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5 **The effect of oxytocin on human-directed social behaviour in dogs (*Canis familiaris*)**

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13 **Short title:** Oxytocin and social behaviour in dogs

14

15 **Abstract:** The oxytocin system has recently received increasing attention due to its effect on
16 complex human behaviours. In parallel to this, over the past couple of decades, the human-
17 analogue social behaviour of dogs has been intensively studied. Combining these two lines of
18 research (e.g. studying the relationship between dog social behaviour and the oxytocin
19 system) is a promising new research area. The present paper reviews the existing literature on
20 how oxytocin is related to different aspects of human-directed social behaviour in dogs.

21

22 **Keywords:** dog; oxytocin; social behaviour; dog–human relationship

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24 **Word count:** 7478

25

26 **1. Introduction**

27 Oxytocin – which undoubtedly plays a central role in the expression of the high levels of
28 sociality that are essential to contemporary human behaviour (Carter, 2014) – is in
29 evolutionary terms a remarkably conservative nonapeptide, that appears to play a particularly
30 prominent role in the modulation of social life across mammalian taxa (Yamasue et al., 2012).
31 However, despite the initial consensus on the prosocial effects of oxytocin, different
32 explanations have been proposed for how these effects are mediated and the differences
33 between viewpoints are often implicit rather than clearly delineated (Campbell, 2010). Much
34 of the debate focuses on methodological issues about which are the low level (e.g. cellular)
35 mechanisms behind the oxytocin effects and how results of different studies can be compared
36 (Quintana et al., 2014). Our current knowledge of the behavioural effects of oxytocin in
37 humans is mainly based on three mostly independent approaches: (i) correlational studies
38 measuring oxytocin in the periphery (urine, saliva, blood) or in the Cerebro-Spinal Fluid, (ii)
39 gene × behaviour association studies involving receptor (*OXTR*) polymorphisms, and (iii)
40 experimental studies manipulating (both the peripheral and central) levels of oxytocin using
41 intravenous or intranasal administration (for an evaluation of these approaches regarding their
42 informative value in terms of the underlying central nervous mechanisms see: Heinrichs *et al.*
43 2009).

44 The number of published papers on dogs' (social) cognition is rapidly growing (Bensky et
45 al., 2013) due to the fact that dogs have been proven to display human-analogue social skills
46 (Hare and Tomasello, 2005; Miklósi and Topál, 2013). Thus, not surprisingly, researchers
47 have also begun to study the relationship between the oxytocin system and social behaviour in
48 the dog.

49 **2. The effect of dog–human social interaction on peripheral oxytocin levels**

50 The first studies aiming to unravel the relationship between oxytocin and human-directed
51 social behaviour in dogs tested the effect of positive social interaction on peripheral oxytocin
52 levels. It was found (Odendaal 1999, 2000; Odendaal & Meintjes 2003 – data of same
53 subjects published with slightly different focus) that dog–human social interaction increases
54 both dogs’ and humans’ blood oxytocin level (as measured by high-performance liquid
55 chromatography technique – HPLC) compared to baseline (before interaction). Other
56 physiological changes included increased levels of beta-endorphin, prolactin, phenylacetic
57 acid and dopamine, as well as decreased heart rate. The social interaction in these studies
58 consisted of a maximum 30 minutes session (the intervention was finished when a stable drop
59 of at least 5-10 % in blood pressure was experienced) including softly talking to the dog,
60 gently stroking the dog with long smooth strokes, low-key playing and scratching the body
61 and ears of the dog. Participants (N=18) were both owners with their private dogs and non-
62 owners with dogs from the animal facility of the University of Pretoria. These results were
63 conceptually replicated (Handlin et al., 2011) on N=10 female volunteers and their own male
64 Labrador dogs. At the end of a 3-minute-long interaction, which consisted of petting and
65 stroking different parts of the dog’s body and talking to it, an increase in blood oxytocin level
66 was found (using immunoassay technique). Stroking the abdominal area for 15 minutes
67 without social reinforcement such as vocal encouragement and eye contact by experimenters
68 who knew the dogs well, but were neither their owners nor caregivers was also found to
69 increase peripheral oxytocin levels as measured (using radioimmunoassay technique) from
70 urine samples 1 hour after the initiation of stimulus (Mitsui et al., 2011). In this experiment
71 (N=9) dogs from different breeds were tested and stroking was found to increase oxytocin
72 levels compared to baseline similarly to other reinforcing treatments such as eating and
73 exercising, but not drinking water. Reunion after separation from a familiar person was also
74 found to increase blood oxytocin levels in (N=12) laboratory-kept beagle dogs (measured

75 with immunoassay) compared to a pre-separation baseline phase (Rehn et al., 2014).
76 Furthermore if the familiar person made both physical and verbal contact with the dogs upon
77 reunion oxytocin levels remained higher than baseline in the post-reunion phase as well. But
78 this effect was not found when the dog-human interaction did not involve physical contact
79 (verbal contact only or when ignoring the dog). Recent (unpublished) research (MacLean et
80 al. 2017) has shown that salivary OXT levels also increase similarly to blood oxytocin levels
81 after 10 minutes of free-form friendly interaction with a human experimenter (N=19), but not
82 after a control treatment (dog rested quietly in the same environment, without human
83 interaction; N=19).

84 These results (and others not measuring dog oxytocin levels directly) have led to the
85 supposition that the oxytocin system plays a crucial role in dog-human interactions and
86 serves as a potential underlying mechanism behind animal assisted therapy (Beetz et al.,
87 2012; Pop et al., 2014). Others (Rehn and Keeling, 2016), however, have pointed out that
88 further studies are needed in the field of dog-human relationships, potentially at the
89 individual dog level (rather than talking about the ‘average’ dog), and incorporating both the
90 owner’s overall caregiving strategy and a dyadic approach. While all the above mentioned
91 studies have been conducted on relatively low sample sizes, and have striking methodological
92 differences (e.g. length and specifics of the interaction) and confounds (e.g. using one’s own
93 dog or another dog), taken together these findings present strong evidence that generally
94 positive interactions with a human increase oxytocin levels in dogs. This is in line with results
95 from other species including humans (Feldman et al., 2010; Gordon et al., 2010), rhesus
96 macaques (Maestriperi et al., 2009; Winslow et al., 2003) and prairie voles (Kenkel et al.,
97 2012). The relationship between positive social interaction and oxytocin increase in dogs can
98 serve as a starting point for future research into both individual differences and different types
99 of interactions. It has been found for example, that from the ostensive cues (eye-contact, dog-

100 directed talk, calling the dogs name) that humans naturally use in positive social interactions
101 (Topál et al., 2014), dogs are most sensitive to eye contact, while less sensitive to hearing
102 their own name as opposed to a random name (Kaminski et al., 2012). It can thus be supposed
103 that the elements used in combination during positive dog–human social interactions (eye-
104 contact, petting, dog-directed talk, naming) are not uniformly important in modulating dogs’
105 oxytocin response. It is also likely that the relationship between the dog and the interacting
106 human modulates changes in oxytocin level. Dogs have been shown to behave differently
107 towards humans depending on their familiarity and social relatedness (Horn et al., 2013;
108 Kerepesi et al., 2014), and for example in chimpanzee the differences in relationship have
109 been found to modulate changes in the peripheral oxytocin level after positive interactions
110 (Crockford et al., 2013).

