



## THE GENERALIZED $t$ -COMTET NUMBERS AND SOME COMBINATORIAL APPLICATIONS

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*Abstract.* In the present article we use a combinatorial approach to generalize the Comtet numbers. In particular, we establish some combinatorial identities, recurrence relations and generating functions. Additionally, for some particular cases we study their relationship with  $t$ -successive associated Stirling numbers and their  $q$ -analogue.

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### 1. INTRODUCTION

It is well-known that the Stirling numbers of the second kind  $\left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\}$  count the number of partitions of a set with  $n$  elements into  $k$  non-empty blocks. This sequence satisfies the recurrence relation

$$\left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\} = k \left\{ \begin{smallmatrix} n-1 \\ k \end{smallmatrix} \right\} + \left\{ \begin{smallmatrix} n-1 \\ k-1 \end{smallmatrix} \right\},$$

with the initial conditions  $\left\{ \begin{smallmatrix} 0 \\ 0 \end{smallmatrix} \right\} = 1$  and  $\left\{ \begin{smallmatrix} n \\ 0 \end{smallmatrix} \right\} = \left\{ \begin{smallmatrix} 0 \\ n \end{smallmatrix} \right\} = 0$ .

The Stirling numbers  $\left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\}$  can be generalized to the *associated Stirling numbers of the second kind*  $\left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\}_{\geq m}$  (cf. [1, 4, 7, 8, 10, 11, 18, 20]) by means of a restriction on the size of the blocks. In particular, this sequence gives the number of partitions of  $n$  elements into  $k$  blocks, such that each block contains at least  $m$  elements. It is clear that  $\left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\}_{\geq 1} = \left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\}$ . This combinatorial sequence has been applied to the study of some special polynomials such as generalized Bernoulli and Cauchy polynomials, (see, e.g., [12–16]).

Recently, Belbachir and Tebtoub [2] considered a variation for the associated Stirling numbers. They introduced the *2-successive associated Stirling numbers of the second kind*  $\left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\}^{[2]}$ . This new sequence counts the number of partitions of  $n$  elements

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into  $k$  blocks, with the additional condition that each block contains at least two consecutive elements. Moreover, the last element  $n$  must either form a block with its predecessor or belong to another block satisfying the previous conditions. In [2], the authors derived the recurrence

$$\begin{Bmatrix} n \\ k \end{Bmatrix}^{[2]} = k \begin{Bmatrix} n-1 \\ k \end{Bmatrix}^{[2]} + \begin{Bmatrix} n-2 \\ k-1 \end{Bmatrix}^{[2]}, \quad n \geq 2k,$$

with the initial conditions  $\begin{Bmatrix} 0 \\ 0 \end{Bmatrix}^{[2]} = 1$ ,  $\begin{Bmatrix} n \\ n-1 \end{Bmatrix}^{[2]} = 0$  and  $\begin{Bmatrix} n \\ 0 \end{Bmatrix} = 0$  for  $n \geq 1$ .

Inspired by these results, in this paper we aim to investigate the sequence  $\{a^{[t]}(n, k)\}_{n, k \geq 0}$ , defined by the recurrence relation

$$a^{[t]}(n, k) = u_k a^{[t]}(n-1, k) + a^{[t]}(n-t, k-1), \quad n \geq tk, \quad (1.1)$$

with the initial conditions  $a^{[t]}(0, 0) = 1$ ,  $a^{[t]}(n, n-\ell) = 0$  for  $\ell = 1, 2, \dots, t-1$  and  $a^{[t]}(n, 0) = 0$ , for  $n \geq 1$ . Moreover,  $\{u_n\}$  is a sequence of real numbers.

We will call the sequence  $\{a^{[t]}(n, k)\}_{n, k \geq 0}$  the *generalized  $t$ -Comtet numbers*. The reason for this name is that for  $t = 1$  we recover the Comtet numbers (see, e.g., [9, 21]). Note that if  $u_k = k$ , then  $a^{[t]}(n, k) = \begin{Bmatrix} n \\ k \end{Bmatrix}^{[t]}$ . This sequence is called by Belbachir and Tebtoub [3] as the  *$t$ -successive associated Stirling numbers*. If  $t = 2$  and  $u_k = k$ , then  $a^{[2]}(n, k) = \begin{Bmatrix} n \\ k \end{Bmatrix}^{[2]}$ . If  $t = 1$  and  $u_k = k$ , then  $a^{[1]}(n, k) = \begin{Bmatrix} n \\ k \end{Bmatrix}$ .

In this paper our goal is to give the recurrence relation, the generating function and some combinatorial identities. For some particular cases, we give combinatorial interpretations.

## 2. BASIC PROPERTIES

From the recurrence relation (1.1) we obtain the following generating function.

**Theorem 1.** For  $k \geq 1$ ,

$$A_k^{[t]}(x) := \sum_{n \geq tk} a^{[t]}(n, k) x^n = \frac{x^{tk}}{(1-u_0x)(1-u_1x)(1-u_2x) \cdots (1-u_kx)}, \quad (2.1)$$

with  $A_0^{[t]}(x) = \frac{1}{1-u_0x}$ .

