fig. 6a-c). Values of the IPS and SID metrics calculated for
 240 *wood*, *stone from pool*, *stone from the thalweg* and *plant* samples were found to be in similar range,
 241 while the metrics calculated for the *psammon* samples were significantly lower ($p<0.05$). In case of the
 242 trophic index (TID), considerably different distribution of the values was observed. As it was found for
 243 the IPS and SID metrics, the *psammon* samples had the smallest, while the *wood* and *stone* samples the
 244 highest index values. Values of the rhithroplankton and the *plant* samples fell in the middle of the TID
 245 index range. The Pearson correlation tests indicated that indices' values of rhithroplankton were the
 246 most similar to those calculated for the *plant* substrates (Table 3).

247 We also investigated how the assessment results based on the rhithroplankton and the substrates *stone*
 248 *from thalweg* and *plants* related to each other depending on the position of the sampling sites in the

catchment. Differences of these values ($\text{Difference}_{\text{stone, plankton}} = \text{IPS}_{\text{stone}} - \text{IPS}_{\text{plankton}}$ and $\text{Difference}_{\text{plant, plankton}} = \text{IPS}_{\text{plant}} - \text{IPS}_{\text{plankton}}$) were plotted against the spatial distances of sampling points from the source (Fig. 11-12). When the *stone* and *plankton* samples were compared, the rivers (and sites) could be separated into two groups. In case of rivers stretches shorter than < 10 km in length, the $\text{IPS}_{\text{stone}}$ metric displayed higher values than those calculated for the *plankton* samples (Fig. 7a). In larger rivers, there was no systematic difference between $\text{IPS}_{\text{stone}}$ and $\text{IPS}_{\text{plankton}}$ values. In case of the *plant* substrates whose indices' values showed the closest resemblance to the *plankton* samples (Fig. 7b) the rivers could be divided into three sections. In small rivers (distance from the source is < 10 km) the *plant* samples indicated better conditions; while in larger rivers (distance from source > 40 km) an opposite tendency could be observed. In middle sized rivers (in the range of 10 to 40 km river length) such a tendency was not observed.

4. Discussion

4.1. Composition of the rhithroplankton

Since phytoplankton of rhithral rivers is dominated by benthic algae detached from the substrates (Blum, 1954; Uherkovich, 1969), benthic assemblages basically determine the algal composition of riverine phytoplankton. Although the benthic life form of algae appears in almost all freshwater divisions, only cyanobacteria, chlorophytes and diatoms occur frequently in river phytoenthos (Hendricks and Luttenton, 2007; Schaumburg et al., 2004). Our results, however, indicated that these major algal groups do not contribute equally to the phytoplankton. In our case, diatoms gave the highest contribution to the *plankton*, both in terms of taxonomical richness and abundance, implying that this group adapted most successfully to the strong and selective riverine environment. Besides the benthic life forms, euplanktonic algae also appeared in the rhithroplankton. The occurring taxa belonged to those functional groups (C, D, J, F, X1; mostly planktonic diatoms and various chlorococcalean green algae) which dominance has been repeatedly identified in middle to downstream river sections of lowland rivers (Schmidt, 1994; Reynolds and Descy, 1996; Bahnwart et al., 1999; Friedrich and Pohlmann, 2009; Tavernini et al., 2011; Várбірó et al., 2007).

4.2. Benthic diatom diversity

The overall diatom taxonomic richness highlighted in our study in River Sajó catchment corresponds well with those reported from other temperate river catchments e.g. Idaho rivers (350 species, 46 genera, Fore and Grafe 2002); Rhone (931 species, Rimet and Bouchez 2012); small to large rivers in Hungary (496 species, Van Dam et al. 2007). The number of taxa identified in our study is relatively high considering the relatively small catchment size of the River Sajó (12,708 km²). Distinct gradients in depth and current velocity, alternation of riffles and pools, differences in sediment types create high mosaicity in benthic habitats and in the corresponding algal communities (Pringle et al., 1988). In our

study, the high number of observed taxa can be partly explained by the large sampling effort. However, it is also important to note that in our study not only the benthic flora, but diatoms of plankton samples were also considered. One potential limitation of the applied phytoplankton sampling method (net sampling) is that large sized taxa can be over-, while the smaller ones underrepresented in the net samples. On the one hand, it means that this approach might result in some bias in the relative abundance of the taxa. On the other hand, our results revealed that this technique increased the possibility of finding rare and large sized taxa, which were not present in samples of benthic substrates.

