



APPROXIMATION LATTICES DEFINED BY TOLERANCES INDUCED BY IRREDUNDANT COVERINGS

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Abstract. The topic of rough set theory considers a relation to determine the lower and upper approximations of a set X . Originally, this relation was assumed to be an equivalence relation. This research focuses on using tolerance relations instead of equivalences, i.e. we do not assume the transitivity of the relations. More specifically, in this paper we investigate tolerances induced by irredundant coverings. We characterize the interrelation between the lattices of lower and upper approximations of such tolerances R and ρ . The theory of Formal Concept Analysis makes it possible to examine the inclusions of the resulting concepts. We also use quasiorders (denoted by $\preceq(\rho)$ and $\succeq(\rho)$) and an equivalence relation (denoted by $\ker\rho$) for summarizing the connection between tolerances and lattices in a theorem.

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1. INTRODUCTION

The notion of rough sets was introduced by Z. Pawlak [8]. His idea was that our knowledge about the elements of a universe U is given in terms of an information relation $R \subseteq U \times U$ reflecting their indiscernibility. Originally, Pawlak assumed that this binary relation is an equivalence, but later several other types of relations were also examined (see e.g. [4, 12], or [6]). For any binary relation $R \subseteq U \times U$ and any element $u \in U$, denote by $R(u)$ the R -neighbourhood of u , i.e. $R(u) := \{x \in U \mid (u, x) \in R\}$. Now, for any subset $X \subseteq U$ the *lower approximation* of X is defined as

$$X_R := \{x \in U \mid R(x) \subseteq X\},$$

and the *upper approximation* of X is given by

$$X^R := \{x \in U \mid R(x) \cap X \neq \emptyset\}.$$

If R is a reflexive relation then $X_R \subseteq X \subseteq X^R$. The *rough set* of X is the pair (X_R, X^R) , and the *set of all rough sets* is

$$RS(U, R) = \{(X_R, X^R) \mid X \subseteq U\}.$$

The set $RS(U, R)$ may be canonically ordered by the component-wise inclusion:

$$(X_R, X^R) \leq (Y_R, Y^R) \iff X_R \subseteq Y_R \text{ and } X^R \subseteq Y^R,$$

obtaining a partially ordered set $\mathbf{RS}(U, R) := (RS(U, R), \leq)$. If R is an equivalence, then $\mathbf{RS}(U, R)$ is a particular complete distributive lattice.

Ordering the sets $\wp(U)^R = \{X^R \mid X \subseteq U\}$ and $\wp(U)_R = \{X_R \mid X \subseteq U\}$ by the relation \subseteq we obtain dually isomorphic complete lattices $(\wp(U)^R, \subseteq)$ and $(\wp(U)_R, \subseteq)$, called the *lattice of upper approximations*, respectively *the lattice of lower approximations* (see [6]). Let R be a *tolerance*, that is, a reflexive and symmetric relation. In [6] it was shown that $(\wp(U)_R, \subseteq)$ is isomorphic to the concept lattice of the context (U, U, R^c) , where $R^c = (U \times U) \setminus R$ is the complement of the relation R . By using this observation, in [2], we applied FCA methods to describe the sublattices of the lattices of upper (lower) approximations. These lattices play an important role in several applications of rough set theory (see [3, 9–11, 13]).

This paper can be considered as a continuation of [2] where we deduced sufficient conditions which guarantee that for some tolerances $R \subseteq \rho \subseteq U \times U$, the lattice $\wp(U)^\rho$ ($\wp(U)_\rho$) is a complete sublattice of $\wp(U)^R$ (of $\wp(U)_R$). The focus of this paper is on the approximation lattices defined by tolerances induced by irredundant coverings of U . These relations can be considered as a natural generalization of equivalences. If $R \subseteq \rho \subseteq U \times U$ are tolerance relations and R is induced by an irredundant covering of U , we characterize the case when the concept lattice $\mathcal{L}(U, U, \rho^c)$ is a complete sublattice of the concept lattice $\mathcal{L}(U, U, R^c)$. Then this characterization is applied to compare the lattices $(\wp(U)^R, \subseteq)$ and $(\wp(U)_R, \subseteq)$.

