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11 **High critical forest habitat thresholds of native bird communities in Afrotropical**  
12 **agroforestry landscapes**

13  
14 **Abstract**

15 Our knowledge on the nature of forest species responses to deforestation remains ambiguous.  
16 Moreover, most previous research took place in fragmented landscapes or did not take into  
17 account the diversity of ecological features among the studied species. Understanding the  
18 relationship between forest cover and functional guilds inside a bird community may serve as  
19 a valuable tool to assess how much forest is necessary to conserve significant portions of  
20 forest species. We sampled birds (198 species, 6 883 encounters) along a full gradient of  
21 deforestation across 4 000 km<sup>2</sup> of forest-dominated landscapes in Southwest Cameroon. We  
22 applied multivariate adaptive regression splines to model  $\alpha$ -,  $\beta$ - and  $\gamma$ -richness of various bird  
23 guilds in relation to forest cover. Overall,  $\beta$ - and  $\gamma$ -richness remained constant above 42%  
24 forest cover. However, total  $\alpha$ -richness as well as all richness partitions of Guinea-Congo  
25 biome-restricted, large-bodied arboreal foliage gleaning, tree nesting, and frugivorous species  
26 declined when forest cover was below 74%. Moreover, ant-followers and terrestrial  
27 insectivores showed their highest diversity at zero deforestation. In contrast, open-land,  
28 granivorous, opportunistic insectivorous and widespread species strongly increased below  
29 42% forest cover. High  $\beta$ -diversity at intermediate deforestation conditions indicate that the  
30 sharp decline of original forest bird diversity may only be compensated by habitat and

31 foraging generalists, which benefit from high habitat heterogeneity. Our study implies that  
32 Afrotropical forest bird diversity decreases non-linearly with forest loss. Critical habitat  
33 thresholds above 70% are much higher than previously reported and highlight the need for  
34 conservation measures of large intact forest remnants.

35

36 Keywords: African bird diversity; bird guilds; deforestation; diversity partitioning; forest  
37 cover; multivariate adaptive regression splines

## 38 **1. Introduction**

39

40 For more than two decades, there has been a debate on how much forest is needed to maintain  
41 diversity in a landscape context. In his pioneering review on the effects of woodland cover on  
42 bird and mammal species, Andr en (1994) argued for a minimum of 10% to 30% forest cover  
43 needed to preserve a substantial portion of original species diversity. In the following years,  
44 numerous field studies on various taxa have found support for a critical threshold hypothesis.  
45 Whereas several bird (e.g. Cushman and McGarigal, 2003; Radford et al., 2005), invertebrate  
46 (e.g. Bergman et al., 2004), and multi-taxa studies (Banks-Leite et al., 2014; Ochoa-Quintero  
47 et al., 2015) are in line with Andr en's proposed threshold range, others suggest a minimum  
48 forest cover level of 40% to 50% for amphibians (e.g. Gibbs, 1998), invertebrates (Schmidt  
49 and Roland, 2006), birds (e.g. Martensen et al., 2012; Morante-Filho et al., 2015), and  
50 mammals (Reunanen et al., 2004). Moreover, some authors have failed to find evidence of  
51 non-linear relations between forest cover and species richness or occurrence in birds (e.g.  
52 Villard et al., 1999) as well as lizards and birds (Lindenmayer et al., 2005). Besides an  
53 undeniable effect of landscape configuration (Fahrig, 2003; Villard et al., 1999), these  
54 contradicting results suggest that species' responses to deforestation are determined by their

55 ecological characteristics (Andrén, 1994; Luck and Daily, 2003; Maas et al., 2009). Although  
56 many of the before-mentioned studies focused on birds, our knowledge on the response  
57 patterns of functional guilds of complete bird communities remains limited. Respective  
58 studies are needed to predict ecological consequences related to land-use change and  
59 deforestation (Lewis, 2009), which can influence conservation management efforts in forested  
60 landscapes (Metzger and Décamps, 1997).

61 Conversion of forests to simplified land-use systems usually leads to changes in bird species  
62 composition with altered proportions of functional groups and less specialized bird  
63 communities (Harvey and Villalobos, 2007; Maas et al., 2009; Şekercioğlu, 2012). Some  
64 groups have been found to persist at high levels of species richness or even increase at  
65 intermediate disturbance or forest cover levels, i.e. as nectarivores or frugivores. This is  
66 presumably due to high primary productivity and food availability in systems such as  
67 agroforests (Gomes et al., 2008; Waltert et al., 2005). Large-bodied and insectivorous species  
68 tend to decrease with increasing deforestation rates and get replaced by small-sized and  
69 granivorous or omnivorous species that become highly abundant in open agricultural areas  
70 (Newbold et al., 2012; Senior et al., 2013).

71 Until today, very little is known about the effects of deforestation and land-use intensification  
72 on functional bird diversity in the Guineo-Congolian forest belt. Our study area lies within the  
73 heart of the Gulf of Guinea forest, which represents the largest continuous forest block in the  
74 biodiversity hotspot West African forests (Oates et al., 2004). In this region, land-use change  
75 from a growing human population and from industrial oil palm expansion is imminent (Linder  
76 and Palkovitz, 2016). In this study, we aimed to identify potential critical habitat thresholds  
77 for various guilds. We applied multivariate adaptive regression splines on bird data collected  
78 along a deforestation gradient from 0% to 100% at a local scale. The diversity measures used  
79 are based on diversity partitioning (alpha, beta, and gamma richness) as well as a series of

80 diversity indices with increasing community weights. We hypothesized that relationships  
81 between diversity in bird guilds and forest cover are non-linear. We further hypothesized that  
82 critical habitat thresholds would be guild-specific and appear at intermediate deforestation  
83 levels for habitat, feeding and foraging generalists and at lower deforestation levels for more  
84 specialized forest bird species.

