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## **An improved formula for evaluating electrical capacitance using the dissipation factor**

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12 **Keywords:** complex permittivity, dissipation factor, plant–soil system, root electrical capacitance, root system  
13 size, soil dielectric

## 15 Abstract

17 *Background and aims* The measurement of electrical capacitance in root–soil system ( $C_R$ ) is a useful method for  
18 estimating the root system size (RSS) *in situ*; however,  $C_R$ –RSS regressions are often poor. It was hypothesized  
19 that this weak relationships could be partly due to the variable energy-loss rate, indicated by the dissipation  
20 factor (DF).

21 *Methods* The values of  $C_R$  and the associated DF were measured in six plant species grown in quasi-hydroponic  
22 pumice medium, arenosol and chernozem soil. The dielectric properties of the plant growth media were also  
23 recorded. A modified root–soil capacitance,  $C_{DF}$ , was calculated from each  $C_R$ /DF pair according to the formula  
24  $C_{DF} = C_R \cdot (DF/DF_{mean})^\alpha$  by estimating  $\alpha$  with a standard nonlinear minimization of the sum of squared residuals  
25 for  $C_{DF}$ –RSS regressions.

26 *Results* The capacitive behavior of the medium improved (mean DF decreased) but fluctuated increasingly as the  
27 substrate became more complex. The mean DF values in plant–substrate systems were chiefly determined by the  
28 plant and were the most variable in chernozem soil. This strengthening substrate effect on  $C_R$  measurements  
29 appeared as a decreasing trend in the  $R^2$  values obtained for the  $C_R$ –RSS regressions. The regression slope was  
30 influenced by plant species and medium, while the y-intercept differed only between substrate types. The  
31 proposed use of  $C_{DF}$  in place of  $C_R$  could significantly improve the  $R^2$  of  $C_{DF}$ –RSS regressions, particularly in  
32 chernozem soil ( $R^2$  increased by 0.07–0.31).

33 *Conclusions* The application of  $C_{DF}$  will provide more reliable and accurate RSS estimations and more efficient  
34 statistical comparisons. The findings are worth considering in future investigations using the root capacitance  
35 method.

37 **Abbreviations:** AIC – Akaike’s Information Criterion; C – Electrical capacitance;  $C_p$  – Electrical capacitance of  
38 the planting substrate;  $C_R$  – Electrical capacitance of the root–soil system;  $C_{DF}$  – Electrical capacitance of the  
39 root–soil system corrected with dissipation factor; DF – Dissipation factor; NP – Number of model parameters;  
40 RDM – Root dry mass; RL – Root length; RSA – Root surface area; RSS – Root system size

## 42 Introduction

44 The reliable estimation of the extent and functionality of the root system is undoubtedly important not only for  
45 modeling and characterizing water and nutrient uptake, but also for determining many plant phenomena related  
46 to root development. It is thus essential for various plant physiological, agricultural and ecological studies. Due  
47 to the hidden nature of the root system, many conventional investigation methods (*e.g.* monoliths, soil cores, in-  
48 growth cores, pits or excavation) are time- and labor-intensive, expensive and inherently destructive, making  
49 them unsuitable for the continuous monitoring of the same plant. The results may also represent only part of the  
50 whole root system. Therefore, the application and improvement of non-intrusive techniques will have an  
51 increasing role in obtaining information about root size, morphology and functions *in situ* (Rewald and Ephrath  
52 2013). Though several methods of this type have been developed for the quantification of root characteristics  
53 (*e.g.* minirhizotron, MRI, tracers or X-ray imaging), their adaptability is greatly limited in many cases  
54 (Milchunas 2012). They often give poor resolution of the root structure (chiefly root hairs), tending to produce  
55 uncertain data, if any, on the actual activity or absorptive surface area of the root system.

56 The measurement of electrical capacitance in root–soil systems ( $C_R$ ) is one non-destructive method that is  
57 capable of providing an assessment of root system size (RSS) and functionality without damaging the plant. The  
58 process was developed by Chloupek (1972) using several crop species (maize, sunflower, oat, onion and rape)  
59 under greenhouse and field conditions. By fixing one electrode to the plant stem, embedding the other in the soil,  
60 and connecting them to a capacitance meter operating with a low-voltage alternating current (1V, 1 kHz AC), the  
61 measured  $C_R$  is directly correlated with root dry mass (RDM), root length (RL) and root surface area (RSA).

62 Capacitance is formed by the polarization and relaxation phenomena of living root membranes and cells,  
63 leading to changes in the amplitude and phase of the AC signal applied (Dvořák et al. 1981; Repo et al. 2000).  
64 Dalton (1995) was the first to present a conceptual model for the interpretation of the plant root–soil system, in  
65 which RSA was considered, at the macro-scale, to be the surface area of a group of parallel-connected  
66 cylindrical condensers having the same average diameter as the cellular system constituting the roots (Fig. 1).  
67 Dalton (1995) hypothesized that, within the root–soil–electrode network, the xylem and phloem sap in the roots  
68 form a low-resistance electrical conduit separated from the low-resistance external soil or nutrient solution by  
69 isolating root membranes. Thus, the polarized membrane plays the role of a dielectric in a capacitor, where the  
70 plant sap and soil solution provide the two conduit plates. The root–soil interface has a capacitance proportional  
71 to the charges accumulated on the membrane surfaces. In cylindrical condensers like plant roots, the plate

72 distance (d) is determined by the radii of the xylem ( $r_1$ ) and rhizodermis ( $r_2$ ), analogous to the internal and  
 73 external electrodes, respectively (Fig. 1). If  $r_{11}$  approaches  $r_{12}$  using the Taylor series expansion of logarithmic  
 74 function, the expression in Fig. 1 can be reduced to a form describing the capacitance of the sum of parallel-plate  
 75 condensers (Dalton 1995). The capacitance (C) of a parallel-plate condenser is commonly expressed by the  
 76 formula

$$77 \quad [\text{Eq. 1}] \quad C = \varepsilon_0 \cdot \varepsilon_r \cdot A \cdot d^{-1}$$

78 where  $\varepsilon_0$  is the permittivity of free space ( $8.854 \text{ F m}^{-1}$ ),  $\varepsilon_r$  is the relative permittivity of the dielectric, A is the  
 79 plate area and d is the plate separation (thickness of the dielectric).

80 Though Dalton's model still remains the main concept for the physical description of root-soil circuitry,  
 81 some of its assumptions have since been amended. Rajkai et al. (2005) and Dietrich et al. (2013) highlighted the  
 82 fact that the substrate around the roots also provides capacitance, and thus recommended a two-dielectric model  
 83 consisting of charge-storing conductive capacitor surfaces and two dielectric media with different permittivity.  
 84 The resulting capacitance measured between the ground and plant electrodes combines as the component  
 85 capacitors wired in series. Provided that the capacitance of the root tissue is much smaller than that of the rooting  
 86 substrate, the capacitance of the plant-substrate system is determined by the root tissue. Dietrich et al. (2012,  
 87 2013) found that  $C_R$  was dominated by the tissue between the plant electrode and the solution (or soil) surface  
 88 and was proportional to the cross-sectional area or circumference of the root at the solution (soil) surface. Thus,  
 89 the authors modified the conceptual framework of Dalton's model: the revised model approximated the root  
 90 tissue as a continuous dielectric, and considered the capacitances of tissues along an unbranched root to be  
 91 connected in series and those of the whole root system in parallel. Ellis et al. (2013a) proposed a new empirical  
 92 model relating RL to  $C_R$  and root tissue density ( $\rho$ ) which, in turn, estimated the  $\varepsilon_r$  of the root cortex. They  
 93 demonstrated also that the increasing proportion of the finest roots reduced the correlation. However, we need to  
 94 complement our understanding of electrical aspects of fine roots. Methodological specifications regarding  
 95 sample size, preparation, washing method or sieve mesh size vary widely between studies, resulting in large  
 96 differences of recovered root biomass and root length (Oliveira et al. 2000; Muñoz-Romero et al. 2010).

97 The main limitation for the generalization of the capacitance method is the sensitivity of  $C_R$  to edaphic  
 98 factors, such as soil water saturation, ionic status and soil texture (Dalton 1995; Ozier-Lafontaine and Bajazet  
 99 2005). Dalton (1995) and Ellis et al. (2013b) highlighted the need for careful and consistent placement of the  
 100 stem electrode, demonstrating a marked decrease in  $C_R$  as the electrode was fixed at increasing distances above  
 101 the root neck. The considerable effect of the shape and size of the ground electrode on  $C_R$  has recently been  
 102 shown in a pot experiment (Kormanek et al. 2016). Nevertheless, under standardized conditions (soil moisture  
 103 content corresponding to at least field capacity, homogenized medium with constant salinity and consistent  
 104 electrode placement) the method can provide a good estimation of RSS. The reliability of the technique was  
 105 demonstrated in various pot and field experiments focused on crop genotypes (Beem et al. 1998; Chloupek et al.  
 106 2006; Cseresnyés et al. 2013b, 2014, 2016) and young tree cultivars (Preston et al. 2004; Cao et al. 2010; Pitre et  
 107 al. 2010; Kormanek et al. 2016). Chloupek et al. (2010) emphasized that  $C_R$  data are relative, making them  
 108 comparable only for plants of the same species, grown in the same substrate at the same moisture level in the  
 109 same time frame.

110 Previous studies clearly indicate the varying degrees of success with which the capacitance method was  
 111 applied in root investigations (Aulen and Shipley 2012). In several cases,  $C_R$  proved to be an insignificant or  
 112 poor predictor of RSS, particularly when the measurements were performed not in hydroponic or mineral  
 113 substrates, but in more complex and heterogeneous natural soils (Postic and Doussan 2016). The reason for this  
 114 is that, while ideal physical capacitors store energy electrostatically with an infinitesimal effective energy loss,  
 115 root tissue – being an imperfect dielectric – acts as a leaky (poor) capacitor (Dalton 1995; Rajkai et al. 2005).  
 116 Additionally, soil constituents, particularly colloids, also possess dielectric character (Hilhorst 1998;  
 117 Arulanandan 2003), making the root-soil-electrode system more complicated electrically, and moreover, while  
 118 the Dalton model assumes homogeneous  $\varepsilon_r$  for the root cortex, the empirical allometric relationship between RL  
 119 and  $C_R$  revealed by Ellis et al. (2013a,b) was verified in the case of a root dielectric with variable  $\varepsilon_r$ .