*Proof.* Multiplying both sides of (1.1) by  $x^n$  and summing over  $n \geq tk$ , we have

$$\begin{aligned} A_k^{[t]}(x) &= u_k \sum_{n \geq tk} a^{[t]}(n-1, k) x^n + \sum_{n \geq tk} a^{[t]}(n-t, k-1) x^n \\ &= u_k x \sum_{n \geq tk} a^{[t]}(n, k) x^n + \sum_{n \geq tk-t} a^{[t]}(n, k-1) x^{n+t} \\ &= u_k x A_k^{[t]}(x) + x^t A_{k-1}^{[t]}(x). \end{aligned}$$

Then

$$A_k^{[t]}(x) = \frac{x^t A_{k-1}^{[t]}(x)}{1 - u_k x}.$$

Iterating this last recurrence, we obtain (2.1). □

From the above relation, we have the following combinatorial expression.

**Corollary 1.** *The generalized  $t$ -Comtet numbers are given by the explicit identity*

$$a^{[t]}(n, k) = \sum_{i_1+i_2+\dots+i_k=n-tk} u_1^{i_1} u_2^{i_2} \dots u_k^{i_k}, \quad (2.2)$$

for  $n \geq tk$ .

**Theorem 2.** *The generalized  $t$ -Comtet numbers satisfy the following recurrence relation*

$$a^{[t]}(n, k) = \sum_{i=0}^{n-tk} u_k^i a^{[t]}(n-i-t, k-1). \quad (2.3)$$

*Proof.* For  $n \geq tk$ ,

$$\begin{aligned} a(n, k) &= u_k a(n-1, k) && + a(n-t, k-1), \\ u_k a(n-1, k) &= u_k^2 a(n-2, k) && + u_k a(n-1-t, k-1), \\ u_k^2 a(n-2, k) &= u_k^3 a(n-3, k) && + u_k^2 a(n-2-t, k-1), \\ &\vdots && \vdots && \vdots \\ u_k^{n-tk-1} a(tk+1, k) &= u_k^{n-tk} a(tk, k) && + u_k^{n-tk-1} a(tk+1-t, k-1), \\ u_k^{n-tk} a(tk, k) &= u_k^{n-tk+1} a(tk-1, k) && + u_k^{n-tk} a(t(k-1), k-1), \end{aligned}$$

by summing, we get the result. □

**Theorem 3.** *We have the following rational explicit formula*

$$a^{[t]}(n+tk, k) = \sum_{j=0}^k \frac{u_j^{k+n}}{\prod_{i \neq j} (u_j - u_i)}, \quad (2.4)$$

which is independent from  $t$ .

*Proof.* We have

$$A_k^{[t]}(x) = \sum_{n \geq tk} a^{[t]}(n, k) x^n = x^{tk} \sum_{n \geq 0} a^{[t]}(n+tk, k) x^n,$$

then

$$\begin{aligned}
\sum_{n \geq 0} a^{[t]}(n + tk, k)x^n &= \frac{1}{(1 - u_0x)(1 - u_1x) \cdots (1 - u_kx)} \\
&= \sum_{j=0}^k \frac{\alpha_j}{1 - u_jx} \\
&= \sum_{j=0}^k \frac{u_j^k}{\prod_{i \neq j} (u_j - u_i)} \sum_{n \geq 0} u_j^n x^n \\
&= \sum_{n \geq 0} \left( \sum_{j=0}^k \frac{u_j^{k+n}}{\prod_{i \neq j} (u_j - u_i)} \right) x^n,
\end{aligned}$$

which gives the result.  $\square$

**Corollary 2.** *The dual expression depending on  $t$*

$$a^{[t]}(n, k) = \sum_{j=0}^k \frac{u_j^{n+k(t-1)}}{\prod_{i \neq j} (u_j - u_i)}. \quad (2.5)$$

### 2.1. Exponential generating function for the $t$ -Comtet numbers

Let  $u_1, \dots, u_k$  be a sequence of complex numbers and let  $(A_m)_{m=1, \dots, n}$  be the sequence of matrices such that  $A_m$  is  $m \times m$ -matrix

$$A_m = \begin{bmatrix} u_{k-m} & u_{k-m+1} & \cdots & u_{k-1} \\ u_{k-m+1} & \cdots & & u_k \\ \vdots & \ddots & \ddots & 0 \\ u_{k-1} & u_k & 0 & 0 \end{bmatrix},$$

with the convention that  $u_{<0} = 0$ .

Consider also

$$\sigma_j = (-1)^j \sum_{1 \leq k_1 < k_2 < \cdots < k_j \leq k} u_{k_1} \cdots u_{k_j},$$

(the alternate sequence of elementary symmetric function associated to  $u_1, u_2, \dots, u_k$ ).

We have  $(p - u_1)(p - u_2) \cdots (p - u_k) = p^k + \sigma_1 p^{k-1} + \sigma_2 p^{k-2} + \cdots + \sigma_k$ . Now we can state the following lemma which will be used to establish the main result of this subsection.