111 Investigation of individual differences has been attempted by a questionnaire-based study
112 (Handlin et al., 2012) during which blood samples were collected from N=10 male Labrador
113 dogs (same subjects as in Handlin et al., 2011) and mean oxytocin levels were measured
114 (immunoassay) during a 60-minute period including a 3-minute social contact with the owner
115 at the beginning. It was found that dogs’ mean oxytocin levels were related to items indicating
116 the intensity of the dog–owner relationship (as measured by the Monash Dog Owner
117 Relationship Scale). The study also demonstrated a positive correlation with the frequency of
118 owners kissing their dogs and the perceived bond with the dog, and a negative correlation
119 with the frequency of giving food treats to their dog. Higher oxytocin levels in the dogs were
120 also associated with the owners having a perception of their dogs being less difficult to look
121 after and less thought of as making a mess. These results can be due to both differences in
122 baseline oxytocin levels as a function of the above psychological characteristics as well as a
123 differential reaction to social interaction with the owner depending on their relationship. A
124 more recent study (Pekkin et al., 2016) has also found a relationship between behavioural

125 scales of a validated questionnaire and dogs' oxytocin levels. Specifically *General*
126 *fearfulness*, *Noise fear frequency* and *Reactivity index* (derived from a set of questions about
127 fearful reactions towards loud noises) were positively related to baseline urinary oxytocin to
128 creatinine ratio (measured with an enzyme-linked immunosorbent assay kit) in N=23 dogs
129 suffering from noise phobia (the original study sample consisted of N=28 dogs from 14
130 breeds, where Lagotto Romagnolo, N=7, and Staffordshire Bullterrier, N=6, were the most
131 frequent breeds). These results show that, at least in this specific sample of noise phobic dogs,
132 urinary oxytocin is not as good an indicator of positive welfare states as suggested before
133 (Mitsui et al., 2011). These low-sample size studies, while still preliminary, suggest that
134 focusing on individual differences in dog behaviour and their relation to oxytocin levels is a
135 valid approach that needs attention in the future. In addition to the questionnaire survey
136 Pekkin et al. (2016) also conducted a behavioural test where the effect of a deep pressure vest
137 (10-12 mmHg) versus a light pressure vest (2-3 mmHg) and control (no vest) treatment was
138 assessed in a simulated firework test during three two-minute intervals (pre-noise, noise,
139 recovery) in a within subject design. Urine samples were collected at least one week prior to
140 the test, one at baseline and one after wearing the deep vest for 30 minutes. The two urine
141 samples did not differ regarding oxytocin to creatinine ratios and there was a strong positive
142 inter-correlation. The authors also report that there were no correlations between urinary
143 oxytocin and salivary cortisol levels; we should note, however, that saliva samples were
144 collected on the days of the behavioural test (total of four samples before and after each test
145 occasion), thus although no difference was found in cortisol level between treatments or test
146 days, as the oxytocin and cortisol samples were collected on different days, the lack of
147 correlation is not surprising). On the other hand, urinary oxytocin levels correlated positively
148 with time spent near the owner during the recovery interval in case of the deep vest treatment.
149 The authors also reported a behavioural effect that they labelled as "vest-induced increase of

150 owner-seeking". This was an interval \times treatment interaction showing that dogs in the
151 Control, but not in the Light vest and Deep vest treatment, spent less time near their owner
152 during the noise interval compared to the pre-noise or recovery intervals. The authors
153 speculate that this effect might be modulated by oxytocin levels, although they admit not
154 being able to show an effect of the vest on the oxytocin level.

155 A more recent study (Romero et al., 2014) found that in an experimental situation where
156 owners of the dogs were instructed to sit quietly and not to actively interact with their dogs,
157 neither the time subjects spent in close proximity to their owners nor the affiliation subjects
158 provided to or interchanged with them was related to the oxytocin increase ratio (posttest /
159 pretest OXT levels). In a similar experimental situation (Nagasawa et al., 2015), where the
160 owner was instructed to remain seated in a chair, but was otherwise free to interact with
161 his/her dog during 30 minutes, it was found that dogs (N=8) that gazed longer to their owner
162 showed a higher oxytocin change ratio (as measured from urine samples collected right before
163 and 30 minutes after the interaction) compared to dogs (N=22) that gazed shorter to their
164 owner. The duration of dog-to-owner gaze significantly explained the oxytocin change ratio
165 in dogs and the oxytocin change ratio in owners correlated significantly with that of dogs.
166 Furthermore in case of hand-raised wolves (N=11), who did not gaze at their 'owners'
167 (animal management professionals) and thus gazed significantly less than even dogs in the
168 short gaze group the duration of wolf-to-owner gaze did not correlate with the oxytocin
169 change ratio in either owners or wolves. These results have prompted the authors and others
170 (Maclean and Hare, 2015) to speculate about an oxytocin-gaze positive loop and the
171 coevolution of human-dog bonds. Others (Fiset and Plourde, 2015), however, have suggested
172 that any conclusions about the coevolutionary process are premature and should be presented
173 with great care. It has been argued for example (Kekecs et al., 2016) that there are several
174 confounding differences between the dog and wolf arm of the experiment such as owner sex

175 (82% female for dogs and 55% female for wolves) that seriously limit the interpretation of the
176 results. Furthermore there was a significant difference in the baseline oxytocin values of the
177 dog and wolf owners (thus the apparent difference between dogs and wolves may be simply
178 due to a ceiling effect), and the rearing and socialization of animals was also different.

Sex	Age	Breeds	Dog's social background	Method	Behavioral protocol	Results	Reference
7 males (3 castrated); 11 females (5 spayed)	6.4 years old (between 2-11 years old)	9 Beagles, 2 Border Collies, 1 Bull Dog, 1 Cocker Spaniel, 1 Dachshund, 3 Labradors, 1 Staffordshire bull terrier	Known to have placid temperaments and used to human contact (some belonged to the human participants and some were provided to non-owners)	High Performance Liquid Chromatography	Maximum 30 minutes dog-human positive interaction (social gestures only; e.g. talking softly, gently stroking, low-key playing, ears and body scratching)	Increased OT concentration, from 0.1 to 0.5 ng/L ($p < 0.01$)	Odendaal 1999, 2000; Odendaal and Meintjes 2003
10 male	4.7 ± 2.6 years old	10 Labrador Retrievers	With their owners (all owners were females)	Immunoassay	3 minutes of interaction: stroking, petting and talking to the dogs, followed by 57 minutes of ignoring the dog	Increased OT levels 3 minutes after the start of the interaction ($p = 0.027$)	Handlin et al., 2011
5 males (4 castrated); 4 female (3 spayed)	3.17 ± 2.1 years old	1 Flat-coated Retriever, 1 German shepherd, 1 Golden Retriever, 1 Jack Russell Terrier, 1 Labrador Retriever, 1 Miniature Schnauzer, 1 Shiba 2 Standard Poodles	5 were house dogs and 4 were local laboratory dogs	Radioimmunoassay	Eating food, exercising (15 min) and stroking abdominal area (15 min) without social reinforcement (e.g. no vocal encouragement or eye contact) by an experimenter knowing the dog, but it is not the owner or the caregiver	Eating and exercising increased urinary OT ($p < 0.05$), as well as stroking ($p < 0.01$), while no urinary OT change was observed after drinking water ($p=0.31$)	Mitsui et al., 2011
All female (N=12)	20 ± 0.2 months	12 Beagle	Laboratory kept dogs	Immunoassay	Before, during (4 min) and after the return of a very familiar person. The 4 minutes reunion included 3 groups: physical and verbal contact (PV), verbal contact (V) and ignoring the dog (C). Sampling after 1:30 min (stage 1), 3:45 min (stage 2) and after 120 s of relaxation (stage 3).	Increased OT levels in the PV group during reunion ($p = 0.02$) and relaxation ($p=0.03$). Also, increased OT levels in the reunion session for V ($p=0.06$) and C ($p=0.01$) groups, but no modifications in the relaxation phase for both V and C groups.	Rehn et al., 2014
Same as Handlin et al., 2011	Same as Handlin et al., 2011	Same as Handlin et al., 2011	Same as Handlin et al., 2011	Immunoassay	Same as Handlin et al., 2011. During the period of ignoring the dog, the owners completed	MDORS scores were correlating significantly with dog's blood OT levels. Correlations ($r=0.9$, $p = 0.01$) between owner's OT levels	Handlin et al., 2012