120 Living tissues, including plant roots, can be considered as a parallel resistance-capacitance (RC-) circuit  
 121 that is a dielectric with losses (Ozier-Lafontaine and Bajazet 2005; Grimnes and Martinsen 2015), which can be  
 122 characterized by complex relative permittivity  $\varepsilon_r^*$  (Fig. 2):

$$123 \quad [\text{Eq. 2}] \quad \varepsilon_r^* = \varepsilon_r' - i \cdot \varepsilon_r''$$

124 where  $\varepsilon_r'$  is the real part of permittivity (energy stored electrostatically),  $\varepsilon_r''$  is the imaginary part of permittivity  
 125 (energy dissipation or energy loss due to conduction, *i.e.* to the motion of the charges), and i is the imaginary  
 126 unit,  $i^2 = -1$ . Thus, a complex capacitance  $C^*$  can be expressed as:

$$127 \quad [\text{Eq. 3}] \quad C^* = \varepsilon_0 \cdot (\varepsilon_r' - i \cdot \varepsilon_r'') \cdot A \cdot d^{-1}$$

128 The value of the tendency of dielectric materials to absorb some of the energy during AC application is defined  
 129 as the dissipation factor (DF) or loss tangent ( $\tan(\delta)$ ), which is the ratio of dielectric losses to energy storage  
 130 (Fig. 2):

$$131 \quad [\text{Eq. 4}] \quad \text{DF} = \tan(\delta) = \varepsilon_r'' / \varepsilon_r' = G / (\omega \cdot C),$$

132 where  $G$  is the electrical conductance ( $= 1/R$ ),  $\omega$  is the angular frequency and  $C$  is the capacitance.  
133 The loss angle  $\delta$  is the complementary angle of the phase angle ( $\Phi$ ) of capacitive impedance:

134 [Eq. 5]  $\delta = 90^\circ - \Phi$

135 A former study (Cseresnyés et al. 2013a) revealed that even-aged plant populations with fairly uniform  
136 RSS tended to show considerable variance in their impedance response (in  $\Phi$ , thus in DF) during electrical  
137 measurements, and higher  $\Phi$  (lower DF) values were generally associated with higher  $C_R$  and vice versa. It was  
138 hypothesized that the changeable values of DF and  $C_R$  could be attributed to the change in  $\epsilon_r^*$ , caused by  
139 variations in either  $\epsilon_r'$  or  $\epsilon_r''$  or both. Moreover, to obtain a better prediction of RSS by the  $C_R$  method, Ellis et al.  
140 (2013a) also suggested considering the mass density of the root tissue, which is related to dielectric properties  
141 (Aulen and Shipley 2012) and thus presumably to DF.

142 It was hypothesized that, in some cases, the low efficiency of  $C_R$  measurements and the insignificant or  
143 weak  $C_R$ –RSS relationship are at least partly due to the variability of electrical impedance derived from the  
144 variability of  $\epsilon_r^*$ , which influenced the measured DF and  $C_R$ . Therefore, the measurement of DF when the  $C_R$   
145 method is applied and the use of DF to modify  $C_R$  data will presumably contribute to enhancing the predictive  
146 capability of  $C_R$  for RSS.

147 The present study aimed to provide an improved empirical formula for the capacitance method, giving a  
148 practical basis for the more reliable estimation of RSS. The use of DF seemed to be suitable for this purpose,  
149 because this parameter can be displayed simultaneously with electrical capacitance using a precision LCR  
150 instrument, without the need for any additional work. The influence of the plant species and growth substrate on  
151 the mean value and standard deviation of DF were first investigated. Secondly, the effect of species and substrate  
152 on the parameters, *i.e.* the slope, y-intercept and coefficient of determination ( $R^2$ ) of linear regressions between  
153  $C_R$  and RSS variables (*i.e.* RDM, RL and RSA) was studied. Finally, the aim was to find a mathematical formula  
154 comprising both  $C_R$  and DF, with which the  $R^2$  of  $C_R$ –RSS regressions could be improved.

## 155 **Materials and methods**

### 156 *Plant cultivation*

157  
158 The experimental work was performed on six crop species, namely bean (*Phaseolus vulgaris* L. Cv. Goldrush),  
159 cucumber (*Cucumis sativus* L. cv. Perez-F1), maize (*Zea mays* L. cv. DC 488F1), soybean (*Glycine max* L.  
160 Merr. cv. Martina), tomato (*Lycopersicon esculentum* Mill. cv. Kecskeméti 549) and wheat (*Triticum aestivum*  
161 L. cv. TC33). Each crop was grown in three contrasting types of planting substrate: the soil-analog pumice  
162 medium, natural arenosol and chernozem. Pumice – a porous, chemically inert vitroclastic perlite – is a  
163 commercially available hydroponic medium, which allows good water retention and aeration during plant  
164 cultivation. The coarse-textured arenosol (IUSS 2015) and the chemically and structurally more complex  
165 chernozem were collected from the field, then spread on large trays and completely air-dried at room  
166 temperature. The dried soils were passed through a coarse sieve to remove large clods and plant material. The  
167 main physical and chemical properties of the substrates were determined according to Buzás (1988) (Table 1).

168 A total of 540 (for 30 replicates of 6 species in 3 growing media) 3.75 L plastic pots were lined with  
169 plastic mesh to stop the substrates leaking through the drain holes, and then filled with pumice or soil. The crop  
170 seeds were germinated by placing them on moistened paper towels in Petri dishes and keeping them in the dark  
171 at 25 °C for 2–4 days (depending on the species). Three germinated seeds were placed in each pot, then the  
172 seedlings were thinned to one per pot five days after planting (DAP). Plant cultivation was carried out in a large  
173 growth room at 28/18 °C day/night temperature and 16/8 h photoperiod, with a photon flux density of 800  $\mu\text{mol}$   
174  $\text{m}^{-2} \text{s}^{-1}$  and relative humidity of 50–80%. The substrates were irrigated daily with tap water to field capacity: the  
175 pots were placed on a balance ( $\pm 1$  g) and watered to a weight calculated from the soil volume and the water  
176 content at field capacity. The volumetric water content was measured with a Trime-FM3 TDR meter (IMKO  
177 GmBH, Ettlingen, Germany) and then adjusted precisely to field capacity by adding more water as required  
178 (owing to the increment of plant biomass in the pots). Furthermore, the pumice was fertilized twice a week from  
179 DAP 5 with 100 mL of Hoagland's solution to prevent nutrient deficiency in the plants.

### 180 *Electrical measurements*

181  
182 The electrical impedance response was measured with a GW-8101G precision LCR-bridge (GW Instek Co. Ltd.,  
183 Taiwan) with 1 V terminal voltage at 1 kHz AC frequency. DF and  $C_R$  were displayed for a parallel RC-circuit.  
184 One terminal of the instrument was connected to the ground electrode, a stainless steel rod (6.3 mm in diameter  
185 and 18 cm long) inserted to a depth of 15 cm into the potting medium at a distance of 8 cm from the stem base.  
186 The other terminal was linked to the plant with a spring tension clamp fixed through a 5 mm wide aluminum  
187 strip that bent the stem to avoid any plant injury (Beem et al. 1998; Rajkai et al. 2005). Since the placement of  
188 the plant electrode is known to influence  $C_R$  (Dalton 1995), a distance of 10 mm was consistently maintained  
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192 between the lower edge of the aluminum strip and the substrate surface. Electrocardiograph paste (Vascotasin®;  
 193 Spark Promotions Co. Ltd., Budapest, Hungary) was smeared under the clamp to maintain electric contact  
 194 (Rajkai et al. 2005). Two hours before the measurement the plants were brought into the laboratory (22 °C) and  
 195 watered to field capacity (see above). In this manner, the soil moisture values measured by the TDR instrument  
 196 at each measuring date did not differ significantly among the treatments. Prior to the  $C_R$  measurement, the  
 197 parallel capacitance,  $C_p$  and DF of the planting media were also detected in the pots between two identical  
 198 ground electrodes embedded in the soil at 8 cm distance and attached to the LCR-bridge.

199 For each plant species, electrical measurements were executed over a 30-day period: between DAP 6 and  
 200 35 in bean, cucumber, maize and soybean, and from DAP 11 to 40 in tomato and wheat (in the latter cases,  
 201 fastening the electrode to the thin plant stem was not feasible earlier). One plant from among the 30 replicates of  
 202 each species and substrate type was chosen daily for electrical measurement and subsequent harvest in order to  
 203 obtain ranges of RSS for data evaluation.

#### 204 205 *Plant harvest and RSS evaluation*

206  
207 Immediately after the electrical measurement, the selected plants were destructively sampled. The shoots were  
 208 cut at the substrate surface, after which the roots were separated from the substrate by hand washing with a water  
 209 sprinkler carefully (to avoid the breaking of roots) over a 0.5-mm mesh sieve followed by the root-flotation  
 210 method (Oliveira et al. 2000). Great care was also taken during flotation to minimize the loss of fine roots. The  
 211 washed roots were stained with methyl violet solution for 48 h, then rinsed with water. To assess RL and RSA,  
 212 the stained root systems were laid in a rectangular glass tray containing water and subjected to scanning and  
 213 image analysis (Delta-T Devices Ltd., Cambridge, UK). Finally, the roots were oven-dried at 70 °C to constant  
 214 weight and weighed ( $\pm 0.001$  g) to determine RDM.

#### 215 216 *Data analysis*

217  
218 Statistical evaluation was performed using “R package nloptr, ver. 1.0.4.” software (Johnson 2014). The  
 219 measured DF data were analyzed by testing the homogeneity of their variances using a modified robust Brown–  
 220 Forsythe Levene-type test based on absolute deviations from the median (Quinn and Keough 2002, p. 195). The  
 221 effect of plant species, substrate type or their interactions on mean DF was evaluated by two-way ANOVA. The  
 222 distribution of DF proved to be non-normal (with heavier right tail than the normal), thus a robust two-way  
 223 ANOVA for median was applied with confidence intervals calculated by bootstrapping (Wilcox 2012, p. 201).  
 224 The analysis was also performed using standard two-way ANOVA.

225 The relationship between electrical capacitance and RSS variables (RDM, RL or RSA) was analyzed  
 226 using the linear regression method by minimizing the sum of squared deviations. As a first step, the root–soil  
 227 capacitance,  $C_R$ –directly measured by the LCR instrument–was used for these regression analyses to obtain  
 228 separate regression equations for the RSS variable, species and substrate type ( $C_R$ –RSS regressions). Thereafter,  
 229 a mathematical formula was created to convert the measured  $C_R$  into a corrected value,  $C_{DF}$  using the DF value.  
 230 Since the measured  $C_R$  data associated with lower and higher DF tended to appear above and below the  
 231 regression line, respectively, in the course of  $C_R$ –RSS regression, the following formula was chosen to improve  
 232 the fit of the regression model:  $C_{DF} = C_R \cdot (DF/DF_{mean})^\alpha$  where  $C_{DF}$  is the root–soil electrical capacitance corrected  
 233 with the dissipation factor,  $C_R$  is the measured root–soil electrical capacitance, DF is the measured dissipation  
 234 factor,  $DF_{mean}$  is the mean dissipation factor for a given plant in a given substrate ( $n = 30$ ) and  $\alpha$  is a nonlinear  
 235 correction factor. For each  $C_{DF}$ –RSS regression,  $\alpha$  was estimated with a standard nonlinear minimization of the  
 236 sum of squared residuals (quasi-Newton method BFGS; Quinn and Keough 2002, p. 151). There were  $3 \cdot 3 \cdot 6 = 54$   
 237 regressions: 3 types of RSS variables (RDM, RL or RSA), 3 growing substrates and 6 plant species. The number  
 238 of replications was  $n = 30$  for each, giving a total of  $N = 30 \cdot 54 = 1620$  data points. If the number of parameters  
 239 in a model is denoted as NP, then the degrees of freedom of the residual sum-of-squares  $ResDegF = N - NP$   
 240 (here the statistical term “degrees of freedom” is abbreviated as DegF to avoid the confusion with the symbol DF  
 241 used for the dissipation factor).

