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2	between Elsevier and MTA. The definitive version of the text was subsequently published in
3	[Trends in Neurosciences, 40: (7), July 2017, DOI: https://doi.org/10.1016/j.tins.2017.05.003].
4	Available under license CC-BY-NC-ND.
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/	Canis familiaris as model for non-invasive comparative neuroscience
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27 79	Abstract: There is ongoing need to identify and improve animal models of human behaviour and
20	Abstract. There is ongoing need to identify and improve animal models of numan behaviour and
29	biological underpinnings thereof. The domestic dog (Canis familiaris) is a promising model in
30	cognitive neuroscience. However, before it can contribute to advancements in such science in a
31	relevantly comparative, reliable, and valid manner, methodological questions warrant attention.
32	To base the research on rigorous foundations, we review non-invasive canine neuroscience
33	studies, primarily focusing on 1) variability across dogs and between dogs and humans in cranial
34	characteristics and 2) generalizability across dog and dog-human studies. Arguing not for
35	methodological uniformity but for <i>functional comparability</i> in study methods, experimental
36	design, and neural responses, we conclude that the dog may become an innovative and unique
37	model in comparative cognitive neuroscience, one that is complementary to traditional models.

## 38 Animal models in comparative neuroscience

39 Animal model research is grounded in the idea that animals share behavioural, physiological, and 40 other characteristics with humans. Benefits of such research include increased understanding of 41 phenomena that could not be directly studied in humans or without cross-species comparison. The 42 neuroscience of socio-cognition has been extended from traditional primate and rodent models to the 43 domestic dog – an alternative, complementary model that permits for non-invasive measurement of 44 behaviour and its neural correlates. There has been an upsurge in canine neuroscience studies, necessitating establishment of methodological guidelines that ensure scientific rigor. To this end, 45 46 complementing available reviews that are heavily [1] or solely [2] focused on available fMRI findings 47 [1,2] from a conceptual perspective, we review the non-invasive canine neuroscience literature, focusing 48 on methodology and experimental design. Primarily guided by principles of comparative anatomy, we 49 highlight advantages of and remaining challenges of the dog as an animal model for comparative 50 cognitive neuroscience.

We begin with an overview of animal models of human behaviour, then narrow our focus into neuroscience, leading to questions about the domestic dog as a model for comparative neuroscience. Mainly focusing on non-invasive canine fMRI and EEG research, we reflect on such questions in light of three main considerations. These centre on within- and between-species variability, in particular in cranial characteristics, though are also varied in terms of the degree to which they potentiate (1) advantages and disadvantages for the dog as an animal model and, in case of disadvantages, whether solutions (2) have or (3) have not been developed to address those.

## 58 Animal models for comparative cognitive neuroscience

A goal of comparative research is to establish principles of **proximate and ultimate causation** (see Glossary), via between-species comparisons and study of individual organisms. Animal models for comparative cognitive science include avian [3–5] as well as rodent and primate models that have emerged as primary models for comparative cognitive *neuroscience* [2]. Advantages of rodents include feasibility of handling the animals under laboratory conditions; cost-efficiency; and utility in pre-clinical 64 and clinical studies [6]. Advantages of primates include similarity to humans in development, 65 neuroanatomy, physiology, and reproduction, as well as in cognition and social complexity and thus 66 suitability for studying a range of mental processes [7]. Yet, use of these models is increasingly 67 problematic for animal welfare and ethical reasons [8]. Conversely, the role of the domestic dog has been 68 becoming increasingly important, with research initially focused on informing treatment for human 69 medical diseases with laboratory dogs [e.g., 4] and more recently involving basic research on sensation, 70 perception, and socio-cognition with family dogs (Box 1). One reason for this increase in importance is 71 that dogs, having been encultured in human society, naturally exhibit *cooperativeness* and *trainability*, 72 obviating need for fluid and/or food restriction as a motivational tool. Thus, relative to other species, 73 preparation of the dog for an experiment is more similar to preparation of humans in terms of 74 corresponding physiological and social state and there is less limitation to generalizability of interaction 75 with experimenters and environmental (e.g., lighting and sound) and experimental stimuli [1]. 76 Cooperativeness and trainability also permit for non-invasive methods; although techniques have been 77 developed for awake scanning of monkeys, pigeons, and rats [1], unlike these animals but like humans, dogs do not need to be restrained (e.g., via surgically implanted posts [10]) but can be trained to hold still, 78 79 yielding more valid cross-species comparisons. Finally, given their evolutionary history and integration 80 with humans, dogs and humans exhibit a range of socio-cognitive skills that share key behavioural and 81 functional characteristics [11]. It is for ability to study these very skills and corresponding functions (Box 82 1) that the dog may be one of the best model species for study of human socio-cognition [2] in 83 comparative neuroscience [11].