4.3. *Species recruitment into the rhithroplankton*

We hypothesised that the contribution of various benthic habitats to the composition of the rhithroplankton is different, which was supported by the results. The algal composition of *psammon*, *stone from pool* and *plant* substrates showed the closest resemblance to the rhithroplankton. The common feature of these substrates is that they can be found preferably in lentic parts of streams. Algae adapted to lentic habitats may be more easily detached from the substrates as a consequence of disturbance (flood) events, compared to those species that live on stone surfaces in the thalweg and are continuously exposed to strong currents. Our findings highlight that similarly to large rivers, where river morphology (notedly river shallows) plays a key role in determining recruitment processes of phytoplankton (Stoyneva, 1994), in small upstream rhithral systems, river bed morphology also plays a crucial role in shaping rhithroplankton composition.

4.4. *Appearance of euplanktonic forms*

The increase of euplanktonic forms with increasing stream size (distance from the source), as we have demonstrated in this study, is in well accordance with the River Continuum Concept (Vannote et al., 1980), and with several field observations (Uherkovich, 1966, 1970; Hynes, 1970; Pajak and Kiss, 1990; Várbiro et al., 2007; Kiss and Ács, 2009). In some cases, however, dominance of euplanktonic forms was observed even in small streams of 1st and 2nd orders. While detailed investigation of the map in several cases revealed the presence of river embankments or off-river reservoirs, in some cases, the same euplanktonic taxa occurred in benthic samples from near source sampling stations (< 1km distance from the source), where reservoirs or connecting lakes could not be detected. Former studies investigating the phytoplankton communities near the headwater of River Danube reported similar results (Kiss et al., 2003). A species rich phytoplankton community was found five kilometers from the source of Breg at Furtwangen (The stream Breg is one of the “source tributary” of River Danube, the altitude is 1000 m at Furtwangen). Below the source several small pools (some natural bog-like pool and artificial pools for cattle) can be found. Small marshy pools with an area of few m² are characteristic parts of creeks and drains, and can potentially capture euplanktonic species and inoculate the lower sections. Contrariwise, on the upper part of the River Danube (at Nasgenstadt) already 17 % of the total species number was planktonic in the phytobenthos (Ács et al., 2003). Furthermore 15-35%

323 of relative abundance of centric diatoms was found in phytobenthos of a small Hungarian stream
324 (Rákos-stream) by Szabó et al. (2004) originated from fishponds constructed on the stream.

325 However, our finding may be not surprising if we consider that algae can disperse in various ways
326 (Padisák et al., 2016). Air as an agent for dispersal is of primary importance for many algal groups
327 including diatoms (Chrisostomou et al., 2009). Algae can survive in aerosols and can be transported by
328 winds over long distances, and thus, can colonize remote environments. Although the dominance of
329 euplanktonic diatoms can be expected in lakes larger than $10^3\text{--}10^4\text{ m}^2$ (Borics et al., 2016), low
330 abundance populations of these taxa can be present in tiny pools as small as 10^{-2} m^2 (Bolgovics et al.,
331 2016). Thus, it can be argued that the natural inoculation of rivers by euplanktonic algae already starts
332 from the river source areas, even if its efficiency may be much lower than expected in case of
333 reservoirs established in upstream river sections (Abonyi et al., 2012).