2. PRELIMINARIES

First, we note that the above defined approximations for any $X \subseteq U$ and any $\mathcal{H} \subseteq \mathcal{P}(U)$ have the following properties:

- (a) $\left(\bigcup_{X \in \mathcal{H}} X \right)^R = \bigcup_{X \in \mathcal{H}} X^R$ and $\left(\bigcap_{X \in \mathcal{H}} X \right)_R = \bigcap_{X \in \mathcal{H}} X_R$;
 (b) $(X^c)^R = (X_R)^c$, $(X^c)_R = (X^R)^c$.

In view of (a), $\wp(U)_R$ is a *closure system*, being closed under arbitrary intersections and $\wp(U)^R$ is an *interior system*, because it is closed under any union. Therefore, $\wp(U)_R$ and $\wp(U)^R$ are complete lattices with respect to \subseteq . If R is a tolerance relation, then for any $X, Y \subseteq U$ we have: $X^R \subseteq Y \iff X \subseteq Y_R$.

Property (b) implies that the lattices $(\wp(U)_R, \subseteq)$ and $(\wp(U)^R, \subseteq)$ are dually isomorphic via the map $H: \wp(U)_R \rightarrow \wp(U)^R$, $H: X \rightarrow X^c$, since $H(X_R) = (X_R)^c = (X^c)^R$. If R is an equivalence, then $\wp(U)_R = \wp(U)^R$ and they form the same Boolean lattice.

A *formal context* is a triple $\mathcal{K} = (G, M, I)$, where G is a set of *objects*, M is a set of *attributes* and $I \subseteq G \times M$ is a relation, called *incidence relation*. The notations

$(g, m) \in I$ and gIm both express that an object g is in relation I with an attribute m . The basics of Formal Concept Analysis (FCA) can be found e.g. in [1]. By defining for all subsets $A \subseteq G$ and $B \subseteq M$

$$A^I = \{m \in M \mid (g, m) \in I, \text{ for all } g \in A\},$$

$$B^I = \{g \in G \mid (g, m) \in I, \text{ for all } m \in B\}$$

we establish a Galois connection between the power-set lattices $\wp(G)$ and $\wp(M)$ and the maps $A \rightarrow A^{II}$, $A \subseteq G$ and $B \rightarrow B^{II}$, $B \subseteq M$ are closure operators on $\wp(G)$, respectively $\wp(M)$.

A *formal concept* of the context \mathcal{K} is a pair $(A, B) \in \wp(G) \times \wp(M)$ with $A^I = B$ and $B^I = A$, where the set A is called the *extent* and B is called the *intent* of the concept (A, B) . It is easy to check that a pair $(A, B) \in \wp(G) \times \wp(M)$ is a concept if and only if $(A, B) = (A^{II}, A^I) = (B^I, B^{II})$. The set of all concepts of the context \mathcal{K} is denoted by $\mathcal{L}(\mathcal{K})$. This set $\mathcal{L}(\mathcal{K})$ is ordered by

$$(A_1, B_1) \leq (A_2, B_2) \Leftrightarrow A_1 \subseteq A_2 \Leftrightarrow B_1 \supseteq B_2.$$

With respect to this order, $\mathcal{L}(\mathcal{K})$ forms a complete lattice, called the *concept lattice of the context* $\mathcal{K} = (G, M, I)$, denoted by $\mathcal{L}(G, M, I)$.

A relation $J \subseteq I$ is called a *closed subrelation* of the context (G, M, I) if every concept of the context (G, M, J) is also a concept of (G, M, I) . In [1] it is proved that this definition is equivalent to the condition that the concept lattice $\mathcal{L}(G, M, J)$ is a complete sublattice of $\mathcal{L}(G, M, I)$.