**Lemma 1.** *We have the following decomposition*

$$\frac{1}{p^n (p - u_1) \cdots (p - u_k)} = \sum_{i=0}^n \frac{\alpha_{n-i}}{p^i} + \sum_{j=1}^k \frac{\beta_j}{p - u_j}, \quad (2.6)$$

$$\text{with } \alpha_i = \frac{(-1)^{\lfloor (i+1)/2 \rfloor}}{\sigma_k^{i+1}} \det(A_i), \alpha_0 = 1/\sigma_k, \text{ and } \beta_j = \frac{1}{u_j^n \prod_{\substack{i=1 \\ i \neq j}}^k (u_j - u_i)}.$$

*Proof.* We leave the proof to the reader.  $\square$

Let  $C_k^{[t]}(x) := \sum_{n \geq tk} a^{[t]}(n, k) \frac{x^n}{n!}$ , with  $C_0^{[t]}(x) = 1$ . We have

$$\frac{\partial^t}{\partial x^t} C_k^{[t]}(x) = \sum_{n \geq t(k-1)} a^{[t]}(n+t, k) \frac{x^n}{n!},$$

which gives using relation (1.1),

$$\frac{\partial^t}{\partial x^t} C_k^{[t]}(x) = u_k \frac{\partial^{t-1}}{\partial x^{t-1}} C_k^{[t]}(x) + C_{k-1}^{[t]}(x). \quad (2.7)$$

To solve the linear recurrence differential equation we use Laplace transform. Using the fact that

$$C_k^{[t]}(0) = \frac{\partial}{\partial x} C_k^{[t]}(y) \Big|_{y=0} = \dots = \frac{\partial^{t-1}}{\partial x^{t-1}} C_k^{[t]}(y) \Big|_{y=0} = 0,$$

we get

$$\prod_{i=1}^k (p^t - u_i p^{t-1}) \mathcal{L}(C_k^{[t]}(y)) = \mathcal{L}(C_{k-1}^{[t]}),$$

where  $\mathcal{L}(C_k^{[t]}(y)) = \int_0^\infty C_k^{[t]} e^{py} \partial y$ .

Thus by recursion, we get

$$p^{(t-1)k} \prod_{i=1}^k (p - u_i) \mathcal{L}(C_k^{[t]}(y)) = \mathcal{L}(C_0^{[t]}(y)) = \mathcal{L}(u(y)),$$

where  $u(t)$  is the Heaviside function. Using Lemma 1, we have

$$\mathcal{L}(C_k^{[t]}(y)) = \mathcal{L}(u(y)) \left[ \sum_{i=0}^{(t-1)k} \frac{\alpha_i}{p^i} + \sum_{j=1}^k \frac{\beta_j}{p - u_j} \right].$$

The inverse Laplace transform gives,

$$C_k^{[t]}(y) = \sum_{i=1}^{(t-1)k} \alpha_i \frac{y^{i-1}}{(i-1)!} + \sum_{j=1}^k \beta_j e^{u_j y}. \quad (2.8)$$

**Theorem 4.** *The exponential generating function of  $t$ -Comtet numbers is given by*

$$\sum_{n \geq tk} a^{[t]}(n, k) \frac{x^n}{n!} = \sum_{i=1}^{(t-1)k} \alpha_i \frac{x^{i-1}}{(i-1)!} + \sum_{j=1}^k \beta_j e^{u_j x}. \quad (2.9)$$

### 3. THE 2-SUCCESSIVE ASSOCIATED $r$ -WHITNEY NUMBERS

In this section, we study the particular case  $u_k = km + r$ . Let  $n, r \geq 0$  be integers. Let  $\Pi_r(n, k)$  denote the set of partitions of the set  $[n+r] := \{1, \dots, n, n+1, \dots, n+r\}$  into  $k+r$  blocks, such that, the first  $r$  elements are in distinct blocks. The elements  $\{1, 2, \dots, r\}$  will be called *special elements*. A block of a partition of the above set is called *special* if it contains special element. The cardinality of  $\Pi_r(n, k)$  is the  $r$ -Stirling numbers of the second kind [5].

The *2-successive associated  $r$ -Whitney numbers of the second kind*, denoted  $W_{m,r}^{[2]}(n, k)$ , count the number of partitions in  $\Pi_r(n, k)$ , such that:

- the  $k$  non-special blocks contain at least two consecutive numbers,
- all the elements but the last one and its predecessor in non-special blocks are coloured with one of  $m$  colours independently,
- the elements in the special blocks are not coloured,
- the last element  $n+r$  must either form a block with its predecessor or belong to another block (special or not-special) satisfying the previous conditions.

We denote by  $\Pi_{r,m}^{[2]}(n, k)$  the set of partitions in  $\Pi_r(n, k)$  that satisfying the previous conditions. It is clear that if  $r = 0$  and  $m = 1$ , then  $W_{1,0}^{[2]}(n, k) = \left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\}^{[2]}$ , (see [2]).

For example,  $W_{2,3}^{[2]}(5, 2) = 15$  with the partitions being (the  $m = 2$  different colours of the elements will be fixed as **red** and **blue**, and the  $r = 3$  special elements are  $\bar{1}, \bar{2}$  and  $\bar{3}$ ):

