# THE FINE- AND GENERATIVE SPECTRA OF VARIETIES OF MONOUNARY ALGEBRAS 

KAMILLA KÁTAI-URBÁN, ANDRÁS PONGRÁCZ, AND CSABA SZABÓ


#### Abstract

In this paper we present recursive formulas to compute the fine spectrum and generative spectrum of all varieties of monounary algebras. Hence, an asymptotic or log-asymptotic estimation for the number of $n$-generated and $n$-element algebras is given in every variety of monounary algebras. These results provide infinitely many examples of spectra with different orders of magnitude that are asymptotically bigger than any polynomial and smaller than any exponential function.


## 1. Introduction

For a variety of algebras $\mathcal{V}$ let $g_{\mathcal{V}}(n)$ denote the number of $n$-generated algebras in $\mathcal{V}$, and let $f_{\mathcal{V}}(n)$ denote the number of $n$-element algebras in $\mathcal{V}$ up to isomorphism. The sequences $\left(g_{\mathcal{V}}(n)\right)_{n \in \mathbb{N}}$ and $\left(f_{\mathcal{V}}(n)\right)_{n \in \mathbb{N}}$ are called the generative spectrum and the fine spectrum of $\mathcal{V}$, respectively. For a detailed introduction into generative- and fine spectra, see [BI05]. It is of general interest to understand the asymptotic behaviour of these sequences for certain varieties of algebras, as it is often strongly related to the algebraic properties of the structures in the variety. For example, a finitely generated variety $\mathcal{V}$ of groups is nilpotent if and only if $g_{\mathcal{V}}(n)$ is at most polynomial, and a finite ring $R$ generates a variety with at most exponential generative spectrum if and only if the square of the Jacobson radical of $R$ is trivial [BI05]. The infinite counterpart of our problems is widely investigated in model theory. The famous Vaught conjecture says that the cardinality of the set of non-isomorphic models of any first-order theory in a countable language is either countable or

[^0]continuum. In [HSV94, HV91] the conjecture is verified for varieties of algebras. Their infinite methods obviously do not apply in the finite world.

A monounary algebra, $\mathcal{A}=(A ; u)$ is an algebra with a single unary operation $u$. The function $u$ defines a directed graph on $A$. Let $G_{A}=$ $(A ; E)$, the vertex set is $A$ and the edges are $E=\{(a, u(a)) \mid a \in A\}$. In $G_{A}$ every vertex has out-degree 1, and every directed graph $G$ with all vertices having out-degree 1 defines a monounary algebra on its vertex set, where $u(a)$ is the single vertex such that $(a, u(a))$ is an edge in $G$. Hence, a monounary algebra can be identified with a directed graph, where each vertex has out-degree 1.

The theory of monounary algebras is well-developed, for a recent monograph see [JSP09]. Every variety of monounary algebras can be defined by a single identity. The variety $\mathcal{V}_{k, d}$ is defined by the equation $u^{k+d}(x)=u^{k}(x)$, and the variety $\mathcal{V}_{k}$ is defined by the equation $u^{k}(x)=$ $u^{k}(y)$, where $u^{0}=\mathrm{id}, u^{1}=u$, and in general $u^{n+1}=u \circ u^{n}$. The goal of the present paper is to obtain a recursive formula for the generative spectrum and fine spectrum of all the varieties $\mathcal{V}_{k, d}$ and $\mathcal{V}_{k}$, and to determine the log-asymptotic behaviour of these sequences. In some cases, we can even determine the asymptotic behaviour or provide an explicit formula for the fine- and generative spectra. The main results are presented in Theorems 5.1, 5.2, 6.1, 6.3.

In [HKUP ${ }^{+}$11] a formula was obtained for the number of $n$-element monounary algebras. Let $M_{n}$ and $C_{n}$ denote the number of monounary algebras and connected monounary algebras, respectively. It was shown in [HKUP ${ }^{+}$11] that $\log _{\alpha} C_{n} \sim \log _{\alpha} M_{n} \sim n$ for a constant $\alpha \approx 2.95576$. In our terminology, this result shows the log-asymptotic behaviour of the fine spectrum of the variety $\mathcal{V}_{0,0}$, the class of all monounary algebras. In [BI05], several results were proven about the growth rate of the generative spectrum of varieties. In many cases, the spectrum is at most polynomial (e.g., pure sets, vector spaces over finite fields) or at least exponential (e.g., Boolean algebras, semilattices). The variety $\mathcal{V}_{2}$ is mentioned in [BI05] as an interesting example for a locally finite variety whose generative spectrum is bigger than any polynomial and smaller than any exponential function. It was explicitly calculated there that the number of non-isomorphic $n$-generated algebras in $\mathcal{V}_{2}$ is bigger than $p(n)$ and smaller than $(n+1)^{2} p(n)$, where $p(n)$ is the number of partitions of $n$. An asymptotic formula for the fine spectrum of $\mathcal{V}_{2}$ and the log-asymptotic behaviour of the fine spectrum of $\mathcal{V}_{k}$ were determined in [PPPrS13] for all $k$.

## 2. Description of the varieties

2.1. Monounary algebras as directed graphs. Throughout the paper every monounary algebra is finite, and we identify the monounary algebra $(A ; u)$ with the directed graph $G_{A}$. This identification gives rise to a number of notions. The algebra $(A ; u)$ is connected if the graph $G_{A}$ is connected as an undirected graph. More generally, the connected components of $(A ; u)$ are the connected components of $G_{A}$ as an undirected graph. In every connected component, there is a smallest (nonempty) subalgebra of $(A ; u)$, that is a directed circle in $G_{A}$. If the length of the circle is $d$, then the connected component can be partitioned into $d$ rooted trees such that the edges are directed towards the root. The roots are the vertices of the circle, and an element $a$ in the connected component is in the rooted tree with root $r$ if and only if $r$ is the first element of the circle in the sequence $\left(u^{k}(a)\right)_{k=0}^{\infty}$.
2.2. Varieties of monounary algebras. The notion of an equational class goes back to Birkhoff [Bir48], who has shown that a class of algebras can be defined by a set of equations if and only if the class is closed under taking homomorphic images, subalgebras and (possibly infinite) direct products. Such classes are also called varieties. All varieties of monounary algebras were classified by Jacobs and Schwabauer [JS64]. According to their result, every variety of monounary algebras can be defined by a single equation.

- The varieties $\mathcal{V}_{k, d}$ are defined by the equation $u^{k}(x)=u^{k+d}(x)$, for $k \geq 0, d \geq 1$. An algebra $(A ; u)$ is in $\mathcal{V}_{k, d}$ if and only if for every connected component $B$ of $(A ; u)$ we have that the length of the circle in $G_{B}$ divides $d$ and every rooted tree in the partition of $G_{B}$ is of depth at most $k$. In order to avoid multiple indices, we denote the generative- and fine spectra of $\mathcal{V}_{k, d}$ by $g_{k, d}$ and $f_{k, d}$, respectively. The log-asymptotic behaviour of the sequences $g_{k, d}$ and $f_{k, d}$ are determined in Sections 5 and 6.
- The class of all monounary algebras is $\mathcal{V}_{0,0}$ defined by the equation $x=x$. As there are infinitely many $n$-generated algebras in $\mathcal{V}_{0,0}$ for all $n$, the generative spectrum of this variety is not defined. The log-asymptotic behaviour of the fine spectrum of $\mathcal{V}_{0,0}$ was computed in $\left[\mathrm{HKUP}^{+} 11\right]$, namely $\log f_{0,0}(n) \sim(\log \alpha) n$, where $\alpha \approx 2.95576$.
- The varieties $\mathcal{V}_{k}$ are defined by the equation $u^{k}(x)=u^{k}(y)$, for $k \geq 1$. The classes $\mathcal{V}_{k}$ consist of connected monounary algebras. If $(A ; u) \in \mathcal{V}_{k}$, then the circle of $(A ; u)$ is a loop, i.e., a single vertex $r$ with $u(r)=r$. Thus $G_{A}$ is a rooted tree with
root $r$. This leads to the following combinatorial description: $(A ; u) \in \mathcal{V}_{k}$ if and only if $G_{A}$ is a rooted tree of depth at most $k$. In particular, the number of $n$-element algebras $f_{k}(n)$ in $\mathcal{V}_{k}$ equals to the number of $n$-element rooted trees of depth at most $k$. The log-asymptotic behaviour of the sequences $\left(f_{k}(n)\right)_{n \in \mathbb{N}}$ were determined in [PPPrS13]. The log-asymptotic behaviour of the generative spectrum $\left(g_{k}(n)\right)_{n \in \mathbb{N}}$ can be computed in a similar fashion. The detailed computation and the results are presented in Sections 5 and 6.
- $\mathcal{V}_{0}$ consists of the isomorphism type of the one-element algebra, and it is defined by the equation $x=y$. The problem of computing the generative spectrum and fine spectrum of $\mathcal{V}_{0}$ is trivial.
For the finer classification of pseudovarieties of monounary algebras cf. [JS12].