Together, it stands to reason that the domestic dog is a suitable model for comparative neuroscience and that the non-invasive methods of brain circuits, physiology, and behaviour used with the dog ideally complement the invasive methods appropriate for studying molecules and cells used with traditional models. In combination with over 20 years of canine ethological research [12] and capitalizing on exciting possibilities of the species and non-invasive methods, there has been an increase in the number of canine neuroscience studies, with an overwhelming majority conducted in the past 3 years. (Mostly fMRIand EEG, although other methods have also been used [13]).

91 Basic standards for measures and methods include reliability and validity [14] and, in case of 92 comparative research, for them also to be *relevantly* comparative. Related pressing questions pertain to 93 the degree to which methods are comparable across dog-dog and dog-human studies as well as the degree to which employed methods allow for comparability and generalizability across studies (Table 1, Key 94 95 Table); with the impetus behind such questions stemming from within- and between-species variability, 96 especially in cranial characteristics. Some of this variability presents advantages for the dog as a model 97 and some may be limiting. In the latter cases, methods to address limitations are either already being developed and evaluated or are in need of development and evaluation. 98

# 99 Differences that present advantages

100 *Differences in skull formation and brain anatomy*. Across humans, variation in skull formation 101 and brain size is relatively trivial; the average female brain volume is 90% of the male [15] and the 102 average brain volume of a 7-11-year old child is 95% of the volume of a sex-matched adult [16]. 103 Conversely, there are large differences across dogs in skull shape and size and brain anatomy. Canine 104 skull length ranges from 7 to 28 cms [17] (i.e., the shortest dog skull is 25% of the longest), making *Canis* 105 *familiaris* the species with most within-species morphological variation in this regard [18].

In addition to skull length, differences across **dolichocephalic**, **brachycephalic**, and **mesaticephalic** dogs include dissimilarities in the craniofacial angle (angle between the **basilar axis** and **hard palate**) [19], in neuroanatomy (e.g., in brachycephalic dogs the brain is rotated with respect to its mediolateral axis) and the anatomy of the cerebral cortex [20], temporomandibular joint (i.e., jaw joint) [21], and **cribriform plate** [22].

111 These differences across dogs *allow for examining the relation among brain structure, function,* 112 *and behaviour within the same species* and the effects of differences in skull- and brain-morphology on 113 neuro-socio-cognition. As the  $\geq$ 400 documented breeds exhibit a variety of genetically fixed morphologic 114 traits that correspond to differences in behaviour, longevity, size, skull shape, and disease susceptibility [20], better understanding of these was proposed to increase understanding of mammalian biological and embryonic development [20]. Although, to date, the number of dog breeds involved in fMRI studies is considerably lower, they include subjects from diverse breeds suggesting that there is no limitation (e.g. in trainability) to between-breed comparisons.

119 In support of stated advantages, differences in dog skull shape are associated with differences in 120 brain organization, e.g., brachycephalic brains are relatively rounded and shortened in the anterior-121 posterior plane, the brain pitched ventrally at the anterior pole, with a pronounced shift in the position of 122 the olfactory lobe [18] (see Box 2 for additional examples). Differences in skull shape are further 123 associated with differences in behaviour in that brachycephaly, relative to dolichocephaly, is associated 124 with increased ability to focus and rely on human gestures [23]. Conversely, less morphological 125 differences across individuals in other species, such as humans, are less (or not) suitable for addressing 126 these questions and are thus largely overlooked.

127 Differences in experimental design: sample composition. Compared to the human neuroscience 128 literature, there is significant overlap in groups of dogs across studies. This is due, in part, to challenges 129 (e.g., limited subject availability and need for extensive training) and, in part, to advantages that make the 130 dog a multi-experiment model (e.g., ability to re-measure dogs as they do not need to be euthanized after 131 participation). For example, in canine fMRI studies, 100% of the sample of [24] was included in [25], and 132 there was a 92% overlap in the samples of [25] and [26], and a 67% overlap in the samples of [25] and 133 [27], and all dogs in [28] came from one of these samples. Similarly, in EEG studies, there was a 100% 134 overlap in the samples of [29] and [30], and a 68% overlap in the samples of [31] and [32].