334 4.5. River quality assessment

335 While in case of large rivers, riverine phytoplankton composition can be successfully used to evaluate
336 ecological status (Borics et al., 2007, Abonyi et al., 2012; Stankovic et al., 2012; Borics et al., 2014),
337 in rhithral rivers, monitoring of benthic biota' (benthic algae, macroinvertebrates and fish) provides
338 primary information on the ecological status of water bodies (Birk et al., 2012). Based on the
339 autecology of benthic diatoms, several metrics were elaborated and proposed to use for river quality
340 assessment (Coste in Cemagref, 1982; Rott et al., 1997, 1999). These metrics should meet two
341 important criteria: sensitivity to stressors and robustness. The latter is closely linked to the substrate
342 selection. Analysing the US Geological Survey National Water-Quality Assessment program,
343 Potapova and Charles (2005) did not find significant differences between the metric values of soft and
344 hard substrates taken from the same sampling sites. On the other hand, Kelly et al. (1998) deemed it
345 necessary to restrict diatom sampling to a single substrate type, and they proposed the use of rocks or
346 other hard substrates in river quality assessment. Kröpfl et al. (2006) clearly demonstrated that biofilm
347 production, abundance of algae and IPS diatom index were influenced by the substrates in River Tisza.
348 Our results also support this view, as values of all the applied metrics showed large and significant
349 differences. Despite the composition of rhithroplankton was the most similar to that of the soft
350 substrates (*psammon, plants*), the index values calculated for the plankton data fell in the range of the
351 values computed for hard substrates. At first glance, it is tempting to conclude that monitoring and
352 evaluation of rhithroplankton diatom composition provides as reliable results as generally accepted for
353 hard substrates, but pairwise comparison of the assessment results (Fig. 7a-b) indicated considerable
354 differences. The differences between the values calculated for rhithroplankton and for benthic
355 substrates covered a range of 10 scores, which would result in high uncertainty in quality assessment.
356 Benthic diatoms are used for river quality assessment in Hungary (Szilágyi et al., 2008) and type
357 specific boundaries were set for the metrics (Várbíró et al., 2012). Since a given quality class ranges
358 approximately 3 scores in the Hungarian running water quality system, the observed differences

359 between the values calculated for the plankton and for stone surfaces occasionally would result in two
360 class differences in the assessment results.

361

362 **Conclusions**

363 Based on our detailed study of the rhithroplankton of Sajó–Hernád river system (Central Europe) the
364 following conclusions can be drawn:

365 The rhithroplankton is dominated by benthic diatoms. Since composition of the rhithroplankton shows
366 the closest resemblance to that of the soft substrates, indirectly, river-bed characteristics (i.e. number
367 and area of the shallows of the river channel) have a pronounced impact on phytoplankton recruitment.
368 Since microflora of the various kinds of habitats contribute to the species pool of rhithroplankton, its
369 diversity exceeds that of the benthic substrates both at site and catchment scale.

370 Dominance of euplanktonic elements in the rhithroplankton can be expected if impoundments or
371 reservoirs are found in the catchment, but occurrence of these taxa (although in low abundance) can be
372 observed in the unimpacted upper river segments.

373 Although diatom-based metrics can be calculated for the rhithroplankton, these results cannot be used
374 as a substitute for results on benthic diatom samples, because considerable differences may occur
375 between metric values of the plankton and that of the benthic substrates.

376

377 **Acknowledgements**

378 This work was funded by the GINOP-2.3.2-15-2016-00019, OTKA K104279, PD120775 grants and
379 the MTA Postdoctoral Research Program (PD-019/2016).

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Legends for figures and tables

Table 1. Chemical, physical, and morphological features of the rivers.

Table 2. Observed numbers of taxa in the sampled habitats.

Table 3. Pearson correlation values and p values of the correlations between the diatom indices calculated for plankton samples and for the substrates (significant correlations are indicated with bold).

Fig. 1. a: Relative abundance (percentage %) of the benthic diatoms (black) and other groups of algae (grey) in the rhithroplankton of streams in different river orders; b: Percentage of the number of taxa (black: benthic diatoms, grey: others) in the rhithroplankton of streams in different river orders.

Fig. 2 a: Relative abundance (percentage %) of the non-diatom algae belonging to the various functional groups in the rhithroplankton of streams in different river orders; b: Percentage of the number of taxa belonging to the various functional groups in the rhithroplankton of streams in different river orders.

Fig. 3. Sample-based rarefaction (solid lines) and extrapolation (dotted lines) curves of the diatom species diversity for the plankton and for benthic substrates. The 95% confidence intervals (grey shades) are obtained by a bootstrap method based on 200 replications. The symbols represent the observed number of species.

Fig. 4 a Euclidean distances of diatom assemblages of the various substrates from the rhithroplankton; b: Jaccard similarities of diatom assemblages of the various substrates to the rhithroplankton.