242 The more detailed version of the correction formula is

$$243 \text{ [Eq. 6] } CDF_{p,r,s} = CR_{p,r,s} \cdot (DF_{p,s} / DF_{mean_{p,s}})^\alpha$$

244 where CDF stands for  $C_{DF}$ , DFmean for  $DF_{mean}$ ,  $p = 1..6$  for the plant species,  $s = 1..3$  for the substrate,  $r = 1..3$   
 245 for the type of RSS variables (*i.e.*  $RSS_1 = RDM$ ,  $RSS_2 = RL$  and  $RSS_3 = RSA$ ) and  $\alpha$  will be specified later. For  
 246 each (p,r,s) group, CR, DF and RSS variables are vectors composed of the 30 replications performed in each  
 247 situation during this experimental campaign.

248 The following five models were taken into account (see Table 2 for constraints on model parameters):

$$249 \text{ Model 1 (M1): } CDF_{p,r,s} = a_{p,r,s} + b_{p,r,s} \cdot RSS_{p,r,s} \text{ and Eq 6. with } \alpha = \alpha_{p,r,s}$$

250 where  $a_{p,r,s}$  (the y-intercept),  $b_{p,r,s}$  (the slope) and  $\alpha_{p,r,s}$  are free parameters. The number of parameters in M1 was  
 251  $NP1 = 3 \cdot 3 \cdot 3 \cdot 6 = 162$ , and the residual degrees of freedom for M1 was  $ResDegF1 = N - NP1 - 1 = 1457$ .

252 *Model 2 (M2):*  $CDF_{p,r,s} = a_{p,r,s} + b_{p,r,s} \cdot RSS_{p,r,s}$  and Eq 6. with  $\alpha = \alpha_{p,s}$   
253 where  $a_{p,r,s}$ ,  $b_{p,r,s}$  and  $\alpha_{p,s}$  are free parameters. The number of parameters in M2 was  $NP2 = 3 \cdot (1+3+3) \cdot 6 = 126$ , so  
254 the residual degrees of freedom for M2 was  $ResDegF2 = N - NP2 - 1 = 1493$ .

255 *Model 3 (M3):*  $CDF_{p,r,s} = a_{p,s} + b_{p,r,s} \cdot RSS_{p,r,s}$  and Eq 6. with  $\alpha = \alpha_{p,s}$   
256 where  $a_{p,s}$ ,  $b_{p,r,s}$  and  $\alpha_{p,s}$  are free parameters. The number of parameters in M3 was  $NP3 = 3 \cdot (1+1+3) \cdot 6 = 90$ , so  
257 the residual degrees of freedom for M3 was  $ResDegF3 = N - NP3 - 1 = 1529$ .

258 *Model 4 (M4):*  $CDF_{p,r,s} = a_s + b_{p,r,s} \cdot RSS_{p,r,s}$  and Eq 6. with  $\alpha = \alpha_{p,s}$   
259 where  $a_s$ ,  $b_{p,r,s}$  and  $\alpha_{p,s}$  are free parameters. The number of parameters in M4 was  $NP4 = 3 \cdot 6 + 3 + 3 \cdot 3 \cdot 6 = 75$ , so  
260 the residual degrees of freedom for M4 was  $ResDegF4 = N - NP4 - 1 = 1544$ .

261 *Model 5 (M5):*  $CDF_{p,r,s} = a + b_{p,r,s} \cdot RSS_{p,r,s}$  and Eq 6. with  $\alpha = \alpha_{p,s}$   
262 where  $a$ ,  $b_{p,r,s}$  and  $\alpha_{p,s}$  are free parameters. The number of parameters in M5 was  $NP5 = 3 \cdot 6 + 1 + 3 \cdot 3 \cdot 6 = 73$ , so the  
263 residual degrees of freedom for M5 was  $ResDegF5 = N - NP5 - 1 = 1546$ .

264 In order to choose the best model, the Akaike Information Criterion (AIC) was calculated for each model  
265 listed above as  $AIC = N \cdot \ln(SSQResid) + 2 \cdot NP - N \cdot \ln(N)$ , where  $N$  is the total number of data points,  $NP$  is the  
266 number of parameters in the model and  $SSQResid$  is the residual sum-of-squares of the model (Quinn and  
267 Keough 2002, p. 139). The basic idea was to eliminate unnecessary parameters using an optimization function  
268 that balanced model fit and parsimony.

## 269 Results

### 270 *Electrical properties of substrates*

271  
272 The ANOVA procedure revealed highly significant differences between the parallel electrical capacitance values  
273 of the planting substrates: the lowest ( $6.5 \pm 0.8$  nF; mean  $\pm$  SD), medium ( $18.5 \pm 0.7$  nF) and highest ( $31.1 \pm 1.4$   
274 nF)  $C_p$  values were measured in pumice, chernozem and arenosol, respectively (Fig. 3). All three media  
275 exhibited relatively high DF, indicating their poor charge storage capacity and predominant ohmic resistance.  
276 The mean DF also differed significantly among the substrates: the highest ( $29.7 \pm 1.2$ ), medium ( $24.1 \pm 1.5$ ) and  
277 lowest ( $14.9 \pm 1.7$ ) mean values were obtained for pumice, arenosol and chernozem, respectively. Though the  
278 Brown–Forsythe test showed that the group SDs did not differ significantly, it is worth mentioning that SD  
279 increased (from pumice to chernozem) as the mean DF decreased.

### 280 *Dissipation factor (DF) in plant–substrate systems*

281  
282 The DF values detected in plant–substrate systems proved to be considerably smaller than those measured for the  
283 substrates, and showed great variability among plant species (Fig. 4). Irrespective of the substrate used, the  
284 lowest and highest mean DF values were obtained for wheat and soybean, respectively. The mean DF ranged  
285 from 2.51 to 3.79 in pumice, from 2.69 to 3.92 (0.12–0.18 higher for each species) in arenosol and from 2.30 to  
286 3.81 in chernozem. The SDs of the above data groups were the lowest (0.46–0.66) in pumice and the highest  
287 (0.63–0.92) in chernozem for all the species.

288 Standard two-way ANOVA was first used for the statistical analysis of the data. This test revealed that the  
289 plant species had a highly significant effect and the substrate type a significant effect while their interaction was  
290 non-significant (Table 3). As the Brown–Forsythe test indicated heterogeneity of variance, influenced  
291 significantly by the plant ( $F = 2.75$ ;  $p = 0.018$ ), the substrate ( $F = 3.47$ ;  $p = 0.032$ ) and their interaction ( $F = 1.77$ ;  
292  $p = 0.029$ ), data analysis was repeated using a robust two-way ANOVA for medians, using the “R package WRS  
293 2, ver. 0.4.” software (Mair et al. 2015). The latter procedure showed that the effect of the plant on DF was  
294 highly significant, while the effect of the substrate and their interaction were non-significant (Table 3).

### 295 *Root–soil capacitance ( $C_R$ ) and root system size (RSS)*

296  
297 The minimum value of  $C_R$ , detected in the youngest plants, was within the range of 0.363–0.459 nF, 1.616–1.908  
298 nF and 1.323–1.783 nF in pumice, arenosol and chernozem, respectively (Table 4). The maximum  $C_R$ , generally  
299 measured in the oldest plants, showed great variability not only between the substrate types but also between  
300 species. In each medium, the maximum  $C_R$  was the highest in maize (pumice: 5.871 nF; arenosol: 14.85 nF;  
301 chernozem: 12.10 nF) and the lowest in bean (1.174 nF; 3.515 nF and 3.292 nF).

302 RSS was strongly dependent on the plant species. Soybean showed the highest RDM (1.837–2.012 g) in  
303 all the substrates. The largest RL was produced by soybean in pumice (142.2 m) and by maize in arenosol (147.7  
304 m) and chernozem (201.6 m). The species with the highest RSA was soybean in pumice (1793 cm<sup>2</sup>) and arenosol  
305 (1313 cm<sup>2</sup>), but maize in chernozem (1475 cm<sup>2</sup>). Depending on the substrate type and the RSS variable, the  
306 smallest root system was developed by bean or tomato by the end of the experiment.

312 *C<sub>R</sub>-RSS regressions*

313  
314 Linear regression revealed significant ( $p < 0.01$ ) positive relationships between  $C_R$  and RSS for each substrate,  
315 species and RSS variable ( $R^2 = 0.451-0.942$ ;  $F = 23.0-450.6$ ;  $DegF = 29$ ; Table 5). From among the numerous  
316 regressions obtained, the  $C_R$ -RDM relationships for the dicot bean and the monocot wheat grown in different  
317 substrate types are graphically represented in Fig. 5 and 6, respectively (left panels). The calculated y-intercept  
318 (in nF) clearly depended on the planting medium: 0.463–0.597 in pumice, 2.048–2.203 in arenosol and 1.582–  
319 1.788 in chernozem.

320 The slope of the regression line proved to be strongly dependent on the plant species, differing by almost  
321 an order of magnitude in some cases. Irrespective both of the substrate and the RSS variable used, the smallest  
322 slope was always obtained for soybean: 0.573–1.238 nF g<sup>-1</sup> RDM, 0.008–0.017 nF m<sup>-1</sup> RL and 0.0007–0.0016  
323 nF cm<sup>-2</sup> RSA. The steepest slope was shown by wheat for RDM (7.375–11.10 nF g<sup>-1</sup>), by tomato or wheat for  
324 RL (0.053–0.102 nF m<sup>-1</sup>) and by maize or wheat for RSA (0.0057–0.0094 nF cm<sup>-2</sup>) in the different media. In  
325 terms of the substrate types, the greatest slope was obtained for all species and RSS variables in pumice, and the  
326 smallest mostly in arenosol, but in some cases in chernozem.