Awake fMRI testing necessitates that dogs are trained to get used to scanner coil; place their heads in-between their paws [34,35,37–39] or on a chinrest [24–28,33,36,40], and hold this position until a release signal and then while wearing canine ear muffs; get used to recordings of scanner noise and being in a mock scanner; and to adhere to these procedures inside the scanner room and ultimately the scanner [25,34]. Training is extensive and typically involves behavioural shaping, conditioning and social learning (e.g., the "**Model/Rival**" training method [34]). Different training methods allow for different 141 lengths of time during which dogs are able to hold a position, which has implications for design. For 142 example, in some studies, consistent with human studies, dogs do not exit the scanner between runs 143 [34,35,37,39,41] whereas in others, they do [24–28,33,36,40]. Movement artefacts are also handled 144 differently: some authors, consistent with human studies, exclude scans with head translation >3mm or rotation  $>1^{\circ}$  [34–36]; whereas others exclude scans with >1% scan-to-scan signal change [24]; >0.1145 146 fraction of outlier voxels in each volume or >1% scan-to-scan signal change, in combination with >1mm147 scan-to-scan displacement [26-28,40,42], and yet others exclude runs with .10mm total displacement [37,41]. As the size of the dog brain is roughly one-third of the human, arguably, a >3mm translation in 148 dogs would approximate an unacceptable >9mm in humans. However, in most studies where the human 149 150 criteria were used, translation did not exceed 1mm [34,43]. Additionally, it has been shown that changes 151 in the time course of fMRI data are decreased when correlations are examined long-distance but increased 152 when they are examined short-distance, indicating that absolute movement is less and relative movement 153 is more important when pre-processing the data [44]. Finally, depending on study design and research 154 group, dogs need anywhere from five sessions [34] to 18 months of training [25]. For comparison, human adults do not receive training and human children as young as 6 years of age receive minimal (a one-, 155 156 maximum two-occasion, 30-60-minute familiarization with a mock-scanner and recordings of scanner 157 noise) or no training [45] (Table 1).

158 The overlap in groups of dogs included across studies also has advantages for examination of 159 reliability and validity of measures as it allows for assessment of within-subject stability vs. change of 160 measures of neural function over time and of within-subject correspondence of neural correlates and performance across social, cognitive, and affective paradigms. This ability to examine psychometric 161 162 properties of measures is comparable to research with humans but not most other species, where animals 163 easily habituate or are euthanized following participation. Regarding within-subject stability vs. change 164 over time, although the reliability, including test-retest reliability, of neuroimaging [14] has, until 165 recently, been a relatively neglected area of research in human neuroscience, the overlap in groups of 166 dogs across canine studies presents a natural opportunity to attend to questions of psychometrics [46].

Regarding *within-subject correspondence of neural correlates and performance across paradigms*, it is important that these exhibit convergence and divergence, where expected. Establishing correspondence across different indices of phenomena of interest (e.g., social and cognitive indices of self-regulation) but that these provide unique information about variables examined, is key to the innovative dimensional frameworks that are currently championed (e.g., the Research Domain Criteria [RDoC]; [47]).

# 173 Differences that potentiate disadvantages but solutions are available

Within-species differences in skull formation and brain anatomy. Thess within-species variabilities (Figure 1) are relevant for normalization. In fMRI research, advantages of normalization are that when a set of coordinates is referenced, the location to which those coordinates correspond is known and that results can be: generalized to a larger population; compared across studies wherein the same brain is used for normalization; and can be averaged across subjects for group-level analyses. Disadvantages are that it reduces spatial resolution and increases probability of error in identification of anatomical location.

Normalization requires a "standard" brain, i.e., template. In the adult human literature, the Montreal 181 182 Neurological Institute (MNI) template (MNI305) is commonly used (Table 1), which is based on 183 combination of 152 healthy adult MRI scans [48]. Given relatively little difference between adult and child brains, the MNI-305 is suitable for use with children over age 6 years [49] and empirical studies 184 185 have generally followed suit, with some attempts at developing a child template for use with a wider 186 range of ages (e.g., from 2 weeks to 4.3 years [50] and 4.5 years through 19.5 years (on age increments of 6 months [51]). Conversely, at present, there is no widely-accepted and used dog template. Authors of 187 188 canine fMRI studies have addressed this issue by omitting group-level analyses altogether or, where 189 group-level analyses were conducted, by using the brain of a selected individual, or using a template 190 based on the brains of 15 mesaticephalic dogs (Table 1).