## 3. Generating functions

Definition 3.1. Throughout the paper $\log$ denotes the natural logarithm function, and $L_{m}$ denotes the $m$-fold iterated logarithm function, namely $L_{m}(x)=\log \log \ldots \log x$. The exponential function $e^{x}$ is denoted by $\exp (x)$. The number of positive divisors of $n$ is denoted by $\tau(n)$.

## Definition 3.2.

- For $k \geq 0, f_{k}(n)$ is the number of $n$-element algebras in $\mathcal{V}_{k}$, which equals to the number of $n$-element rooted trees of depth at most $k$. The generating function of the sequence $\left(f_{k}(n)\right)_{n=1}^{\infty}$ is denoted by $F_{k}(x)=\sum_{n=1}^{\infty} f_{k}(n) x^{n}$.
- For $k \geq 0, g_{k}^{*}(n)$ is the number of rooted trees of depth at most $k$ with $n$ leaves. Note that the rooted tree that consists of a single vertex has one leaf. The generating function of the sequence $\left(g_{k}^{*}(n)\right)_{n=1}^{\infty}$ is denoted by $G_{k}^{*}(x)=\sum_{n=1}^{\infty} g_{k}^{*}(n) x^{n}$.
- For $k \geq 0, g_{k}(n)$ is the number of rooted trees of depth at most $k$ with at most $n$ leaves, which equals to the number of $n$-generated algebras in $\mathcal{V}_{k}$. The generating function of the sequence $\left(g_{k}(n)\right)_{n=1}^{\infty}$ is denoted by $G_{k}(x)=\sum_{n=1}^{\infty} g_{k}(n) x^{n}$.
- For $k \geq 0, d \geq 0, f_{k, d, \text { con }}(n)$ is the number of connected $n$ element algebras in $\mathcal{V}_{k, d}$, which equals to the number of $n$ element digraphs with a directed circle of length dividing $d$,
such that by omitting the edges of the circle the graph is partitioned into rooted trees of depth at most $k$, and the edges of each tree are directed towards the root. The generating function of the sequence $\left(f_{k, d, \text { con }}(n)\right)_{n=1}^{\infty}$ is denoted by $F_{k, d, \text { con }}(x)=$ $\sum_{n=1}^{\infty} f_{k, d, \operatorname{con}}(n) x^{n}$.
- For $k \geq 0, d \geq 0, f_{k, d}(n)$ is the number of $n$-element algebras in $\mathcal{V}_{k, d}$. The generating function of the sequence $\left(f_{k, d}(n)\right)_{n=1}^{\infty}$ is denoted by $F_{k, d}(x)=\sum_{n=1}^{\infty} f_{k, d}(n) x^{n}$.
- For $k \geq 0, d \geq 0, g_{k, d, \text { con }}^{*}(n)$ is the number of connected $n$ generated but not $(n-1)$-generated algebras in $\mathcal{V}_{k, d}$, which equals to the number of digraphs with $n$ leaves, containing a directed circle of length dividing $d$, such that by omitting the edges of the circle the graph is partitioned into rooted trees of depth at most $k$, and the edges of each tree are directed towards the root. The generating function of the sequence $\left(g_{k, d, \text { con }}^{*}(n)\right)_{n=1}^{\infty}$ is denoted by $G_{k, d, \text { con }}^{*}(x)=\sum_{n=1}^{\infty} g_{k, d, \text { con }}^{*}(n) x^{n}$.
- For $k \geq 0, d \geq 0, g_{k, d}^{*}(n)$ is the number of $n$-generated but not $(n-1)$-generated algebras in $\mathcal{V}_{k, d}$. The generating function of the sequence $\left(g_{k, d}^{*}(n)\right)_{n=1}^{\infty}$ is denoted by $G_{k, d}^{*}(x)=\sum_{n=1}^{\infty} g_{k, d, \text { con }}^{*}(n) x^{n}$.
- For $k \geq 0, d \geq 0, g_{k, d}(n)$ is the number of $n$-generated algebras in $\mathcal{V}_{k, d}$. The generating function of the sequence $\left(g_{k, d}(n)\right)_{n=1}^{\infty}$ is denoted by $G_{k, d}(x)=\sum_{n=1}^{\infty} g_{k, d, \operatorname{con}}(n) x^{n}$.

There are several recurrence formulas for the sequences defined in Definition 3.2, which we use to obtain the asymptotic estimations. All of these formulas can be written up in terms of the power series of the sequences.

Lemma 3.3. The power series defined in Definition 3.2 satisfy the following formulas.
(1) $F_{k+1}(x)=x \exp \left(\sum_{m=1}^{\infty} \frac{1}{m} F_{k}\left(x^{m}\right)\right)$.
(2) $G_{k+1}^{*}(x)=\exp \left(\sum_{m=1}^{\infty} \frac{1}{m} G_{k}^{*}\left(x^{m}\right)\right)+x-1$.
(3) $F_{k, 1, \text { con }}(x)=F_{k}(x)$.
(4) $\frac{1}{d}\left(F_{k, 1, \mathrm{con}}(x)\right)^{d} \leq F_{k, d, \mathrm{con}}(x) \leq \sum_{t \mid d}\left(F_{k, 1, \mathrm{con}}(x)\right)^{t}$ coefficient-wise.
(5) $F_{k, d}(x)=\exp \left(\sum_{m=1}^{\infty} \frac{1}{m} F_{k, d, \text { con }}\left(x^{m}\right)\right)-1$.
(6) $G_{k, 1, \text { con }}^{*}(x)=G_{k}^{*}(x)$.
(7) $\frac{1}{d}\left(G_{k, 1, \text { con }}^{*}(x)\right)^{d} \leq G_{k, d, \text { con }}^{*}(x) \leq \sum_{t \mid d}\left(G_{k, 1, \text { con }}^{*}(x)\right)^{t}$ coefficient-wise.
(8) $G_{k, d}^{*}(x)=\exp \left(\sum_{m=1}^{\infty} \frac{1}{m} G_{k, d, \text { con }}^{*}\left(x^{m}\right)\right)-1$.

Proof. Item 1. is shown in [PPPrS13], see Theorem 2.2. The proof of item 2. is analogous.