					MDORS scale for dog-owner relationship	at 5 minutes vs. dog's OT levels at 60 min. Increased frequency of owners kissing the dogs was associated with dogs' OT levels ($r=0.7$, $p = 0.02$).	
10 males (5 castrated); 18 female (14 spayed)	5.9 years old (between 2-11) years old	14 breeds, where Lagotto Romagnolo (n = 7) and Staffordshire Bullterriers (n = 6) were the most frequent	With owners	Enzyme linked immunoassay kit	Studying the effects of a LIGHT (2-3 mmHg) and DEEP (10-12 mmHg) vests on noise (70- 73 db. recorded fireworks noise) phobia and on urine OT levels.	Pressure vests did not affect urine OT levels. Time spent near the owner when wearing DEEP vest positively correlated to urine OT levels.	Pekkin et al., 2016
8 males; 8 female	6.1 ± 0.7 years old	9 Standard Poodles, 4 Labrador retrievers, 1 German shepherd, 1 Shetland sheep dog 1 Border Collie.	Companion dogs living with their owners	Radioimmunoassay	Dogs sitting on a blanket near the owner, which stands quietly on a chair. After that, an additional partner dog entered the room with a second blanket being placed near the chair (Note – these were separate results from just one male and 4 female from the initial group).	OT increase ratio from urine was not correlated with the time subjects spent in the proximity of the owner or of the other dog. Also, no significant associations were reported between OT and the affiliation processes that subjects exhibited towards each other (e.g. given and received).	Romero et al., 2014
15 males (13 castrated); 15 female (11 spayed) + 11 wolves	4.7 ± 2.7 years old	5 Golden Retrievers, 3 Labrador Retrievers, 3 Miniature Schnauzers, 3 Standard Poodles, 2 Miniature Dachshunds, 2 Shiba-inu, 2 Toy Poodles, 1 Border Collie, 1 Boxer, 1 FlatCoated Retriever, 1 German Shepherd, 1 Jack Russell	Companion dogs living with their owners + wolves – with their 'owners' being animal management professionals	radioimmunoassay	30 minutes interaction with the owner sitting and the dog being allowed to move freely in the room (e.g. free interaction with the dog, except giving food or toys). Recording were made for "dog/wolf to owner gaze", "owner talking to the dog/wolf" and "owner touching the dog/wolf"	OT change ratio from the dog's urine correlated with OT from the owner. The duration of dog to owner gaze was associated with OT change ratio in both dogs and owner. Only owners which received long time gaze from their dogs exhibited a significant increase in OT concentration and also the highest OT change ratio. In wolves (which overall showed reduced gazing) the duration of gaze was not correlated with OT change ratio of both owners and wolves.	Nagasawa et al., 2015

		Terrier, 1 Miniature Bull Terrier, 1 Papillon, 1 Shetland, 1 Sheepdog, 2 mongrels + 11 wolves					
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180 Table 1. Summary of published studies measuring endogenous oxytocin levels in dogs in relation to behaviour.

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182

183 **3. Associations between the polymorphisms in oxytocin receptor gene and social**
184 **behaviour**

185 Another line of research has focused on genetic polymorphisms related to the key component
186 of the oxytocin system, the oxytocin receptor gene (*OXTR*) and its association with dog
187 behaviour. It had already been shown that the similarity between the human and the dog
188 *OXTR* gene is high (Marx et al., 2011), although a 5-aminoacid-long sequence is missing
189 from the dog *OXTR* that is present in humans and the *in silico* addition of this sequence to the
190 dog *OXTR* results in a considerable protein structure change. The dog *OXTR* protein is 384
191 amino acid long (compared to the 389 amino acid long human protein) and compared to the
192 human protein contains different amino acids at 26 locations (out of these 8 amino acids have
193 similar chemical properties such as polarity, acidity). Single nucleotide polymorphisms
194 (SNPs) were identified by direct sequencing of the protein coding segment and the flanking
195 regulatory un-translated regions in both different dog breeds and wolves on N=3 individuals
196 per group (Bence et al., 2013). Five novel (-213AG, -94TC, -74CG, -50CG, 19208AG) and
197 three known (rs22927829, rs8679682, rs8679684) SNPs were found and genotyped in larger
198 populations involving German Shepherds (N=71), Border Collies (N=104), Labrador- and
199 Golden Retrievers (N=64), Beagles (N=29) and wolves (N=44). The results confirmed that
200 the identified SNPs are polymorphic not only in the dog breeds, but also in wolves, however
201 allele frequencies differed among the investigated breeds as well as between dogs and wolves.
202 These results have recently been confirmed and extended (Bence et al., 2017) by investigating
203 a large population involving nearly 700 dogs from 10 different breeds (Beagle, N=70; Border
204 Collie, N=144; German Shepherd, N=128; Golden Retriever, N=43; Groenendael, N=22;
205 Hungarian Vizsla, N=32; Labrador Retriever, N=49; Malinois, N=40; Siberian Husky,
206 N=138; Tervueren, N=23). Difference in allele frequencies among dog breeds was significant
207 in the case of all eight SNPs investigated. Furthermore, in the case of the -50C/G and

208 rs8679682 SNPs there was greater difference in allele frequencies between dogs and wolves
209 (N=42; 34 Eurasian gray, 6 North American timber, 2 Alaskan) than among dog breeds.
210 These two SNPs were thus further investigated in 6 golden jackals (*Canis aureus*), 8 dingos
211 (*Canis lupus dingo*) and 45 Asian street dogs (pariah dog). Regarding SNP -50C/G, the allele
212 C was found to be more frequent in all populations investigated (including golden jackals)
213 with the only exception of wolves. SNP rs8679682 was monomorphic for allele C both in
214 wolves and golden jackals, while in dogs (including the free-ranging Australian dingo and the
215 Asian street dog pariah) allele T was dominating. These results prompted the authors to
216 conclude that *OXTR* could indeed have been a target gene during domestication and selection
217 for human preferred aspects of temperament and social behaviour.

218 Kis et al. (Kis et al., 2014a) were the first to use the candidate gene approach in dog
219 oxytocin research. Behaviour in every-day social situations was assessed in association with
220 SNPs in the regulatory regions (5' and 3' UTR) of the *OXTR* (polymorphisms: rs8679684,
221 -213AG, 19208AG – the latter two formerly named -212AG and 19131 respectively) in
222 German Shepherds from Hungary (N=104) and in Border Collies from Hungary and Belgium
223 (N=103). Results show that these SNPs are related in both breeds to proximity seeking, and to
224 friendliness towards strangers, although in the latter case an opposite trend was found for the
225 two breeds. This strongly suggests that genetic and cellular mechanisms other than the *OXTR*
226 might play a role in the regulation of the friendliness behaviour. Recent accounts in the
227 human literature have cautioned about the individual (e.g. motivation or anxiety of the
228 subjects) and conditional (e.g. contextual) differences in the effects of oxytocin on social
229 behaviour (Bartz et al., 2011), and these canine results somewhat parallel these ideas in that
230 the influence of an *OXTR* SNP was found to be conditional to a breed effect. A further study
231 (Kubinyi et al., 2013) also found that two of these polymorphisms (19208AG, rs8679682)
232 were related to greeting behaviour of racing Siberian Huskies (N=96). Both allele frequencies

233 and the direction of the observed gene \times behaviour associations were strikingly similar to
234 those found in Border Collies: Siberian Huskies with the GG genotype approached an
235 unfamiliar person in a non-aggressive way more frequently in the greeting test and Border
236 Collies with GG genotypes achieved higher scores on a friendliness scale. Note, however, that
237 in case of the Border Collie study (Kis et al., 2014a) the friendliness scale was composed of
238 the dogs' behaviour in reaction to a threatening stranger and to a passive stranger when facing
239 a problem situation, while the greeting test was part of the proximity seeking scale that was
240 not associated with 19131AG, but with -213AG (not tested in the Siberian Husky study due to
241 Hardy-Weinberg disequilibrium). The fact that the effect of *OXTR* polymorphisms might
242 differ across breeds is further supported by the finding (Ottenheimer et al., 2016) that no
243 effect of the rs8679684 and 19208AG SNPs on owner-assessed personality (Monash Canine
244 Personality Questionnaire) was found on a sample of N=97 dogs from several breeds and
245 mix-breeds. The general association between the *OXTR* and human-directed social behaviours
246 was, however, conceptually replicated on a sample of Border Collies from Austria (N=170)
247 using similar everyday social situations (Turecsán et al., 2014) (unpublished conference paper).
248 Confirming previous results associations with dogs' proximity seeking and friendliness were
249 found. Furthermore a previously not investigated polymorphism in the second exon
250 (rs8679682) was associated with dogs' separation behaviour, their tendency to look at humans
251 in problem situations, their obedience to simple commands and their reaction to a threatening
252 stranger. It was also found that the response of Border Collies to ambiguous social stimuli is
253 related to polymorphisms in the *OXTR* (Park et al., 2014) (unpublished conference paper).
254 Eighteen behavioural variables were recorded in 7 tests on N=86–98 dogs (varying across
255 tests) and the following behavioural variables were associated with *OXTR* SNPs (rs8679682, -
256 94TC and -74CG): frequency of looking at a helper after seeing a motionless person on all
257 four, latency of breaking eye-contact with a neutral person, number of correct choices

