1	Biological dose summation of intensity-modulated arc therapy and image-guided high-
2	dose-rate interstitial brachytherapy in intermediate and high risk prostate cancer
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14	Biological dose summation of prostate tele- and brachytherapy

Abstract

Objective: To validate an alternative method for summing the biologically effective doses of intensity-modulated arc therapy (IMAT) with interstitial HDR brachytherapy (BT) or IMAT boost in prostate cancer and compare it to the recent Uniform Dose Conception (UDC) method.

20 Methods: Initially 15 IMAT plus interstitial HDR BT plans of patients with intermediate- and 21 high-risk prostate cancer were included and additional plans of IMAT plus IMAT boost were 22 created. The prescribed dose was 2/44 Gy for the whole pelvis, 2/60 Gy for the prostate and 23 vesicle seminals and 1x10 Gy for the prostate gland in BT boost or 2/18 Gy for the prostate 24 PTV in IMAT boost. CT set of teletherapy was registered with the US of BT, and the most 25 exposed volume of critical organs in BT were identified on these CT images. The minimal 26 dose of this volumes was calculated in IMAT plans and summed with the dose from BT using 27 the linear-quadratic radiobiological model. Biological total doses (EQD) were calculated and 28 compared between plans with BT and IMAT boost. This method was compared with uniform 29 dose conception (UDC) in IMAT plus BT boost plans.

30 **Results:** D90 of the prostate was significantly higher with BT than with IMAT boost: 99.3 Gy 31 vs. 77.9 Gy, p=0.0034. The dose to rectum and hips were significantly lower with BT boost, 32 D₂ were 50.3 Gy vs. 76.8 Gy (p=0.0117) and 41.9 Gy vs. 50.6 Gy (p=0.0044), respectively. 33 The dose to bladder showed the same trend, D₂ were 73.1 Gy vs. 78.3 Gy in BT vs. IMAT 34 plans, dose to urethra was significantly higher with BT boost, $D_{0.1}$ was 96.1 Gy vs. 79.3 Gy (p=0.0180) using BT vs. IMAT boost technique. UDC overestimates D₂ of rectum by 37% 35 36 (p=0.0117) and underestimates $D_{0.1}$ of urethra by 1% (p=0.0277) and D_2 of bladder by 7% 37 (p=0.0614).

38	Conclusions: Based on our biological dose summation method, total dose of the prostate is
39	higher using BT boost, than the IMAT. BT boost yields lower rectum, bladder and hip doses,
40	but higher dose to urethra. UDC overestimates rectum dose and underestimates the dose to
41	urethra and bladder.

- 42 Keywords: prostate cancer; dose summation; integrated biological doses; intensity-modulated
 43 arc therapy; interstitial brachytherapy

46 Introduction

47 The standard of care in the curative treatment of intermediate- and high-risk prostate cancer is 48 external beam radiotherapy (teletherapy, TT) and high-dose-rate (HDR) interstitial 49 brachytherapy (BT) boost with androgen deprivation therapy. Since the α/β value of prostate 50 tumour is low, dose escalation has an essential role in the development of both radiotherapy 51 modalities [1,2]. The more complex the techniques, the more they are capable escalating the 52 dose to the tumour, while sparing the organs at risk (OARs). The state-of-the-art radiotherapy 53 combination is intensity-modulated arc therapy (IMAT) and image-guided interstitial BT 54 [3,4]. These complex treatments require reliable reporting of the dose received by tumour and 55 the critical structures.

The use of BT boost has been linked with improved biochemical-progression-free and overall survival [5,6]. What is more, modern HDR BT approach results in improved quality of life, as a consequence of lower acute urinary and rectal toxicity [7], with the dose coverage of the target volume (D90, the minimum dose delivered to 90% of the prostate) correlating with local tumour control [8], and dose of the OARs with normal tissue toxicity [9].

61 To achieve reporting these dose-volume parameters properly, overall volumetric doses 62 have to be properly integrated from tele- and brachytherapy. As simple physical dose summation does not take into consideration the different biological effects, the equivalent 63 64 dose given in 2 Gy fractions (EQD2) has to be calculated [10,11]. The dose distribution of the 65 TT is assumed to be completely uniform in the target volume and OARs (Uniform Dose 66 Conception, UDC) [12]. However, in the IMAT technique the most exposed 2 ccm of the OARs is not a compact volume, since its voxels are dispersed in the organ, as we have shown 67 68 earlier [13]. It was also shown that the most exposed part of the OARs in the integrated plans 69 is located in the same region that receives the largest dose in BT. Nevertheless, this 2 ccm volume is not in the same location, as the most exposed part in TT [14]. So simple DVH
addition sums the dose of two different 2 ccm volumes.