Fig. 5 a: Percentage of centric diatoms in the rhithroplankton samples (stream sizes are expressed as distances from the sources and indicated on the x axis; symbols: •- sample sites on the River Hernád; ✕- sample sites on the River Sajó; b: Percentage of planktonic species in benthic samples (stream sizes are expressed as distances from the sources and indicated on the x axis; symbols: •- sample sites on the River Hernád; ✕ - sample sites on the River Sajó.

Fig. 6 a. Distribution of IPS diatom index values in the five benthic substrates and in the rhithroplankton samples; b: Distribution of SID diatom index values in the five benthic substrates and in the rhithroplankton samples; c: Distribution of TID diatom index values in the five benthic substrates and in the rhithroplankton samples.

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Fig. 7 a: Differences between the IPS values calculated for the substrate “*stone thalweg*” and the rhithroplankton samples ($\text{Difference}_{\text{stone, plankton}} = \text{IPS}_{\text{stone}} - \text{IPS}_{\text{plankton}}$; stream sizes are expressed as distances from the sources and indicated on the x axis); b: Differences between the IPS values calculated for the substrate “plant” and the rhithroplankton ($\text{Difference}_{\text{plant, plankton}} = \text{IPS}_{\text{plant}} - \text{IPS}_{\text{plankton}}$; stream sizes are expressed as distances from the sources and indicated on the x axis). Grey areas represent different river sections.

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609 Table 1.

	Sajó	Hernád
Chemical, physical, and morphological features of rivers		
Stream order	1 st to 6 th	1 st to 5 th
Elevation of source (m asl.)	1 280	1 500
Catchment area (km ²)	12 708	5 436
Length (km)	223	286
Minimum and maximum discharge (m ³ s ⁻¹)	2-545	6–450
Average discharge (min. and max.) (m ³ s ⁻¹)	60	28
Minimum and maximum water residence time (day)	7.5-13.2	7.6-11.3
Mean precipitation in the watershed (mm)	600-1 250	
Mean temperature (°C)	23	21
pH	8.2	8.24
Conductivity (µS cm ⁻¹)	562.62	387.04
Dissolved O ₂ (mg/l)	8.13	8.19
Water temperature (°C)	23	20.9

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615 Table 2.

Habitat type	Observed number of taxa	Number of samples
Rhithroplankton	253	42
Stone thalweg	208	33
Plant	202	35
Wood	196	35
Stone pool	148	17
Psammon	137	8

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621 Table 3.

	IPS	SID	TID
wood	0.4441 N=35 p=0.009	0.5269 N=35 p=0.001	0.7020 N=35 p=0.000
stone, pool	0.2911 N=17 p=0.141	0.0467 N=17 p=0.817	0.4551 N=17 p=0.017
stone, thalweg	0.5923 N=33 p=0.001	0.4265 N=33 p=0.019	0.5916 N=33 p=0.001
plant	0.6998 N=35 p=0.000	0.6047 N=35 p=0.000	0.7549 N=35 p=0.000
psammon	0.5391 N=8 p=0.168	0.4432 N=8 p=0.271	0.7315 N=8 p=0.039