327 Interesting tendencies were seen in the  $R^2$  values calculated for the regressions. With regard to the species,  
328 the best fit, with  $R^2$  of 0.757–0.942, was obtained for maize in each case, followed by wheat or tomato, while the  
329 lowest  $R^2$  value (from 0.451 to 0.796) was found for bean, the only exception being soybean RSA in pumice.  
330 When considering the substrate type, the highest  $R^2$  values (from 0.751 to 0.942) were found in pumice and the  
331 lowest (from 0.451 to 0.830) in chernozem for each species and RSS variable (the only exception being the RL  
332 of wheat in arenosol). No relationship was observed between  $R^2$  and the RSS variables.

333  
334 *C<sub>DF</sub>-RSS regressions and model selection*

335  
336 Linear regressions between RSS variables and  $C_{DF}$  (calculated for each electrical measurement from the detected  
337  $C_R$  and associated DF data using Eq. 6) were fitted according to M1 (Table 6). The application of M1 resulted in  
338  $R^2$  values of 0.866–0.972 and 0.818–0.954 for pumice and arenosol, respectively, and 0.696–0.936 for  
339 chernozem for the majority of species, with the exception of tomato ( $R^2 = 0.551-0.675$ ). The correction factor  $\alpha$ ,  
340 estimated from a standard nonlinear minimization of the sum of squared residuals using  $C_{DF}$  and RSS data,  
341 generally varied from 0.39 to 1.09 (but was between 1.63 and 1.72 for tomato in chernozem) and showed no  
342 relationship with the potting media ( $p = 0.079$ ) or species ( $p = 0.082$ ). Since  $\alpha = 0$  corresponds to the  $C_{DF} = C_R$ ,  
343 correlation coefficients found in  $C_{DF}$ -RSS regressions are at least equal to those found in  $C_R$ -RSS regressions. In  
344 consequence, all 54 regressions of model M1 gave more reliable estimates for RSS, as indicated by higher  $R^2$   
345 values, than for the corresponding relationships based on  $C_R$  (Table 5). The coefficient increased by 0.011–0.195  
346 in pumice and by 0.042–0.242 in arenosol. In chernozem the increase was 0.036–0.177 for tomato and 0.070–  
347 0.312 for the other species. The three-way ANOVA showed that the effect of substrate type on the y-intercept  
348 was extremely significant and that the effect of plant species was also significant, but the RSS variable had no  
349 influence on the y-intercept (Table 7). The same test for slope revealed that the effect of the RSS variable was  
350 extremely significant and the effect of species was significant, but the substrate type had no influence on the  
351 slope.

352 Linear regression involved two parameters (y-intercept and slope) and an additional y-correction  
353 parameter  $\alpha$  was used (Eq. 6), so the aforementioned model was somewhat overparameterized with  $54 \cdot 3 = 162$   
354 parameters. In order to find the optimal subset of parameters, a sequence of five models was taken into  
355 consideration, starting with that explained above. The summarized statistics of the initial model, designated M1,  
356 are given in the first line of Table 8. Smaller AIC values indicate better models, so M4 proved to be the best  
357 model in the series. NP decreased from 162 to 75, while the  $R^2$  values remained almost as good as in M1. The  
358 finite sample size corrected version of AIC (AICc) and Evidence Ratio (Burnham and Anderson 2004) were also  
359 applied to characterize the relationships between models M1 to M5. AICc gave almost the same values as AIC  
360 due to the relatively high sample size ( $N = 1620$ ). Model M4 proved to be the only reasonable choice from the  
361 set of models M1 to M5, as the Akaike Weight of M4 was 0.999. Evidence Ratios and their logarithms  
362 confirmed this decision (Table 8). The authors do not claim to have tested all possible models, but present the  
363 results of an AIC controlled stepwise model selection procedure. ANOVA analyses on the estimated parameters  
364 are given in Table 7.

365 M4 included a common  $\alpha$  factor for all three RSS variables for a given species in a given substrate,  
366 varying from 0.41 to 1.03, though a value of 1.66 was found for tomato grown in pumice, as in M1 (Table 9).  
367 The y-intercept only differed between the substrates, being 0.529, 2.129 and 1.600 nF for pumice, arenosol and  
368 chernozem, respectively. The  $R^2$  values achieved with M4 were exactly the same or only slightly lower (by at  
369 most 0.013) than those obtained using M1. The  $C_{DF}$ -RDM regressions for bean and wheat are graphically shown  
370 in Fig. 5 and 6, respectively (right panels).

371

## Discussion

### *Effect of plant and substrate on $C_R$ -RSS regressions*

The experimental results suggest that plant species and substrate type had a great influence on the regression between electrical capacitance and RSS. This finding is consistent with previous studies describing the necessity of specific calibration for each plant–substrate system (Dalton 1995; Chloupek et al. 2006; Ellis et al. 2013b). As in the present work, Aulen and Shipley (2012) reported highly variable slope estimates for RDM ( $2.0\text{--}43.3\text{ nF g}^{-1}$ ) in ten herbaceous species grown in the same organic soil mixture. Chloupek (1972) obtained a slope of  $0.59\text{ nF g}^{-1}$  RDM for maize in sand, which is an order of magnitude lower than the value of  $5.4\text{ nF g}^{-1}$  obtained here. The discrepancy with our results can no doubt be attributed to differences in the soil moisture and soil composition and in the type and placement of the ground and plant electrodes. Dietrich et al. (2012, 2013) also found a significant linear relationship between the  $C_R$  and RDM in wheat plants of different root sizes, but their experiments revealed that  $C_R$  was determined by the cross-sectional area of roots at the substrate surface. Thus, the linear  $C_R$ -RDM relationship appeared to result from allometric relationships between RDM and the cross-sectional area of roots near the substrate surface. Though cross-sectional area was not measured in the present study, a close relationship was found in general between RSS variables of the same species (data not shown), which is indirectly indicated by the relatively similar  $R^2$  values obtained in many cases for different  $C_R$ -RSS regressions for the same species and growth media. The considerable species-specific differences in the slope of regression are likely to be attributable to the great differences between species both in root cross-sectional area and in the morpho-anatomical properties of the root system and the stem base. Dietrich et al. (2012) demonstrated that the gradient of the relationship was much (4.3-fold) steeper for seminal than for nodal roots of the same barley cultivar. The small regression slopes for soybean were probably caused by the strong lignification of the stem base from the early vegetative stage of plant ontogeny, which may influence the capacitive response. The  $C_R$ -RSS regressions have a positive y-intercept (Table 5, Fig. 5 and 6); the “accompanying” capacitance is thought to be a function of substrate type and water status (Chloupek 1977; McBride et al. 2008; Chloupek et al. 2010).

All the relationships between capacitance and root properties were highly significant ( $p < 0.001$ ), but the predicted variance was dependent on the species and substrate. The higher  $R^2$  values obtained for maize and wheat were presumably due to the fact that monocots have a fibrous root system with no thick taproots, the contribution of which to the electrical circuit is uncertain (Ellis et al. 2013a). In relation, the smaller mean DF displayed by the two cereals indicated more efficient charge storage, probably caused by the different root structure and tissue properties compared to the dicots (Wachsman et al. 2015). The better regression fit for the monocots can also be interpreted according to the improved model reported by Dietrich et al. (2012), if a closer allometric relationship existed between the size of the fibrous root system and the root cross-sectional area at the soil surface (which is proportional to  $C_R$ ).

Although high  $R^2$  values were obtained for the regressions in pumice (quasi-hydroponic) medium, capacitance became a poorer predictor of root attributes as the soil complexity increased. The present results correspond with previous findings indicating weaker correlations in structurally and chemically complex soils or organic substrates (manure and compost) than in hydroponics or sand-based cultures (Chloupek 1972; Aulen and Shipley 2012), making it difficult to extrapolate the capacitance method from pot studies to the field. On the one hand, a possible explanation for these observations was the greater difficulty faced when removing fine roots from substrates that tend to adhere to the roots. A field study by Muñoz-Romero et al. (2010) demonstrated that wheat root separation from vertisol cores using a sieve with a 0.5 mm mesh screen led to a marked (and consistent) underestimation of root biomass compared to using a 0.2 mm mesh screen. On the contrary, Livesley et al. (1999) found that maize roots passing through the 0.5 mm sieve, but recovered by the 0.25 mm sieve contributed only slightly to root biomass. Consequently, in future studies, it is definitely important to clarify how the various root extraction (sieve mesh size, flotation) and investigation (scanning and image analysis) methods influence the size estimation of intact root systems growing in soil media in order to increase the reliability of the results.

Soil water content was considered to be another major constituent in the reliability and accuracy of  $C_R$  measurement, adding noise to the electrical relation if variable (Postic and Doussan 2016). Water status locally around the stem base and on the top layer of the substrate is of crucial importance for measuring  $C_R$  (Dietrich et al. 2013). In more complex rooting media (soils), the heterogeneity in water content resulted in variable contact between roots and soil solution, influencing the capacitive response.

### *Role of DF in data evaluation*



430 The results convincingly demonstrated the considerable role of DF in the evaluation of  $C_R$  data. An apparent  
431 capacitance ( $C_{DF}$ ) normalized with DF according to the scheme set out in Eq. 6 proved to be a more reliable  
432 predictor of RSS than directly measured  $C_R$ .

433 According to the ANOVA results, in plant–substrate systems DF is mostly determined by the species, but  
434 is probably also influenced by the substrate (Fig. 4): standard ANOVA showed a significant substrate effect ( $p =$   
435  $0.011$ ), whereas robust ANOVA indicated borderline significance ( $p = 0.087$ ). Considering the substrates  
436 themselves, capacitive loss was found to be the smallest but the most variable for chernozem and the highest but  
437 the least variable for pumice (Fig. 3). It is suspected that the unstable capacitive character of chernozem soil may  
438 confound the root measurements and cause higher fluctuation in DF and thus in the  $C_R$ , leading to lower  $R^2$  for  
439 the linear model. This can be mitigated by using the  $\alpha$  factor and the  $C_{DF}$  parameter.

440 The application of the correction factor  $\alpha$  aimed to reduce the magnitude of the residuals found in the  
441 linear regression between electrical variables and RSS variables. The value of  $\alpha$  was roughly between 0.4 and 1.1  
442 in most cases and showed no dependence on any of the variables tested. The transformation described by Eq. 6  
443 proved to be optimal when  $\alpha$  was  $< 1.1$ , since this led to an overall reduction in  $C_R$  values and the number of  
444 outliers. This was true in each case, the only exception being tomato in pumice, where the optimum was attained  
445 at  $\alpha = 1.6–1.7$ . A closer look revealed that there was a negative correlation between  $C_R$  and DF in this case and  
446 extremely low  $C_R$  values when  $DF > DF_{mean}$  (data not shown), so the values of the product on the right side of  
447 Eq. 6 remained low when  $\alpha$  was  $> 1$ . Former studies showed that DF tended to depend on the plant phenological  
448 stage, owing to the characteristic biochemical and physical changes in the root tissue (Aubrecht et al. 2006;  
449 Cseresnyés et al. 2013a). In the present study, despite the short cultivation time, which only covered the  
450 vegetative growth stage, the increasing trend of  $C_R$  measured in tomato plants developing in pumice proved to be  
451 significantly associated with decreasing DF. This finding implies that soil conductivity has a contribution in the  
452 DF measurements. More detailed investigations will be required to explain the exceptional value of  $\alpha$  in this case  
453 and to test the repeatability of this phenomenon.