72 In the majority of previous investigations authors did not take into account the real 73 biological dose of the prostate and the OARs in TT in combined TT and BT treatment. 74 Pinkawa et al. [15] used the above mentioned UDC method to estimate the doses from TT and 75 engaged physical BT doses only. Andrzejewski et al. [16] compared different advanced 76 radiotherapy methods for boosting dominant intraprostatic lesion. They calculated biological 77 equivalent doses for comparison but did not examine combined therapies. Kikuchi et al. [17] 78 made a CT series after BT and calculated the biological effective dose of the rectum in TT 79 and BT. They associated this dose to the pixels of the rectum volume and computed a 80 summarised dose-volume histogram (DVH) of TT and BT based on this. This was a better 81 estimation of the rectal dose, than the UDC method, but they could not take into consideration 82 the quadratic behaviour of the biological dose. This biological dose has to be calculated pixel-83 by-pixel in the same organ, but currently in none of the treatment planning systems this 84 feature is available. The image registration of the TT CT and the CT after BT treatment does not use the dose values from the real BT plan. The dose gradient is high in BT, so the dose 85 86 distribution can be significantly different in a post-BT plan without the needles and the US 87 probe than in the live plan. Using doses of the live plan, where the needles is in their real 88 place, is the most adequate method.

We have developed an alternative dose summation method in combined radiotherapy of cervical cancer [14]. The aim of the present study is to validate an alternative method for summing the biologically effective doses of IMAT with interstitial HDR BT or IMAT boost in prostate cancer and compare it to the recent UDC method.

93 Materials and methods

At our institute, fifteen IMAT plus interstitial HDR BT plans of patients with intermediate-94 95 and high-risk prostate cancer were included for this study. Selection criteria were the following: PSA>10 ng/mL and/or GS 7-10 and/or Stage T2b-T3b. The TT was performed in 96 97 supine position, the patients were immobilized with knee and ankle support system. The prescribed dose was 2/44 Gy for the whole pelvis, 2/60 Gy for the prostate and the vesicle 98 99 seminals and was delivered with an energy of 10 MV using 2 full arcs. Based on our local IGRT protocol, CBCT verification was made from 1st to 3rd fractions, the systematic error was 100 calculated and corrected before the 4th fraction, then weekly verification was done for patient 101 positioning. TT was complemented with transrectal US-guided interstitial HDR BT boost, 102 103 performed after the 4 weeks TT course, given 1 fraction of 10 Gy [18]. After scanning the 104 prostate with US, a virtual preimplant plan was generated (Oncentra Prostate v3.1, Elekta 105 Brachytherapy, Veendendaal, The Netherlands). HIPO optimization method was used, and the 106 prescribed dose was 10 Gy to the whole prostate gland (V100≥95%). Based on this plan, 107 metal needles were inserted into the prostate through a template under live US guidance. The 108 optimization procedure was used again for calculating the dwell times in the inserted needles 109 to achieve the final dose distribution. The detailed description of our treatment method can be 110 found in our previous publications [19,20]. The total treatment time of TT and BT was 7 111 weeks (44-54 days). In clinical routine, the EUD method was used to determine the dose 112 constraints for prostate and OARs in BT implant and their total doses.

First, the treatment planning CT for TT was registered with the US set of BT in BT treatment planning system in every case (Figure 1), then the TT CT with the BT plan was imported to the TT planning system (Eclipse v13.7, Varian Medical Systems, Palo Alto, USA).

117 Then, the localisation of the most exposed part of the OARs was investigated in the sum 118 of TT and BT plans. The most exposed part of hips (femoral heads) is always the nearest 119 volume to the prostate and the dose contribution from BT is practically zero. So, the most 120 exposed 0.1 and 2 ccm of hips were calculated only from the TT plan. The most exposed part 121 of the rectum, urethra and bladder is in the region where the dose maximum is in BT. So, the 122 most exposed 0.1 and 2 ccm from BT were determined in the TT CTs, and the intersection of 123 this volumes and the given organ was created (Figure 2). The minimal dose of this 124 intersection was calculated in TT plans and summed with the dose of this volumes from BT 125 using the linear-quadratic radiobiological model. The α/β of prostate tumour was assumed 1.5 126 Gy, while for OARs 3 Gy was used. The following dose-volume parameters were used for 127 quantitative evaluation of the plans:

128 **D90:** the minimum dose delivered to 90% of prostate (Gy);

129 **D**_{0.1}(\mathbf{x}): the minimal dose of the most exposed 0.1 ccm of *the critical organ* x (Gy),

130 where x: rectum, urethra, bladder or hips.

131 $D_2(x)$: the minimal dose of the most exposed 2 ccm of *the critical organ x* (Gy),

132 where x: rectum, bladder or hips.

To patients, whom BT is not accomplishable, TT boost is performed with additional 18 Gy in 2 Gy fractions for the prostate gland using safety margins of 0.5 cm, if gold markers are implanted into the prostate, and 0.8 cm, if not [21,22]. For comparison, additional TT boost plans were created for every patient in the study with the same IMAT technique, and total EQD2 doses of the most exposed volume of the organs at risks were calculated in these 3-step TT plans.

Wilcoxon-matched pairs test was used (Statistica 12.5, StatSoft, Tulsa, OK, USA) to
compare biological total dose of the combination of TT and BT or TT boost in the treatment

of prostate tumour. The comparison of our biological dose summation (BDS) and theconventional UDC method was also performed with this statistical test.