Items 3. and 6. are straightforward from Definitions 3.2.
The proofs of items 5. and 8. are based on a similar argument, thus we only show item 5 . For $1 \leq i \leq n$ let $\mu_{i}$ be the number of $i$-element connected components in the algebra $(A ; u)$. Up to isomorphism, $(A ; u)$ is determined by the isomorphism types of its connected components. There are $\binom{f_{k, d, \operatorname{con}}(j)+\mu_{j}-1}{\mu_{j}}$ ways to choose $\mu_{j}$ connected algebras in $V_{k, d}$ of size $j$. Thus $f_{k, d}(n)=\sum_{\sum i \mu_{i}=n} \prod_{j=1}^{n}\left(\underset{\mu_{j}}{f_{k, d, \operatorname{con}}(j)+\mu_{j}-1}\right)$. According to the generalised binomial theorem, for every $|x|<1$ we have that $\left(1-x^{j}\right)^{-f_{k, d, \operatorname{con}}(j)}=\sum_{\mu_{j}=0}^{\infty}\binom{-f_{k, d, \operatorname{con}}(j)}{\mu_{j}} \cdot\left(-x^{j}\right)^{\mu_{j}}=\sum_{\mu_{j}=0}^{\infty}\binom{f_{k, d, \operatorname{con}}(j)+\mu_{j}-1}{\mu_{j}} x^{j \mu_{j}}$. Thus for $n \geq 1, f_{k, d}(n)$ equals to the $n$-th coefficient in the power series $\prod_{j=1}^{\infty}\left(1-x^{j}\right)^{-f_{k, d, c o n}(j)}$, and for $n=0$ we have $f_{k, d}(0)=0$ and the constant term of the power series $\prod_{j=1}^{\infty}\left(1-x^{j}\right)^{-f_{k, d, c o n}(j)}$ is 1 . Hence, $F_{k, d}(x)=\prod_{j=1}^{\infty}\left(1-x^{j}\right)^{-f_{k, d, \operatorname{con}}(j)}-1=\exp \left(\sum_{j=1}^{\infty} \log \left(1-x^{j}\right)^{-f_{k, d, c o n}(j)}\right)-1=$ $\exp \left(\sum_{j=1}^{\infty} f_{k, d, \text { con }}(j)\left(-\log \left(1-x^{j}\right)\right)\right)-1$. By replacing $-\log (1-x)$ with its Taylor series we obtain $F_{k, d}(x)=\exp \left(\sum_{j=1}^{\infty} f_{k, d, \text { con }}(j) \sum_{m=1}^{\infty} \frac{1}{m} x^{j m}\right)-1=$ $\exp \left(\sum_{m=1}^{\infty} \frac{1}{m} F_{k, d, \text { con }}\left(x^{m}\right)\right)-1$.

Finally, the proofs of items 4. and 7. are similar, thus we only show item 4. Let $(A ; u)$ be a connected algebra in $\mathcal{V}_{k, d}$ such that the length of its circle is $t$. Then $t \mid d$. Let $r_{1}, \ldots, r_{t}$ be an enumeration of the elements of the circle of $(A ; u)$ such that $u\left(r_{1}\right)=r_{2}, \ldots, u\left(r_{t}\right)=r_{1}$. This enumeration depends on the choice of $r_{1}$. By omitting the edges of the circle of $(A ; u)$, we obtain a partition of $G_{A}$ into $t$ rooted trees of depth at most $k$. The isomorphism type of the rooted tree with root $r_{i}$ is
denoted by $x_{i}$. Let us assign the $t$-tuple $\left(x_{1}, \ldots, x_{t}\right)$ to $(A ; u)$. Depending on the choice of $r_{1}$, it might be possible to assign more than one tuple to $(A ; u)$. As there are $t$ ways to choose $r_{1}$ with $t \mid d$, the number of tuples assigned to an algebra in $\mathcal{V}_{k, d}$ is at most $d$. Up to isomorphism, the algebra $(A ; u)$ is uniquely determined by any of its assigned tuples. For $t \mid d$ let $S_{k, t}(n)$ be the set of tuples $\left(x_{1}, \ldots, x_{t}\right)$ of isomorphism types of rooted trees with $n$ elements altogether and of depth at most $k$. Let $s_{k, t}(n)=\left|S_{k, t}(n)\right|$. Every tuple in $S_{k, t}(n)$ is assigned to an $n$-element algebra in $\mathcal{V}_{k, d}$. Hence, the above argument shows that $\frac{1}{d} s_{k, d}(n) \leq f_{k, d, \text { con }}(n) \leq \sum_{t \mid d} s_{k, t}(n)$. The number of tuples $\left(x_{1}, \ldots, x_{t}\right) \in$ $S_{k, t}(n)$ such that a rooted tree with isomorphism type $x_{i}$ has $\mu_{i}$ vertices is $\prod_{i=1}^{t} f_{k, 1, \text { con }}\left(\mu_{i}\right)$. Thus $s_{k, t}(n)=\sum_{\mu_{1}+\cdots+\mu_{t}=n} \prod_{i=1}^{t} f_{k, 1, \text { con }}\left(\mu_{i}\right)$, which is the $n$-th coefficient in the power series $\left(F_{k, 1, \text { con }}(x)\right)^{t}$.

The techniques used in Lemma 3.3 can be found in [FS09]. The following theorem is from [PPPrS13]. Although in [PPPrS13] these assertions were only shown for specific values of the parameters, the proof works in full generality without any modification.
Theorem 3.4. Let $\left(a_{n}\right)_{n \in \mathbb{N}},\left(b_{n}\right)_{n \in \mathbb{N}}$ be sequences of positive integers, and let $A(x)=\sum_{n=1}^{\infty} a(n) x^{n}$ and $B(x)=\sum_{n=1}^{\infty} b(n) x^{n}$ be the generating functions of these sequences. Assume that $B(x)=\exp \left(\sum_{m=1}^{\infty} \frac{1}{m} A\left(x^{m}\right)\right)$.
(1) If $\log a_{n} \sim C \sqrt{n}$ for some $C>0$, then $\log b_{n} \sim \frac{C^{2}}{4} \frac{n}{\log n}$.
(2) For $k \geq 1$, if $\log a_{n} \sim C \frac{n}{L_{k}(n)}$ for some $C>0$, then $\log b_{n} \sim$ $C \frac{n}{L_{k+1}(n)}$.

## 4. Auxiliary computations

Lemma 4.1. Let $K, C \in \mathbb{R}^{+}, s \in \mathbb{R}$. Let $a_{n} \sim K n^{s} \exp (C \sqrt{n})$, and let $b_{n}=\sum_{i=1}^{n} a_{i}$. Then $b_{n} \sim \frac{2 K}{C} n^{s+1 / 2} \exp (C \sqrt{n})$.
Proof. As $a_{n} \rightarrow \infty$, we have that $b_{n} \sim \sum_{i=1}^{n} K i^{s} \exp (C \sqrt{ })$. The monotonicity of the function $\frac{K}{\sqrt{x}} \exp (C \sqrt{x})$ and the fact that $\frac{2 K}{C} \exp (C \sqrt{x})$ is a primitive function of $\frac{K}{\sqrt{x}} \exp (C \sqrt{x})$ imply that $\sum_{i=1}^{n} \frac{K}{\sqrt{i}} \exp (C \sqrt{i}) \sim$ $\frac{2 K}{C} \exp (C \sqrt{n})$.

Let $n_{0}=n-2 n^{2 / 3}+n^{1 / 3}$. Then $\sum_{i \leq n_{0}} \frac{K}{\sqrt{i}} \exp (C \sqrt{i}) \sim \frac{2 K}{C} \exp (C \sqrt{n}) \exp \left(-C n^{1 / 6}\right)=$ $o\left(a_{n}\right)$. Similarly, $\sum_{i \leq n_{0}} a_{i}=o\left(a_{n}\right)$. Thus according to the monotonicity of $n^{s}$, and by using $n^{s} \sim n_{0}^{s}$, we obtain that $b_{n} \sim \sum_{n_{0}<i \leq n} K i^{s} \exp (C \sqrt{i}) \sim$ $n^{s+1 / 2} \sum_{n_{0}<i \leq n} \frac{K}{\sqrt{i}} \exp (C \sqrt{i}) \sim n^{s+1 / 2} \sum_{i=1}^{n} \frac{K}{\sqrt{i}} \exp (C \sqrt{i}) \sim \frac{2 K}{C} n^{s+1 / 2} \exp (C \sqrt{n})$.