85

## 86 **2. Material and methods**

87

### 88 *2.1. Study area*

89 The study was conducted inside the Korup region in the Ndian Division of Southwest  
90 Cameroon (4°54'N to 5°23'N and 8°44'E to 9°7'E). The altitude varies between 50 and 800 m  
91 a.s.l. The average yearly rainfall is 5 272 mm and the average daily temperature ranges from a  
92 minimum of 22.7 to a maximum of 30.6 °C (Chuyong et al., 2004). The study area (Fig. 1) is  
93 part of the largest continuous rainforest block in Western Africa, the Cross-Sanaga-Bioko  
94 coastal forests, located within the Gulf of Guinea Biodiversity Hotspot (Oates et al., 2004).  
95 The area is sparsely populated, with small villages and agroforests both inside and around the  
96 Korup National Park (KNP). For birds, Rodewald et al. (1994) listed 390 species in Korup  
97 National Park and its surroundings, of which twelve were considered as endemic montane  
98 forest species for the Cross-Sanaga region. One of the few intensified land-use systems in the  
99 region, an oil palm plantation estate of PAMOL Plantations Plc., is located at the southern end  
100 of KNP, separated from the park by the Mana River. This plantation (5 804 ha) was set up  
101 with oil palms (*Elaeis guineensis*) in 1928.

### 102 *2.2. Bird sampling*

103 We systematically sampled bird communities around twelve villages, equitably distributed in  
104 three different landscapes, namely 1) inside evergreen rainforest in KNP, 2) in the  
105 agroforestry landscapes outside the park and 3) in PAMOL. We used the center of each  
106 settlement to define the mid point of a grid consisting of nine 1 km × 1 km blocks (Fig. 1). Of  
107 these nine blocks, the four extreme corner blocks were sampled, resulting in 48 sampled  
108 blocks in twelve sample sites. Since we know from own bird surveys in the region (e.g.  
109 Waltert et al., 2005) that nine repeats are sufficient to saturate species accumulation curves  
110 and derive reliable richness estimates (Colwell, 2016), we placed nine sample points within  
111 each sample block, spaced 333 m each (Fig. 1). Hence, we also complied with the  
112 recommended minimum distance between sample points to avoid multiple counting (250 m;  
113 Ralph et al., 1995). Therefore, we surveyed a total of 432 sample points across the whole  
114 study area.

115 We began bird point count sampling (Ralph et al., 1995) in June and July 2013, and finalized  
116 the survey from May to June 2014. In both years, the survey team consisted of one expert  
117 ornithologist (FNM) and one assistant (mainly EV and DK). At each sample point we once  
118 recorded all seen or heard bird species for a period of ten minutes in the morning (6-11 h) or  
119 afternoon (15-18 h). Bird species identification followed Borrow and Demey (2001). To  
120 reduce disturbance caused by noises and movements of the survey team, we opened paths to  
121 the sample points at least one day before data collection and waited for at least two minutes  
122 after the arrival at each point before starting the sample protocol. Only presence-absence data  
123 were taken and flyovers, i.e. birds not interacting with the surveyed area, were discarded  
124 before analyses.

125

126 *2.3. Data analysis*

127 Following Fotso et al. (2001), Fry et al. (2004), and Waltert et al. (2005), we classified the  
128 recorded bird species by feeding guild (carnivorous, frugivorous, granivorous, insectivorous,  
129 nectarivorous, and omnivorous), foraging guild (arboreal foliage gleaner, sallyier foliage  
130 gleaner, bark gleaner, sallyier, terrestrial and opportunistic miscellaneous insectivore), habitat  
131 preference (forest specialists, generalists, and open-land species), nest site affiliation (ground,  
132 bush, shrub, and tree breeders), and range size (Guineo-Congolian biome-restricted and non-  
133 biome-restricted species). Additionally, we categorized large canopy frugivores (turacos,  
134 parrots, and hornbills), ant-followers, which track the raids of army or driver ants of the genus  
135 *Dorylus* to prey on animals flushed by the ants (including occasional ant-followers; Peters and  
136 Okalo, 2009; Willis, 1985), and size classes of arboreal foliage gleaners (small, medium, and  
137 large)

138 We combined satellite imagery interpretation and ground-truthing to assess forest cover. For  
139 this, we searched the NASA archive for the most recent LANDSAT images prior to the field  
140 survey in 2013. Since from 2003 on, all images contain stripes, several cloud-free scenes per  
141 year were needed to cover the entire study area. Barely cloud contaminated LANDSAT  
142 ETM+ images (30 m pixel size) were found for January 2013/December 2012 and November  
143 2012/December 2012 for the southern/central and most northern part of our study area,  
144 respectively. We created forest cover maps for each sample grid and print them for ground  
145 truthing, which we performed with locals from the closest settlements. At every sample site  
146 we spent at least four days walking a minimum of 5 km pathways through each 1 km<sup>2</sup>  
147 sampling block to increase the accuracy of forest cover estimates and detect most recent  
148 changes due to farm opening. We used GPS devices for field work and processed all maps in  
149 ESRI ArcGIS 10.3.

150 We did not estimate detection probably, since neither a distance sampling nor an occupancy  
151 modelling approach was followed. Previous work in the region showed that >90% of bird

152 observations were of acoustic nature so that results are highly likely unbiased by habitat  
153 except from the smaller canopy dwelling nectarivores who seemingly are underrecorded in  
154 high forest compared to secondary habitats (Waltert et al., 2005). However, we standardized  
155 observer and sampling efforts (see also Methods 2.2) to limit sources of heterogeneity.  
156 Therefore, our count statistics were referred to as indices (Yoccoz et al., 2001) and focused  
157 our analysis and discussion solely on relative diversity changes. We used two different  
158 approaches to dissect the structure of bird communities. First, since previous research pointed  
159 out that beta diversity is more consistent between taxa and, therefore, provides a higher  
160 indicator value than alpha (or gamma) diversity (Kessler et al., 2009; Schulze et al., 2004), we  
161 analyzed the response of within-microhabitat (at sampling points; referring to alpha richness,  
162  $\alpha$ ), between-microhabitat (beta,  $\beta$ ) and within-sampling block (1 km<sup>2</sup>; gamma,  $\gamma$ ) species  
163 richness. We followed the additive partitioning method (equation 1; Veech, 2002), which  
164 allows straightforward comparison of species assemblage partitions.