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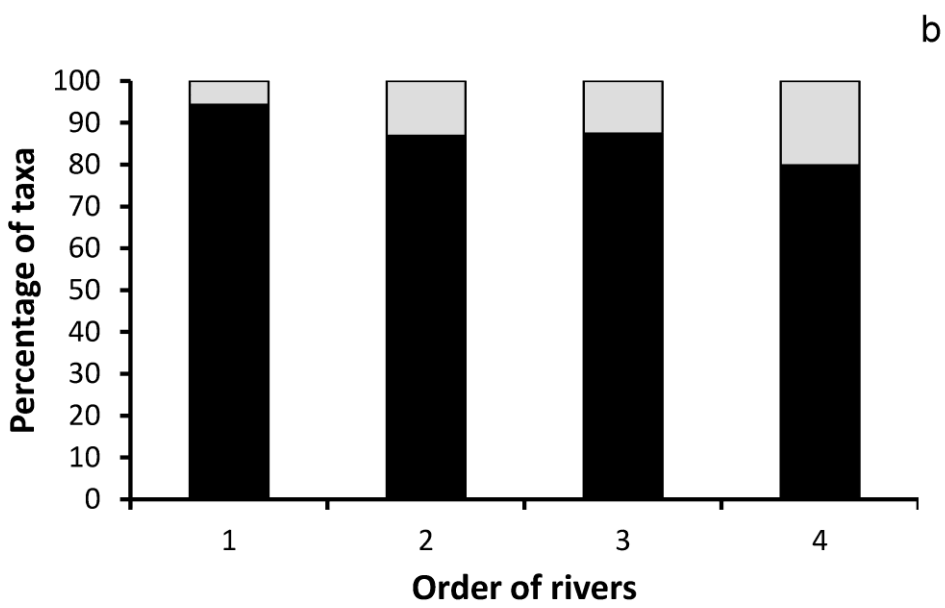