$$\begin{aligned} & \{\{\bar{1}\}, \{\bar{2}\}, \{\bar{3}\}, \{4, 5, 6\}, \{7, 8\}\}, \quad \{\{\bar{1}\}, \{\bar{2}\}, \{\bar{3}\}, \{4, 5, 6\}, \{7, 8\}\}, \\ & \{\{\bar{1}, 6\}, \{\bar{2}\}, \{\bar{3}\}, \{4, 5\}, \{7, 8\}\}, \quad \{\{\bar{1}\}, \{\bar{2}, 6\}, \{\bar{3}\}, \{4, 5\}, \{7, 8\}\}, \\ & \{\{\bar{1}\}, \{\bar{2}\}, \{\bar{3}, 6\}, \{4, 5\}, \{7, 8\}\}, \quad \{\{\bar{1}, 4\}, \{\bar{2}\}, \{\bar{3}\}, \{5, 6\}, \{7, 8\}\}, \\ & \{\{\bar{1}\}, \{\bar{2}, 4\}, \{\bar{3}\}, \{5, 6\}, \{7, 8\}\}, \quad \{\{\bar{1}\}, \{\bar{2}\}, \{\bar{3}, 4\}, \{5, 6\}, \{7, 8\}\}, \\ & \{\{\bar{1}, 8\}, \{\bar{2}\}, \{\bar{3}\}, \{4, 5\}, \{6, 7\}\}, \quad \{\{\bar{1}\}, \{\bar{2}, 8\}, \{\bar{3}\}, \{4, 5\}, \{6, 7\}\}, \\ & \{\{\bar{1}\}, \{\bar{2}\}, \{\bar{3}, 8\}, \{4, 5\}, \{6, 7\}\}, \quad \{\{\bar{1}\}, \{\bar{2}\}, \{\bar{3}\}, \{4, 5, 8\}, \{6, 7\}\}, \\ & \{\{\bar{1}\}, \{\bar{2}\}, \{\bar{3}\}, \{4, 5, 8\}, \{6, 7\}\}, \quad \{\{\bar{1}\}, \{\bar{2}\}, \{\bar{3}\}, \{4, 5\}, \{6, 7, 8\}\}, \\ & \quad \{\{\bar{1}\}, \{\bar{2}\}, \{\bar{3}\}, \{4, 5\}, \{6, 7, 8\}\}. \end{aligned}$$

**Theorem 5.** *For  $n \geq 2k$ , we have*

$$W_{m,r}^{[2]}(n, k) = (km + r)W_{m,r}^{[2]}(n-1, k) + W_{m,r}^{[2]}(n-2, k-1). \quad (3.1)$$

*Proof.* For any set partition of  $\Pi_{r,m}^{[2]}(n,k)$ , there are three options: either  $n+r$  form a block with its predecessor  $(n+r-1)$ , or  $n+r$  is in a special block or  $n+r$  is in a non-special block. In the first case, there are  $W_{m,r}^{[2]}(n-2, k-1)$  possibilities. In the second case, the element  $n+r$  can be place into one of the  $r$  special blocks and the remaining elements can be chosen in  $W_{m,r}^{[2]}(n-1, k)$ . Altogether, we have  $rW_{m,r}^{[2]}(n-1, k)$  possibilities. For the third case, we can follow a similar argument, then we obtain  $kmW_{m,r}^{[2]}(n-1, k)$  possibilities.  $\square$

A comparison of (3.1) and (1.1) shows that  $a^{[2]}(n, k) = W_{m,r}^{[2]}(n, k)$  for  $u_k = km + r$ . Therefore, from Theorem 1 and Corollary 1 we get the following corollaries.

**Corollary 3.** For  $k \geq 1$ ,

$$W_k^{[2]}(x) := \sum_{n \geq 2k} W_{m,r}^{[2]}(n, k)x^n = \frac{x^{2k}}{(1-rx)(1-(m+r)x)(1-(2m+r)x) \cdots (1-(km+r)x)}, \quad (3.2)$$

with  $W_0^{[2]}(x) = \frac{1}{1-rx}$ . Moreover, the 2-successive associated  $r$ -Whitney numbers of the second kind are given by the explicit identity

$$W_{m,r}^{[2]}(n, k) = \sum_{i_0+i_1+i_2+\cdots+i_k=n-2k} r^{i_0}(m+r)^{i_1} \cdots (km+r)^{i_k}, \quad (3.3)$$

for  $n \geq 2k$ .

In particular, for  $m = 1$  and  $r = 0$  we obtain the generating function of the 2-successive associated Stirling numbers of the second kind.

**Corollary 4.** (see [2, Theorem 2.3 and Corollary 2.4] and [3, Theorem 18]) For  $k \geq 1$ ,

$$A_k(x) := \sum_{n \geq 2k} \left\{ \begin{matrix} n \\ k \end{matrix} \right\}^{[2]} x^n = \frac{x^{2k}}{(1-x)(1-2x) \cdots (1-kx)}, \quad (3.4)$$

with  $A_0(x) = 1$ . Moreover,

$$\left\{ \begin{matrix} n \\ k \end{matrix} \right\}^{[2]} = \sum_{i_1+i_2+\cdots+i_k=n-2k} 1^{i_1} 2^{i_2} \cdots k^{i_k}.$$

Our next identity expresses  $W_{m,r}^{[2]}(n, k)$  in terms of  $\left\{ \begin{matrix} i \\ k \end{matrix} \right\}^{[2]}$  for  $i \leq n$ .

**Theorem 6.** Let  $n, k \geq 0$ ,

$$W_{m,r}^{[2]}(n, k) = \sum_{i=2k}^n r^{n-i} \binom{n-k}{n-i} m^{i-2k} \left\{ \begin{matrix} i \\ k \end{matrix} \right\}^{[2]}. \quad (3.5)$$

*Proof.* From (3.2) we have

$$\begin{aligned} \sum_{n \geq 2k} W_{m,r}^{[2]}(n, k) x^n &= \frac{x^{2k}}{(1-rx)(1-(m+r)x)(1-(2m+r)x) \cdots (1-(km+r)x)} \\ &= \frac{x^{2k}}{(1-rx)^{k+1} \left(1 - \frac{mx}{1-rx}\right) \left(1 - \frac{2mx}{1-rx}\right) \cdots \left(1 - \frac{kmx}{1-rx}\right)} \\ &= \frac{(1-rx)^{k-1}}{m^{2k}} \frac{\left(\frac{mx}{1-rx}\right)^{2k}}{\left(1 - \frac{mx}{1-rx}\right) \left(1 - \frac{2mx}{1-rx}\right) \cdots \left(1 - \frac{kmx}{1-rx}\right)} \\ &= \frac{(1-rx)^{k-1}}{m^{2k}} \frac{y^{2k}}{(1-y)(1-2y) \cdots (1-ky)}, \end{aligned}$$

where  $y = \frac{mx}{1-rx}$ .