258 following a non-communicative gaze cue, and latency of approaching the non-indicated food
259 location. Importantly a difference in gene \times behaviour association patterns was found between
260 the Border Collie populations of the two countries (Austria and Hungary) involved in the
261 study. Such conceptual replications are crucial in behavioural genetic studies, as despite the
262 large sample sizes customary in the field low effect sizes characterise these gene \times behaviour
263 studies, and most effects would not remain statistically significant after correction for
264 multiple comparison. Although we do not, at this point, have any information about the
265 intermediary (cellular and molecular) mechanisms directly involved in the regulation of the
266 behaviours by *OXTR* polymorphisms, the indirect gene \times behaviour connection seems to be
267 robust. However, while these data show an important mechanism regulating social behaviour
268 in dogs, the effect of a single SNP is very small, thus the current state-of-the-art is not
269 sufficient to offer any practical advice for dog breeders or for the selection of puppies suitable
270 for a given owner or for specific work purposes.

271 More recent studies have built on the *OXTR* \times behaviour connection to move towards the
272 applied direction for example by investigating *OXTR* as a candidate gene in Golden
273 Retrievers with separation anxiety (van Rooy et al., 2016). The potential relationship between
274 the oxytocin system and canine separation anxiety was originally proposed in a review article
275 by Thielke and Udell (Thielke and Udell, 2016), although the authors of this publication made
276 their suggestion in the context of treating separation anxiety with intranasal oxytocin
277 administration. Van Rooy and colleagues (van Rooy et al., 2016) have investigated in a
278 case-control design (N=42 dogs: 24 affected by separation anxiety based on an owner-report
279 questionnaire and 18 non-affected controls) if separation anxiety is associated with 45 SNPs
280 within 500 kilobases of *OXTR* (Illumina HD 170,000 SNP array), but they found no such
281 evidence. One *OXTR* haplotype (CCA, SNP 9503004) had a raw p-value of association less
282 than 0.05 with a 0.72 versus 0.50 frequencies for case and control respectively, but this p-

283 value did not remain significant after permutation. There is, however, unpublished evidence
284 that an *OXTR* SNP (-213AG) is related to Border Collie dogs' (N=135) attachment towards
285 their owners as measured in a Strange Situation Test (Kovács et al., 2017) (submitted
286 manuscript). Furthermore preliminary evidence was found on a subsample of these dogs
287 (N=65) that polymorphisms in the owners' *OXTR* (also in interaction with the dogs' *OXTR*
288 polymorphisms) are related to dog-owner attachment. Another study (Oliva et al., 2016b) has
289 looked at microsatellites at various distances from the *OXTR* in buccal samples of N=75 pet-
290 and blood samples of N=94 shelter dogs of various breeds and mixed breeds as well as in
291 buccal samples of N=12 human-reared wolves (mix of the subspecies: *Arctos*, *Occidentalis*
292 and/or *Nubilus*). Results showed that out of the eight primers investigated the two closest to
293 *OXTR* (located at 9.36 million base pairs within the genome), located at 9.11 million and 9.66
294 million base pairs respectively were significantly associated with species (dog versus wolf).
295 The authors have also looked at the performance of their pet dog subjects in an object choice
296 task with 20 trials of momentary distal pointing after both oxytocin and placebo pre-
297 treatment. There was no significant association between performance (good performers that
298 scored $\geq 18/20$ versus poor performers that scored $\leq 12/20$) and any of the primers. There was
299 also no significant association between oxytocin response (high oxytocin responders that
300 improved their performance by 3-7 points between sessions versus poor responders whose
301 performance remained the same or declined between sessions) and any of the primers.

302 Taken together these studies present evidence that there is an indirect link between *OXTR*
303 polymorphisms and social behaviour in dogs that, despite being weak, is present in several
304 breeds and across several situations. However, these effect are not uniform across breeds (e.g.
305 the same SNP might have different effects in different breeds), and thus might not manifest in
306 mixed-breed populations and/or in some specific domains (e.g. pointing following). While
307 gene \times behaviour association studies offer a potentially powerful approach for mapping causal

308 genes with modest effects, genome-wide association studies (GWAS) might be a more
309 suitable way to study the genetic background of complex social behaviours (Hirschhorn and
310 Daly, 2005). In dogs no GWAS has been conducted so far, with behaviour genetic research
311 focusing on candidate genes such as the OXTR or DRD4 and TH (Hejjas et al., 2007; Hori,
312 2013; Wan et al., 2013). Future research thus needs to focus on genome-wide association
313 studies in order to reveal the potentially complex genetic background of human-directed
314 social behaviour in dogs.

315 Apart from polymorphisms in *OXTR*, environment-induced epigenetic changes might also
316 modulate the oxytocin system and thus influence dogs' behaviour. Recently two methylation
317 sites have been identified in the canine genom by analysing buccal DNA samples from male
318 Golden Retriever, Border Collie and Siberian Husky dogs as well as North American timber
319 wolves (N=8 individuals from all four populations): OXTR_17, a 246 bp long amplicon with
320 6 CpGs located at 20:9358073–9358318; and OXTR_34, a 148 bp long amplicon with 4
321 CpGs located at 20:9357391–9357538 (Banlaki et al., 2017). Methylation ratio has been
322 found to differ among the dog breeds investigated as well as between dogs and wolves.
323 Another study from the same group analysed buccal samples of nine dogs (from six different
324 breeds and three mixed breeds) and three timber wolves (Cimarelli et al., 2017) and identified
325 four CpG sites in the OXTR that showed at least 10% variation in their methylation levels
326 among the subjects (located -727, -751, -1371, and -1383 bp relative to transcription start site;
327 transcript variant NM_001198659.1). These were further analysed on a sample of 217 Boder
328 collies (135 females and 95 males) looking for possible association with the dogs' reaction to
329 a threatening stranger (hiding behind the owner, approaching the experimenter in an
330 appeasing vs. aggressive way, remaining passive or retreating at the end of the test). Results
331 indicate that the sex of the dogs was associated with the methylation level in two sites,
332 furthermore different behavioural associations were found in males and females. While most

333 of the methylation level differences between differently behaving dogs were relatively weak
334 (no longer significant after correcting for multiple testing) these pioneering studies offer an
335 interesting new line of investigation on the epigenetic regulation of behaviour. Future studies
336 should disentangle between inherited or environmentally influenced epigenetic patterns, as
337 well as to investigate the interactions between the methylation levels and the polymorphisms
338 of *OXTR* (e.g. one of the known canine *OXTR* SNPs, -213AG, is located in a CpG island and
339 thus may alter the methylation pattern of the promoter region).

340 Investigation of tissues other than buccal samples (e.g. different regions of the brain) and
341 the correspondence of the two are needed for both epigenetic and gene expression analyses.
342 While the former is still lacking, the latter was performed (Bence et al., 2017) on post mortem
343 brain samples from three male beagle dogs. Quantitative real-time PCR was used to assess
344 expressional differences of *OXTR* mRNA between three regions of the dog brain: prefrontal
345 cortex, amygdala and hippocampus. Expression levels were lowest in the prefrontal cortex
346 and highest in the hippocampus. As compared to that observed in the prefrontal cortex,
347 mRNA levels in the amygdala and the hippocampus showed a 2.8- and 16.4-fold increase,
348 respectively.