Besides the said advantages of population-based templates, there are advantages of study-specific
templates [52] (a special case of which is use of the brain of a selected individual). Regarding the Datta

atlas [53], one limitation is that head length and width may influence cortical folding in a manner that an
affine transformation of brain size may not correct for, indicating that the Datta template may not be
appropriate for non-mesaticephalic animals.

196 Challenges resulting from within-species differences in skull formation and brain anatomy across 197 dogs have been addressed differently in canine continuous EEG and in event-related potential (ERP) studies. Regarding continuous EEG, presumably due to differences in skull morphology (e.g., thickness 198 of the frontal and parietal bones), absolute EEG power ( $\mu V^2$ ) varies greatly across dogs (e.g., 3-fold 199 200 across our samples; [31,32]). As a result, group-level analyses are best conducted using relative EEG 201 spectrum values [31,32], which is common practice in human EEG studies as absolute EEG power is less 202 psychometrically sound than relative EEG power. Regarding ERP research, challenges have been 203 addressed either via use of a homogenous group of dogs (e.g., laboratory-bred and -kept beagles, all of the 204 same age and similar weight [29,30]) or via report of results at the level of individual dogs [54].

Relevant for both continuous EEG and ERP studies, an additional methodological issue is electrode placement. Despite canine methods having been adopted from human studies, given variability in dog head shape and size, the distance between electrodes placed on anatomical landmarks is different across dogs. Although this difference is difficult to address, such variation in absolute distances are compatible with the **International 10-20 system** used in human studies [55], which keeps not the absolute but the relative distance between electrodes constant.

211 Between-species differences in skull formation and brain anatomy (Box 2; Figure 1). In fMRI, 212 these differences highlight consideration related to correction for multiple comparisons (Box 3). Given smaller brain volume of dogs relative to humans, the multiple comparison problem is less relevant in 213 214 canine fMRI. If correction that takes voxel number into account is used in a human and a dog study or 215 across dog studies, results are comparable. If correction that does not take such number into account is 216 used, it is important that the search area is comparable in size. Both are feasible. Nevertheless, although 217 there are widely used methods for correction in human studies and these are now employed in most (if not 218 all) adult and child studies [56], there is heterogeneity across dog studies (Table 1). No meaningful

comparison can be made between results obtained without and with correction, with varying degrees ofstringency. If and when the aim is to compare results, consistency across studies will be important.

In EEG research, differences between dog and human skull and brain morphology necessitate differences in electrode placement. Because dogs have a smaller but more muscular head than humans, their heads permit less sites for electrode placement. The number of electrode holders in human EEG head caps range from 16 to 256 compared to 3 [54,57], 4 [32], or 5-7 [29–31] electrodes placed on dogs' heads. Nevertheless, as these sites correspond to human electrode sites, a *functional comparison* between species can be made, even if restricted to a small number of EEG channels, which may be further increased with methodological advancements.

228 Differences in experimental design: sample composition. Available findings having been obtained 229 with a small group of dogs and the noted overlap in included dogs may be disadvantageous for 230 generalizability to larger dog populations. This can be addressed through sample selection that increases 231 generalizability potential, e.g., ensuring that dogs of different ages, breeds, sexes, and level of prior 232 training (e.g., from training-naïve to service dogs), are included and then tested. Selection of a 233 biologically and demographically heterogeneous sample with variation in training history has been 234 attended to with varying degrees, with some variability in laboratory [29,30,37,39,41] vs. family [24-235 28,31–36,40,54,57] dogs, single [29,30,37,39,41,57] vs. multiple [24–27,31,32,34–36,40,54] breeds (with 236 [28,33] not specified), and ages ranging from 1 to 12 years.

237 The noted small sample sizes and overlap in included dogs also means a very small overall number 238 of tested dogs. The sample sizes of all but one [32] canine neuroscience studies published to date are <15, leaving the research underpowered and effects difficult to detect. Although the obtained results may 239 240 reflect effects that are so large and robust that they are detectable even with small samples, they may 241 alternatively reflect effects that are fragile, non-generalizable, or spurious. Power analysis indicates that 242 larger samples are needed for confidence in results [58]. Yet, it is also the case that in early and 243 exploratory stages of a research area, small N studies are not only warranted but also desired to establish 244 that larger (necessitating more funds and participant and researcher time) studies are indicated.