Therefore from (3.4), we have

$$\begin{aligned} \sum_{n \geq 2k} W_{m,r}^{[2]}(n, k) x^n &= \frac{(1-rx)^{k-1}}{m^{2k}} \sum_{i \geq 2k} \left\{ \begin{matrix} i \\ k \end{matrix} \right\}^{[2]} y^i \\ &= \sum_{i \geq 2k} \left\{ \begin{matrix} i \\ k \end{matrix} \right\}^{[2]} \frac{m^{i-2k} x^i}{(1-rx)^{i-k+1}} \\ &= \sum_{i \geq 2k} \sum_{j \geq 0} m^{i-2k} \binom{i-k+j}{i-k} r^j \left\{ \begin{matrix} i \\ k \end{matrix} \right\}^{[2]} x^{i+j}. \end{aligned}$$

Comparing the coefficients of  $x^n$ , we obtain (3.5).  $\square$

*Combinatorial proof:* We can construct any set partition of  $\Pi_{r,m}^{[2]}(n, k)$  as follows: we put  $n - i$  elements in the special blocks. Then there are  $\binom{n-k}{i-k} r^{n-i}$  possibilities. Note that we have to subtract  $k$  elements of  $n$  because in the non-special blocks there are at least two consecutive numbers. The remaining  $i$  elements ( $i \geq 2k$ ) can be chosen in  $m^{i-2k} \left\{ \begin{matrix} i \\ k \end{matrix} \right\}^{[2]}$  ways. The factor  $m^{i-2k}$  accounts for the  $i - 2k$  non-minimal elements within these blocks that are each to be colored in one of  $m$  ways.

From Theorem 5 and by induction on  $n$  we obtain the following identity.



**Theorem 7.** For  $n \geq 2k$  we have

$$W_{m,r}^{[2]}(n, k) = \frac{1}{m^k k!} \sum_{j=0}^k (-1)^{k-j} \binom{k}{j} (mj+r)^{n-k}.$$

*Proof.* Let,

$$\begin{aligned} W_{m,r}^{[2]}(n, k) &= \frac{(mk+r)}{m^k k!} \sum_{j=0}^k (-1)^{k-j} \binom{k}{j} (mj+r)^{n-1-k} \\ &\quad + \frac{1}{m^{k-1} k!} \sum_{j=0}^k (-1)^{k-1-j} \binom{k-1}{j} (mj+r)^{n-1-k} \\ &= \frac{(mk+r)}{m^{k-1} k!} \sum_{j=1}^k (-1)^{k-j} \binom{k-1}{j-1} (mj+r)^{n-1-k} \\ &\quad + \frac{r}{m^k k!} \sum_{j=0}^k (-1)^{k-j} \binom{k}{j} (mj+r)^{n-1-k} \\ &= \frac{1}{m^k k!} \sum_{i=0}^{n-1-k} r^{n-1-k-i} m^i \left[ \sum_{j=0}^k (-1)^{k-j} j^{i+1} \binom{k}{j} m \right. \\ &\quad \left. + \sum_{j=0}^k (-1)^{k-j} j^i r \binom{k}{j} \right] \\ &= \frac{1}{m^k k!} \sum_{j=0}^k (-1)^{k-j} \binom{k}{j} (mj+r)^{n-k}. \end{aligned}$$

□

### 3.1. Relations with the $r$ -Whitney numbers

The  $r$ -Whitney numbers of the second kind  $W_{m,r}(n, k)$  were defined by Mező [17] as the connecting coefficients between some particular polynomials.

For non-negative integers  $n, k$  and  $r$  with  $n \geq k \geq 0$  and for any integer  $m > 0$

$$(mx+r)^n = \sum_{k=0}^n m^k W_{m,r}(n, k) x^k, \quad (3.6)$$

where  $x^n = x(x-1)\cdots(x-n+1)$  for  $n \geq 1$ , and  $x^0 = 1$ .

The  $r$ -Whitney numbers of the second kind satisfy the recurrence [17]

$$W_{m,r}(n, k) = W_{m,r}(n-1, k-1) + (km+r)W_{m,r}(n-1, k). \quad (3.7)$$

Comparing (3.7) and (3.1) we have the following relation.