165 Eq. (1)  $\gamma = \alpha + \beta$

166 Since alpha is calculated as mean species richness per sample point (Veech, 2002), it also  
167 serves as an equivalent to the relative abundance of the sample block and can, therefore, be  
168 interpreted as the niche breadth of a focus guild or group. In addition, richness estimates for  
169  $\gamma$ -richness were done using the classical formula of the first-order Jackknife estimator in  
170 EstimateS 9.1 (Colwell, 2016).

171 Second, to assess the effect of community weights and their implication on diversity values  
172 within analysed bird groups, we used Shannon (equation 2) and Rényi's entropy (equation 3)  
173 to calculate a series of diversity indices from order one to four (Tóthmérész, 1995):

174 Eq. (2)  $x_{sh} = -\sum_{i=1}^S p_i \ln p_i$

175 Eq. (3)  $x_{re} = (-\ln \sum_{i=1}^S p_i^q) / (1 - q)$

176 where  $p$  is the frequency of species  $i$ , derived from its relative abundance, and  $q$  is the order of  
177 the diversity index value  $x$ .

178 Since we compared responses of different diversity measures, we converted the indice values  
179 into effective numbers ( $D$ ) of species following Jost (2006; equation 4).

180 Eq. (4)  $D = \exp(x)$

181 We examined the change of bird diversity along the gradient of forest cover using  
182 multivariate adaptive regression splines (MARS) based on linear models (Friedman, 1991)  
183 through the *earth* package in R version 3.4.1 (Milborrow, 2016; R Core Team, 2017). We  
184 allowed a maximum number of six terms before pruning. We used cross-validation (with 30  
185 cross-validations and five cross-validation folds) as well as classical backward pruning and  
186 selected the better fitting model by comparison of the generalized  $R^2$  value.

187 Finally, we analyzed the response of all recorded bird species to forest cover using  
188 redundancy analysis (RDA) through the *vegan* package in R (Oksanen et al., 2016). The  
189 species matrix was constrained using forest cover and Hellinger transformed prior to the  
190 analyses, which allows a RDA with species data tables that contain many zeros (Legendre and  
191 Gallagher, 2001).  $F$ -values and  $p$ -values were obtained by permutation tests based on 999  
192 permutations.

193 We tested for spatial autocorrelation in model residuals using a spatial correlogram and global  
194 Morans'  $I$  test for spatial autocorrelation in the *ade4* (Dray et al., 2007) and *ncf* packages  
195 (Bjørnstad and Cai, 2018) for R. These packages assesses  $p$ -values using randomization.  
196 Neither Moran's  $I$  test ( $I_{\text{Total community}} = -0.101, p = 0.999$ ) nor the correlogram (Fig. S1) of the  
197 total community model on observed  $\gamma$ -diversity indicated spatial autocorrelation. At the level  
198 of bird guilds and groups, we focused our discussion on the non-autocorrelated models (two  
199 out of thirty models showed spatial autocorrelation; Table S1).



200

### 201 3. Results

202

203 We recorded a total of 6,883 bird encounters and 198 bird species along 432 sampling points  
204 (Table S2). They belonged to 43 families, with Pycnonotidae (22 species) being the most  
205 species-rich family in the study area followed by Sylviidae (13) and Ploceidae (11). All  
206 encountered birds could be identified to species level. At sampleblock level (1 km<sup>2</sup>), we  
207 recorded slightly more species in agroforestry matrices outside the national park than inside,  
208 whereas species richness in the oil palm plantation was the lowest (Table 1).

209

#### 210 3.1. Bird guild responses to forest cover

211 With the exception of some groups (ground and bush nest builders, carnivorous and  
212 omnivorous feeders, sallier foragers, and bark gleaners), our MARS models obtained high R<sup>2</sup>  
213 values above 0.30 (Fig. 2, 3). Observed and estimated total gamma richness of the total  
214 community reached their maxima at 42% of forest cover, remaining stable above. Whereas  
215 beta richness, which accounted for almost 70% of the observed species richness across the  
216 gradient of forest cover, showed the same pattern, alpha richness only peaked at 74% of forest  
217 cover (Fig. 2).

218 Only few bird guilds showed similar responses to that of the entire community (insectivorous  
219 feeders, sallier-foliage gleaners, and medium-sized arboreal foliage gleaners). Frugivorous,  
220 forest specialists, biome-restricted, and large canopy bird richness indicators, however,  
221 reached their maximum at 74% of forest cover. Alpha and gamma richness of large-sized  
222 arboreal foliage gleaners increased until 81% of forest cover, whereas its beta component and

223 the estimated gamma richness peaked at 92%. The highly specialized group of ant-following  
224 birds did not show any threshold response to forest cover. This guild showed the highest  
225 values for all diversity components at 100% forest cover. For terrestrial insectivorous, tree-  
226 nesting, and arboreal foliage gleaning birds the alpha richness peaked at high forest cover  
227 rates, whereas their beta components already formed brinks at 42%. However, the observed  
228 gamma richness of these groups also peaked at 74% of forest cover (Fig. 2).

229 At intermediate forest cover, habitat generalists, nectarivores and shrub-nesters showed  
230 highest beta and gamma richness at intermediate forest cover rates of 15% to 42%. However,  
231 the alpha richness in nectarivorous and shrub-nesting birds peaked at higher forest cover rates,  
232 whereas it remained nearly unchanged across the entire gradient in granivores (Fig. 2).

233 Among the different feeding guilds, only granivores were found in high species numbers at  
234 low forest cover. Their beta and gamma richness was highest between 0% and 42% forest  
235 cover and lower above, whereas their alpha diversity remained low across the entire gradient  
236 with a small peak at 0% forest cover (Fig. 2). The response of open-habitat specialists was  
237 even more pronounced: all species richness components dropped down to zero at 81% and  
238 were highest at 0% forest cover. Only beta richness remained stable at a high level below 42%  
239 forest cover. The group of non-biome-restricted species showed a threshold at 15% forest  
240 cover, above which all diversity components decreased. The response of miscellaneous  
241 insectivorous foragers was more complex. Whereas within- and beta richness decreased  
242 across the gradient with thresholds at 42% and 74% of forest cover, observed and estimated  
243 gamma richness were lowest at 74% and highest between 0% and 42% of forest cover (Fig.  
244 S2).