Sex	Age	Breeds	Dog's social background	Method	Behavioral protocol	Results	Reference
German Shepherd male/fe 58/46 Border Collie male/fe 46/57	German Shepherd mean age±SD: 3.88±2.55 years Border Collie mean age ±SD: 4.28±2.74. All > 1 year.	German Shepherds (N=104) Border Collies (N=103)	Pet dogs. None of the subjects were closely related, i.e. littermate and parent-offspring relationships were excluded.	SNP: rs8679684, -213A G, 19131A G	Greeting by an unfamiliar experimenter while the owner stands motionless next to the dog and holds the leash Separation from the owner (the dog is tethered to a tree on a leash, with the experimenter approaching and greeting it) Problem solving: food in a cage. Threatening stranger Hiding: experimenter releases the leashed dog to go after hiding owner	Carrying the G allele for -213AG was associated with lower proximity seeking in both breeds (German Shepherds: p=0.021, Border Collies: p=0.025). For the rs8679684 polymorphism German Shepherds carrying the A allele, as opposed to the T allele, achieved higher scores on the Friendliness scale (p=0.012), while in Border Collies individuals carrying the A allele were less friendly (p=0.033). The same result holds true for the 19208AG polymorphism (due to linkage disequilibrium), German Shepherds: p=0.008, Border Collies: p=0.013.	Kis et al 2014a
NA 88.66% of dogs were gonadectomized	5.97 (±4.02) years. All > 1 year.	47 purebred and 50 mixed Retriever mix (26), Labrador retriever (10), and golden retriever (1); Working mix (5), miniature schnauzer (4), poodle (3), and German shepherd dog (1); Scent mix (9) and beagle (2); Shetland sheepdog (3), border collie (2), old English sheepdog (1), Australian shepherd (1), and herding mix (1); Boxer (2), Great Dane (2), mastiff-like mix (2), Bernese mountain dog (1), and pit bull (1); Shih tzu (2), papillon (2), and American Eskimo (1); American cocker spaniel (1), wirehaired German pointer (1), Welsh springer spaniel (1), and spaniel mix (1); Spitz mix (2), Eurasier (1), and Siberian husky (1); Silky terrier (1) and terrier mix (1); Greyhound (1)	Pet dogs. Owners had lived with their dog for a minimum of 6 months.	SNP: rs8679684, 19131A G	Monash Canine Personality Questionnaire measuring extraversion, motivation, training focus, amicability, and neuroticism	No associations between OXTR polymorphisms and questionnaire scales.	Ottenheimer et al., 2016
No male/fe	Mean age: 6.2 years	Golden Retrievers	Separation anxiety	45 SNPs	Separation Related Behaviour Score extracted	No difference between cases (separation anxiety) and controls regarding OXTR genotype.	van Rooy et al.,

male ratio provided.	(mean age cases: 4.8 years, mean age controls: 6.1 years)		(N=24), non-affected controls (N=18)	within 500 kilobases of <i>OXTR</i>	from the Australian Canine Behavior Survey (based largely on the validated Canine Behavioral Assessment and Research Questionnaire, C-BARQ)		2016
33 males, 42 females	All > 1 year.	No restriction was put on breed	Pet dogs	Microsatellites at various distances from the <i>OXTR</i> gene	Object choice task with 20 trials of momentary distal pointing after both oxytocin (24 IU) and placebo pre-treatment	No association between performance and any of the primers.	Oliva et al., 2016b
135 females (45 neutered) and 95 males (32 neutered)	mean age \pm SD = 48.07 \pm 42.43 months	Border Collies	All kept as pets in Vienna (Austria) and surroundings	DNA methylation analysis, <i>OXTR</i> promoter region (pyrosequencing)	Threatening Approach test: the owner stood motionless behind the dog and held the leash while the experimenter walked toward the dog slowly with the upper body bent toward the dog and staring in the eyes of the dog.	Weak gender-specific associations with methylation level. Female dogs had higher methylation levels than males in position -1371, males had higher methylation levels than females in position -727. Males who hid behind the owner had higher methylation levels in site -751 than those who did not hide behind the owner (significant after correcting for multiple testing). Males remaining passive or retreating at the end of the test tended to have lower methylation levels in site -727 than males approaching the experimenter in an appeasing or aggressive manner (no longer significant when correcting for multiple testing). Females who approached the experimenter in an appeasing way tended to have higher levels of methylation in site -1383 than those who did not show any sign of appeasement (no longer significant after correcting for multiple testing). (Contrary to this) males who approached the experimenter in an appeasing manner which tended to have lower methylation levels in site -1383 than those who did not (no longer significant after correcting for multiple testing). Males who remained passive till the end of the Threatening Approach test had higher methylation levels in site -1383 than those who showed any other reaction	Cimarelli et al 2017

						(significant after correcting for multiple testing)	
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349

350 Table 2 . Summary of published studies on dog *OXTR* in relation to behaviour.

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353 4. The effect of exogenous oxytocin administration on dogs' social behaviour

354 The third approach, experimental manipulation of oxytocin levels, has also been used in dogs.
355 Intravenous administration was validated (Mitsui et al., 2011) by measuring oxytocin (OXT)
356 levels in both blood and urine samples following the injection of 4 times 0.25 ml OXT during
357 the course of 15 minutes. Plasma OXT concentration reached a maximum immediately after
358 the fourth injection (15 minutes after baseline) and then rapidly returned to baseline levels.
359 The peak urinary OXT concentration occurred 1 hour after baseline (45 minutes after the
360 fourth injection) and returned to baseline levels slowly (by the end of the 2nd hour after
361 baseline). A more widely used alternative to study the effect of oxytocin on behaviour is
362 intranasal (instead of intravenous) oxytocin administration (IN-OXT). It was found (Romero
363 et al., 2014) that 15 minutes after administering 40 IU oxytocin intranasally blood OXT levels
364 were elevated compared to both baseline and placebo condition in N=5 dogs. (OXT
365 concentrations were also higher 90 minutes after OXT administration compared to placebo
366 condition, but the difference did not reach statistical significance – $p=.080$.) Urinary oxytocin
367 concentration of the same subjects was also higher 90 minutes after OXT administration
368 (compared to both baseline and placebo condition) and the increase in urinary OXT levels
369 correlated with the accumulate value of plasma OXT. Similar (unpublished) results were
370 obtained (Temesi et al., 2017) (submitted manuscript) on N=4 laboratory-bred beagle dogs
371 receiving 12 IU IN-OXT; serum oxytocin reached its maximum 15 minutes after the
372 treatment, and the peak urinary oxytocin concentration occurred between 45 and 60 minutes
373 after OXT administration. No such changes were observed in the N=2 placebo-treated beagle
374 dogs. In the Romero et al. (Romero et al., 2014) study heart-rate variability was also
375 measured during the 5-minute intervals before and after the IN-OXT administration and it
376 was found that high frequency (HF; computed by spectral analysis for NN intervals in the
377 range of 0.15 – 1.00 Hz) decreased right after spray administration independent of treatment

378 received, and during the subsequent 5 minutes it did not significantly vary in the placebo
379 group, while it showed a significant increase in the oxytocin group. Another study (Kis et al.,
380 2014b) found similar results on N=10 pet dogs by showing in a within-subject design that 40
381 minutes after intranasal administration of 12 IU oxytocin heart rate (HR) decreased and heart
382 rate variability (HRV; standard deviation of RR intervals) increased compared to the placebo
383 group (as measured during a 1-minute-long interval). The same measurement was repeated
384 (Kovács et al., 2016a) on N=39 dogs and apart from confirming that 12 IU oxytocin
385 decreased HR and increased HRV 40 minutes after IN-OXT treatment, it was also found that
386 changes in HR and HRV were related to behaviour in the subsequent experiment (biological
387 motion perception task, see later).