Lemma 4.2. Let $d \in \mathbb{N}$. Then $\max _{n_{1}+\cdots+n_{d}=n} \sum_{i=1}^{d} \sqrt{n_{i}} \sim \sqrt{d n}$ as $n \rightarrow \infty$.
Proof. According to Jensen's inequality, $\sum_{i=1}^{d} \sqrt{n_{i}} \leq d \sqrt{\frac{n}{d}}=\sqrt{d n}$. The upper bound is sharp when all the $n_{i}$ are equal. This might not be possible, since $n$ may not be divisible by $d$, but if we write up $n$ as the sum of $d$ numbers such that any two have difference at most 1 , then the value obtained has the same asymptotic behaviour $\sqrt{d n}$ as $n \rightarrow \infty$.

Lemma 4.3. Let $d \in \mathbb{N}, k \geq 1$. Let $(h(n))_{n \in \mathbb{N}}$ be a sequence such that $h(n) \sim C \frac{n}{L_{k}(n)}$ for some $C>0$. Then $\max _{n_{1}+\cdots+n_{d}=n} \sum_{i=1}^{d} h\left(n_{i}\right) \sim C \frac{n}{L_{k}(n)}$ as $n \rightarrow \infty$.

Proof. Let $\varepsilon>0$. By calculating the derivative and the second derivative of the function $\frac{x}{L_{k}(x)}$, it can be shown that there exists a positive constant $x_{k}$ such that $h_{k}$ is positive, strictly monotone increasing and strictly concave on $\left(x_{k}, \infty\right)$. Moreover, assume that $x_{k}$ is large enough so that $\left|\frac{h(n)}{C n / L_{k}(n)}-1\right|<\varepsilon$ for all $x_{k} \leq n$. Let $M_{k}=\max \left(1, \max _{i \in\left[1, x_{k}\right]} h(i)\right)$. Let $n>d\left(x_{k}+1\right)$ be arbitrary. Let $n_{1} \geq n_{2} \geq \cdots \geq n_{d}$ be such that $\sum_{i=1}^{d} n_{i}=n$. As $n>d\left(x_{k}+1\right)$, there exists a $1 \leq t \leq d$ such that $n_{i}>x_{k}$ if and only if $i \leq t$. We give an upper bound for $\sum_{i=1}^{d} h\left(n_{i}\right)$.

By using the trivial estimation $h\left(n_{i}\right) \leq M$ for $i>t$, we have $\sum_{i=1}^{d} h\left(n_{i}\right) \leq d M+\sum_{i=1}^{t} h\left(n_{i}\right) \leq d M+\sum_{i=1}^{t}(1+\varepsilon) C \frac{n_{i}}{L_{k}\left(n_{i}\right)}$. Thus according to Jensen's inequality $\sum_{i=1}^{d} h\left(n_{i}\right) \leq d M+(1+\varepsilon) C \sum_{i=1}^{t} \frac{n_{i}}{L_{k}\left(n_{i}\right)} \leq d M+$ $(1+\varepsilon) C t\left(\frac{1}{t} \sum_{i=1}^{t} \frac{n_{i}}{L_{k}\left(n_{i}\right)}\right) \leq d M+(1+\varepsilon) C t \frac{n / t}{L_{k}(n / t)}=d M+(1+\varepsilon) C \frac{n}{L_{k}(n / t)}$.

As the $n_{i}$ were arbitrary, we have that $\max _{n_{1}+\cdots+n_{d}=n}\left(\sum_{i=1}^{d} h\left(n_{i}\right)\right) \leq d M+$ $(1+\varepsilon) C \frac{n}{L_{k}(n / t)} \sim(1+\varepsilon) C \frac{n}{L_{k}(n)}$. A similar lower bound can be shown by setting all the $n_{i}$ so that the difference of any two of them is at most 1. The lower estimation that we obtain this way is asymptotically ( $1-$ $\varepsilon) C \frac{n}{L_{k}(n)}$. As $\varepsilon>0$ was arbitrary, we have that $\max _{n_{1}+\cdots+n_{d}=n}\left(\sum_{i=1}^{d} h\left(n_{i}\right)\right) \sim$ $C \frac{n}{L_{k}(n)}$.

Lemma 4.4. Let $\tau \in \mathbb{N}$, and let $1=d_{1}, d_{2}, \ldots, d_{\tau}$ be natural numbers. For $n \in \mathbb{N}$ let $w_{d_{1}, \ldots, d \tau}(n)$ be the number of tuples $\left(\alpha_{1}, \ldots, \alpha_{\tau}\right)$ of nonnegative integers such that $\alpha_{1} d_{1}+\cdots+\alpha_{\tau} d_{\tau}=n$. Then $w_{1}(n)=1$ for all $n \in \mathbb{N}$ and for $\tau \geq 2$ we have $w_{d_{1}, \ldots, d \tau}(n)=\frac{1}{(\tau-1)!d_{1} d_{2} \cdots d_{\tau}} n^{\tau-1}+O\left(n^{\tau-2}\right)$.

Proof. We prove the statement by induction on $\tau$. By definition, $w_{1}(n)=$ 1 for all $n \in \mathbb{N}$. Let $\tau=2$. Then we have $\left\lfloor\frac{n}{d_{2}}\right\rfloor+1$ choices for $\alpha_{2}$, and $\alpha_{1}$ is uniquely determined by $\alpha_{2}$. Thus $w_{1, d_{2}}(n)=\left\lfloor\frac{n}{d_{2}}\right\rfloor+1=\frac{n}{d_{2}}+O(1)$.

Assume that $\tau \geq 3$, and that the assertion is true for $(\tau-1)$. We show that the statement holds for $\tau$. By rearranging the terms of $\alpha_{1} d_{1}+\cdots+\alpha_{\tau} d_{\tau}=n$ we obtain $\alpha_{1} d_{1}+\cdots+\alpha_{\tau-1} d_{\tau-1}=n-\alpha_{\tau} d_{\tau}$. Thus

$$
\begin{aligned}
& w_{d_{1}, \ldots, d \tau}(n)=\sum_{\alpha_{\tau}=0}^{\left\lfloor n / d_{\tau}\right\rfloor} w_{d_{1}, \ldots, d \tau-1}\left(n-\alpha_{\tau} d_{\tau}\right)= \\
& =\sum_{\alpha_{\tau}=0}^{\left\lfloor n / d_{\tau}\right\rfloor} \frac{1}{(\tau-2)!d_{1} d_{2} \cdots d_{\tau-1}}\left(n-\alpha_{\tau} d_{\tau}\right)^{\tau-2}+O\left(n^{\tau-2}\right)= \\
& =\frac{d_{\tau}^{\tau-2}}{(\tau-2)!d_{1} d_{2} \cdots d_{\tau-1}} \sum_{\alpha_{\tau}=0}^{\left\lfloor n / d_{\tau}\right\rfloor}\left(\frac{n}{d_{\tau}}-\alpha_{\tau}\right)^{\tau-2}+O\left(n^{\tau-2}\right)= \\
& =\frac{d_{\tau}^{\tau-2}}{(\tau-2)!d_{1} d_{2} \cdots d_{\tau-1}} \int_{\alpha_{\tau}=0}^{\left\lfloor n / d_{\tau}\right\rfloor}\left(\frac{n}{d_{\tau}}-\alpha_{\tau}\right)^{\tau-2} \mathrm{~d} \alpha_{\tau}+O\left(n^{\tau-2}\right)= \\
& =\frac{d_{\tau}^{\tau-2}}{(\tau-2)!d_{1} d_{2} \cdots d_{\tau-1}}\left(\frac{n}{d_{\tau}}\right)^{\tau-1} /(\tau-1)+O\left(n^{\tau-2}\right)= \\
& =\frac{1}{(\tau-1)!d_{1} d_{2} \cdots d_{\tau}} n^{\tau-1}+O\left(n^{\tau-2}\right)
\end{aligned}
$$