#### 454 *Relation of $C_p$ and DF with substrate properties*

455 The fluctuation in  $C_R$  appears to be associated with the fluctuation in electrical impedance (shown by DF),  
456 probably due to the unsteady components of complex relative permittivity  $\epsilon_r^*$ . The observed variability in  
457 dielectric characteristics between and within the substrates is attributable to their different physicochemical  
458 properties (Hilhorst 1998; Arulanandan 2003). Pumice is mainly composed of amorphous silicon dioxide and  
459 aluminum oxide, which are relatively poor in charged colloidal particles, so the dielectric behavior is  
460 predominantly governed by the solution that fills the pores. The fluid phase contains a small quantity of charges  
461 with high mobility, resulting in low  $C_p$  and high capacitive loss with low variance (due to the homogeneous,  
462 ground medium). The high  $C_p$  exhibited by arenosol is related to the greater amount of polarizable charges  
463 carried by the colloidal surfaces of the constituent clay minerals and organic substances (Singh and Uehara  
464 1999). In this case, the moderate value of DF is indicative of the decreased conductivity ( $\sigma$ ) caused by the  
465 reduced mobility of charge carriers owing to counterion adsorption and hydration shell formation (Grimnes and  
466 Martinsen 2015). Among the planting media used, chernozem has the highest percentage of colloidal clay and  
467 organic matter incorporated into diverse organo-mineral complexes (Brady and Weil 2007). The smaller  $C_p$   
468 compared to arenosol is likely due to the reduced polarizability of the bound particles, whereas the lower rate of  
469 dielectric loss shows the more retarded charge migration. The diverse pool of clay minerals and organic  
470 compounds assembles into aggregates of various shapes and sizes, generating inhomogeneous structure and thus  
471 water distribution, which appears as the increased variance in detected DF. The aforementioned differences in  
472 substrate properties are clearly seen in their parallel electrical conductance ( $G$ ), calculated from the measured  $C_p$   
473 and DF values according to Eq. 4: conductance proved to be the smallest in pumice (1.22 mS; due to the low  
474 amount of movable charges), somewhat higher in chernozem (1.72 mS; large amount of ions but retarded  
475 migration) and much higher in arenosol (4.70 mS; high quantity of mobile charges). Parallel  $G$  for the plant–  
476 substrate systems was one or two orders of magnitude lower than that of the substrates, ranging from 5.68  $\mu$ S to  
477 0.25 mS depending on plant size, species and substrate type. Dalton's model assumes that electric current flows  
478 between the ground and the plant electrodes through the root system (radially in root cortex and axially along  
479 xylem vessels). However, a possible consequence of high soil conductivity is that current could flow  
480 preferentially through the soil instead of passing through the root tissues, as suggested by Dietrich et al.'s model.  
481 Therefore, further investigations are needed about the current path between the electrodes (particularly inside  
482 roots) in order to resolve the contradiction between the two models and maybe to interpret some former results.

483 The present observations on  $C_p$  and  $C_R$  are in accordance with the two-dielectric (series-connected root  
484 and soil dielectric) capacitor model. An accurate estimation of the root capacitance requires that the capacitance  
485 of the plant-growth medium is substantially higher than that of the root system (Rajkai et al. 2005; Dietrich et al.  
486 2012, 2013). This criterion was met in the present experiments, as much higher capacitances were measured for  
487 the substrates (Fig. 3) than for the plant–substrate systems (Table 4), with a difference of more than an order of  
488  
489

490 magnitude in some cases (depending on plant size). This confirmed that the  $C_R$  values were dominated by the  
491 plant tissue.

492

#### 493 *Effect of root traits and electrode placement on capacitance response*

494

495 As roots comprise component materials with various  $\epsilon_r$  (Ellis et al. 2013b), natural differences in root system  
496 properties between plants of the same species are also obviously responsible for the variable capacitance losses.  
497 Several chemical and structural features of roots are thought to, or have been observed to influence electrical  
498 behavior, including the following:

499 (i) Individual plants may differ in their root dry matter content in relation to the cell-wall fiber content and  
500 tissue density ( $\rho$ ), which influence the capacitance response of the root system by affecting the preferential  
501 pathways (apoplastic or symplastic) of the electrical current (Dvořák et al. 1981; Aulen and Shipley 2012; Ellis  
502 et al. 2013a).

503 (ii) Root systems are complicated hierarchical structures with various distributions of root segments with  
504 different length, diameter, internal architecture and cell-wall chemical composition (which is associated with  
505 permeability). Root segments of different ages contain very different amounts and proportions of suberin and  
506 lignin in the endo- and exodermal cell walls (Hose et al. 2001). Lignin and suberin have lower permittivity ( $\epsilon_r \sim$   
507  $2\text{--}2.4$ ) than the other main component materials of the root, such as water ( $\epsilon_r \sim 80$ ) and cellulose ( $\epsilon_r \sim 7.6$ ; Ellis  
508 et al. 2013b), so the variability in their quantity is likely to cause considerable variation in the dielectric  
509 properties of the root tissue. Capacitance behavior is strongly determined by the geometric properties  
510 (morphology and branching order; Fig. 1) of the roots as well (Dalton 1995; Cao et al. 2010).

511 (iii) Aulen and Shipley (2012) described the intra-individual root density effect: most species have a  
512 propensity for concentrating fine roots in a small soil volume, such as in nutrient- or water-rich microsites.  
513 Dense root clustering has an adverse influence on root–soil electrical contact, thus confounding the capacitance  
514 response.

515 (iv) Electrical signal loss is likely to increase with the distance the electrical current travels along the root  
516 “circuit”. Therefore, the resulting capacitive loss is influenced by the relative distribution of RL at different  
517 distances from the root neck (Urban et al. 2011; Ellis et al. 2013a). This characteristic is related to root depth  
518 distribution, which may be variable within species.

519 (v) The majority of vascular plants form root associations with arbuscular mycorrhizal (AM) or  
520 ectomycorrhizal fungi. Mycorrhizae often result in changes in root morphology (e.g. absorptive area, root length  
521 density or root architecture), water and nutrient uptake rate and hydraulic conductivity (Bárcana et al. 2012),  
522 leading to marked changes in root electrical properties, including capacitive behavior (Cseresnyés et al. 2013b)  
523 and the real and imaginary parts of impedance spectra (Repo et al. 2014). The intensity and frequency of AM  
524 colonization exhibit substantial differences not only between plants of the same species, but even between  
525 different regions of the same root system (Füzy et al. 2015), contributing to the varied capacitive response.

526 In addition to the differences in root properties outlined above, the placement of the stem electrode may  
527 also be responsible for fluctuations in capacitive loss. Although the stem electrode was consistently fixed at the  
528 same height of 10 mm above the substrate surface, the electrodes may not have been equidistant from the root  
529 neck of the plants. Dietrich et al. (2012) showed a linear relationship between  $C_R$  and the reciprocal of the  
530 distance between the plant electrode and the surface of the rooting medium, which was that expected for  
531 capacitors connected in series along the root axis. For this reason, variability in the stem length included in the  
532 stem–root–substrate circuit induces further uncertainty in  $C_R$  measurements (Ellis et al. 2013b; Postic and  
533 Doussan 2016). Although several root traits having an influence on electrical measurements have been  
534 discussed, a true assessment of their contribution to the intraspecific variability in capacitive behavior will  
535 require detailed investigations on a root scale.

536

#### 537 *Proposals for field applications*

538

539 Though several studies (Beem et al. 1998; Preston et al. 2004; Chlopek et al. 2006, 2010) demonstrate the  
540 relevance of the  $C_R$  method in the field, the measurement is only reliable under homogeneous soil conditions and  
541 soil water status. Data can be compared only when soil water contents are statistically equal around all plant root  
542 systems studied. During field application, it is advisable to perform  $C_R$  measurements simultaneously with the  
543 detection of soil moisture content (using a TDR meter) in the root zone. If the investigation covers a relatively  
544 large area, the soil electrical properties should be systematically measured. Variability in soil temperature is  
545 suggested to affect the measured data. Field conditions are expected to require a higher number of replicates to  
546 cover the greater heterogeneity of the plant population, but the rapid and simple capacitance method allows a  
547 large number of plants to be measured in a short time. It could be advantageous e.g. for plant breeders screening  
548 numerous plant genotypes from segregating populations. Repeated  $C_R$  measurements during plant ontogeny may

549 improve the RSS estimation. Nevertheless, our results imply that the technique is better suited for use in pot  
550 experiments carried out in growth chambers and greenhouses or outdoors under semi-controlled conditions.

## 551 552 **Conclusions**

553  
554 It was shown in this work that although direct measurements of root–soil capacitance were always  
555 significantly related to RSS, the predictive power was poor in some cases. The experimental results suggest the  
556 possible importance of the variable electrical impedance response due to the variable complex relative  
557 permittivity ( $\epsilon_r^*$ ) in the root–substrate–electrode electrical network. By measuring relative dielectric losses (DF,  
558 associated with permittivity components) and applying a newly developed formula (Eq. 6), a better predictor,  
559  $C_{DF}$ , was obtained to improve the reliability of RSS estimates. The transformation had greater significance when  
560 a well-structured soil was chosen for plant cultivation instead of a quasi-hydroponic medium or a coarse sand-  
561 based substrate. The capacitive behavior fluctuates even in pots containing mineral substrate or bulk soil and is  
562 expected to vary even more under real field conditions, *i.e.* in soils with well-developed horizons and great  
563 structural and spatial heterogeneity. The main advantage of our approach that DF can be displayed  
564 simultaneously with the magnitude of  $C_R$  using a precision LCR instrument. No relationship was found between  
565 factor  $\alpha$  and the plant species or growth medium, but  $\alpha$  was easy to calculate for any plant–substrate system and  
566 the same  $\alpha$  value could be used for all RSS variables. The transformation of  $C_R$  into  $C_{DF}$  and knowledge of the  
567 parameters of  $C_{DF}$ –RSS regression models provided an improved prediction of root extension. In comparative  
568 studies, such as monitoring the root growth of plants subjected to different treatments or conditions,  $C_{DF}$  is  
569 expected to have lower variance within groups than  $C_R$ , which is undoubtedly advantageous for statistical  
570 discrimination.