388 The first study to assess the effect of IN-OXT treatment on dog behaviour (Romero et al.,
389 2014) measured affiliative behaviour during a 60-minute period immediately following the
390 administration of 40 IU oxytocin. The owners of the dogs were instructed to sit quietly in an
391 experimental room and not to actively interact with their dogs, thus any behaviour directed
392 from the dogs to their owners was either ignored or only briefly reciprocated (e.g., dogs
393 received a gentle brief touch or push back when they tried to lick their owner's face). Dogs
394 administered with OXT initiated affiliation (defined as sniffing, licking, gentle touching with
395 the nose or paw, play bouts, and body contact excluding tails) toward their owners more often
396 compared to placebo treatment. Furthermore following OXT administration dogs showed an
397 increased social orientation to their owners (defined as staring, looking at owner or no clear
398 gaze direction but head frontally oriented to owner). Effects of IN-OXT treatment on social
399 behaviour towards conspecifics were also documented in this study as well as in a follow-up
400 analysis (Romero et al., 2015). Other studies have focused on the applied aspects of IN-OXT
401 research. It was found (Kis et al., 2015) that dogs (N=64) that received 12 IU IN-OXT
402 showed an increased positive expectation bias in the cognitive bias paradigm 40 minutes post

403 treatment compared to placebo groups, and this effect was more pronounced in a
404 communicative compared to a non-communicative context. Moreover in a threatening
405 approach test (N=36) IN-OXT pretreated dogs (12 IU) looked back more at the experimenter
406 standing behind them (40 minutes post treatment), that can be interpreted as social referencing
407 in a mildly stressful situation (Hernádi et al., 2015). However, in the same experiment dogs
408 after having received IN-OXT showed a less friendly first reaction compared to the PL group
409 when the owner was approaching, although IN-OXT and PL pre-treated dogs showed the
410 same reaction to an unfamiliar experimenter approaching. The fact that oxytocin only
411 influenced dogs' first reaction to the owner, but not to the experimenter might suggest that the
412 effect of oxytocin is specific and/or more pronounced towards socially more relevant partners.
413 Individual differences in aggression (measured via questionnaire) also modulated dogs' first
414 reaction in interaction with pre-treatment (OXT vs. PL) and the identity of the approaching
415 human (owner vs. experimenter). This is in line with human studies that indicate a modulating
416 role of baseline aggression on the effect of oxytocin (Alcorn et al., 2014).

417 The canine analogues of human communicative skills were also investigated. It was found
418 (Oliva et al., 2015) that the administration of 24 IU IN-OXT enhanced the appropriate use of
419 human (momentary distal) pointing and gazing 45 minutes post treatment in dogs (N=62) in a
420 two-way choice task where subjects had to locate hidden food based on human social cues. A
421 further interesting finding of this study is that dogs' enhanced pointing-following
422 performance was maintained for the second test session 5-15 days after IN-OXT
423 administration, that is dogs that received the placebo treatment for the second session (after
424 having received IN-OXT for the first session) performed better compared to dogs that
425 received placebo treatment during the first session; no order effect (first vs. second session)
426 was found for the oxytocin treated dogs. A follow-up analysis (Oliva et al., 2016a) has further
427 shown that while dogs' ability to follow both human pointing and gazing is predicted by

428 owner reported questionnaire measures (anxious attachment in owners, and contagion of
429 human emotions respectively), no such correlation can be found for their performance
430 following IN-OXT treatment. The finding that dogs' performance in following human
431 momentary distal pointing is enhanced by IN-OXT treatment was conceptually replicated
432 with a slightly different methodology (Macchitella et al., 2016). Subjects (N=14, including
433 puppies as young as 4-month-old) received 2 IU/kg IN-OXT (with their weight ranging from
434 5 kg to 40 kg) or placebo in a within subject design (with 1–22 days between sessions) and
435 participated in a pointing following task at their homes or at a veterinary centre 15 minutes
436 post treatment. While their results confirmed that dogs chose the baited cup significantly more
437 often in the oxytocin compared to the placebo condition (with dogs in the placebo condition
438 also performing above chance), no effect of subjects' age, test location or order of treatment
439 was found (although the relatively low sample size might not allow for such comparisons).
440 Also, gazing behaviour in female (N=15; 2 gonadally intact, 13 spayed) but not male (N=15;
441 2 gonadally intact, 13 castrated) dogs was found to increase during a 60-minute period
442 immediately after IN-OXT (40 IU) treatment (Nagasawa et al., 2015). In this experimental
443 situation the owner and two unfamiliar people were seated in a room and human behaviour
444 toward dogs was restricted (they were forbidden to talk to each other or to touch the dog
445 voluntarily). These results, together with the finding that no significant oxytocin change ratio
446 was found in dogs when interaction with humans was limited, is interpreted as further
447 evidence for an oxytocin-gaze positive feedback loop (see above) although no explanation
448 exists for the sex differences found in this but not their previous study.

449 The effect of IN-OXT (12 IU) on basic mechanisms of social cognition was also
450 investigated using the biological motion paradigm (Kovács et al., 2016a). Dogs (N=39; 40
451 minutes post treatment) were presented with a moving human point-light figure and its
452 inverted and scrambled version. Results showed that while placebo-pretreated dogs showed a

453 spontaneous preference for the biological motion pattern as expected, there was no such
454 preference after IN-OXT. Using an eye-tracking paradigm unpublished evidence was found in
455 a within-subject design that 40 IU IN-OXT increased the number of fixations laboratory-kept
456 beagle dogs (N=42) made at the eyes of smiling human faces, and it diminished dogs'
457 tendency to revisit the eyes of angry faces more often 45 minutes post treatment (Somppi et
458 al., 2016). A parallel unpublished eye-tracking study (Hernádi et al., 2017) also found that IN-
459 OXT (8 IU for dogs under 18 kg of weight and 12 IU for dogs over 18 kg) has an effect on
460 (N=38) pet dogs' viewing patterns of (male) human emotional faces 35-45 minutes post
461 treatment. However, the results of this study are slightly different as it was found that
462 oxytocin decreases dogs' preferential looking to the eye region of human faces regardless of
463 the displayed emotional expression.

464 While the above studies have predominantly used a mixed sample of several dog breeds
465 and crosses or alternatively focused on one single breed, a recent study (Kovács et al., 2016b)
466 provides evidence that IN-OXT treatment has differential effects on different dog breeds.
467 Border Collies (N=19) and Siberian Huskies (N=19) were tested in situations measuring
468 social responsiveness, and apart from pretreatment (OXT/PL) and breed effects interactions
469 among these two factors were also found in case of several behavioural variables. For
470 example Border Collies, but not Siberian Huskies, looked more at the experimenter after
471 oxytocin administration in the 'Unreachable food' situation; and oxytocin-pretreated Border
472 Collies looked longer at the experimenter's eyes compared to oxytocin-pretreated Siberian
473 Huskies in the 'Tolerance of prolonged eye contact' test, while there was no difference
474 between the two breeds after placebo treatment. These results are not surprising as due to the
475 differential selection of the two breeds (cooperative versus independent workers) they are
476 genetically distinct, and as they are kept for different purposes and thus have different
477 experiences epigenetic changes in the oxytocin system might occur during ontogeny.

478 Although the sample size is limited, this study also provides the first preliminary evidence
479 that a polymorphism in the *OXTR* gene (-213AG) interacts with the effect of IN-OXT
480 treatment on behaviour in both Border Collies and Siberian Huskies.