245

246 *3.2. Effects of community weights*

247 The general pattern of most guild responses to forest did not differ when adding weight to  
248 frequent species (Fig. 3 and Fig. S3). Generally, effective numbers decreased with increasing  
249 order of the diversity index and, therefore, increases and declines appeared to be less steep.  
250 For some groups, such as insectivorous, biome-restricted and non-biome-restricted species,  
251 we observed dissimilarities of more than 50% in effective numbers across the gradient  
252 between order zero (species richness) and Rényi's fourth-order entropy. In contrast, some  
253 groups did not differ greatly, such as nectarivorous, terrestrial insectivorous, and medium-  
254 sized arboreal foliage gleaners. Effective numbers of forest specialist, frugivorous, large  
255 canopy, and ant-following species only decreased strongly in highly forested blocks when  
256 adding community weights, but remained on a generally low level in open areas (Fig. 3).

257 However, in some groups the thresholds at which species numbers remain stable changed  
258 with higher orders of diversity indices. This was the case for the total bird community, the  
259 arboreal foliage gleaners and the non-biome-restricted species, where the threshold shifted  
260 from lower (15% and 42%) to higher forest cover rates (42% and 74%). When adding  
261 community weights, habitat generalists reached a single peak at 42% forest cover and  
262 decreased below, while open-land species remained stable below the same threshold instead  
263 of showing an increase of species numbers. The more weight we added to frequent species,  
264 the more pronounced became the decline and increase of miscellaneous and terrestrial  
265 insectivorous bird guilds, respectively, along the gradient of forest cover. Shrub-breeding  
266 species numbers peaked at intermediate forest cover in first order but not second to fourth  
267 order diversity indices.

268

269 *3.3. Species-specific responses to forest cover*

270 Forest cover explained a significant part of the variance in bird species composition  
271 (proportion of constrained inertia = 31.54%;  $Pseudo-F_{1,46} = 21.19, p = 0.001$ ) within the  
272 redundancy analyses. Bird communities related to high forest cover were dominated by  
273 biome-restricted species, which are mainly either arboreal foliage gleaners or terrestrial  
274 insectivores (Table 2, Fig. S4-S11). We also observed a significant share of ant-following  
275 birds in highly forested areas with only a few common species, such as African thrush  
276 (*Turdus pelios*) and common bulbul (*Pycnonotus barbatus*), remaining in open areas.  
277 Widespread, open-land, granivorous, and miscellaneous insectivorous species were mainly  
278 negatively associated with forest cover. Species that showed a weak response to forest cover  
279 were mainly insectivores with various foraging strategies (Table 2).

280

## 281 **4. Discussion**

282

### 283 *4.1. Differential responses to changes in forest cover*

284 Our results support previous findings of low species numbers in bird assemblages of highly  
285 deforested landscapes (e.g. Andrén, 1994; Martensen et al., 2012; Waltert et al., 2005). Above  
286 42% forest cover, overall gamma richness remained stable, but species composition changed  
287 strongly along the gradient of forest cover.

288 The response pattern to deforestation of insectivore gamma richness was congruent with that  
289 of the entire bird community, though showing a more pronounced decline below 42% forest  
290 coverage. Low tree, bush, and liana density and diversity under intensified land-use have  
291 presumably reduced bark and foliage gleaners' richness; instead, opportunistic miscellaneous  
292 insectivores were more prominent. Though small- and medium-sized foliage gleaners also  
293 showed diversity declines below 74% remaining forest cover, large foliage gleaner were

294 affected the most, already decreasing at 81%. This might be attributed to greater energy  
295 requirements that might not be met due to bottom-up effects of reduced or changed resource  
296 availability (Senior et al., 2013). Among the group of insectivorous birds, terrestrial foragers  
297 were most sensitive to deforestation. While beta richness started declining below 74% forest  
298 cover, alpha species diversity as well as diversity indices under community weight even  
299 indicate a steady decline without any threshold. Warmer microclimate due to lower canopy  
300 cover as well as lacking leaf litter might change the ground arthropod fauna and, therefore,  
301 negatively affect the foraging opportunities of terrestrial insectivores (Waltert et al., 2005).

302 Ant-following birds also showed a severe and steady decline in alpha, beta as well as gamma  
303 richness along the entire gradient of deforestation. Only few of the recorded 26 ant-following  
304 bird species are omnivorous (*Andropadus latirostris*, *Baeopogon indicator*, *Pycnonotus*  
305 *barbatus*, *Thescelocichla leucopleura*), whereas most are highly specialized and depend on  
306 the occurrence of army ant raids. Those specialists are believed to be among the first to  
307 disappear in altered tropical rainforest environments (Peters et al., 2008). Various studies  
308 documented the higher-order effects of fragmented forests associated with the rapid loss of  
309 specialized ant-following birds (e.g. Peters and Okalo, 2009; Turner, 1996). In small forest  
310 fragments in Western Kenya, the decline of highly specialized ant-followers was associated  
311 with changes in army ant composition. Although overall army ant abundances remained  
312 stable, the forest-dependent army ant species, *Dorylus wilverthii*, declined along with forest  
313 fragment size, whereas *Dorylus molestus* increased (Peters and Okalo, 2009). The latter is a  
314 generalist found in various habitats from forest to dry bushland (Gotwald, 1995). However, its  
315 diurnal activity strongly depends on humidity, ceasing when conditions are too dry (Willis,  
316 1985), which has in turn a negative effect on the foraging success of ant-following birds.  
317 Although the ecological mechanisms behind the decline of ant-followers under deforestation

318 regimes in West Africa are not yet studied, Peters and Okalo's (2009) findings underline the  
319 importance of high forest cover for the conservation of this highly sensitive bird guild.

320 Thirteen granivorous bird species were recorded throughout the study area with increasing  
321 richness from forested to open areas. Whereas the granivorous blue-headed wood dove  
322 (*Turtur brehmeri*) seemed to be a characteristic species for natural forests, six other species  
323 were recorded exclusively in deforested areas. In line with previous studies (Clough et al.,  
324 2009; Şekercioğlu, 2012; Waltert et al., 2005), diversity in granivores was highest at low  
325 forest coverage attributed to higher food availability due to the increased abundance and  
326 diversity of herbs and grasses under open conditions (Waltert et al., 2005).