571 However, although various models and improvements have been developed and investigated, the lack of  
572 accurate knowledge on the complex electrical circuit of the system still remains the main drawback to root  
573 capacitance measurement (Dietrich et al. 2012; Ellis et al. 2013b). The root–substrate–electrode continuum is  
574 described as a serial circuit represented by a heterogeneous medium composed of a large array of elements  
575 possessing resistance and capacitance variously associated and interfered (Ozier-Lafontaine and Bajazet 2005;  
576 Urban et al. 2011). Although the present study may not contribute greatly to a better understanding of the basic  
577 physics of the capacitance method, the findings could be of significance for more reliable root measurements  
578 under greenhouse conditions. This is important due to the strong influence of numerous external factors which  
579 complicate both the efficient use of the technique and the transferability of measurement data between sites and  
580 species. The capacitance method is rapid, labor-saving and nondestructive, so notwithstanding the problems  
581 influencing its reliability, it will certainly be of interest for future applications and further development.

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588  
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## 693 Appendix

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696 Some mathematical formulae have been treated in a simplified form in order to stress the relevant points of our  
697 method. Each of our models M1 to M5 were defined by two equations simultaneously: the first one is a linear  
698 regression and the second one is a nonlinear correction formula for the electrical capacitance. We must admit  
699 that it is a little bit unusual way of model declaration. For the sake of correctness we provide here an example of  
700 a full equation consisting all the parameters, although a rather cumbersome equation is resulted by this rigorous  
701 formulation. The full equation of model M1:

$$702 L(\alpha, a, b) = \sum_{t=1}^{30} \sum_{p=1}^6 \sum_{r=1}^3 \sum_{s=1}^3 \left( CR_{prs}(t) \cdot \left( \frac{DF_{ps}(t)}{DF_{mean_{ps}}} \right)^{\alpha_{prs}} - a_{prs} - b_{prs} \cdot RSS_r(t) \right)^2$$

703 where  $L(\alpha, a, b)$  is the quadratic loss function to be minimised in all parameters.

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**Table 1.** Main physical and chemical properties of the plant growth substrates used in the experiments.

	Pumice	Arenosol	Chernozem
Sand/silt/clay content [%]	–	80.9/11.9/7.2	20.1/56.5/23.4
pH <sub>H<sub>2</sub>O</sub> /pH <sub>KCl</sub>	6.53/5.85	7.52/7.05	7.86/7.27
Cation exchange capacity (CEC) [mmol 100 g <sup>-1</sup> ]	2.20	8.39	11.71
Lime content [%]	0	0.29	4.09
Humus content [%]	0	1.18	4.18
Bulk density [g cm <sup>-3</sup> ]	0.92	1.55	1.37
N/P/K content [mg kg <sup>-1</sup> ] <sup>(a)</sup>	70/0/179	730/438/222	1830/167/345
Field capacity [cm <sup>3</sup> cm <sup>-3</sup> ] <sup>(b)</sup>	0.179	0.190	0.359
Dry mass per pot [g]	3360	5650	5000

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<sup>(a)</sup> Total organic and mineral N content; ammonium lactate-acetate extractable P and K

<sup>(b)</sup> Determined with a pressure membrane apparatus at h = 20 kPa

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**Table 2.** Overview of the applied models M1 to M5 described by constraints on parameters.

Model	Parameter		
	a	b	$\alpha$
M1	none	none	none
M2	none	none	does not depend on type of RSS <sup>(a)</sup> variables
M3	does not depend on type of RSS variables	none	does not depend on type of RSS variables
M4	does not depend on type of RSS variables and plant species	none	does not depend on type of RSS variables
M5	does not depend on type of RSS variables, plant species and growing substrate	none	does not depend on type of RSS variables

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<sup>(a)</sup> Root system size

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**Table 3.** Summarizing table of standard and robust two-way ANOVA. Effect of plant species, substrate type and their interaction on the dissipation factor (DF) measured in plant–substrate systems.

Effect	Standard two-way ANOVA					Robust two-way ANOVA <sup>(a)</sup>	
	DegF	Sum Sq.	Mean Sq.	F	p	F	p
Plant	5	132.3	26.46	57.82	0	255.1	0
Substrate	2	4.11	2.05	4.49	0.0116	4.46	0.087
Plant:substrate	10	1.66	0.166	0.363	0.9618	3.39	0.770
Residuals	522	238.9	238.9				

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<sup>(a)</sup> Robust two-way ANOVA for median by percentile bootstrap method (function pbad2way of R package WRS2, see technical details in Wilcox 2012, p. 201 and p. 351.)



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**Table 4.** Minimum and maximum values of measured root–soil electrical capacitance ( $C_R$  in nanofarads, nF), root dry mass (RDM in g), root length (RL in m) and root surface area (RSA in  $\text{cm}^2$ ) in various potting substrates (Su) and plant species (Sp: B – bean; C – cucumber; M – maize; S – soybean; T – tomato; W – wheat).

Su	Sp	$C_R$ (nF)		RDM (g)		RL (m)		RSA ( $\text{cm}^2$ )	
		Min.	Max.	Min.	Max.	Min.	Max.	Min.	Max.
Pumice	B	0.426	1.174	0.012	0.339	0.483	30.33	5.810	214.5
	C	0.383	1.882	0.009	0.477	0.745	73.88	7.653	637.6
	M	0.459	5.871	0.015	1.166	0.414	136.4	7.408	907.7
	S	0.387	1.610	0.022	1.837	0.886	142.2	12.11	1793
	T	0.401	2.688	0.003	0.490	0.423	33.38	3.451	543.1
	W	0.363	5.569	0.016	0.619	0.933	71.50	12.72	689.6
Arenosol	B	1.661	3.515	0.009	0.352	0.321	25.72	3.742	250.0
	C	1.616	4.971	0.017	0.589	1.021	54.30	12.70	763.9
	M	1.908	14.85	0.027	1.974	0.775	147.7	9.658	1253
	S	1.732	4.258	0.020	2.012	0.867	113.6	9.938	1313
	T	1.880	3.872	0.002	0.290	0.166	15.66	1.552	217.1
	W	1.805	10.60	0.009	0.867	0.378	78.03	3.955	840.5
Chernozem	B	1.528	3.292	0.030	0.489	2.295	43.80	18.67	391.6
	C	1.323	4.040	0.013	0.652	1.101	72.06	13.14	873.4
	M	1.783	12.10	0.029	1.835	1.035	201.6	6.718	1475
	S	1.435	4.458	0.019	1.896	0.931	141.5	13.35	1394
	T	1.574	4.270	0.006	0.448	0.473	75.38	4.578	674.7
	W	1.336	10.63	0.027	0.749	0.733	160.7	5.258	1521

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**Table 5.** Estimated parameters of regression equations describing the relationship between measured root–soil electrical capacitance ( $C_R$  in nanofarads, nF) and the root dry mass (RDM in g), root length (RL in m) and root surface area (RSA in  $\text{cm}^2$ ) in various potting substrates (Su) and plant species (Sp). See Table 4 for plant species symbols.

Su	Sp	RDM			RL			RSA		
		y-int.	Slope	R <sup>2</sup>	y-int.	Slope	R <sup>2</sup>	y-int.	Slope	R <sup>2</sup>
Pumice	B	0.489	1.859	0.751	0.493	0.020	0.769	0.469	0.0027	0.799
	C	0.547	2.624	0.794	0.500	0.019	0.871	0.476	0.0021	0.870
	M	0.574	4.700	0.942	0.551	0.042	0.920	0.464	0.0057	0.941
	S	0.501	0.573	0.785	0.503	0.008	0.786	0.514	0.0007	0.753
	T	0.522	4.601	0.824	0.463	0.067	0.792	0.548	0.0042	0.773
	W	0.568	7.375	0.879	0.597	0.066	0.866	0.467	0.0072	0.897
Arenosol	B	2.112	3.208	0.635	2.132	0.042	0.612	2.125	0.0046	0.629
	C	2.172	3.869	0.688	2.143	0.044	0.726	2.203	0.0032	0.683
	M	2.192	5.808	0.875	2.197	0.076	0.822	2.099	0.0094	0.889
	S	2.156	1.054	0.724	2.189	0.016	0.687	2.178	0.0015	0.712
	T	2.086	5.961	0.751	2.107	0.102	0.734	2.123	0.0070	0.738
	W	2.048	8.614	0.812	2.108	0.093	0.711	2.187	0.0093	0.733
Chernozem	B	1.651	2.228	0.451	1.660	0.027	0.469	1.693	0.0027	0.447
	C	1.625	3.035	0.627	1.643	0.031	0.685	1.783	0.0022	0.523
	M	1.704	4.534	0.830	1.729	0.045	0.801	1.685	0.0057	0.757
	S	1.718	1.238	0.592	1.757	0.017	0.536	1.736	0.0016	0.562
	T	1.582	5.021	0.498	1.662	0.037	0.541	1.647	0.0037	0.508
	W	1.759	11.096	0.809	1.716	0.053	0.722	1.691	0.0055	0.721

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**Table 6.** Estimated parameters and R-squares of model M1. See Table 4 for symbols.