**Corollary 5.** [2, Theorem 4.1] For  $n \geq 2k$ ,

$$W_{m,r}^{[2]}(n, k) = W_{m,r}(n - k, k). \quad (3.8)$$

Mező and Ramírez [19] studied the  $r$ -Whitney matrices of the second and the first kind and they derived several identities for these matrices. In particular, the  $r$ -Whitney matrix of the second kind is defined by

$$[W_{m,r}(n, k)]_{n, k \geq 0} = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ r & 1 & 0 & 0 & 0 \\ r^2 & m + 2r & 1 & 0 & 0 \\ r^3 & m^2 + 3rm + 3r^2 & 3m + 3r & 1 & 0 \\ r^4 & m^3 + 4rm^2 + 6r^2m + 4r^3 & 7m^2 + 12rm + 6r^2 & 6m + 4r & 1 \\ \vdots & & \vdots & & \vdots \end{bmatrix}.$$

Notice that the sequence  $(W_{m,r}^{[2]}(n, k))_k$  corresponds with the sequence of elements on rays in direction  $(1, 1)$  over the  $r$ -Whitney matrix of the second kind.

#### 4. THE $t$ -SUCCESSIVE ASSOCIATED $r$ -WHITNEY NUMBERS

In this section, we consider the rays in direction  $(s, 1)$ , i.e., we are going to study the sequence  $\{W_{m,r}(n - sk, k)\}$ . We denote by  $W_{m,r}^{[t]}(n, k)$  the number  $W_{m,r}(n - sk, k)$ , where  $t = s + 1$ . We call this new sequence *the  $t$ -successive associated  $r$ -Whitney numbers of the second kind*. It is possible to show that the  $t$ -successive associated  $r$ -Whitney numbers count the number of partitions in  $\Pi_r(n, k)$ , such that:

- the  $k$  non-special blocks contain at least  $t$  consecutive numbers,
- all the elements but the last one and its  $t - 1$  predecessors in non-special blocks are coloured with one of  $m$  colours independently,
- the elements in the special blocks are not coloured,
- the last element  $n + r$  must either form a block with its  $t - 1$ -predecessors or belong to another block (special or not-special) satisfying the previous conditions.

Reasoning in a similar manner as in Theorem 5 we obtain the following results.

**Theorem 8.** For  $n \geq tk$ , we have

$$W_{m,r}^{[t]}(n, k) = (km + r)W_{m,r}^{[t]}(n - 1, k) + W_{m,r}^{[t]}(n - t, k - 1). \quad (4.1)$$

For  $k \geq 1$ ,

$$\begin{aligned} W_k^{[t]}(x) &:= \sum_{n \geq 0} W_{m,r}^{[t]}(n, k)x^n \\ &= \frac{x^{tk}}{(1 - rx)(1 - (m + r)x)(1 - (2m + r)x) \cdots (1 - (km + r)x)}, \end{aligned} \quad (4.2)$$

with  $W_0^{[t]}(x) = \frac{1}{1-rx}$ . Moreover, for  $n \geq tk$  we have

$$W_{m,r}^{[t]}(n, k) = \frac{1}{m^k k!} \sum_{j=0}^k (-1)^{k-j} \binom{k}{j} (mj+r)^{n-(t-1)k}. \quad (4.3)$$

As corollary for  $t = 2$  we get [3, Theorem 4, Theorem 6 and Theorem 7]. It is not difficult to generalize the relation given in Theorem 6.

**Theorem 9.** If  $n, k \geq 0$ , then

$$W_{m,r}^{[t]}(n, k) = \sum_{i=tk}^n r^{n-i} \binom{n-k}{n-i} m^{i-tk} \left\{ \begin{matrix} i \\ k \end{matrix} \right\}^{[t]}. \quad (4.4)$$

**Consequence.** From Equation (4.2) we deduce that  $W_{m,r}^{[t]}(n + (t-1)k, k)$  are the classical  $r$ -Whitney numbers  $W_{m,r}(n, k)$ .

From the explicit formula given in (4.3) we get the exponential generating function of the  $t$ -successive associated  $r$ -Whitney numbers.

**Theorem 10.** The exponential generating function of the  $t$ -successive associated  $r$ -Whitney numbers is

$$W_k^{[t]}(x) := \sum_{n \geq tk} W_{m,r}^{[t]} \frac{x^n}{n!} = \sum_{j=0}^k \binom{k}{j} \frac{(-1)^{k-j}}{k! m^k} \frac{e^{(jm+r)x}}{(jm+r)^{(t-1)k}}. \quad (4.5)$$

**Corollary 6.** For the 2-successive associated  $r$ -Whitney numbers,

$$W_k^{[2]}(x) = \sum_{j=0}^k \binom{k}{j} \frac{(-1)^{k-j}}{k! m^k} \frac{e^{(jm+r)x}}{(jm+r)^k}. \quad (4.6)$$

These two result are more specified expressions as relation (2.9) of Theorem 4.

*Proof.* (Theorem 10) We use the derivation  $(t-1)k$  times according to  $x$  and using the consequence property, we get

$$\begin{aligned} \frac{\partial^{(t-1)k}}{\partial^{(t-1)k} x} W_k(x) &= \sum_{n \geq tk - (t-1)k} W_{m,r}^{[t]}(n + k(t-1), k) \frac{x^n}{n!} \\ &= \frac{1}{k! m^k} e^{rx} (e^{mx} - 1)^k \\ &= \frac{1}{k! m^k} e^{rx} \sum_{j=0}^k \binom{k}{j} (-1)^{k-j} e^{jmx} \\ &= \sum_{j=0}^k \binom{k}{j} \frac{(-1)^{k-j}}{k! m^k} e^{(jm+r)x}. \end{aligned}$$