#### 245 Differences that potentiate disadvantages and solution need to be identified

246 Between-species differences in skull formation, brain anatomy, and physiology. Although further 247 research is needed about the degree to which dogs' anatomical structures and circuits correspond to 248 humans', knowledge about canine brain anatomy and the similarities between such anatomy and that of 249 humans' is encouraging regarding the dog as an animal model in comparative neuroscience. There is 250 evidence of correspondence between the species in, for example, primary sensory areas and associated 251 functions [34]. Yet, whether other areas, especially the frontal and prefrontal cortex are organized in a 252 manner that allows for characterization of structures and circuits as associated with similar cognitive functions across dogs and humans is largely unknown. As such, when a specific human structure is 253 254 referenced (e.g., rostral anterior cingulate cortex [rACC] or dorsolateral prefrontal cortex [DLPFC]), it is, 255 at present, unclear whether the rACC in dogs is anatomically delineable from other areas of the ACC and 256 functionally (e.g., attentional control over emotional conflict or distracters [46,59]) the same or at least 257 meaningfully comparable across the species.

258 The solution to this challenge is unclear as from a biological perspective, there is no "reference species" that is uniformly appropriate for addressing pertinent questions. Would it be prudent to take 259 260 rodents as a reference? Although rodent brains are more dissimilar from human brains than dog brains, 261 evidence obtained via invasive methods indicates correspondence in certain structures across rodents and humans [60]. Alternatively, would it be useful to take humans as a reference and identify areas of 262 263 activation to stimuli, present dogs with comparable stimuli and search for correspondence in the canine 264 brain? Then again, in addition to or instead, is there need for research that identifies parallels through ontogeny? For example, although there are differences between birds and apes in neural structures, e.g., 265 266 birds do not have a cerebral cortex for processing complex mental tasks [5], both species have prefrontal 267 structures that control comparable executive functions [5]. It has been argued that these similarities either 268 originated from the last common ancestor passing down neuronal bases of executive functions or evolved 269 independently due to the species facing similar challenges [5].

Between-species differences in skull formation and brain anatomy are also source of 270 271 methodological shortcomings in fMRI as the obtained images are of poor quality due to use of 272 radiofrequency (RF) coils (human head/neck coils [24–28,33] or knee coils [34–39]) whose geometries 273 have been optimized for different purposes and have not been tailored to dogs' heads and neuroanatomy, 274 making them less than ideal for canine fMRI. Together, as was the case with other species (e.g., 275 marmosets, rats, mice, and rhesus monkeys) where use of dedicated animal coils has been shown to 276 improve signal-to-noise ratio (SNR) [10], there is need for development of dedicated dog coils that satisfy 277 the anatomical constraints imposed by these animals. Until such coils are available, it will be important for research to determine which coil type is best for performing fMRI in awake dogs with sensitivity, 278 279 specificity, and large functional contrast-to-noise ratio [1].

280 Between-species differences in cranial musculature and size are relevant for artefact rejection in 281 EEG (Box 4). In human studies, artefact rejection includes correction for ocular artefacts and quantitative 282 procedures (e.g., removing artefacts with voltage step between sample points that is greater than e.g., 283  $50\mu$ V; with voltage difference of e.g.,  $300\mu$ V within a trial; and maximum voltage difference within e.g., 100msec intervals of e.g.,  $<0.5\mu V$  [61]) and rejection via visual inspection. In dog studies, there are no 284 285 well-established quantitative procedures, given difficulty in distinguishing muscle artefact from EEG 286 signal and artefact rejection is typically done using simpler methods. The authors of ERP studies used 287 only a single crude method [62] for rejecting trials with artefacts, in which a trial is rejected if the voltage during the epoch exceeds a user-defined threshold (amplitudes higher than 100µV [54,57] or 200µV 288 289 [29,30]) and the authors of sleep EEG studies conduct artefact rejection by visual inspection only [31,32].

Although the user-defined method works for rejection of artefacts resulting from blinks, it is inadequate for detecting more subtle artefacts, such as those resulting from eye (or ear) movements [62]. As such, the used methods are problematic for awake continuous EEG measurement and ERP data collection where there is need for more stringent artefact rejection, given greater canine cranial muscle mass; another example where methodological uniformity between human and dog studies is neither possible, nor warranted. As an example, if the dog moves its eye (or ear) every time there is an event (i.e., stimulus), it is difficult to determine whether what appears to be a voltage change reflects the movement or differential neural activation. It may be for this reason that there are no established methods for noninvasive measurement of ERPs in dogs, albeit some non- [29,30] and semi-invasive studies suggest progress [42,44].