Su	Sp	RDM				RL				RSA			
		y-int.	Slope	$\alpha$	R <sup>2</sup>	y-int.	Slope	$\alpha$	R <sup>2</sup>	y-int.	Slope	$\alpha$	R <sup>2</sup>
Pumice	B	0.505	1.566	0.67	0.946	0.514	0.017	0.61	0.909	0.495	0.0023	0.56	0.914
	C	0.492	2.892	0.89	0.938	0.480	0.020	0.56	0.929	0.454	0.0022	0.56	0.926
	M	0.574	4.460	0.57	0.972	0.817	0.040	0.73	0.970	0.484	0.0054	0.39	0.952
	S	0.500	0.531	0.99	0.932	0.509	0.007	0.89	0.895	0.513	0.0007	0.99	0.890
	T	0.562	3.531	1.68	0.916	0.509	0.052	1.63	0.866	0.567	0.0032	1.72	0.866
	W	0.516	7.551	0.96	0.951	0.553	0.067	0.90	0.929	0.340	0.0072	0.76	0.941
Arenosol	B	2.106	2.932	0.46	0.877	2.125	0.038	0.46	0.838	2.119	0.0042	0.45	0.862
	C	2.056	4.102	0.65	0.903	2.061	0.045	0.59	0.903	2.138	0.0032	0.55	0.828
	M	2.327	5.175	0.76	0.954	2.241	0.068	0.81	0.899	2.202	0.0084	0.62	0.931
	S	2.149	0.972	0.66	0.901	2.192	0.014	0.63	0.818	2.184	0.0013	0.62	0.842
	T	2.053	5.936	0.48	0.902	2.079	0.101	0.47	0.871	2.097	0.0069	0.46	0.867
	W	2.013	8.036	0.68	0.934	1.938	0.089	0.84	0.883	2.092	0.0087	0.77	0.863
Chernozem	B	1.507	2.634	0.44	0.753	1.565	0.030	0.39	0.696	1.543	0.0034	0.44	0.703
	C	1.425	3.561	0.70	0.892	1.566	0.032	0.50	0.815	1.558	0.0028	0.66	0.835
	M	1.625	4.335	0.73	0.922	1.687	0.042	0.67	0.871	1.546	0.0055	0.81	0.867
	S	1.685	1.172	0.98	0.813	1.667	0.018	1.09	0.843	1.688	0.0015	1.01	0.800
	T	1.468	5.317	0.85	0.675	1.658	0.034	0.58	0.577	1.636	0.0035	0.62	0.551
	W	1.651	10.600	0.60	0.936	1.591	0.051	0.63	0.834	1.527	0.0053	0.67	0.859

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741 **Table 7.** Summarizing table of three-way ANOVA. Effect of plant species, substrate type and root system size  
 742 (RSS) variable on the 54 y-intercept and slope parameters estimated by model M1 and listed in Table 6.  
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Effect	DegF	y-intercept				Slope			
		Sum Sq.	Mean Sq.	F	p	Sum Sq.	Mean Sq.	F	p
Plant	5	0.14	0.030	5.72	0.0004	36.57	7.31	3.99	0.0045
Substrate	2	23.88	11.94	2366.4	0	1.79	0.89	0.488	0.6170
RSS variable	2	0.011	0.006	1.137	0.3332	207.7	103.9	56.78	$6.49 \times 10^{-13}$
Residuals	44	0.222	0.005			80.47	1.83		

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**Table 8.** Summarized statistics for models M1 to M5. Residual sum of squares ( $SSQ_{Res}$ ), residual degrees of freedom (ResDegF), number of free model parameters (NP), values of Akaike Information Criterion (AIC), the finite sample size corrected AIC (AICc), the Akaike Weights, the Evidence Ratios and Log10 of Evidence Ratios.

Model	$SSQ_{Res}$	ResDegF	NP	AIC	AICc	Akaike weight	Evidence Ratio	Log10 EviRatio
M1	349.5	1457	162	-2160.4	-2124.1	$1.835 \times 10^{-36}$	$5.449 \times 10^{35}$	35.43
M2	354.1	1493	126	-2211.5	-2190.1	$3.910 \times 10^{-22}$	$2.558 \times 10^{21}$	21.41
M3	355.4	1529	90	-2277.5	-2266.8	$1.742 \times 10^{-5}$	$5.740 \times 10^4$	4.76
M4	357.9	1544	75	-2296.1	-2288.7	0.999	1.000	0.00
M5	654.8	1546	73	-1321.4	-1314.4	$2.742 \times 10^{-212}$	$3.674 \times 10^{211}$	211.5

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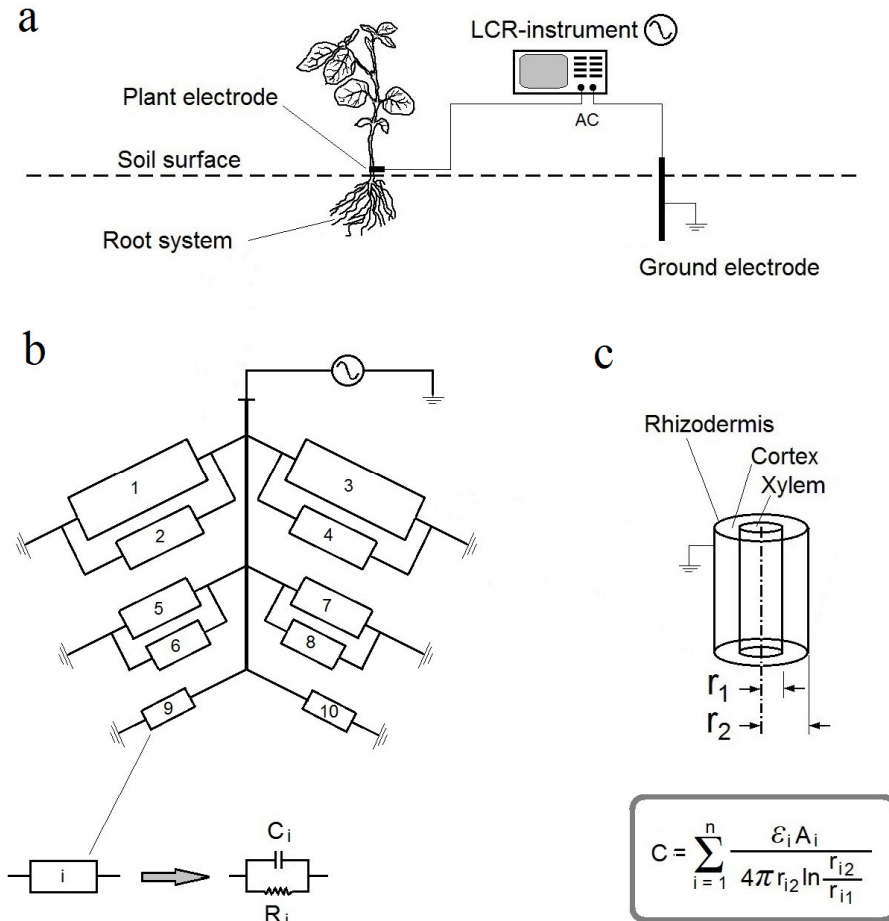
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**Table 9.** Estimated parameters and R-squares of the best model (model M4). See Table 4 for symbols.

Su	y-int.	Sp	RDM		RL		RSA		$\alpha$
			Slope	R <sup>2</sup>	Slope	R <sup>2</sup>	Slope	R <sup>2</sup>	
Pumice	0.529	B	1.456	0.946	0.016	0.907	0.0020	0.906	0.64
		C	2.728	0.925	0.018	0.928	0.0020	0.925	0.64
		M	4.256	0.972	0.044	0.968	0.0053	0.947	0.57
		S	0.508	0.932	0.007	0.893	0.0006	0.890	0.53
		T	3.661	0.916	0.051	0.865	0.0034	0.868	1.66
		W	7.506	0.950	0.068	0.929	0.0068	0.940	0.87
Arenosol	2.129	B	2.824	0.877	0.038	0.834	0.0041	0.862	0.46
		C	3.910	0.900	0.043	0.903	0.0032	0.827	0.59
		M	5.365	0.954	0.070	0.890	0.0084	0.927	0.73
		S	0.991	0.901	0.015	0.818	0.0014	0.841	0.63
		T	5.508	0.901	0.096	0.871	0.0066	0.867	0.47
		W	7.768	0.931	0.085	0.882	0.0087	0.863	0.76
Chernozem	1.600	B	2.348	0.748	0.029	0.688	0.0031	0.698	0.41
		C	3.135	0.882	0.031	0.813	0.0027	0.829	0.60
		M	4.353	0.922	0.043	0.869	0.0055	0.866	0.74
		S	1.244	0.812	0.018	0.841	0.0016	0.799	1.03
		T	4.841	0.662	0.036	0.571	0.0036	0.549	0.68
		W	10.681	0.936	0.051	0.844	0.0053	0.859	0.63

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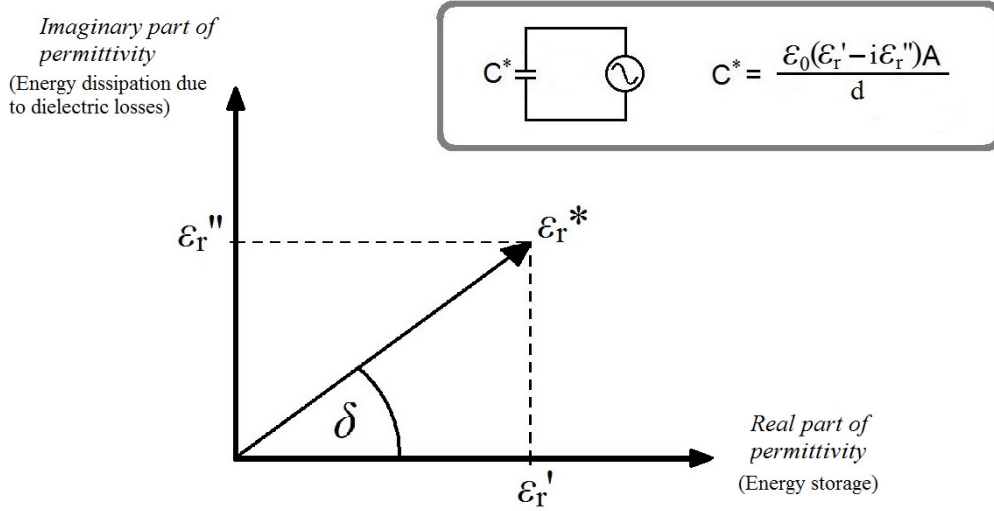
756 **Fig. 1a.** Schematic representation of root electrical capacitance measurement. AC – alternating current. **1b.**  
 757 Equivalent electrical network of the root system, according to Dalton's (1995) conceptual model. Each element  
 758 (i) consists of a parallel  $R_i$ - $C_i$  (resistor-capacitor) circuit. **1c.** Representation of plant root as a cylindrical  
 759 capacitor (Ellis *et al.* 2013a,b) with the equation for the electrical capacitance, in which,  $\epsilon$  and  $A$  are the  
 760 permittivity and surface area of root tissue, respectively,  $r_1$  is the radius of the xylem and  $r_2$  is that of the  
 761 rhizodermis.  
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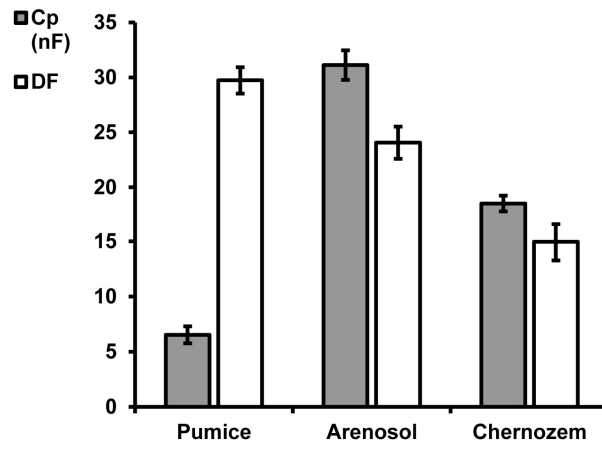
**Fig. 2.** Schematic representation of complex relative permittivity ( $\epsilon_r^*$ ) by the components of real ( $\epsilon_r'$ ) and imaginary ( $\epsilon_r''$ ) parts and loss angle ( $\delta$ ), and the expression of complex electrical capacitance ( $C^*$ ) in a parallel equivalent circuit. In the equation,  $\epsilon_0$  is the permittivity of free space, A and d indicate the area and distance of the capacitor plates, respectively.