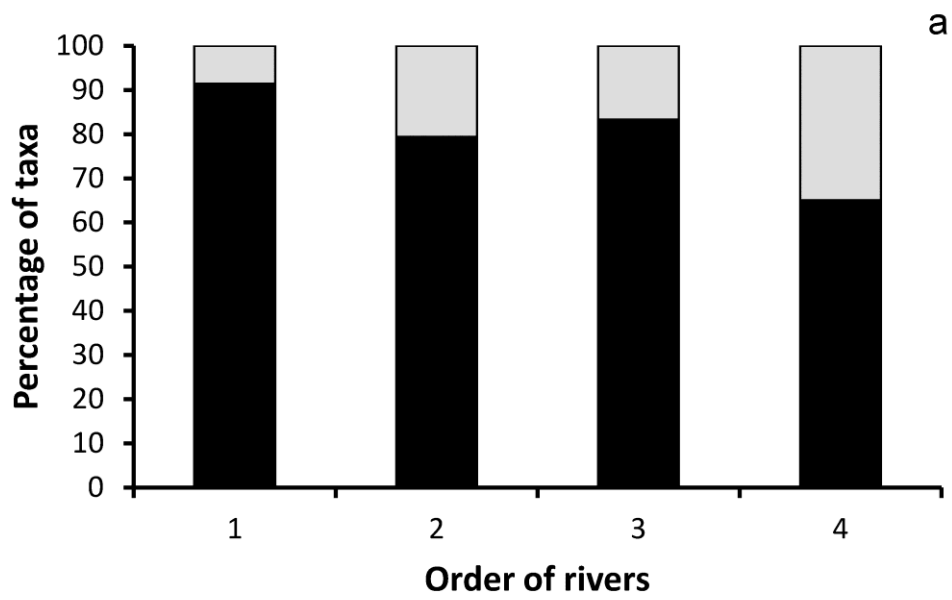
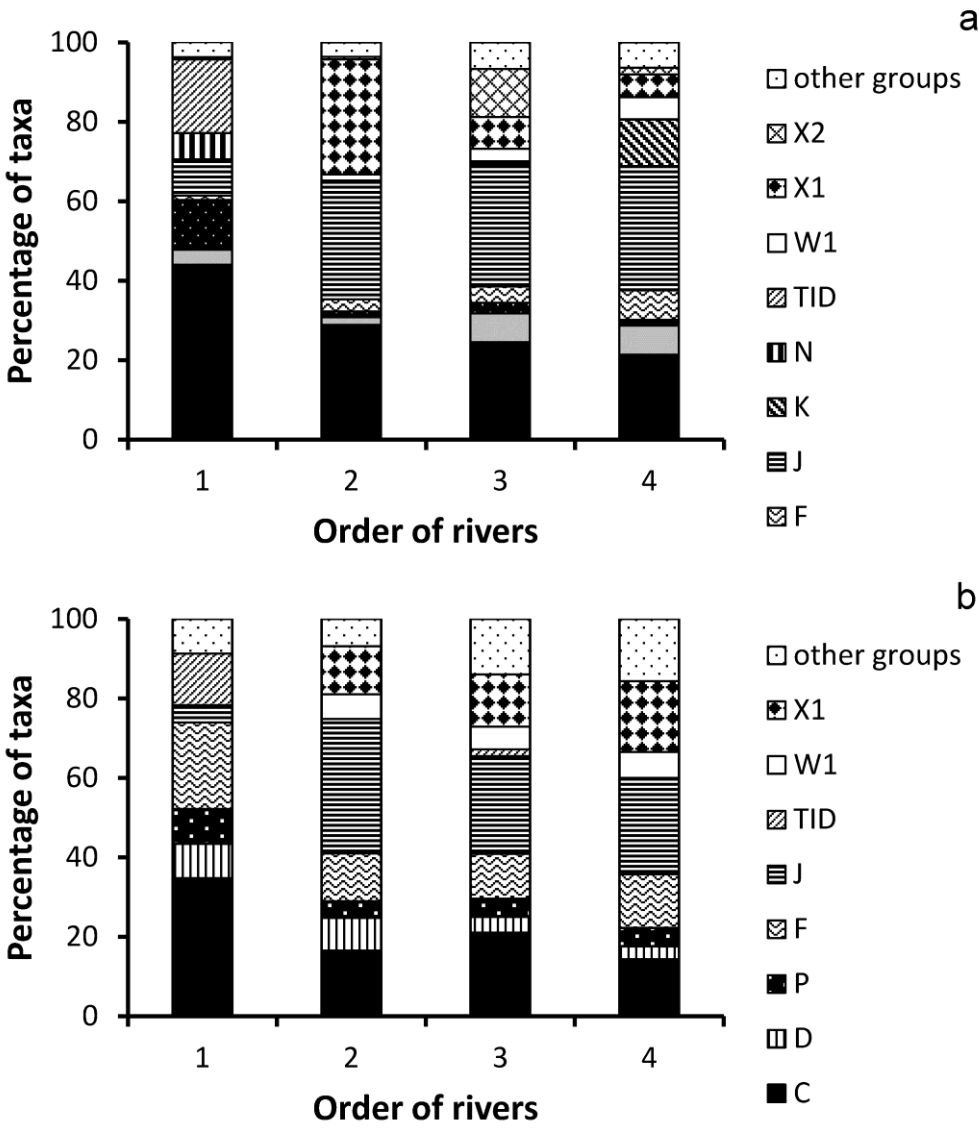
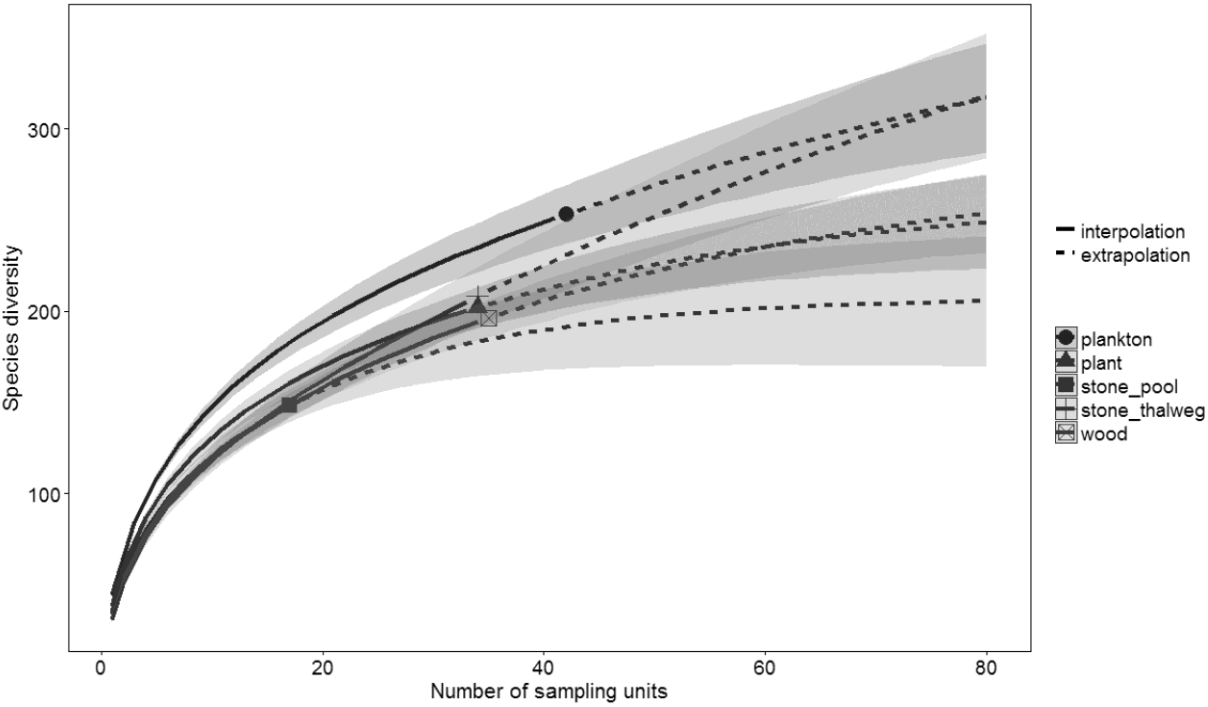