327 Also, nectar-feeding birds seem to thrive with some deforestation. In previous studies,  
328 nectarivores often showed highest species richness in moderately human-modified landscapes  
329 (e.g. Schulze et al., 2004; Şekercioğlu, 2012), but low diversity in highly deforested and  
330 homogeneous land-use systems, such as oil palm plantations (Clough et al., 2009; Tschardtke  
331 et al., 2008). In addition, higher species richness in nectarivores was found not to be related to  
332 their abundance, which seems to decrease more pronouncedly with increasing habitat  
333 modification (Newbold et al., 2013; Waltert et al., 2004). This is in line with our results,  
334 which show highest gamma richness between 15-42%, whereas relative abundance was  
335 highest above 42%. On the one hand, hump-shaped richness patterns of nectarivores might be  
336 explained by higher productivity and greater food resources in agroforestry matrices  
337 (Şekercioğlu, 2012; Tschardtke et al., 2008). On the other hand, it might also be attributed to  
338 sampling limitations: canopy nectarivores are very difficult to detect in natural forests due to  
339 small sizes and thin vocalizations. Presence-absence data of nectarivores might therefore be  
340 biased towards human-modified landscapes and conclusions on conservation management  
341 implications should be drawn with caution (Waltert et al., 2005).

342 In accordance with previous studies (e.g. Gomes et al., 2008; Martensen et al., 2012),  
343 diversity values of frugivorous birds showed a pronounced response to deforestation, with a  
344 sharp decrease below 74% of forest cover. Compared to forests, structurally diverse  
345 agroforestry systems may retain a similar frugivore species richness and up to 75% of  
346 frugivore abundance (Harvey and Villalobos, 2007). However, the composition of frugivorous  
347 assemblages also depends on floristic characteristics (Luck and Daily, 2003) as well as on the  
348 proximity of natural rainforest (Moran and Catterall, 2014). Presumably due to low resource  
349 availability, frugivores may not sustain in highly deforested areas (Senior et al., 2013). This  
350 particularly accounts for large canopy frugivores, which are known to depend on large forest  
351 remnants (Galetti et al., 2013). Apart from the semi-granivorous grey parrot (*Psittacus*  
352 *erithacus*), which used to regularly feed on oil palm nuts in plantation areas, this group was  
353 nearly absent in sample blocks with less than 42% of remaining forest cover. Yet, large  
354 canopy frugivores are of special conservation concern, since they are important long-distance  
355 dispersers of large seeds, while being prone to poaching (Galetti et al., 2013).

356 Ground-nesting birds seem to benefit marginally from the open nature of industrial  
357 agricultural systems, which might be due to the limited presence of mammal predators  
358 (unpubl. data, DK). Bush-breeders, on the other hand, show an opposite, albeit weak,  
359 response, with slightly higher alpha and gamma richness above 42% and 74% of forest cover,  
360 respectively. Presumably due to more heterogeneous and abundant nesting sites, some  
361 infrequent shrub-breeding species profited from half-open habitats, whereas tree-nesting bird  
362 richness naturally depends on high forest cover. Also the proportion of species with unknown  
363 breeding ecology was higher in forested areas, which reflects the need for more research on  
364 the ecology of forest-dependent birds.

365 The most distinct differences we observed between forested and open areas were in regard to  
366 biogeographic distribution of the recorded bird species. Whereas Guineo-Congolian biome-

367 restricted species clearly dominated the bird assemblages in highly forested blocks, their alpha  
368 and gamma richness strongly declined below 74% forest cover. On the contrary, widespread  
369 species, such as Senegal coucal (*Centropus senegalensis*), barn swallow (*Hirundo rustica*) or  
370 black kite (*Milvus migrans*), showed highest richness in deforested landscapes below 15%  
371 forest cover. In addition, also within the non-biome-restricted species group, we found  
372 differential responses to forest cover related to distribution; whereas beta and gamma richness  
373 of species bound to the African tropics dropped below 15% forest cover. The rest of the group  
374 (cosmopolitans and species distributed in Africa, sub-Saharan Africa as well as the Old  
375 World) showed highest abundance and diversity in fully deforested blocks (Fig. S3). This  
376 highlights that landscapes under high land-use intensity and environmental homogenization  
377 are not only prone to biotic simplification (Maas et al., 2009), but also to alienation of species  
378 assemblages, even if closely bordered by natural habitat.

379 For some studied bird groups we could not detect clear response patterns: Whereas omnivores  
380 might have indeed the ability to adapt to habitat changes due to feeding plasticity, the graphs  
381 of aerial feeders and carnivores are presumably artifacts. Due to their prolonged foraging  
382 flights, they are more likely to be recorded in open sampling conditions, independently from  
383 their abundance or richness.

#### 384 *4.2. Bird species composition at intermediate deforestation*

385 According to the intermediate disturbance hypothesis, which predicts maximum local species  
386 richness at intermediate disturbance levels (Gomes et al., 2008; Horn, 1975), we expected to  
387 find highest diversity values in areas with intermediate forest coverage. However, we only  
388 observed this pattern in a few bird guilds, such as the small-sized arboreal foliage gleaners  
389 and the shrub-nesting species, for which gamma richness peaked around 42% to 74% of forest  
390 cover. In addition, in many groups the proportion of beta richness tended to be higher at  
391 intermediate levels of forest cover as e.g. in arboreal foliage gleaners, terrestrial insectivores



392 and biome-restricted species, indicating higher species turnover rates due to greater habitat  
393 heterogeneity, even if the landscape is human-modified (Andrén, 1994, Tschardtke et al.,  
394 2012). Presumably, for the same reason alpha and gamma richness of most guilds including  
395 the total bird community already showed a threshold at 42% of forest cover, albeit not  
396 forming any peak at this level. It seems instead that the landscape mosaic at intermediate  
397 forest cover provides a wider range of different habitat types, whereas highly forested areas  
398 maintain the capacity to harbor a large species pool due to manifold niche diversification  
399 (Martensen et al., 2012). Species richness in forest specialists remained high above a level of  
400 74% but dropped by one third already at 42% of forest cover. This loss could only be  
401 compensated by an increase of generalists and open-land specialists, which benefit from non-  
402 forest habitat structures. Another contribution to constantly high total species richness at  
403 intermediate forest levels could be caused by an edge effect. As the study took place within  
404 the large continuous forest block in and around KNP, most sample blocks of intermediate  
405 forest cover were located in the immediate vicinity of (near-)primary forest. Spill-over of  
406 birds and/or their prey from the surrounding mature forest might have contributed to the high  
407 species richness in the agroforestry matrices (Lucey and Hill, 2012; Pardini et al., 2010).