The following sequence of lemmas are used to determine the logasymptotic behaviour of the generative- and fine spectra of $\mathcal{V}_{1, d}$ for $d \geq 2$.
Lemma 4.5. Let $a, b \in \mathbb{N}$. Then $\int_{0}^{1} x^{a}(1-x)^{b} \mathrm{~d} x=\frac{a!\cdot b!}{(a+b+1)!}$.
Proof. The expression $\int_{0}^{1} x^{a}(1-x)^{b} \mathrm{~d} x$ is clearly symmetric in $a$ and $b$. If $b=0$, then $\int_{0}^{1} x^{a} \mathrm{~d} x=\frac{1}{a+1}$ holds, and by symmetry, the formula is also true when $a=0$.
By the rule of partial integration, we obtain $\frac{b-1}{a+1} \int_{0}^{1} x^{a}(1-x)^{b} \mathrm{~d} x=$ $\int_{0}^{1} x^{a+1}(1-x)^{b-1} \mathrm{~d} x$. Hence, the above formula is equivalent for pairs $(a, b)$ and $\left(a^{\prime}, b^{\prime}\right)$ if $a+b=a^{\prime}+b^{\prime}$.

Lemma 4.6. Let $m>0, i \in \mathbb{N}^{+}$. For $t \geq 0$ define $S_{1, m}(t)=t^{m}$, and let

$$
S_{i+1, m}(t)=\int_{0}^{t} S_{i, m}(x)(t-x)^{m} \mathrm{~d} x
$$

for all integers $i \geq 2$. Then

$$
S_{i, m}(t)=\frac{(m!)^{i}}{((m+1) \cdot i-1)!} \cdot t^{(m+1) \cdot i-1}
$$

Proof. Induction on $i$ with $m$ fixed; the initial step $i=1$ holds by definition. Assume that the formula is true for $i \geq 1$, and let us show it for $i+1$. By using the induction hypothesis, the integral form of $S_{i, m}(t)$ transforms to $S_{i+1, m}(t)=\int_{0}^{t} S_{i, m}(x)(t-x)^{m} \mathrm{~d} x=\int_{0}^{t} \frac{(m!)^{i}}{((m+1) \cdot i-1)!}$. $x^{(m+1) \cdot i-1}(t-x)^{m} \mathrm{~d} x$. By applying the linear substitution $y=x / t$ and Lemma 4.5 we obtain

$$
\begin{gathered}
S_{i+1, m}(t)=\frac{(m!)^{i}}{((m+1) \cdot i-1)!} \cdot t^{(m+1) \cdot i-1+m+1} \int_{0}^{1} y^{(m+1) \cdot i-1}(1-y)^{m} \mathrm{~d} y= \\
=\frac{(m!)^{i}}{((m+1) \cdot i-1)!} \cdot \frac{1}{(m+1) \cdot(i+1)-1} \cdot \frac{m!\cdot((m+1) \cdot i-1)!}{(m \cdot(i+1)+i-1)!} \cdot t^{(m+1) \cdot(i+1)-1}= \\
=\frac{(m!)^{i+1}}{((m+1) \cdot(i+1)-1)!} \cdot t^{(m+1) \cdot(i+1)-1}
\end{gathered}
$$

Lemma 4.7. Let $K, m>0, i, n \in \mathbb{N}^{+}$. Assume that $\log n \leq i \leq n^{\frac{m+2}{m+3}}$.
Then
$\log \left(\max _{i}\left(\frac{K^{i}}{i!} \frac{(m!)^{i}}{((m+1) \cdot i-1)!} \cdot n^{(m+1) \cdot i-1}\right)\right)=(m+2) \cdot \sqrt[m+2]{\frac{K \cdot m!}{(m+1)^{m+1}}} \cdot n^{\frac{m+1}{m+2}}+O(\log n)$
Proof. By Stirling's formula, we have

$$
\begin{aligned}
& \begin{array}{l}
\log \left(\max _{i}\left(\frac{K^{i}}{i!} \frac{(m!)^{i}}{((m+1) \cdot i-1)!} \cdot n^{(m+1) \cdot i-1}\right)\right)= \\
=\max _{i}(i \log K-i \log i+i+i \log m!-\log ((m+1) \cdot i-1)!+ \\
\quad((m+1) \cdot i-1) \log n+O(\log i))= \\
=\max _{i}(i \cdot(\log K-\log i+1+\log m!)-((m+1) \cdot i-1) \log ((m+1) \cdot i-1)+ \\
\quad \quad+((m+1) \cdot i-1)+((m+1) \cdot i-1) \log n)+O(\log n)= \\
=\max _{i}(i \cdot(\log K-\log i+1+\log m!)-(m+1) \cdot i \log ((m+1) \cdot i)+ \\
\quad \quad+(m+1) \cdot i+(m+1) \cdot i \cdot \log n)+O(\log n)= \\
=\max _{i}(i \cdot(\log K-\log i+1+\log m!-(m+1) \log (m+1)-(m+1) \log i+ \\
\quad(m+1)+(m+1) \log n))+O(\log n)= \\
=\max _{i}(i \cdot(\log K+m+2+\log m!-(m+1) \log (m+1)-(m+2) \log i+(m+1) \log n))+O(\log n)
\end{array}
\end{aligned}
$$

We seek the maximum of the expression over the whole interval $\left[\log n, n^{\frac{m+3}{m+4}}\right]$; the error this leads to has order of magnitude $O(\log n)$, as it is apparent from the derivative of the function we calculate now. So let $u(x)=$ $x \cdot(\log K+m+2+\log m!-(m+1) \log (m+1)-(m+2) \log x+(m+1) \log n)$, then the derivative is $u^{\prime}(x)=\log K+\log m!-(m+1) \log (m+1)-(m+$ 2) $\log x+(m+1) \log n$. The equation $u^{\prime}(x)=0$ has a unique solution, that is where $u(x)$ attains its maximum, namely $x_{0}=\sqrt[m+2]{\frac{K \cdot m!}{(m+1)^{m+1}}}$. $n^{\frac{m+1}{m+2}}$. Note that $u(x)=x\left(m+2+u^{\prime}(x)\right)$, thus $u\left(x_{0}\right)=(m+2) x_{0}$, which is equivalent to the statement of the lemma.
Lemma 4.8. Let $K, m>0, i, n \in \mathbb{N}^{+}, 0 \leq i \leq n$. Then
$\log \left(\max _{i}\left(\frac{K^{i}}{i!} \cdot \sum_{r_{1}+\cdots+r_{i}=n} \prod_{j=1}^{i} r_{j}^{m}\right)\right)=(m+2) \cdot \sqrt[m+2]{\frac{K \cdot m!}{(m+1)^{m+1}}} \cdot n^{\frac{m+1}{m+2}}+o\left(n^{\frac{m+1}{m+3}}\right)$
Proof. If $i<\log n$ or $i>n^{\frac{m+2}{m+3}}$ then $\Sigma_{i, m}(n):=\frac{K^{i}}{i!} \cdot \sum_{r_{1}+\cdots+r_{i}=n} \prod_{j=1}^{i} r_{j}^{m}$ is $o\left(n^{\frac{m+1}{m+3}}\right)$ by standard estimations.