Fig. 2



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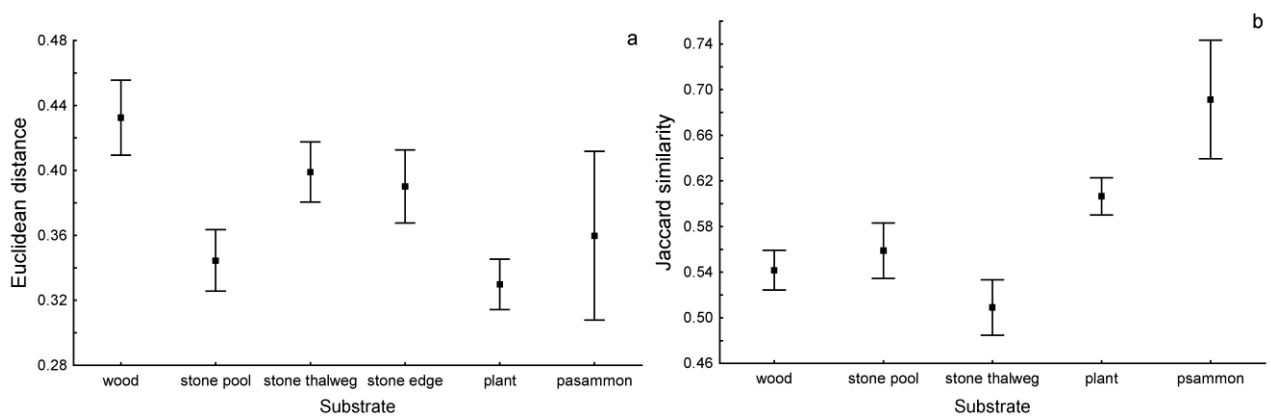
Fig. 3