408

#### 409 *4.3. Critical forest thresholds in tropical bird conservation*

410 Although several studies already documented changes in bird diversity along a gradient of  
411 habitat modification (e.g. Maas et al., 2009; Şekercioğlu, 2012) or forest cover (e.g.  
412 Martensen et al., 2012; Radford et al., 2005) in various settings, our study is the first to  
413 illustrate how the rate of forest cover affects functional bird diversity in an African forest-  
414 dominated landscape. In general, the response pattern to deforestation found for gamma  
415 richness is in line with previous references of minimum habitat requirements of 40-50% cover  
416 to preserve bird diversity (Banks-Leite et al., 2014; Martensen et al., 2012; Morante-Filho et

417 al., 2015; Ochoa-Quintero et al., 2015), though still higher than the 10-30% initially proposed  
418 by Andr en (1994). However, it might be misleading to solely base conservation management  
419 strategies on diversity values of the overall bird community, since that might mask important  
420 changes in species composition, and might therefore not address conservation needs of  
421 ecological bird groups of particular conservation concern (Bat ry et al., 2011; Maas et al.,  
422 2009; Morante-Filho et al., 2015). If a fully forested sampling block would be cleared down  
423 to a minimum habitat threshold of about 40% as indicated by the response of the bird  
424 community as a whole, the bird assemblage would lose more than 30% of the frugivorous,  
425 large canopy, and biome-restricted species as well as 40% of the terrestrial insectivores, large  
426 foliage gleaners and ant-followers. In addition, granivorous, opportunistic miscellaneous  
427 insectivorous, and wide-spread species would immigrate, leading to richness increases of  
428 more than 250%, 150% and 200%, respectively. Such a dramatic deviation from a natural bird  
429 species composition might have profound and cascading effects on ecosystem processes and  
430 services (Banks-Leite et al., 2014). For instance, highly specialized native insectivores may  
431 hardly be replaceable by other more generalist taxa in regard to natural pest-control  
432 ( ekerciođlu et al., 2004). Also, the decline of nectarivores and frugivores, including large  
433 canopy species, which serve as important pollinators and (long-distance) seed dispersers  
434 (Luck and Daily, 2003; Moran and Catterall, 2014;  ekerciođlu, 2012), may have severe  
435 impacts on the reproduction of some plants species and, therefore, on the floral species  
436 richness and composition (Clough et al., 2009; Galetti et al., 2013). Consequently, in order to  
437 maintain a bird community functionally similar to the original one, the preservation of a  
438 minimum of 70% of forest cover may be needed. Such a critical habitat threshold reflects  
439 those of the most specialized forest bird groups and allows for higher-order diversity indices  
440 of the overall bird community. Additionally, this would also preserve a substantial proportion  
441 of the highly sensitive groups of terrestrial insectivores and ant-followers.

442 Besides bird species richness alone may already serve as a comparable good indicator for  
443 overall species richness (Gardner et al., 2008), we have a good knowledge on the ecology of  
444 this species groups, including niches used. Therefore, bird guild analyses may help to explain  
445 functional diversity of an ecological system and diversity changes in bird guilds should be  
446 seriously taken into account for conservation efforts. We provide the first analysis of bird  
447 diversity responses to forest cover loss based on data from continuous mature forest, which is  
448 only interrupted by loosely scattered settlements and their associated productive land. Such  
449 productive land can already hold forest cover rates above 70% (see also Table 1) because it  
450 consists of a heterogeneous matrix of primary and secondary forests as well as  
451 compartmentalized farmland with shade trees. On the one hand, the Korup region can,  
452 therefore, serve as a model to illustrate responses of an original Afrotropical forest bird  
453 assemblage to changes in forest cover. On the other hand, these circumstances form the basis  
454 to align forest conservation with sustainable development efforts in the West African forest  
455 region. While sustaining the well-established network of protected areas (Harvey and  
456 Villalobos, 2007; Marsden et al., 2006), conservation and development schemes are well-  
457 advised to strengthen smallholder farming (Uezo et al. 2008) instead of industrial plantation  
458 agriculture to meet nutritional and economic needs (Linder and Palkovitz 2016).