For $\log n \leq i \leq n^{\frac{m+2}{m+3}}$ we switch the sum $\sum_{r_{1}+\cdots+r_{i}=n} \prod_{j=1}^{i} r_{j}^{m}$ to the integral $S_{i, m}(n)$. This produces an error of order of magnitude $o\left(n^{\frac{m+1}{m+3}}\right)$ as $S_{i, m}(n-i) \leq \Sigma_{i, m}(n) \leq S_{i, m}(n+i)$, and because $\left(n+O\left(n^{\frac{m+2}{m+3}}\right)\right)^{\frac{m+1}{m+2}}=$ $n^{\frac{m+1}{m+2}}+o\left(n^{\frac{m+1}{m+3}}\right)$. The assertion then follows from Lemma 4.7.

## 5. Fine spectra

5.1. The fine spectrum of the varieties $\mathcal{V}_{k}$. In [PPPrS13] recursive formulas and asymptotic estimations were given for the number of $n$-element rooted trees of depth $k$. Those results directly imply the following.

Theorem 5.1. The sequences $f_{k}(n)$ satisfy the following asymptotic formulas.
(1) $f_{1}(n)=1$ for all $n \in \mathbb{N}$.
(2) $f_{2}(n) \sim \frac{1}{4 \sqrt{3} n} \exp \left(\pi \sqrt{\frac{2}{3}} \sqrt{n}\right)$.
(3) $\log f_{k}(n) \sim \frac{\pi^{2}}{6} \cdot \frac{n}{L_{k-2}(n)}$ for $k>2$.

### 5.2. The fine spectrum of the varieties $\mathcal{V}_{k, d}$.

Theorem 5.2. The sequences $f_{k, d}(n)$ satisfy the following asymptotic formulas.
(1) $\log f_{0,0}(n) \sim(\log \alpha) n$, where $\alpha \approx 2.95576$.
(2) $f_{0,1}(n)=1$ for all $n \in \mathbb{N}$.
(3) $f_{0, d}(n) \sim \frac{1}{(\tau(d)-1)!d^{\tau(d) / 2}} \cdot n^{\tau(d)-1}$ for $d \geq 2$.
(4) $f_{1,1}(n)=p(n) \sim \frac{1}{4 \sqrt{3} n} \exp \left(\pi \sqrt{\frac{2}{3}} \sqrt{n}\right)$.
(5) $\log f_{1, d}(n) \sim \frac{(d+1) \cdot \sqrt[d+1]{\zeta(d)}}{d} \cdot n^{\frac{d}{d+1}}$ for $d \geq 2$, where $\zeta$ is the Riemann zeta function.
(6) $\log f_{2, d}(n) \sim \frac{\pi^{2} d}{6} \cdot \frac{n}{\log n}$ for $d \geq 1$.
(7) $\log f_{k, d}(n) \sim \frac{\pi^{2}}{6} \cdot \frac{n}{L_{k-1}(n)}$ for $k \geq 3, d \geq 1$.

Proof. For the proof of item 1. see [HKUP $\left.{ }^{+} 11\right]$.
Item 2. is straightforward from the definition of $f_{0,1}(n)$.
For item 3., let $1=d_{1}, \ldots, d_{\tau(d)}$ be the positive divisors of $d$. An $n$-element algebra $(A ; u)$ in $\mathcal{V}_{0, d}$ consists of disjoint circles with size in $\left\{d_{1}, \ldots, d_{\tau(d)}\right\}$. Let us denote the number of circles in $(A ; u)$ of size $d_{i}$ by $\alpha_{i}$. Then the isomorphism type of $(A ; u)$ is uniquely determined by the tuple $\left(\alpha_{1}, \ldots, \alpha_{\tau(d)}\right)$. According to Lemma 4.4 the number of such
tuples is $\frac{1}{(\tau(d)-1)!d_{1} \cdots d_{\tau(d)}} n^{\tau(d)-1}+O\left(n^{\tau(d)-2}\right)=\frac{1}{(\tau(d)-1)!d^{\tau(d) / 2}} n^{\tau(d)-1}+$ $O\left(n^{\tau(d)-2}\right)$.

For item 4., observe that an $n$-element algebra $(A ; u)$ is in $\mathcal{V}_{1,1}$ if and only if it is the disjoint union of rooted trees of depth at most 1 with $n$ vertices altogether, such that the edges are directed towards the root. A rooted tree with depth at most 1 is up to isomorphism uniquely determined by its size. Thus $(A ; u)$ is up to isomorphism uniquely determined by the partition of $n$ corresponding to the multi-set of the sizes of the rooted trees.

We show item 5 . The number of $n$-element directed, connected unicyclic graphs with cycle length $d$ is asymptotically $\frac{1}{d}\binom{n-1}{d-1}$. Thus the number of $n$-element directed, connected unicyclic graphs with cycle length dividing $d$ is asymptotically $\sum_{t \mid d} \frac{1}{t}\binom{n-1}{t-1}=\left(1+O\left(\frac{1}{n}\right)\right) \frac{1}{d!} n^{d-1}$. Let

$$
\begin{aligned}
a_{n} & =\sum_{m \mid n} \frac{1}{m} f_{1, d, c o n}\left(\frac{n}{m}\right) . \text { Then } f_{1, d}(n) \leq\left[x^{n}\right] \exp \left(\sum_{r=1}^{\infty} a_{r} x^{r}\right), \text { and } \\
a_{n} & \leq\left(1+O\left(\frac{1}{n}\right)\right) \sum_{m \mid n} \frac{1}{d!} \frac{1}{m}\left(\frac{n}{m}\right)^{d-1} \leq \\
& \leq\left(1+O\left(\frac{1}{n}\right)\right) \frac{1}{d!} n^{d-1} \sum_{m=1}^{\infty}\left(\frac{1}{m}\right)^{d}=\left(1+O\left(\frac{1}{n}\right)\right) \frac{\zeta(d)}{d!} n^{d-1}
\end{aligned}
$$

Hence, by using the fifth item of Lemma 3.3, we have that Lemma 4.8 (with $K=\frac{\zeta(d)}{d!}, m=d-1$ ) yields the asymptotical upper estimation

$$
\begin{gathered}
\log \left(\left[x^{n}\right] \exp \left(\sum_{r=1}^{\infty} \frac{\zeta(d)}{d!} r^{d-1} x^{r}\right)\right) \sim(d+1) \cdot \sqrt[{d+\sqrt{\frac{\zeta(d)}{d!} \cdot(d-1)!}}]{d^{d}} \cdot n^{\frac{d}{d+1}}= \\
=\frac{(d+1) \cdot \sqrt[d+1]{\zeta(d)}}{d} \cdot n^{\frac{d}{d+1}}
\end{gathered}
$$

for $\log f_{1, d}(n)$. The lower estimation can be obtained in a similar fashion. Let $\varepsilon>0$ be fixed, and choose $k \in \mathbb{N}$ such that $\sum_{m=1}^{k}\left(\frac{1}{m}\right)^{d} \geq \zeta(d)-\varepsilon$. The only difference in the calculation compared to the upper estimation is that the inequality $(1-\varepsilon) \frac{\zeta(d)}{d!} n^{d-1} \leq a_{n}$ does not hold for sufficiently large $n$, although for given $\varepsilon$, it is "often" true. The reason is that there are arbitrarily large numbers $n$ with few divisors (e.g., primes), and for such an $n$ we have $\sum_{m \mid n}\left(\frac{1}{m}\right)^{d}<\zeta(d)-\varepsilon$. So instead of $\left[x^{n}\right] \exp \left(\sum_{r=1}^{\infty} a_{r} x^{r}\right)$, it is better to compute $\left[x^{n}\right] \exp \left(\frac{1}{1-x} \sum_{r=1}^{\infty} a_{r} x^{r}\right)$,
to even out the numbers with few divisors. This modification clearly has no effect on the log-asymptotics, and as every number is close to a number $n$ that is divisible by the first $k$ numbers, we obtain a power series in the exponential whose $n$-th coefficient is asymptotically bigger than $(1-\varepsilon) \frac{\zeta(d)}{d!} n^{d-1}$. Hence, the lower estimation $(d+1) \cdot \sqrt[d+1]{\frac{(1-\varepsilon) \frac{\zeta(d)}{d d} \cdot(d-1)!}{d^{d}}} \cdot n^{\frac{d}{d+1}} \leq \log f_{1, d}(n)$ holds for all $\varepsilon>0$ for sufficiently large $n$, which simplifies to $\frac{(d+1) \cdot \sqrt[d+1]{(1-\varepsilon) \zeta(d)}}{d} \cdot n^{\frac{d}{d+1}} \leq \log f_{1, d}(n)$ for large enough $n$.