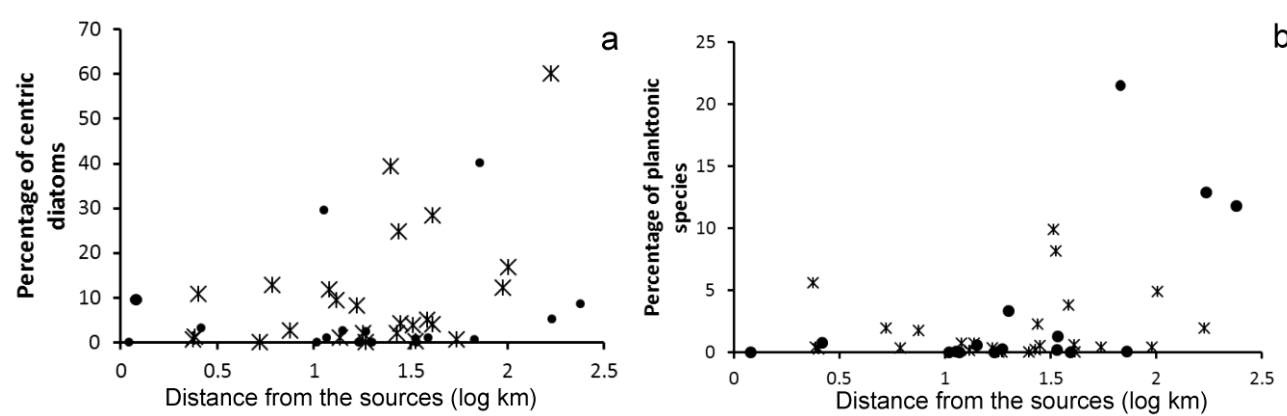
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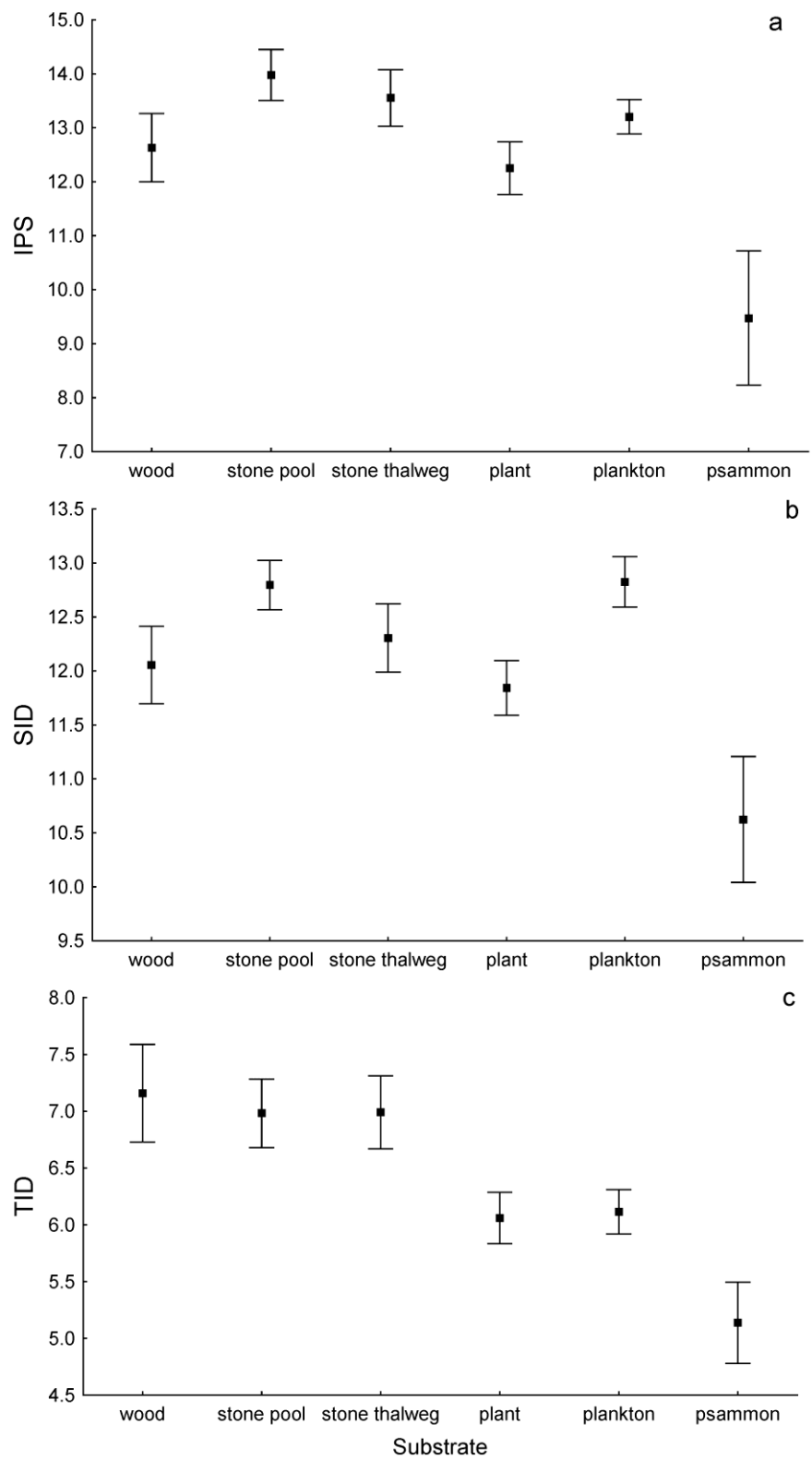
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635 Fig. 4



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