459

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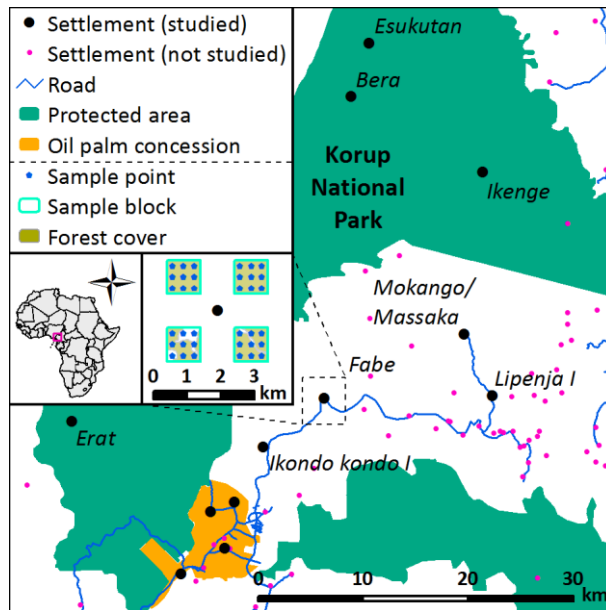
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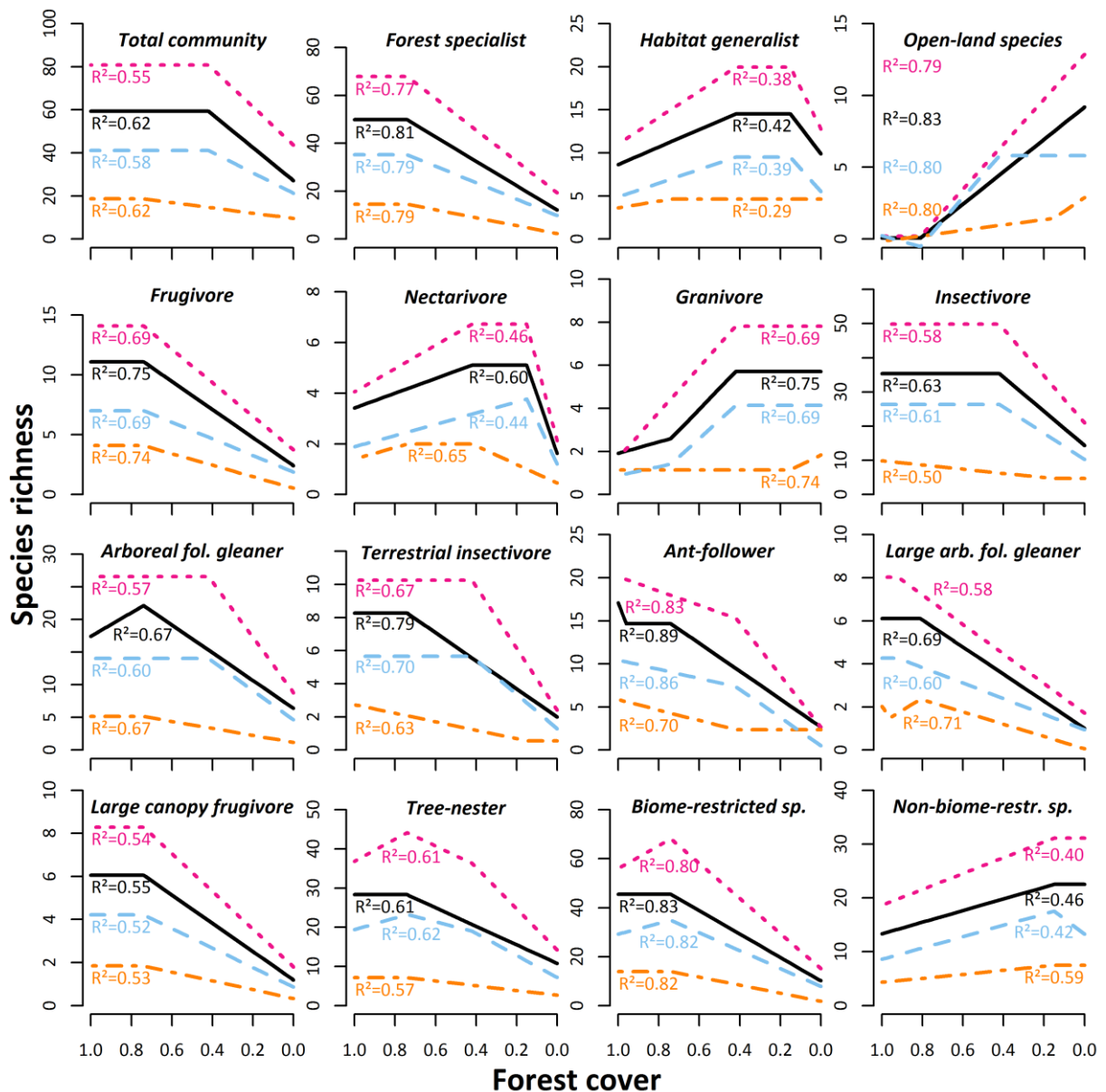
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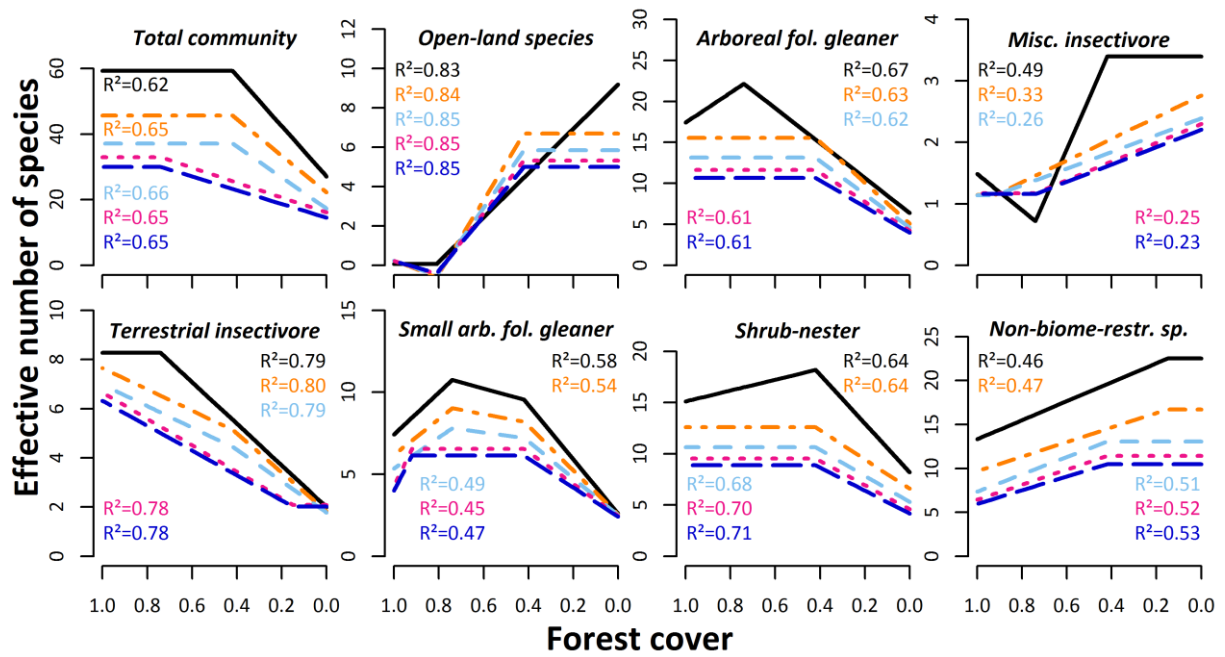
652 Fig. 1. Map of the study area in Southwest Cameroon and an illustration of the study design at  
653 settlement level.(here: Fabe village).