Sex	Age	Breeds	Dog's social background	Method	Behavioral protocol	Results	Reference
All males (N=6)	5.29 ± 0.95 years old	Labrador Retrievers	5 dogs from a training center and 1 housedog	Intravenousl y 4 times every 5 minutes (0.25 ml * 4 = 500 pg/ml in total)	Dogs were kept quiet in their cages after administration	Highest concentration of urinary OT (radioimmunoassay) appeared 60 min after administration and returned to baseline slowly (around 3 hours). Plasma OT concentration reached the maximum immediately after the 4 th injection (e.g. after 15 min) and then rapidly (around 1 h) decreased to baseline concentration.	Mitsui et al., 2011
8 males; 8 female	6.1 ± 0.7 years old	9 Standard Poodles, 4 Labrador retrievers, 1 German shepherd, 1 Shetland sheep dog 1 Border Collie.	Pet dogs living with their owners	Intranasal 40 IU in 100 µL solution	Dogs sitting on a blanket near the owner, which stands quietly on a chair. After that, an additional partner dog entered the room with a second blanket being placed near the chair (Note – for blood and urine samples, 5 separate dogs were used).	OT increased social positive behaviours to human and canine partners during 1 h (e.g. increased social orientation and affiliation to owner or approach/affiliation behaviour towards dog partner: as for example in time spent in proximity-1m or reciprocated behaviour in less then 5 sec: sniffing, licking, gentle touching). +Increased blood OT levels (radioimmunoassay) 15 min after administration. +Increased urinary OT concentration 90 min after administration.	Romero et al., 2014
20 males; 19 female	4.46 ± 2.51 years old (all older then 1 year)	18 purebred, from 14 different breeds; 21 mongrels 8 small size (≤ 9 kg), 23 medium size (10-25 kg), 8 large size (> 25 kg)	Pet dogs living with their owners	Intranasal 12 IU (3 puffs)	Specific biological motion perception task. +Owners completed the Neuroticism and Agreeableness scale adapted for dogs	OT decreased heart rate (HR) (p < 0.05) and increased hears rate variability (HRV) as measured 40 minutes after intranasal puffs. OT administration affected biological motion perception task, which correlated with both HR/HRV and aspects of dog personality (as in Neuroticism and Agreeableness scale).	Kovacs et al., 2016a
8 males; 8 female	6.1 ± 0.7 years old	9 Standard Poodles, 4 Labrador retrievers, 1 German shepherd, 1 Shetland sheep dog 1 Border Collie.	Pet dogs living with their owners	Intranasal 40 IU in 100 µL solution	Dogs sitting on a blanket near the owner, which stands quietly on a chair. After that, an additional partner dog entered the room with a second blanket being placed near the chair.	OT resulted in dogs initiating play sessions more often and for longer time, as well as increased dog's play motivation.	Romero et al., 2015
28 males; 36 female	4.44 ± 2.67 years old (all more then 1 year)	42 pure breeds from 20 different breeds; 22 mongrels 21 small size (≤ 9 kg), 33 medium size (10-25	Pet dogs living with owners and capable of being motivated with dry food by their	Single intranasal dose of 12 IU	Cognitive bias paradigm (ambivalent situations): learn to discriminate between a bowl with food and an empty one. After OT administration, dogs	OT increased positive expectation bias, 40 min after treatment, with this effects being more pronounced in the communicative context. Note: In a separate test on 10 dogs (3♂ and 7♀, 4.33 ± 2.69 mean	Kis et al., 2015

		kg), 10 large size (> 25 kg)	owners		were presented with a bowl located halfway between the aforementioned 2 locations, in a communicative (eye contact, addressing, gently touching) or non-communicative way (placed food behind a curtain, with no communication cues).	age) the 12 IU intranasal administration decreased HR (p=0.002) and increased HRV (p = 0.002).	
20 males (12 intact and 8 neutered) ; 16 female (6 intact and 10 spayed)	4.7 ± 2.6 years old (all of them older than 1 year)	20 purebreds from 14 different breeds: Belgian Shepherd, Black Russian Terrier, Border Collie, Boxer, Bulldog, Central Asian Shepherd Dog, Golden Retriever, Norwich Terrier, Nova Scotia Duck Tolling Retriever, Schnauzer, Shipperke, Scottish Terrier, Siberian Husky, Stafforsihre Terrier and 16 mongrels	Pet dogs living with their owners	Intranasal 12 IU (3 puffs)	Threatening Approach Test performed either by a female experimenter or the owner. Test consists of unfamiliar female experimenter approaching while owner is sitting silently 0.5 m near the dog. Secondly, experimenter and human are switching roles/places.	OT resulted in a less friendly first reaction to the owner approaching, as compared to placebo, 40 minutes after treatment. OT also resulted in dogs looking back more frequently at the human (owner or experimenter, depending on the phase of the study) standing besides them.	Hernadi et al., 2015
31 males; 31 female	NA (all dogs over 12 months)	NA	Pet dogs living with their owners	Intranasal 24 IU (50 µg) diluted in 5 ml of saline, with half dose in each nostril	Object choice task (OCT): treat hidden in opaque bowl vs. empty opaque bowl, in 2 different testing sessions, 5-15 days apart. Hungry dogs that day had to use experimenter cues to find treat: momentary distal point cue (raise arm and point towards the correct bawl) or gaze cues (gazed towards the correct bawl).	OT increased the dog's scoring by using momentary distal point cues, 45 min after administration. This effect was maintained over 5-15 days to the second session, even in the absence of OT. No treatment effect was found for the gazing cues. There was a gender effect on the behavioural efficacy of OT, which exerted significant effects in female, but no influence on males.	Oliva et al., 2015
33 males; 42 female	NA (all dogs over 12 months)	NA	Pet dogs living with their owners	Intranasal 24 IU	Object choice task (OCT) (please see above study). In addition, owners completed Pet Attachment Questionnaire (for anxious and avoidant attachment),	OT increased dog's performance in OCT. However, the administration of OT affected the initial correlation between OCT performance and owners questionnaire regarding the attachments scores.	Oliva et al., 2016

					Perceptions of Dog Intelligence and Cognitive Skills survey and Monash Dog Owner Relationship Scale.		
11 males; 3 female	46 ± 12.9 months (ranging from 4 to 156 months)	11 breed: 3 German shepherd, 3 Miniature schnauzer, 1 Labrador retriever, 1 English setter, 1 Jack Russell terrier, 1 Miniature pinscher, 1 West-Highland terrier and 3 mix-breed	with owners (but 8 dogs were tested in the lab, while the 6 were tested at home)	Intranasal 2 IU/kg	Variation of OCT with treat hidden in one of the similar cups. 2 different sessions (one OT, one placebo), with an average of 10.1 ± 1.4 days between them (range from 1 to 22 days). Momentary distal point (2 s, 50 cm from the cup)	OT increased dog's performance in OCT, 15 minutes post-treatment.	Macchitella et al., 2016
15 males (13 castrated) ; 15 female (13 castrated)	5.65 ± 0.8 years old	7 Standard Poodles, 6 Golden Retrievers, 6 Labrador Retrievers, 2 Beagles, 2 Border Collies, 2 Schnauzers, 1 Bernese Mountain, 1 Boxer, 1 German Shepherd, 1 Shetland Sheepdog, 1 Siberian Husky	Pet dogs living with their owners	Intransal (40 IU/100 µl)	Owner and 2 others unfamiliar persons seated in a chair and avoiding to talk or touch the dog voluntarily, in a 30 min interaction test	OT increased the gazing time just in female dogs. Urinary OT was also increased in the owners of the female dogs which received OT. Limited interaction with human resulted in no modification of urinary concentration of OT, as well as no significant OT change ratio in these dogs (radioimmunoassay).	Nagasawa et al., 2015
Border Collies: 9 males, 10 females Siberian Huskies: 8 males, 11 females	Border Collies: 3.5 ± 2 years old Siberian Huskies: 4.8 ± 1.9 years old	19 Border Collies 19 Siberian Huskies	Pet dogs living with their owners	Single intranasal dose of 12 IU (3 puffs)	3 behavioral tasks used for the social responsiveness: 1. Unreachable food task- how dogs are changing their communication towards a potential human helper when could not reach food. 2. Potentially dangerous object task: explore dog's behaviour to look towards human when faced a potentially dangerous object or situation. 3. Tolerance of prolonged eye contact with an unfamiliar human.	OT administration resulted in different behavioral manifestations in the 2 breeds, as the Border Collies were more susceptible to social-like manifestations, when compared to Siberian Huskies: looked more at the experimenter in the unreachable food task, gaze more at the owner in the potentially dangerous object test and had an increased tolerance to prolonged eye contact with the experimenter.	Kovacs et al., 2016b

482	
483	Table 3. . Summary of published studies measuring behavioural changes in dogs after exogenous oxytocin administration
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485	

486 **5. Conclusions and future directions**

487 The above outlined studies all point to the direction that oxytocin plays a complex role in
488 regulating human–dog relationships. This is evidenced by the impact of genetic
489 polymorphisms in the oxytocin system (*OXTR* gene), and exogenous oxytocin on different
490 aspect of social behaviour towards humans, as well as by the finding that behavioural
491 treatment with human social stimuli influence peripheral oxytocin levels. However, several
492 methodological discrepancies exist between the published studies (especially in case of the
493 IN-OXT research) due to the fact, that different research groups started to simultaneously and
494 independently work on this topic, that might make future work and comparison of results
495 difficult. Thus a consensus should be reached in the following points: i) dose and delivery
496 method of IN-OXT treatment, ii) duration of waiting period between IN-OXT treatment and
497 test as well as standardization of activity during waiting period, iii) measurement protocol of
498 urinary and blood oxytocin levels.