□

**Theorem 11.** For  $n \geq k$ , we have

$$W_{m,r}^{[t]}(n + (t-1)k, k) = \sum_{\substack{i_1 + \dots + i_n = n-k \\ i_1, \dots, i_n \in \{0,1\}}} \prod_{j=0}^{n-1} (r + m(j - \sum_{\ell=1}^j i_\ell))^{i_{j+1}}. \quad (4.7)$$

*Proof.* By induction over  $n$ , we suppose that the identity is true until  $n-1$

$$\begin{aligned} & \sum_{\substack{i_1 + \dots + i_n = n-k \\ i_1, \dots, i_n \in \{0,1\}}} \prod_{j=0}^{n-1} (r + m(j - \sum_{l=1}^j i_l))^{i_{j+1}} \\ &= \sum_{\substack{i_1 + \dots + i_{n-1} = (n-1) - (k-1) \\ i_1, \dots, i_{n-1} \in \{0,1\}}} \prod_{j=0}^{n-2} (r + m(j - \sum_{l=1}^j i_l))^{i_{j+1}} \\ & \quad + \left( \sum_{\substack{i_1 + \dots + i_{n-1} = (n-1) - k \\ i_1, \dots, i_{n-1} \in \{0,1\}}} \prod_{j=0}^{n-2} (r + m(j - \sum_{l=1}^j i_l))^{i_{j+1}} \right) (r + mk). \end{aligned}$$

We have

$$\begin{aligned} W_{m,r}^{[t]}(n + (t-1)k, k) \\ &= (mk + r)W_{m,r}^{[t]}(n-1 + (t-1)k, k) + W_{m,r}^{[t]}(n-1 + (t-1)(k-1), k), \end{aligned}$$

which gives the desired result. □

**Corollary 7.** For  $n \geq tk$ , we have

$$W_{m,r}^{[t]}(n, k) = \sum_{\substack{i_1 + \dots + i_{n-(t-1)k} = n-tk \\ i_1, \dots, i_{n-(t-1)k} \in \{0,1\}}} \prod_{j=0}^{n-(t-1)k-1} (r + m(j - \sum_{\ell=1}^j i_\ell))^{i_{j+1}}, \quad (4.8)$$

with empty sum equal zero.

*Example 1.* For  $k = t = 2$  we have the following formula

$$\begin{aligned} W_{m,r}^{[2]}(n, 2) &= \sum_{i_1 + \dots + i_{n-2} = n-4} r^{i_1} (r + m(1 - i_1))^{i_2} (r + m(2 - i_1 - i_2))^{i_3} \times \\ & \quad \dots \times (r + m(n-3 - i_1 - i_2 - \dots - i_{n-3}))^{i_{n-2}}, \\ W_{m,r}^{[2]}(4, 2) &= \sum_{i+j=0} r^i (r + m(1 - i))^j = 1, \end{aligned}$$

$$\begin{aligned}
 W_{m,r}^{[2]}(5,2) &= \sum_{i+j+k=1} r^i (r+m(1-i))^j (r+m(2-i-j))^k = 3(r+m), \\
 W_{m,r}^{[2]}(6,2) &= \sum_{i+j+k+\ell=2} r^i (r+m(1-i))^j (r+m(2-i-j))^k (r+m(3-i-j-k))^\ell \\
 &= 6r^2 + 12mr + 7m^2.
 \end{aligned}$$

**Theorem 12.** *We have the following explicit formula*

$$W_{m,r}^{[t]}(n+tk, k) = \frac{1}{m^k k!} \sum_{j=0}^{k-j} (-1)^{k-j} \binom{k}{j} (mj+r)^{n+k}, \quad (4.9)$$

and thus

$$W_{m,r}^{[t]}(n, k) = \frac{1}{m^k k!} \sum_{j=0}^k (-1)^{k-j} \binom{k}{j} (mj+r)^{n-(t-1)k}. \quad (4.10)$$

*Proof.* It suffices to set  $u_k = mk + r$  then  $[u_j - u_i = m(j - i)]$  in Theorem 3.  $\square$

For  $t = 2$  we get Theorem 7.

**Theorem 13.** *Expression of  $t$ -successive  $r$ -Whitney numbers in terms of binomials and Stirling numbers.*

$$W_{m,r}^{[t]}(n+tk, k) = \left(\frac{r}{m}\right)^k \sum_{i=0}^{n+k} \binom{n+k}{i} r^{n-i} m^i \left\{ \begin{matrix} i \\ k \end{matrix} \right\}. \quad (4.11)$$

*Proof.*

$$\begin{aligned}
 W_{m,r}^{[t]}(n+tk, k) &= \frac{1}{m^k k!} \sum_{j=0}^k \sum_{i=0}^{n+k} (-1)^{k-j} \binom{k}{j} \binom{n+k}{i} (mj)^i r^{n+k-i} \\
 &= \frac{1}{m^k k!} \sum_{i=0}^{n+k} m^i r^{n+k-i} \binom{n+k}{i} \sum_{j=0}^k (-1)^{k-j} \binom{k}{j} j^i \\
 &= \frac{1}{m^k} \sum_{i=0}^{n+k} m^i r^{n+k-i} \binom{n+k}{i} \left\{ \begin{matrix} i \\ k \end{matrix} \right\}.
 \end{aligned}$$

Notice that  $\sum_{j=0}^k (-1)^{k-j} \binom{k}{j} j^i = 0$  for  $i < k$ .  $\square$

### 5. A $q$ -ANALOGUE OF THE $t$ -SUCCESSIVE ASSOCIATED STIRLING NUMBERS

Finally, we consider a  $q$ -analogue of the  $t$ -successive associated Stirling numbers of the second kind. For this purpose, we use a similar statistic studied by Carlitz [6], see also [21].