Potential solutions to the artefact problem in non-invasive canine ERP research is to collect data from dogs with less cranial muscle and/or in a state of drowsiness (i.e., canine equivalent of light sleep) or sleep. In support, the Mismatch Negativity (MMN) component can be elicited during light sleep in humans [63,64], indicating an auditory ERP method may be useable with drowsy dogs. Notably, dogs spend at least 30 minutes in drowsiness during a 3-hour-long spontaneous EEG recording [32]. Not unlike sleep, drowsiness is characterized by lowered muscle tone, indicating it permits a considerable amount of artefact-free EEG data that ERP studies could potentially capitalize upon.

307 Between-species differences are pertinent beyond skull formation, brain anatomy and include 308 differences in resting state physiology. Specifically, normal respiratory rate in newborn puppies may be as 309 low as 15 breaths/minute and in an average adult dog it is 24 breaths/min [65]. Conversely, respiratory rate in human neonates (<1 year old) is 30-40 breaths/min, in older children/young adolescents (5-12-310 311 year-olds) it is 20-25 breaths/min [66] and in a healthy adult it is 12–20 breaths/min [67]. With regard to 312 heart rate, <2-week-old puppies have 160-200 beats/min (bpm), ≥2-week-old puppies have up to 220 bpm, and adult dogs have 60-140 bpm [65,68]. For comparison, human neonates (<1 year old) have 110-313 314 160 bpm and older children and young adolescents (5-12-year-olds) have 80-120 bpm [66]. The heart rate 315 of a healthy adult is between 50–90 bpm [69].

These between-species differences are important as differences in brain shape and size also results in between-species differences in the hemodynamic response function (i.e., the course of the hemodynamic response to an external stimulus – the most common functional imaging signal; HRF) [1] and respiratory rate and heart rate are major sources of fMRI confounds as they are correlated with changes in BOLD signal [70]. The shape of the canine HRF is currently unknown [1] potentially due to the temporal resolution in canine fMRI studies, where repetition time (TR) varies between 1-2secs, which 322 is insufficient to sample respiratory or heart rate in dogs. Related, the number of acquired datasets is 323 limited by how long dogs are able to hold still (with experiments necessitating 5- [24], 6- [27,34,40], 7.5-324 [35], 10- [71], and some 14-minute-runs [26]) (no information is provided in [28,36,39]). As such, the 325 measurement duration that maximizes data quality is unknown. To identify an optimal parameter setup, 326 different anatomical and functional sequence parameters should be tested with phantom and ex-vivo 327 measurements. Similarly, protocols should be optimized with respect to signal- and contrast-to-noise ratio 328 in pilot samples sufficiently similar to the intended experimental samples, but without the constraints on 329 measurement time and motion of in vivo measurements. The ultimate goal of adapting sequence 330 parameters to the dog brain is combination of high spatial and high temporal resolution. Such adaptation 331 will have account for the smaller size of the dog brain, differences in dog compared to human physiology, 332 and limits on run length by how long dogs are able to hold still. Importantly, there are methodological and 333 ethical advantages to shorter runs as these minimize image deterioration due to motion artefacts and 334 prevent rises in specific absorption rates (SAR) of radio frequency levels (see *Ethics and Safety*) [1].

335 Differences in skull formation and brain anatomy: within- and between-species. Combined, 336 differences across dogs and between dogs and humans in cranial characteristics will make it difficult to 337 determine whether measured electrocortical signal originates from a meaningfully comparable population 338 of neurons across dogs and dogs and humans. Even the human source localisation literature is in its early 339 stages, with only a few studies on the association between BOLD signal and ERPs recorded during the 340 same session [72]. As the human literature advances, it will be important for canine research to make 341 parallel progress. As noted, little is known about the degree to which certain neural structures in dogs are anatomically and functionally the same as humans' and advancing the literature in this domain will also 342 343 be important for source localization.

*Differences in experimental design: active vs. passive paradigm.* In the human neuroscience literature, there are examples of studies where no behavioural response is required (passive task) and where a response is required (active task). From the perspective of introducing additional movement that results in additional motion artefact, as passive tasks do not involve movement, they are not problematic.

14

In humans, active tasks are also feasible with behavioural responses like a button press. In dogs, requiringan active response would mean that images obtained following an active condition have to be discarded.