499 Studies have administered different doses of IN-OXT (typically ranging from 12 IU to 40
500 IU; but some subjects received 8 IU, while others up to 80 IU based on body weight) and
501 waiting periods after the treatment also vary (from no waiting period to 45 minutes). As to
502 date there are no (pre-registered) replications of IN-OXT studies the effect of these
503 methodological discrepancies is not yet known. However, there are some general differences
504 across the results reported in dog IN-OXT studies that are indicative of the existence of such
505 effects. For example some studies report a strong effect of repeated testing (carry-over effect;
506 e.g. Kovács et al., 2016a; Oliva et al., 2015), but others do not (e.g. Hernádi et al., 2015;
507 Macchitella et al., 2016). Also, sex differences in the effect of oxytocin have been
508 documented in some studies (e.g. Kovács et al., 2016a; Nagasawa et al., 2015), but not in
509 others (e.g. Kis et al., 2015). While there is no methodological consensus in human IN-OXT
510 research either, several further confounding factors have been identified that are potentially

511 relevant for dogs as well (Guastella et al., 2013). For example nasal anatomy has been found
512 to influence absorption upon nasal spray administration, thus an easily administered technique
513 to characterise anatomical differences in the nasal cavity might be necessary. In addition to
514 active compounds (e.g. OXT) each nasal spray formulation includes different ingredients that
515 can be described by chemical characteristics that all impact on absorption capacity (such as
516 molecular weight, polarity, pH, chemical modification, lipophilicity, chemical form,
517 polymorphism, pKa, solubility, dissolution rate, mucosal irritancy, osmolarity, and particle
518 size), thus it might be useful to report all formula ingredients in scientific manuscripts. In
519 addition head position, breathing as well as bottle design, insertion depth and administration
520 angle also have an influence.

521 Standardization in the measurement of peripheral OXT is also necessary in order to
522 compare results from different studies. A difference of four magnitudes has been reported in
523 mean baseline blood oxytocin levels of dogs with 0.1 ng/L (Odendaal and Meintjes, 2003)
524 versus 155.8 pmol/L (Handlin et al., 2011) respectively¹. High individual variation (and the
525 presence of a few outlier values) might of course cause substantial differences in mean values,
526 but a difference of this magnitude is more likely to be caused by the populations under study
527 being considerably different and/or the methodologies used (HPLC versus immunoassay)
528 yielding vastly different results. Some (McCullough et al., 2013) have already cautioned that
529 commercially available (immunoassay) methods frequently used to measure peripheral
530 oxytocin have been proven to lack reliability when used on unextracted samples of human
531 fluids as they tag molecules in addition to OXT. This leads to plasma oxytocin measures
532 without extraction yielding 100-fold higher values than those found in extracted plasma with
533 the correlation between the two values being practically none (Spearman's $\rho = -0.10$, $p =$
534 0.54 ; Szeto et al., 2012). Some authors report validity measures for their technique used, e.g.

¹ Oxytocin is a 1k Dalton protein with a molar weight of 1007.18734 g/mol, thus *ng* and *pmol* are the same magnitude.

535 for one Oxytocin ELISA kit (Enzo Life Sciences, Lausen, Switzerland) inter- and intra-assay
536 coefficients of variations were 9.9% and 12.5%, respectively (Pekkin et al., 2016);
537 standardization and comparability of such measures will contribute to research transparency.

538 Despite the above methodological concerns the results of dog oxytocin research have
539 proved that this area continues to be promising and it holds the potential to contribute to our
540 understanding of human-analogue social skills in dogs. Also, many interesting research
541 questions remain open. Future studies should for example clarify the generalizability of
542 results by investigating e.g. breed effects. Some studies have already shown that different dog
543 breeds differ in allele frequency for certain *OXTR* polymorphisms (Bence et al., 2016), and
544 that these polymorphisms, as well as IN-OXT treatment can have differential effects in case
545 of different breeds (Kis et al., 2014a; Kovács et al., 2016b).

546 Apart from breeds, further individual differences likely interact with the oxytocin system to
547 influence behaviour, as it has been shown for aggressiveness (Hernádi et al., 2015) and sex of
548 the subjects (Kovács et al., 2016a; Nagasawa et al., 2015), thus future studies should
549 potentially examine such effects. The underlying mechanisms of individual differences in
550 responsiveness to IN-OXT treatment (including differences in the oxytocin receptor gene, or
551 in baseline oxytocin level, etc) should be explored in order to tackle the possibility to use this
552 treatment for problem behaviours.

553 In general the three, now separate research lines (i.e. measuring endogenous oxytocin
554 levels; studying *OXTR* polymorphisms; investigating the effects of exogenously administered
555 oxytocin) should be combined in the future in order to gain a full picture of how the oxytocin
556 system influences social behaviour. Exonic polymorphisms in the oxytocin receptor gene
557 likely have an influence through alternative splicing, and SNPs in the regulatory (UTR)
558 regions can also influence gene expression, as well as the amount of the protein expressed by
559 altering miRNA binding. Thus *OXTR* polymorphisms likely modify the effect of exogenous

560 OXT treatment (as preliminary evidence also indicates in Kovács et al., 2016b). Peripheral
561 OXT measurements could ideally quantify both baseline oxytocin levels, and oxytocin level
562 changes in response to IN-OXT treatment. These should be complemented by investigating
563 dose- and repeated (chronic) treatment effects. Using such an integrated approach could shed
564 light on how individual differences in combination with the general effect of oxytocin interact
565 to influence human-directed social behaviour in dogs.

566 The recent advances of the field, such as genome-wide association studies and direct
567 investigation of epigenetic modifications of the oxytocin system (e.g. methylation of *OXTR*)
568 should also be employed. The latter approach would be especially valuable in order to find
569 out how early social experience influences behaviour (gene \times environment interactions).
570 While evidence has already been found that dogs behaving differently have different
571 methylation levels (Cimarelli et al., 2017), it is yet to be examined how these different
572 methylation levels emerge as a result of environmental influence, as for example no effect of
573 owner interaction style on methylation levels was found.

574 Another way of combining the three main methods of oxytocin research in the future is to
575 integrate the examination of *OXTR* polymorphisms into studying the effect of social
576 interactions on oxytocin levels. It would be further interesting to see how behavioural
577 treatments influencing oxytocin levels modify subsequent behaviour of the subjects, and how
578 this is modulated by the magnitude of change in oxytocin levels. This could be paralleled by
579 IN-OXT administration in order to test if the increase in endogenous and exogenous oxytocin
580 levels would have the same behavioural effects. Validation of the effects of behavioural
581 treatments on the oxytocin system can have great therapeutic significance because this may
582 open a possibility for treating problem behaviours, or improving symptoms of social deficits
583 in a manner more natural and non-invasive than IN-OXT treatment (i.e. behavioural treatment
584 involves much less discomfort, it can be administered repeatedly for an extended period of

585 time and the risk of side effects is minimal, if any). Given the recent advances in the field of
586 canine neuro-behavioural research (Bunford et al., 2017), behavioural measurements could be
587 complemented in the future by non-invasively acquiring brain activity data (EEG, fMRI) in
588 response to either IN-OXT treatment or positive social interaction while keeping track of
589 changes in OXT level.

590 Together these results will further validate the dog as a model of human social cognition,
591 not only at the behavioural but also at the physiological and neurohormonal levels. This will
592 provide us with a useful animal model to study the mechanisms of complex human
593 behaviours for pharmaceutical purposes. Furthermore it will contribute to our understanding
594 of how convergent evolution has shaped social cognition in dogs while adapting to the human
595 environment.

596

597 **Conflict of interest**

598 All authors declare that there is no conflict of interest.

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607

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