Let  $\pi = B_1/B_2/\cdots/B_k$  be any block representation of a set partition in  $\Pi_{0,1}^{[t]}(n,k) := \Pi^{[t]}(n,k)$ , with  $\min(B_1) < \min(B_2) < \cdots < \min(B_k)$ . We define the following statistic on the set  $\Pi^{[t]}(n,k)$ .

$$w^{[t]}(\pi) := \sum_{i=1}^k (i-1)(|B_i| - t + 1).$$

We now define the  $q$ -analogue of the  $t$ -successive associated Stirling numbers of the second kind.

**Definition 1.** Define  $\left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\}_q^{[t]}$  as the distribution polynomial for the  $w^{[t]}$  statistic on the set  $\Pi^{[t]}(n,k)$ , that is,

$$\left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\}_q^{[t]} = \sum_{\pi \in \Pi^{[t]}(n,k)} q^{w^{[t]}(\pi)}, \quad n, k \geq 0,$$

where  $q$  is an indeterminate.

It is clear that  $\left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\}_1^{[t]} = \left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\}^{[t]}$ .

For example, in the set  $\Pi^{[2]}(7,3)$  we have the following partitions:

$$\begin{aligned} & \{\{1,2\}, \{3,4,5\}, \{6,7\}\}, \quad \{\{1,2,3\}, \{4,5\}, \{6,7\}\}, \quad \{\{1,2,5\}, \{3,4\}, \{6,7\}\}, \\ & \{\{1,2\}, \{3,4\}, \{5,6,7\}\}, \quad \{\{1,2\}, \{3,4,7\}, \{5,6\}\}, \quad \{\{1,2,7\}, \{3,4\}, \{5,6\}\}. \end{aligned}$$

Therefore,

$$\left\{ \begin{smallmatrix} 7 \\ 3 \end{smallmatrix} \right\}_q^{[2]} = q^4 + q^3 + q^3 + q^5 + q^4 + q^3 = 3q^3 + 2q^4 + q^5.$$

Let us introduce the following notations.

$$[n]_q = 1 + q + \cdots + q^{n-1}, \quad [n]_q! = [1]_q [2]_q \cdots [n]_q \quad \text{and} \quad \begin{bmatrix} n \\ k \end{bmatrix}_q = \frac{[n]_q!}{[k]_q! [n-k]_q!}.$$

The last coefficient is called  $q$ -binomial coefficient. If  $q = 1$ , then  $\begin{bmatrix} n \\ k \end{bmatrix}_1 = \binom{n}{k}$ .

**Theorem 14.** For  $n \geq tk$ , we have

$$\left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\}_q^{[t]} = [k]_q \left\{ \begin{smallmatrix} n-1 \\ k \end{smallmatrix} \right\}_q^{[t]} + q^{k-1} \left\{ \begin{smallmatrix} n-t \\ k-1 \end{smallmatrix} \right\}_q^{[t]}. \quad (5.1)$$

*Proof.* For any set partition of  $\Pi^{[t]}(n,k)$ , there are two options: either  $n$  form a block with its  $t-1$ -predecessors, or  $n$  is in a block that satisfies the conditions. In the first case, there are  $q^{k-1} \left\{ \begin{smallmatrix} n-t \\ k-1 \end{smallmatrix} \right\}_q^{[t]}$  possibilities. In this case, the size of the last block  $B_k$  is  $t$ , then this block contributes a factor  $q^{k-1}$ . In the second case,

the element  $n$  can be placed into one of the  $k$  blocks and thus contributes a factor  $1 + q + q^2 + \dots + q^{k-1} = [k]_q$ . Moreover, the remaining elements can be chosen in  $\left\{ \begin{matrix} n-1 \\ k \end{matrix} \right\}_q^{[t]}$  ways. Altogether, we have  $[k]_q \left\{ \begin{matrix} n-1 \\ k \end{matrix} \right\}_q^{[t]}$  possibilities.  $\square$

From above theorem, we obtain the following corollaries.

**Corollary 8.** For  $k \geq 1$ ,

$$\sum_{n \geq tk} \left\{ \begin{matrix} n \\ k \end{matrix} \right\}_q^{[t]} x^n = \frac{x^{tk} q^{\binom{k}{2}}}{(1-x)(1-[2]_q x)(1-[3]_q x) \dots (1-[k]_q x)}. \quad (5.2)$$

Moreover, the  $q$ -analogue of the  $t$ -successive associated  $r$ -Stirling numbers are given by the explicit identity

$$\left\{ \begin{matrix} n \\ k \end{matrix} \right\}_q^{[t]} = q^{\binom{k}{2}} \sum_{i_1+i_2+\dots+i_k=n-tk} [1]_q^{i_1} [2]_q^{i_2} \dots [k]_q^{i_k}, \quad (5.3)$$

for  $n \geq tk$ .

**Corollary 9.** For  $n \geq tk$  we have

$$\left\{ \begin{matrix} n \\ k \end{matrix} \right\}_q^{[t]} = \frac{1}{[k]_q!} \sum_{j=1}^k (-1)^{k-j} \begin{bmatrix} k \\ j \end{bmatrix}_q q^{\binom{k-j}{2}} ([j]_q)^{n-(t-1)k}.$$

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