For a tolerance relation $R \subseteq U \times U$ the relationship between the lattices of approximations and the concept lattice $\mathcal{L}(U, U, R^c)$ was described in [6]. Indeed, let $I = R^c$. Then for any $X \subseteq U$ we have

$$\begin{aligned} X^I &= \{u \in U \mid xR^c u, \text{ for all } x \in X\} \\ &= \{u \in U \mid (x, u) \notin R, \text{ for all } x \in X\} = U \setminus X^R = (X^R)^c. \end{aligned}$$

Thus $X^R = (X^I)^c$, and $X_R = ((X^c)^R)^c = (X^c)^I$, according to (b).

In [6] it is also proved that $(\wp(U)^R, \subseteq) \cong (\wp(U)_R, \supseteq) \cong \mathcal{L}(U, U, R^c)$.

3. COMPLETE SUBLATTICES OF APPROXIMATION LATTICES

Now let ρ, R be two tolerance relations such that $R \subseteq \rho \subseteq U \times U$. Consider the formal contexts $\mathcal{K}_R = (U, U, R^c)$ and $\mathcal{K}_\rho = (U, U, \rho^c)$. Since $J := \rho^c \subseteq R^c := I$, \mathcal{K}_ρ is a subcontext of \mathcal{K}_R . We intend to characterize the case when the lattice $\wp(U)^\rho$ ($\wp(U)_\rho$) is isomorphic (dually isomorphic) to a complete sublattice of $\wp(U)^R$ ($\wp(U)_R$, respectively). In [2] we proved that $(\wp(U)^\rho, \subseteq)$ is a complete sublattice of $(\wp(U)^R, \subseteq)$, respectively $(\wp(U)_\rho, \subseteq)$ is a complete sublattice of $(\wp(U)_R, \subseteq)$, whenever $\mathcal{L}(U, U, \rho^c)$ is a complete sublattice of $\mathcal{L}(U, U, R^c)$. Unfortunately, the

converse implication does not necessarily hold. For instance, in [2] we constructed an example where $(\wp(U)^\rho, \subseteq)$ is a complete sublattice of $(\wp(U)^R, \subseteq)$, however $\mathcal{L}(U, U, \rho^c)$ is not even a subset of the lattice $\mathcal{L}(U, U, R^c)$. All we can say is that in general the following conditions are equivalent:

- (1) $(\wp(U)^R, \subseteq)$ is isomorphic to a complete sublattice of $(\wp(U)^\rho, \subseteq)$;
- (2) $(\wp(U)_R, \subseteq)$ is isomorphic to a complete sublattice of $(\wp(U)_\rho, \subseteq)$;
- (3) $\mathcal{L}(U, U, \rho^c)$ is isomorphic to a complete sublattice of $\mathcal{L}(U, U, R^c)$.

Let ρ be a tolerance on U . Let us define

$$\begin{aligned}\preceq(\rho) &:= \{(x, y) \in U \times U \mid \rho(x) \subseteq \rho(y)\}, \\ \succeq(\rho) &:= \{(x, y) \in U \times U \mid \rho(x) \supseteq \rho(y)\}, \\ \ker\rho &:= \{(x, y) \in U \times U \mid \rho(x) = \rho(y)\}.\end{aligned}$$

Clearly, $\preceq(\rho)$ and $\succeq(\rho)$ are reflexive and transitive relations, i.e. they are *quasi-orders* and $\succeq(\rho)$ is the inverse relation of $\preceq(\rho)$. $\ker\rho$ is an equivalence relation, called the kernel of the tolerance ρ . Clearly, $\ker\rho = \preceq(\rho) \cap \succeq(\rho)$. Let the symbol \circ stand for the relational product, in what follows. It is easy to check that in the case $R \subseteq \rho$ the relations $R \circ \preceq(\rho) \subseteq \rho$ and $\succeq(\rho) \circ R \subseteq \rho$ always hold. Using these notions, in [2] we proved the following characterization:

Theorem 1. *Let ρ, R be two tolerance relations satisfying $R \subseteq \rho \subseteq U \times U$. Then the following conditions are equivalent:*

- (C): $\mathcal{L}(U, U, \rho^c)$ is a complete sublattice of $\mathcal{L}(U, U, R^c)$;
- (D): For any $(a, b) \in \rho \setminus R$ there exist some elements $c, d \in U$ such that $(b, c), (a, d) \in R$ and $\rho(c) \subseteq \rho(a), \rho(d) \subseteq \rho(b)$;
- (E): $R \circ \preceq(\rho) = \rho$;
- (E'): $\succeq(\rho) \circ R = \rho$.