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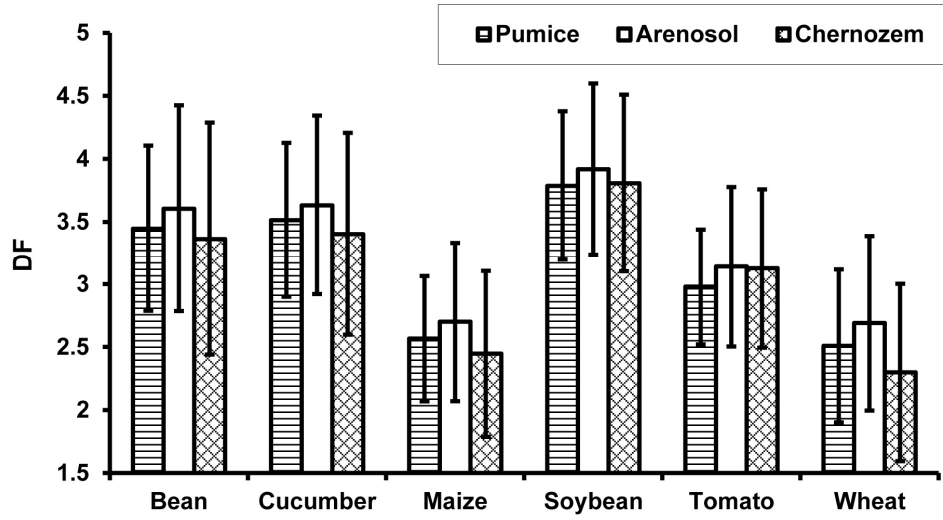
777 **Fig. 3.** Mean and standard deviation (n = 30) of electrical capacitance ( $C_p$  in nanofarads, nF) and dissipation  
778 factor (DF) measured for different substrates between two ground electrodes at 1 kHz current frequency.  
779 ANOVA showed highly significant differences ( $p < 0.001$ ) among substrates for  $C_p$  and DF.  
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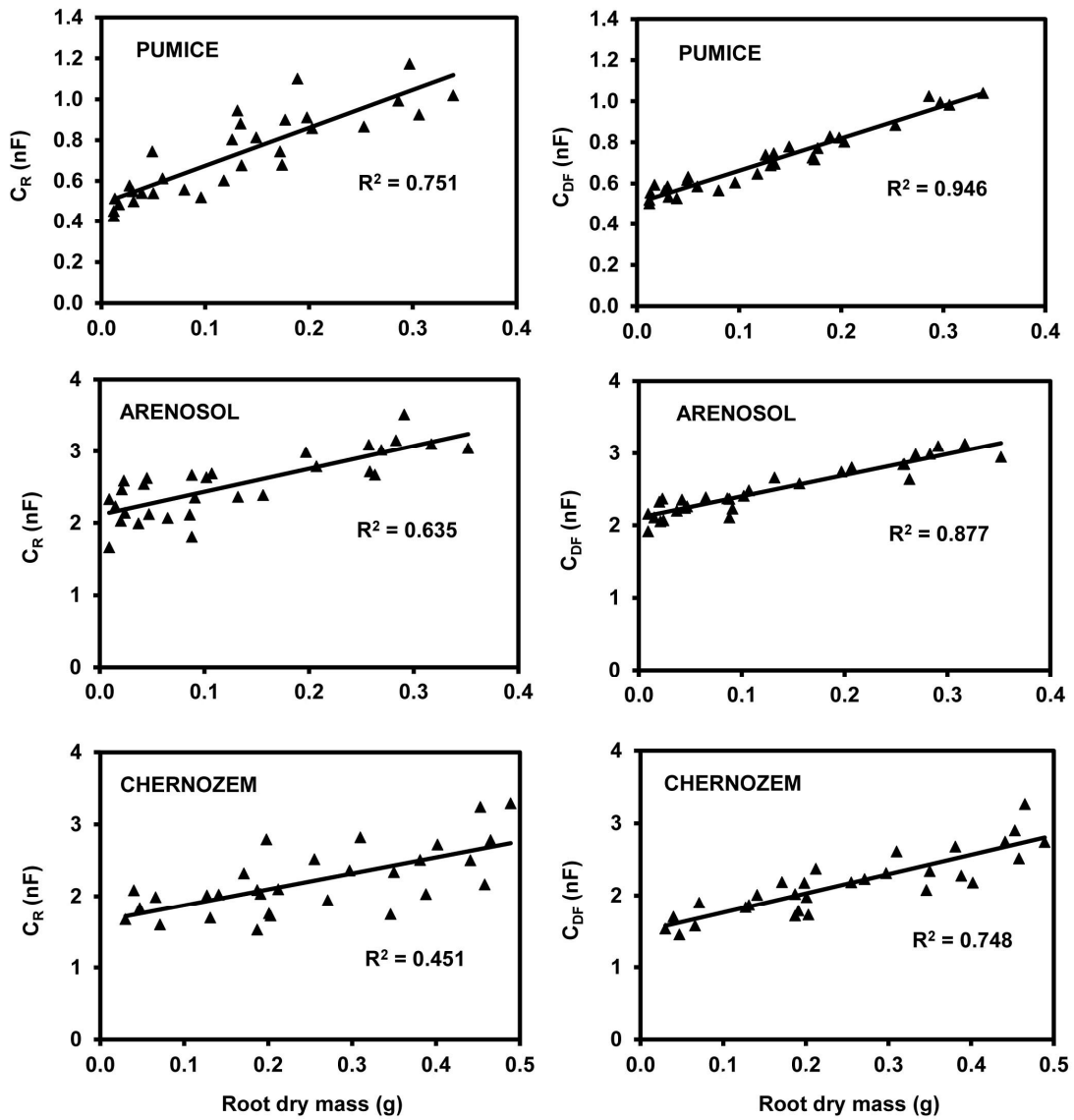
**Fig. 4.** Mean and standard deviation (n = 30) of dissipation factor (DF) measured in plant–substrate systems for different plant species and different substrate types at 1 kHz current frequency. Robust two-way ANOVA revealed a highly significant effect of the plant species on DF ( $p < 0.001$ ) and a non-significant effect of the substrate type ( $p = 0.087$ ) and the plant:substrate interaction ( $p = 0.770$ ).



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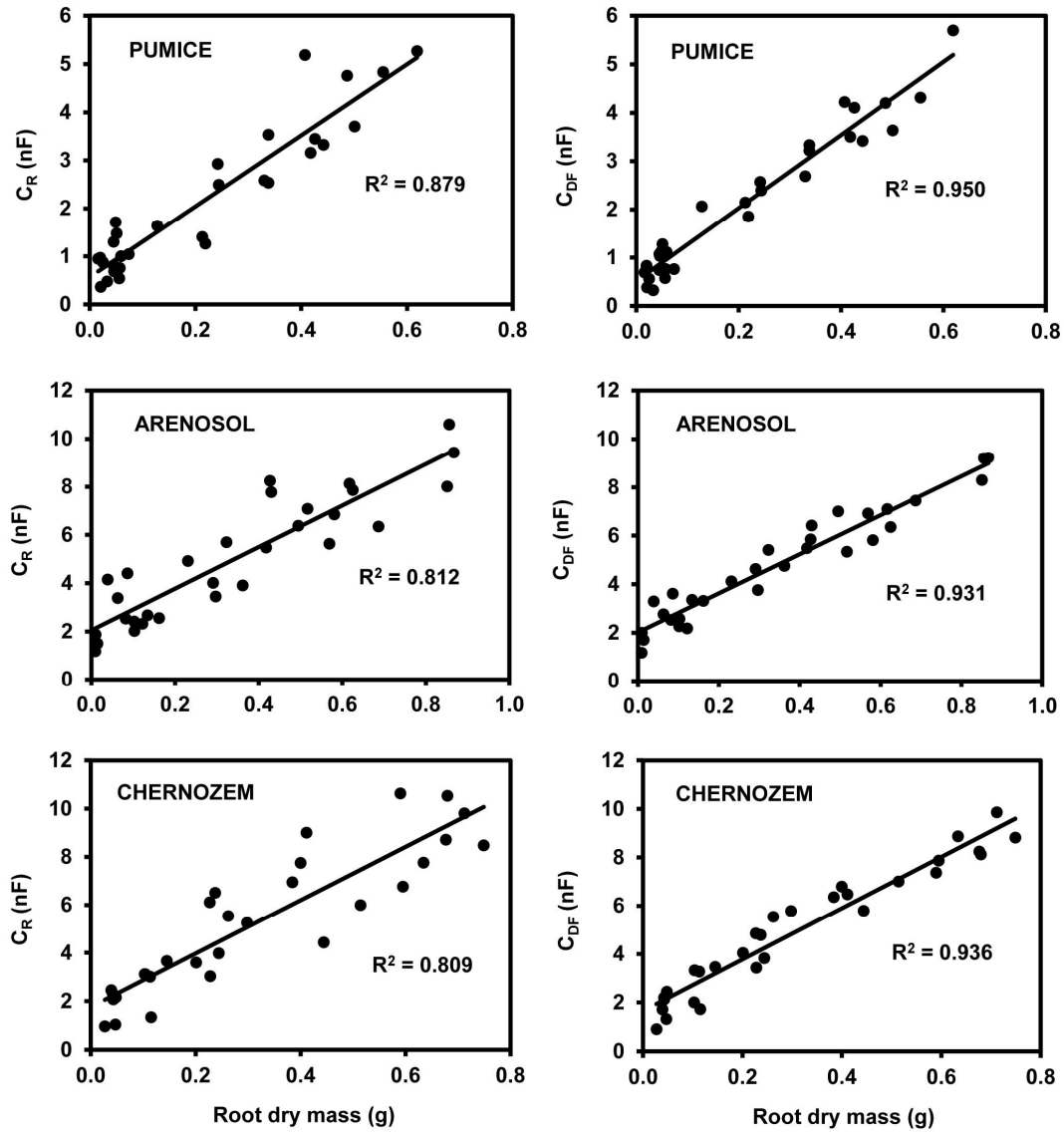
**Fig. 5.** Improvement of the root mass estimation by the proposed formula for bean of different ages grown in different substrates.  $C_R$  – Electrical capacitance of the root–soil system;  $C_{DF}$  – Electrical capacitance of the root–soil system corrected with dissipation factor



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**Fig 6.** Improvement of the root mass estimation by the proposed formula for wheat of different ages grown in different substrates. See Fig. 5 for symbols.



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