We proceed with item 6. According to Lemma 3.3 item 3. and Theorem 5.1, $f_{2,1, \text { con }}(n)=f_{2}(n) \sim \frac{1}{4 n \sqrt{3}} \exp \left(\pi \sqrt{\frac{2 n}{3}}\right)$. By Lemma 3.3 item 3. we have $\frac{1}{d} \sum_{\mu_{1}+\cdots+\mu_{d}=n} \prod_{i=1}^{d} f_{2}\left(\mu_{i}\right) \leq f_{2, d, \text { con }}(n) \leq \sum_{t \mid d} \sum_{\mu_{1}+\cdots+\mu_{t}=n} \prod_{i=1}^{t} f_{2}\left(\mu_{i}\right)$. Asymptotically there are at most $n^{d}$ terms in both the lower- and upper estimations, and according to Lemma 4.2 the logarithm of every term can be estimated by

$$
\begin{aligned}
& \log \left(\max _{\mu_{1}+\cdots+\mu_{t}=n} \prod_{i=1}^{t} f_{2}\left(\mu_{i}\right)\right) \leq \\
& \leq(1+o(1)) \log \left(\max _{\mu_{1}+\cdots+\mu_{t}=n} \prod_{i=1}^{t} \frac{1}{4 \mu_{i} \sqrt{3}} \exp \left(\pi \sqrt{\frac{2 \mu_{i}}{3}}\right)\right)= \\
& =O(t \log n)+(1+o(1)) \pi \sqrt{\frac{2}{3}} \max _{\mu_{1}+\cdots+\mu_{t}=n} \sum_{i=1}^{t} \sqrt{\mu_{i}} \leq \\
& \leq O(t \log n)+(1+o(1)) \pi \sqrt{\frac{2}{3}} \sqrt{t n} \leq \\
& \quad \leq O(d \log n)+(1+o(1)) \pi \sqrt{\frac{2}{3}} \sqrt{d n} \sim \pi \sqrt{\frac{2}{3}} \sqrt{d n}
\end{aligned}
$$

Moreover, according to Lemma 4.2 the estimation is sharp when $t=d$ and the difference between any two of the $n_{i}$ is at most 1 . Such a term appears in both the lower- and upper estimations. As $\log n^{d}$ is negligible to $\pi \sqrt{\frac{2}{3}} \sqrt{d n}$, it makes no difference in the log-asymptotic estimations if we calculate with the biggest term or the sum of the terms. Hence, both the lower- and upper estimations we obtained for $\log f_{2, d, \text { con }}(n)$ are asymptotically $\pi \sqrt{\frac{2}{3}} \sqrt{d} \sqrt{n}$, and consequently, so is $\log f_{2, d, \mathrm{con}}(n)$. By Lemma 3.3 item 5. and Theorem 3.4 item 1. we have that $\log f_{2, d}(n) \sim \frac{\pi^{2} d}{6} \cdot \frac{n}{\log n}$.

Finally, we show item 7. From Lemma 3.3 item 3. and Theorem 5.1 we obtain $\log f_{k, 1, \text { con }}(n)=\log f_{k}(n) \sim \frac{\pi^{2}}{6} \cdot \frac{n}{L_{k-2}(n)}$. According to Lemma 3.3 item 3. we have $\frac{1}{d} \sum_{\mu_{1}+\cdots+\mu_{d}=n} \prod_{i=1}^{d} f_{k}\left(\mu_{i}\right) \leq f_{k, d, \text { con }}(n) \leq$ $\sum_{t \mid d} \sum_{\mu_{1}+\cdots+\mu_{t}=n} \prod_{i=1}^{t} f_{k}\left(\mu_{i}\right)$. Asymptotically there are at most $n^{d}$ terms in both the lower- and upper estimations, which will be a negligible factor. According to Lemma 4.3 the logarithm of every term can be estimated from above by $\log \left(\max _{\mu_{1}+\cdots+\mu_{t}=n} \prod_{i=1}^{t} f_{k}\left(\mu_{i}\right)\right)=\max _{\mu_{1}+\cdots+\mu_{t}=n} \sum_{i=1}^{t} \log f_{k}\left(\mu_{i}\right) \leq$ $(1+o(1)) \max _{\mu_{1}+\cdots+\mu_{t}=n} \sum_{i=1}^{t} \frac{\pi^{2}}{6} \cdot \frac{\mu_{i}}{L_{k-2}\left(\mu_{i}\right)} \leq(1+o(1)) \frac{\pi^{2}}{6} \cdot \frac{n}{L_{k-2}(n)} \sim \frac{\pi^{2}}{6} \cdot \frac{n}{L_{k-2}(n)}$.
Moreover, according to Lemma 4.2 the estimation is sharp when $t=d$ and the difference between any two of the $n_{i}$ is at most 1 . Such a term appears in both the lower- and upper estimations. Hence, both the lower- and upper estimations we obtained for $\log f_{k, d, \text { con }}(n)$ are asymptotically $\frac{\pi^{2}}{6} \cdot \frac{n}{L_{k-2}(n)}$, and consequently, so is $\log f_{k, d, \text { con }}(n)$. By Lemma 3.3 item 5. and Theorem 3.4 item 2. we have that $\log f_{k, d}(n) \sim$ $\frac{\pi^{2}}{6} \cdot \frac{n}{L_{k-1}(n)}$.

## 6. Generative spectra

### 6.1. The generative spectrum of the varieties $\mathcal{V}_{k}$.

Theorem 6.1. The sequences $g_{k}(n)$ satisfy the following asymptotic formulas.
(1) $g_{1}(n)=n$ for all $n \in \mathbb{N}$.
(2) $g_{2}(n) \sim \frac{\sqrt{3}}{2 \pi^{2}} \exp \left(\pi \sqrt{\frac{2}{3}} \sqrt{n}\right)$.
(3) $\log g_{k}(n) \sim \frac{\pi^{2}}{6} \cdot \frac{n}{L_{k-2}(n)}$ for $k>2$.

Proof. Item 1. holds by definition.
For item 2., we first give an asymptotic estimation for $g_{2}^{*}(n)$. If $(A ; u)$ is an $n$-generated, but not $(n-1)$-generated algebra in $\mathcal{V}_{2}$, then $G_{A}$ is a rooted tree of depth at most 2 with $n$ leaves. Let two leaves $x$ and $y$ be equivalent if $u(x)=u(y)$. Leaves $x$ such that $u(x)$ is the root form an equivalence class of $(n-i)$ elements, the others form a partition of an $i$-element set. The isomorphism type of $(A ; u)$ is uniquely determined by the number $i$ and the partition of the $i$-element
set. Thus $g_{2}^{*}(n)=\sum_{i=1}^{n} p(i)$. According to the Hardy-Ramanujan formula, $p(n) \sim \frac{1}{4 \sqrt{3} n} \exp \left(\pi \sqrt{\frac{2}{3}} \sqrt{n}\right)$. By Lemma 4.1 we obtain $g_{2}^{*}(n) \sim$ $\frac{1}{2 \sqrt{2} \pi \sqrt{n}} \exp \left(\pi \sqrt{\frac{2}{3}} \sqrt{n}\right)$. Hence, $g_{2}(n)=\sum_{i=1}^{n} g_{2}^{*}(i) \sim \frac{\sqrt{3}}{2 \pi^{2}} \exp \left(\pi \sqrt{\frac{2}{3}} \sqrt{n}\right)$ by Lemma 4.1.