Now, the next corollary is immediate:

Corollary 1. *Let R, ρ be two tolerance relations on U such that $R \subseteq \rho$. If R and ρ satisfy one of the equivalent conditions of Theorem 1, then $(\wp(U)^\rho, \subseteq)$ is a complete sublattice of $(\wp(U)^R, \subseteq)$ and $(\wp(U)_\rho, \subseteq)$ is a complete sublattice of $(\wp(U)_R, \subseteq)$.*

In [2] we proved that in the particular case when ρ is an equivalence relation on U such that $R \subseteq \rho$, then condition (D) is satisfied. Hence in such a case $(\wp(U)^\rho, \subseteq)$ is obviously a sublattice of $(\wp(U)^R, \subseteq)$ and $(\wp(U)_\rho, \subseteq)$.

Here we give an algorithm (Algorithm 1) that is checking on a finite set U and two relations $R \subseteq \rho \subseteq U \times U$ whether $\mathcal{L}(U, U, \rho^c)$ is a complete sublattice of $\mathcal{L}(U, U, R^c)$ by using condition (D).

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CD_EXIST( $R, \rho, a, b$ )
for  $(b, c) \in R$  do
  for  $(a, d) \in R$  do
    if  $\rho(d) \subseteq \rho(b) \wedge \rho(c) \subseteq \rho(a)$  then
      return TRUE
    end
  end
end
return FALSE