350 Indeed, in all but one of canine fMRI studies, the functions that have been examined are ones that 351 do not necessitate an active response, including in passive auditory paradigms [34,35], passive visual 352 paradigms [24,27,28,36,42], passive olfactory paradigms [37] or, finally, probing resting state activity. In 353 the only canine fMRI study, with an active, go/no-go paradigm, a "go" signal indicated an active 354 behavioural response is to be executed, which, in this case involved dogs touching a target with their 355 noses while in the scanner. When analysing human go/no-go data, go trials are typically compared to nogo trials [73]. Here, however, activation during inhibition trials was compared with activation during 356 357 neutral trials as successful "go" trials could not be analysed due to the head motion produced by the nose-358 touch. This is an important limitation to the current state of the canine neuroscience field as there are 359 socio-cognitive functions that are best probed in active paradigms.

360 In addition, the likelihood of prematurely attributing connections between brain structure and 361 function is enhanced when the aim is to separate active and passive processing in dogs, as in the absence 362 of concurrent behavioural response, the relevant cognitive processes are unknown. Being able to 363 differentiate between active and passive processing in dogs will be key, as there are differences in 364 activation to these two forms of processing in humans. One solution to ameliorate risk of reverse inference (i.e., *post hoc* attribution of presence of a certain cognitive process given activation) is ensuring 365 366 that dogs have pre-fMRI training on a behavioural paradigm that probes the same cognitive process the 367 fMRI task in question is intended to probe [1] (see, for example, [27]). On a related note, as discussed in relation to the overlap in groups of dogs included across studies, the most ideal assessment battery will 368 369 comprise measurement methods representing different levels of the measurement continuum (ranging 370 from micro level measurement of brain circuits via fMRI, through less micro level measurement of 371 physiology through EEG, to macro level measurement of observable behaviour via observation or rating 372 scales; [74]) as data obtained at these different levels provide unique information on characteristics of 373 interest [46,61,75-77].

## 374 Ethics and safety

As noted, a main advantage of dogs is that being a domestic animal they can be tested without need for laboratory breeding, raising and keeping. As such, focus on family dogs is what makes the advantage of the dog model ethically permissible. Nevertheless, as aptly discussed by others [1], care should be exercised that no harm is caused, e.g., that scanner noise and high sound pressure levels do not lead to discomfort and hearing damage or that specific absorption rates (SAR) of radio frequencies do not reach harmful levels of rise in tissue temperature [1].

381 During tests, dogs' well-being should be continuously monitored and undue stress eliminated 382 both for reasons of ethics and because stress can lead to increases in physiological activity such as 383 increased respiration and tachycardia, which, as noted, may introduce non-neural noise. The techniques 384 used by canine neuroscience laboratories address stress reduction via use of sound-attenuating earmuffs 385 and in training [1]. Stress reduction can be further improved through careful selection of sequence 386 parameters combined with pre- and post-scanning measurement of physiological indices (e.g., cortisol) of 387 stress such as from saliva or urine [1]. SAR should be measured throughout MR scans and in the absence of established guidelines for nonhuman animals, researchers may adhere to standards established for 388 389 humans.

## 390 Concluding remarks

391 There has been a notable, recent increase in canine neuroscience studies, necessitating 392 establishment of methodological guidelines and standardisation to inform the next generation of studies in 393 the area. We discussed foremost questions related to methodology and experimental design in the canine neuroscience literature. As a result, we identified areas for further empirical inquiry. Capitalizing on 394 395 advantages of the dog such as its cooperativeness and trainability, further areas of exploration include the 396 relation among brain structure, function, and behaviour in dogs, within-subject temporal stability of 397 neural measures, and within-subject correspondence of neural correlates. In addition, we suggest to evaluate and performance across social, cognitive, and affective paradigms, in particular probing socio-398 399 cognitive skills that share key behavioural and functional characteristics across dogs and humans.

400 Regarding challenges for which solutions are already being employed, it will be important that such 401 solutions are adopted and used in a reasonably standardised fashion. Regarding unresolved challenges, it 402 will be important to ensure that samples of dogs reflect variation in the larger population to increase 403 generalizability. Specific to fMRI, it will be key to improve sensitivity of imaging protocols and image 404 quality including via improved spatial and temporal resolution that also allow for sampling heart and 405 respiratory rate as well as development of sequence parameters and dog coils and that are tailored to the 406 specifics of dogs and their neuroanatomy. It is unknown whether non-invasive ERP research is possible 407 with dogs. Addressing this question may necessitate more sophisticated methods either for minimizing eye-movement and muscle artefact during experiments and/or for artefact rejection (e.g., filtering) that is 408 409 appropriate to the magnitude and type of artefact that occurs in dogs. The degree to which neural 410 structures in dogs are anatomically and functionally comparable to those of humans will need to be 411 established, including to set the stage for future studies with simultaneous neuroimaging and 412 electrophysiological measurement aimed at source localisation. Source localisation will, in turn, help 413 uncover the degree to which what appears to be meaningfully comparable electrode placement across dogs (and across dogs and humans) reflects signal from a meaningfully comparable population of 414 415 neurons. Regarding difficulty with active behavioural paradigms, methods need to be identified that either 416 permit for dogs to exhibit a behavioural response without data loss or, alternatively, passive paradigms that probe functions that currently can only be manipulated in active paradigms need to be developed. 417