654

655 Fig. 2. Response patterns of within-microhabitat (alpha; orange dot-dashed line), between-  
 656 microhabitat (beta; skyblue dashed line), observed (black solid line) and estimated within-block  
 657 (gamma; pink dotted line) species richness to changes in forest cover in most studied bird groups (see  
 658 Fig. S1 for the remaining groups) corresponding to the best-fitting MARS models; richness estimates  
 659 are based on the classical first-order Jackknife estimator.

660



661

662 Fig. 3. Response patterns of observed within-block (gamma) species richness (black solid line),  
 663 Shannon (orange dot-dashed line) as well as Rényi's second-order (skyblue dashed line), third-order  
 664 (pink dotted line) and fourth-order entropy (blue coarse-dashed line) species richness to changes in  
 665 forest cover in some studied bird groups (see Fig. S3 for the remaining groups) corresponding to the  
 666 best-fitting MARS models; all diversity indices are expressed in effective numbers (see Jost 2006).

667

668

669 Table 1. Summary of forest cover and species richness figures as well as sampling effort at sampling  
 670 block level (1 km<sup>2</sup>) in the three survey landscapes; means are presented with SD; richness estimates  
 671 are based on the classical first-order Jackknife estimator; KNP – Korup National Park, UAF –  
 672 unprotected agroforestry matrix; OPP – oil palm plantation.

	Forest cover			Sampling effort (min)	$\gamma$ -diversity at 1 km <sup>2</sup> (n)				
	mean	min	max		total	mean observed	mean expected	min	max
<b>KNP</b>	0.88 (±0.14)	0.52	1.00	1440	140	58.31 (±9.26)	78.09 (±13.60)	44	78
<b>UAF</b>	0.87 (±0.11)	0.64	1.00	1440	145	60.38 (±11.14)	82.60 (±15.93)	38	82
<b>OPP</b>	0.10 (±0.13)	0.00	0.42	1440	123	37.50 (±11.54)	53.72 (±17.90)	23	62

673

674



675 Table 2. Bird species with strongest (positive and negative) and weakest association with forest cover  
676 in the RDA; RDA axis 1 is fully associated with forest cover (see Fig S1). Habitat preference: F –  
677 forest species, G – generalist, O – open-land species; Feeding guild: F – frugivore, G – granivore, I –  
678 insectivore, O – omnivore; Insectivore foraging guild: AFG – arboreal foliage gleaner, MISC –  
679 opportunistic miscellaneous insectivore, SA – sallyier, SFG – sallyier foliage gleaner, T – terrestrial  
680 insectivore; AFG size group: L – large, M – medium, S – small; Nest site: B – bush, G – ground, S –  
681 shrub, T – tree; Geographical range: A – Africa, BR – biome-restricted (Guineo-Congolian endemic),  
682 SUB – sub-Saharan Africa, TRA – tropical Africa; Others: Ant – Ant-follower, LCB – large canopy  
683 bird.

Scientific Name	RDA1 Score	Habitat	Feeding	Foraging	AFG Size	Nesting	Range	Others
<b>Positive association with forest cover</b>								
<i>Criniger chloronotus</i>	-0.29	F	I	AFG	L	S	BR	Ant
<i>Deleornis fraseri</i>	-0.29	F	I	AFG	S	T	BR	-
<i>Bleda syndactyla</i>	-0.29	F	I	T	-	B	BR	Ant
<i>Illadopsis rufipennis</i>	-0.27	F	I	T	-	B	BR	Ant
<i>Neocossyphus poensis</i>	-0.27	F	I	T	-	-	BR	Ant
<i>Bleda notata</i>	-0.27	F	I	T	-	S	BR	Ant
<i>Turtur afer</i>	-0.27	F	G	-	-	B	TRA	-
<i>Ceuthmochares aereus</i>	-0.26	F	I	AFG	L	S	TRA	-
<i>Sarothrura pulchra</i>	-0.26	F	I	-	-	G	BR	-
<i>Tricholaema hirsuta</i>	-0.26	F	I	AFG	S	B	BR	-
<b>Negative association with forest cover</b>								
<i>Cisticola anonymus</i>	0.35	O	I	MISC	-	G	BR	-
<i>Lonchura bicolor</i>	0.34	O	G	-	-	T	TRA	-
<i>Camaroptera brachyura</i>	0.34	G	I	MISC	-	B	SUB	-
<i>Platysteira cyanea</i>	0.33	O	I	SA	-	T	TRA	-
<i>Streptop. semitorquata</i>	0.33	F	G	-	-	T	SUB	-
<i>Turdus pelios</i>	0.31	G	I	T	-	T	TRA	Ant
<i>Estrilda melpoda</i>	0.30	O	G	-	-	G	TRA	-
<i>Pycnonotus barbatus</i>	0.30	G	O	-	-	S	A	Ant
<i>Cinnyris chloropygius</i>	0.28	G	N	-	-	S	TRA	-

<i>Ploceus ocularis</i>	0.28	G	I	AFG	M	S	TRA	-
<b><i>Weak association with forest cover</i></b>								
<i>Chrysococcyx cupreus</i>	0.01	G	I	AFG	M	S	TRA	-
<i>Camaroptera chloronota</i>	-0.01	F	I	AFG	S	G	BR	-
<i>Malimbus scutatus</i>	-0.02	F	I	SFG	-	T	BR	-
<i>Ceyx picta</i>	-0.02	G	I	MISC	-	O	TRA	-
<i>Gymnobucco calvus</i>	-0.03	G	F	-	-	T	BR	-
<i>Camaroptera superciliaris</i>	-0.03	F	I	AFG	S	S	BR	-
<i>Halcyon malimbica</i>	-0.03	F	I	MISC	-	S	TRA	-
<i>Tockus fasciatus</i>	-0.04	F	O	-	-	T	BR	LCB
<i>Nigrita fusconota</i>	-0.04	F	I	AFG	S	S	BR	-
<i>Andropadus virens</i>	0.05	G	I	MISC	-	G	TRA	Ant

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