SATISFIES_D( $R, \rho$ )
for  $(a, b) \in \rho \setminus R$  do
  if  $\neg$ CD_EXIST( $R, \rho, a, b$ ) then
    return FALSE
  end
end
return TRUE

```

Algorithm 1: Algorithm for checking condition (D)

4. TOLERANCES INDUCED BY IRREDUNDANT COVERINGS

A collection \mathcal{C} of nonempty subsets of U is called a *covering* of U if $\bigcup \mathcal{C} = U$. The covering \mathcal{C} is called *irredundant* if removing any member X of \mathcal{C} , the collection $\mathcal{C} \setminus \{X\}$ remains no longer a covering of U . For instance, the classes of an equivalence relation $E \subseteq U \times U$ provide a simple example of an irredundant covering of U . Each covering \mathcal{C} of U defines a tolerance relation $\rho_{\mathcal{C}} = \bigcup \{X \times X \mid X \in \mathcal{C}\}$, called *the tolerance induced by \mathcal{C}* . If \mathcal{C} is an irredundant covering of U , then we say that $\rho_{\mathcal{C}}$ is a *tolerance induced by an irredundant covering*. In [6] the authors proved that the lattices $\wp(U)^{\rho}$, $\wp(U)_{\rho}$ and $\mathbf{RS}(U, \rho)$ are completely distributive if and only if ρ is induced by an irredundant covering of U . It was shown that this condition is also equivalent to the condition that the lattice $\mathcal{L}(U, U, \rho^c)$ is completely distributive. A complete lattice L is called *completely distributive* (see e.g. [1]) if for any doubly indexed family of elements $\{x_{i,j}\}_{i \in I, j \in J}$, ($I, J \neq \emptyset$) we have

$$\bigwedge_{i \in I} \left(\bigvee_{j \in J} x_{i,j} \right) = \bigvee_{f: I \rightarrow J} \left(\bigwedge_{i \in I} x_{i, f(i)} \right).$$

We note that any complete sublattice of a completely distributive lattice is also completely distributive. As immediate consequence of the mentioned results we obtain the following Lemma.

Lemma 1. *Let R, ρ be two tolerance relations on U with $R \subseteq \rho$ and such that $(\wp(U)^R, \subseteq)$ is isomorphic to a complete sublattice of $(\wp(U)^\rho, \subseteq)$. If R is a tolerance induced by an irredundant covering, then ρ is also a tolerance induced by an irredundant covering.*

Proof. Since in view of [6] $(\wp(U)^R, \subseteq)$ is a completely distributive lattice, $(\wp(U)^\rho, \subseteq)$, being a complete sublattice of $(\wp(U)^R, \subseteq)$, is also completely distributive. Therefore, ρ is a tolerance induced by an irredundant covering. \square

Since any equivalence relation is a particular tolerance induced by an irredundant covering, the following corollary is immediate.

Corollary 2. *Let R be an equivalence relation and ρ a tolerance relation on U with $R \subseteq \rho$ and such $(\wp(U)^R, \subseteq)$ is a complete sublattice of $(\wp(U)^\rho, \subseteq)$. Then ρ is induced by an irredundant covering.*

Let ρ be a tolerance on U . A nonempty subset X of U is called a *preblock* of ρ if $X \times X \subseteq \rho$. Note that in this case $B \subseteq \rho(x)$ for all $x \in B$. A preblock of ρ that is maximal with respect to the inclusion is called a *block* of ρ .