In closing, we argue that, carefully considering inherent advantages, the domestic dog may become an innovative and unique model for comparative cognitive neuroscience. This becomes relevant if the highlighted advancements take place as these will be necessary for measuring the neural bases of canine socio-cognition in a relevantly comparative, reliable, and valid manner. Addressing the noted challenges with dogs appears appreciably more feasible than addressing those with traditional models, such as their non-cooperativeness, them not sharing a social environment with humans, and, in case of primates, costinefficiency and paucity.

- 425 Glossary 426 **Basilar axis**: the axis corresponding to the base of the skull 427 428 Bradicephalic: short skulled **Calvaria:** the bone that covers the cranial cavity containing the brain, i.e., the skullcap 429 Continuous EEG: continuous measurement of electrocortical signal, i.e., not measurement of 430 change in such signal in response to a stimulus 431 432 Cribriform plate: a structure that forms the caudal boundary of the nasal cavity **Dolichocephalic**: long skulled 433 434 **ERP:** measurement of negative and positive voltage changes in electrocortical signal in response to specific events (e.g., stimuli) 435 Gyrencephalic brain: with brain folds (gyri) and grooves (sulci), i.e., folded brain 436 437 Hard palate: a thin horizontal bony plate of the skull, located in the roof of the mouth Homology: shared ancestry between a pair of genes or structures, in different taxa. A common 438 example is the vertebrate forelimb, where bat wings, primate arms, whale front flippers, and dog 439 440 forelegs are all derived from the same ancestral tetrapod structure. The opposite of homologous genes or structures are analogous ones, i.e., ones that serve a similar function across two taxa but 441 were not present in their last common ancestor but evolved independently. For example, the 442 wings of a bird and a sycamore maple seed are analogous (but not homologous), as they 443 developed from different structures. 444 International 10–20 system: a method used to describe the location- and guide the application 445 of scalp electrodes in an EEG examination or experiment, based on the relation between 446 placement of an electrode and underlying cortex. The 10-20 system was developed to ensure 447 reproducibility and standardisation. The "10" and "20" refer to the distances between adjacent 448 electrodes being 10% and 20% of the total front-back or right-left distance of the skull, 449 450 respectively. Lissencephalic brain: without brain folds (gyri) and grooves (sulci), i.e., smooth brain 451 **Mesaticephalic:** a mesaticephalic skull is neither markedly dolichocephalic or brachycephalic 452 and is of intermediate length and width 453 Model/Rival method: a social learning training method where during the training of an 454 individual, another individual can be present and when the model is rewarded and praised for the 455 wanted behaviour the rival is ignored 456 Prehensile organ: an organ adapted for seizing or grasping especially by wrapping around 457 Proximate causation: an explanation of biological functions and traits in terms of the effects of 458 immediate environmental forces 459 Somatotopic organization: various portions of the body are represented topographically on 460 specific regions of the cerebral gyri 461 Somesthetic cerebral cortex: the primary cortical processing mechanism for sensory 462 information originating at the body-surfaces (e.g., touch) and in deeper tissues such as muscle, 463 tendons, and joint capsules (i.e., position sense). 464 Ultimate causation: an explanation of biological functions and traits in terms of the effects of 465 466 evolutionary forces
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468	Acknowledgements
469	
470	During the preparation of this article, Nóra Bunford and Márta Gácsi were supported by the
471	National Research, Development and Innovation Office grant (115862 K); Attila Andics, Ádám
472	Miklósi, and Márta Gácsi were supported by a Hungarian Academy of Sciences grant (F01/031);
473	Attila Andics was additionally supported by a Hungarian Academy of Sciences Bolyai
474	Scholarship and by a Hungarian Scientific Research Fund grant (OTKA PD116181); Anna Kis
475	was supported by Nestlé Purina and the BIAL Foundation (grant n <sup>o</sup> 169/16).
476	
477	We thank Árpád Dobolyi and Kálmán Czeibert for their comments on this manuscript.
478	

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