Finally, for item 3. it is enough to show that $\log g_{k}^{*}(n) \sim \frac{\pi^{2}}{6} \cdot \frac{n}{L_{k-2}(n)}$ for $k>2$. We prove this estimation by induction on $k$. By Lemma 3.3 item 2. and Theorem 3.4 item 1., we obtain the result for $k=3$. Assume that the statement is true for some $k \geq 3$. Then by Lemma 3.3 item 2. and Theorem 3.4 item 2., the assertion holds for $k+1$, as well.

Corollary 6.2. The sequences $g_{k}^{*}(n)$ satisfy the following asymptotic formulas.
(1) $g_{2}^{*}(n) \sim \frac{1}{2 \sqrt{2} \pi \sqrt{n}} \exp \left(\pi \sqrt{\frac{2}{3}} \sqrt{n}\right)$.
(2) $\log g_{k}^{*}(n) \sim \frac{\pi^{2}}{6} \cdot \frac{n}{L_{k-2}(n)}$ for $k>2$.

### 6.2. The generative spectrum of the varieties $\mathcal{V}_{k, d}$.

Theorem 6.3. The sequences $g_{k, d}(n)$ satisfy the following asymptotic formulas.
(1) $g_{0, d}(n)=\binom{\tau(d)+n}{n}-1 \sim \frac{1}{\tau(d)!} n^{\tau(d)}$ for $d \geq 1$.
(2) $g_{1,1}(n) \sim \frac{\sqrt{3}}{2 \pi^{2}} \exp \left(\pi \sqrt{\frac{2}{3}} \sqrt{n}\right)$.
(3) $\log g_{1, d}(n) \sim \frac{(d+1) \cdot \sqrt[d+1]{\zeta(d)}}{d} \cdot n^{\frac{d}{d+1}}$ for $d \geq 2$, where $\zeta$ is the Riemann zeta function.
(4) $\log g_{2, d}(n) \sim \frac{\pi^{2} d}{6} \cdot \frac{n}{\log n}$ for $d \geq 1$.
(5) $\log g_{k, d}(n) \sim \frac{\pi^{2}}{6} \cdot \frac{n}{L_{k-1}(n)}$ for $k \geq 3, d \geq 1$.

Proof. For item 1. observe that an algebra $(A ; u)$ in $\mathcal{V}_{0, d}$ is $n$-generated if and only if $(A ; u)$ consists of at most $n$ disjoint circles. The length of a circle can be any divisor of $d$. Thus up to isomorphism $(A ; u)$ is uniquely determined by the multi-set of $i$ numbers, with $i \leq n$, consisting of the sizes of the circles in $(A ; u)$, and these $i$ numbers can be chosen from a $\tau(d)$-element set. Hence, $g_{0, d}(n)=\sum_{i=1}^{n}\binom{\tau(d)+i-1}{i}=\binom{\tau(d)+n}{n}-1$.

For item 2. observe that there is a bijection between $\mathcal{V}_{2}$ and $\mathcal{V}_{1,1}$ : if we omit the root of an algebra in $\mathcal{V}_{2}$ then we obtain an algebra in $\mathcal{V}_{1,1}$. Moreover, this bijection maps $n$-generated algebras in $\mathcal{V}_{2}$ to $n$ generated algebras in $\mathcal{V}_{1,1}$. Thus $g_{1,1}(n)=g_{2}(n)$, and we are done by Theorem 6.1 item 2.

The proof of item 3. is analogous to that of Theorem 5.2 item 5.
For items 4. and 5. it is enough to show that $\log g_{2, d}^{*}(n) \sim \frac{\pi^{2} d}{6} \cdot \frac{n}{\log n}$ for $d \geq 1$ and $\log g_{k, d}^{*}(n) \sim \frac{\pi^{2}}{6} \cdot \frac{n}{L_{k-1}(n)}$ for $k \geq 3, d \geq 1$. By comparing Theorem 5.1 and Corollary 6.2 we obtain that $\log f_{k}(n) \sim \log g_{k}^{*}(n)$ for $k \geq 2$. In the statement of Lemma 3.3 items 3., 4. and 5. are analogous to items 6., 7. and 8. Hence, the proofs of the desired log-asymptotic estimations $\log g_{2, d}^{*}(n) \sim \frac{\pi^{2} d}{6} \cdot \frac{n}{\log n}$ for $d \geq 1$ and $\log g_{k, d}^{*}(n) \sim \frac{\pi^{2}}{6} \cdot \frac{n}{L_{k-1}(n)}$ for $k \geq 3, d \geq 1$ are also analogous to the proofs of items 6 . and 7 . of Theorem 5.2.

## References

## References

[BI05] J. Berman and P. M. Idziak. Generative complexity in algebra, volume 175 of Memoirs of the AMS. American Mathematical Society, 2005.
[Bir48] G. Birkhoff. Lattice theory, volume 25 of Colloquium Publications. American Mathematical Society, rev. ed., New York, 1948.
[FS09] P. Flajolet and R. Sedgewick. Analytic Combinatorics. Cambridge University Press, Cambridge, 2009.
$\left[\mathrm{HKUP}^{+} 11\right]$ G. Horváth, K. Kátai-Urbán, P. P. Pach, G. Pluhár, A. Pongrácz, and Cs. Szabó. The number of monounary algebras. Alg. Univ., 66(1-2):81-83, 2011.
[HSV94] B. Hart, S. Starchenko, and M. Valeriote. Vaught's conjecture for varieties. Trans. Amer. Math. Soc., 342:832-852, 1994.
[HV91] B. Hart and M. Valeriote. A structure theorem for strongly Abelian varieties with few models. J. Symb. Logic, 56:173-196, 1991.
[JS64] E. Jacobs and R. Schwabauer. The lattice of equational classes of algebras with one unary operation. Amer. Math. Monthly, 71:151-155, 1964.
[JS12] D. Jakubíková-Studenovská. On pseudovarieties of monounary algebras. Asian-Eur. J. Math., 5(1):10 pp, 2012.
[JSP09] D. Jakubíková-Studenovská and J. Pócs. Monounary algebras. UPJŠ, Košice, 2009.
[PPPrS13] P. P. Pach, G. Pluhár, A. Pongrácz, and Cs. Szabó. The number of trees of given depth. Electron. J. Comb., 20(2):11 pp, 2013.

Bolyai Institute, University of Szeged, Aradi vértanúk square 1, Szeged, Hungary, 6720

E-mail address: katai@math.u-szeged.hu
Department of Algebra and Number Theory, University of Debrecen, Egyetem square 1, Debrecen, Hungary, 4032

E-mail address: pongracz.andras@science.unideb.hu
Department of Algebra and Number Theory, Eötvös Loránd University, Egyetem square 1-3, Budapest, Hungary, 1053

E-mail address: csaba@cs.elte.hu


[^0]:    Date: July 2, 2018.
    Key words and phrases. asymptotic, generative spectrum, fine spectrum, monounary
    MSC2010: 05A15, 05A16, 05C30, 08B99
    The authors were supported by the Hungarian Scientific Research Fund (OTKA) grant no. K109185. Furthermore, the research was supported by the National Research, Development and Innovation Fund of Hungary, financed under the FK 124814 and PD 125160 funding schemes, and the János Bolyai Research Scholarship of the Hungarian Academy of Sciences.