Remark 1. It is well known that any tolerance relation ρ is determined by its blocks, that is for any $a, b \in U$, $(a, b) \in \rho \Leftrightarrow a, b \in B$, for some block B of ρ . In [6] and in [7] it is shown that if ρ is induced by an irredundant covering \mathcal{C} , then B can be chosen as a member of \mathcal{C} having the property $B = \rho(k)$, for some $k \in B$. It is also proved that in this case $\rho = \sup (\rho) \circ \leq (\rho)$ (see [5]).

Theorem 2. *Let $\rho, R \subseteq U \times U$ be two tolerance relations with $R \subseteq \rho$ and assume that R is induced by an irredundant covering. Then condition (E) is equivalent to the condition*

$$(F): \rho = (R \cap \sup (\rho)) \circ \ker \rho \circ (R \cap \leq (\rho)).$$

Proof. First we will show that condition (E) implies condition (F). If (E) holds, then $\mathcal{L}(U, U, \rho^c)$ is a complete sublattice of $\mathcal{L}(U, U, R^c)$, according to Theorem 1. By Corollary 1 this yields that $(\wp(U)^\rho, \subseteq)$ is a complete sublattice of $(\wp(U)^R, \subseteq)$. Then by Lemma 1, ρ is also a tolerance induced by an irredundant covering of U . Then $\rho = \sup (\rho) \circ \leq (\rho)$, according to [5]. It is easy to check that $\sup (\rho) \circ \ker \rho \subseteq \sup (\rho)$. Hence

$$(R \cap \sup (\rho)) \circ \ker \rho \circ (R \cap \leq (\rho)) \subseteq \sup (\rho) \circ \ker \rho \circ \leq (\rho) \subseteq \sup (\rho) \circ \leq (\rho) = \rho.$$

In order to prove the converse inclusion, take any $(a, b) \in \rho$. Then, in view of Remark 1, there exists a $k \in U$ and a block B of ρ such that $a, b \in B = \rho(k)$. Then $B \subseteq \rho(a), \rho(b)$. As $(a, k), (b, k) \in \rho$, now condition (E), i.e. $R \circ \leq (\rho) = \rho$

implies that there exist some elements $c, d \in U$ such that $(a, c) \in R$, $\rho(c) \subseteq \rho(k)$ and $(b, d) \in R$, $\rho(d) \subseteq \rho(k)$. Since $c, d \in \rho(k) = B$, we have $B \subseteq \rho(c), \rho(d)$, whence we get $\rho(c) = \rho(d) = B$, proving $(c, d) \in \ker \rho$. Then $\rho(c) = B \subseteq \rho(a)$ also yields $(a, c) \in \supseteq(\rho)$. Hence $(a, c) \in R \cap \supseteq(\rho)$. Similarly, $\rho(d) = B \subseteq \rho(b)$ yields $(d, b) \in \subseteq(\rho)$. Thus $(d, b) \in R \cap \subseteq(\rho)$. Now, $(a, c) \in R \cap \supseteq(\rho)$, $(c, d) \in \ker \rho$ and $(d, b) \in R \cap \subseteq(\rho)$ together imply $(a, b) \in (R \cap \supseteq(\rho)) \circ \ker \rho \circ (R \cap \subseteq(\rho))$, proving $\rho \subseteq (R \cap \supseteq(\rho)) \circ \ker \rho \circ (R \cap \subseteq(\rho))$.

Conversely, assume that (F) holds, i.e. $\rho = (R \cap \supseteq(\rho)) \circ \ker \rho \circ (R \cap \subseteq(\rho))$. We will prove (E'), which is equivalent to (E) by Theorem 1. Since $\supseteq(\rho) \circ R \subseteq \rho$ is always true, we have to show only the converse inclusion. Indeed, take any $(a, b) \in \supseteq(\rho) \circ R$. Then there exist some elements $d, c \in U$ such that $(a, c) \in R \cap \supseteq(\rho)$, $(c, d) \in \ker \rho$, and $(d, b) \in R \cap \subseteq(\rho)$. Hence $\rho(c) = \rho(d)$. As $(a, c) \in \supseteq(\rho)$ means that $\rho(c) \subseteq \rho(a)$, we get also $\rho(d) \subseteq \rho(a)$, i.e. $(a, d) \in \supseteq(\rho)$. Since $(d, b) \in R$, we obtain $(a, b) \in \supseteq(\rho) \circ R$. Hence $\rho \subseteq \supseteq(\rho) \circ R$, and this means that condition (E') is satisfied. \square

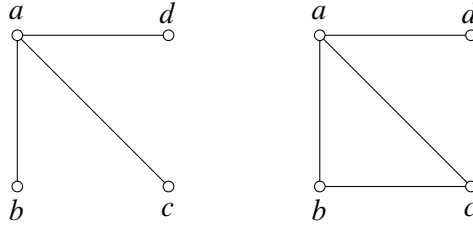


FIGURE 1. Relations R and ρ satisfying condition (F)

TABLE 1. The contexts (U, U, R^c) and (U, U, ρ^c)

R^c	a	b	c	d
a				
b			\times	\times
c		\times		\times
d		\times	\times	

ρ^c	a	b	c	d
a				
b				\times
c				\times
d		\times	\times	

Corollary 3. Let R, ρ be two tolerance relations on U such that $R \subseteq \rho$. If R and ρ satisfy condition (F) and R is induced by an irredundant covering of U then $(\wp(U)^\rho, \subseteq)$ is a complete sublattice of $(\wp(U)^R, \subseteq)$ and $(\wp(U)_\rho, \subseteq)$ is a complete sublattice of $(\wp(U)_R, \subseteq)$.

Corollary 4. Let R, ρ be two tolerance relations on U such that $R \subseteq \rho$, condition (F) holds and $R \subseteq \ker \rho$. Then ρ is an equivalence.

Proof. If $R \subseteq \ker\rho$ then $R \cap \supseteq (\rho) \subseteq \ker\rho$ and $R \cap \preceq (\rho) \subseteq \ker\rho$. This implies that $(R \cap \supseteq (\rho)) \circ \ker\rho \circ (R \cap \preceq (\rho)) \subseteq \ker\rho \circ \ker\rho \circ \ker\rho = \ker\rho$, since $\ker\rho$ is an equivalence. Thus, we get $\rho \subseteq \ker\rho$ using condition (F). Since ρ is a tolerance relation, $\ker\rho \subseteq \rho$ also holds, meaning $\rho = \ker\rho$, therefore ρ is an equivalence. \square

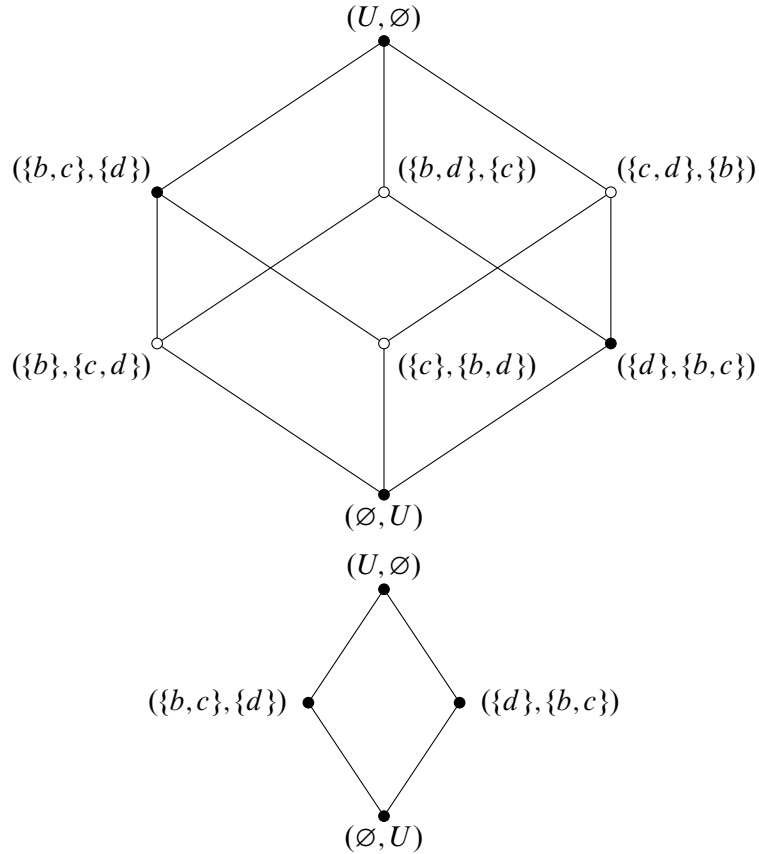


FIGURE 2. The Hasse-diagrams of the concept lattices $\mathcal{L}(U, U, R^c)$ and $\mathcal{L}(U, U, \rho^c)$

Corollary 5. Let R, ρ be two tolerance relations on U such that $R \subseteq \rho$. If ρ is an equivalence, condition (F) automatically holds.

Proof. Since ρ is an equivalence, $\rho = \ker\rho = \supseteq (\rho) = \preceq (\rho)$. Therefore $R \cap \supseteq (\rho) = R \cap \preceq (\rho) = R \cap \rho = R$. Then it follows that $(R \cap \supseteq (\rho)) \circ \ker\rho \circ (R \cap \preceq (\rho)) = R \circ \rho \circ R$. However, $R \circ \rho \circ R \subseteq \rho \circ \rho \circ \rho = \rho$. On the other hand, $R \circ \rho \circ R \supseteq \Delta \circ \rho \circ \Delta = \rho$, where Δ is the identity relation. Combining them yields $R \circ \rho \circ R = \rho$, which proves condition (F). \square

5. CONCLUSION

In this paper, we were aiming to extend the characterization found in [2] by further investigating the tolerance relations $R \subseteq \rho$. We deduced condition (F), which is equivalent to the conditions in Theorem 1 whenever R is a tolerance induced by an irredundant covering. Additionally, an algorithm for checking condition (D) was also provided. An example of two relations satisfying condition (F) can be seen in Figure 1. Since tolerance relations are always reflexive, loops are not noted on the figure for simplicity. Figure 2 shows that the concept lattice $\mathcal{L}(U, U, \rho^c)$ is a complete sublattice of $\mathcal{L}(U, U, R^c)$, i.e. condition (C) holds. We also proved some consequences for special cases, e.g. R being a subrelation of $\ker \rho$. As a future work, we propose investigating the results in [2] regarding the so-called compatibility condition in combination with tolerances induced by an irredundant covering.

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