143 **Results**

The mean volume of the prostate was 29.8 ccm (21.1-43.0 ccm). We found that EQD2 D90 of the prostate was 99.3 Gy (96.8-101.9 Gy) using two-step TT and BT boost. The $D_{0.1}$ and D_2 of rectum were 62.8 Gy (41.0-75.6 Gy) and 50.3 Gy (29.8-65.8 Gy). The $D_{0.1}$ of urethra was 96.1 Gy (95.5-96.9 Gy), the volume of it was less than 2 ccm in our cases. The $D_{0.1}$ and D_2 of bladder were 85.8 Gy (62.5-169.8 Gy) and 73.1Gy (46.0-140.5 Gy). The $D_{0.1}$ and D_2 of hips were 49.6 Gy (39.8-67.3 Gy) and 41.9 Gy (33.5-58.3 Gy).

150 In TT boost, the volume of the PTV is larger than the prostate, it was 111.7 ccm on 151 average (range: 71.9-179.5 ccm). In comparison of BT and TT boost techniques, D90 of the 152 prostate was significantly higher with BT than with TT: 99.3 Gy vs. 77.9 Gy, p=0.0034. The 153 dose to rectum and hips were significantly lower with BT boost, D₂ was 50.3 Gy vs. 76.8 Gy 154 (p=0.0117) and 41.9 Gy vs. 50.6 Gy (p=0.0044), respectively. The difference between the 155 dose to bladder in the case of BT and TT boost showed the same trend, D₂ was 73.1 Gy vs. 156 78.3 Gy in BT vs. TT plans, but this difference was not significant. Nevertheless, the dose to 157 ure thra was significantly higher with BT boost, $D_{0.1}$ was 96.1 Gy vs. 79.3 Gy (p=0.0180) 158 using BT vs. TT boost technique (Figure 3). The detailed results can be found in Table 1.

Comparing our dose summation method to the conventional UDC in the case of combined TT with BT boost, we found that the UDC overestimates D_2 of rectum by 37% and underestimates $D_{0.1}$ of urethra by 1%. The D_2 of bladder was also 7% smaller using UDC, but this difference was not significant because of the large standard deviation of this variable (Table 2).

164 **Discussion**

Dose escalation has a fundamental role in the radiotherapy of intermediate- and high-risk prostate cancer [1,2]. Presently there are no better alternatives of BT boost, however, several high-tech teletherapy techniques are possible competitors, such as image-guided and intensity-modulated teletherapy, arc therapy, helical tomotherapy and stereotactic radiotherapy with linear accelerators or CyberKnife [3,7,16].

170 Vanneste et al. [1] have pointed out the strong correlation between overall survival and 171 D90 of the prostate target volume in localised prostate cancer, with the best results being 172 achievable above 75.6 Gy EQD2. Different treatment techniques lead to the same cure rate 173 but with different toxicity pattern. The EQD2 prescribed dose to the prostate with our 174 fractionation scheme is 92.9 Gy using BT and 78 Gy with TT boost. At the same time dose to 175 the OARs is reduced with BT [3,4]. In our study, using IMAT TT with HDR BT boost could 176 be dose of all OARs kept in a good tolerance level. The EQD2 D90 of the prostate was 99.3 177 Gy, while D_2 of rectum was 50.3 Gy, approximately the half of the prostate dose. $D_{0,1}$ dose to 178 the urethra was 96.1 Gy on average, less than the prostate dose, in spite of that urethra is 179 inside the prostate. D_2 dose to the bladder was 73.1 Gy, while for hips it was only 41.9 Gy. 180 All dose to the hips originates from 60 Gy of TT, BT does not contribute to it.

Notwithstanding, in TT larger target volume is used than BT, the total dose to the prostate is 22% (21.4 Gy) less, D90 was 99.3 Gy using BT and 77.9 Gy with TT boost. D₂ dose to the rectum, bladder and hips were 35% (26.5 Gy), 7% (5.2 Gy) and 18% (8.7 Gy) smaller with BT, than using TT boost. 18 Gy IMAT boost to the prostate target volume instead of BT means extra 9 Gy dose to the hips. Only the dose to the urethra was higher with BT boost, D_{0.1} was 18% (16.8 Gy) higher than using TT boost.

187 In previous publications authors used the recommended UDC method to estimate the 188 total dose of the prostate and OARs in combined therapy [15]. However, they did not take

189 into account the real biological doses. Kikuchi et al. [17] tried a better estimation of the rectal 190 dose, than the UDC method, but they used a CT after removing the needles and the US probe 191 instead of a postimplant CT or a live US imaging in the intraoperative BT plan and they did 192 not take into account the quadratic behaviour of the biological dose. Since the most exposed 193 part of the rectum, urethra and bladder is in the region where the dose maximum is in BT, this 194 most exposed 2 ccm can be used for the calculation of the total biological dose. In this small 195 volume, the quadratic dependence is negligible. Thus, our dose summation method is simple, 196 timesaving and there is no interobserver variation. The only more precise method would be a 197 pixel-by-pixel calculation of the biological dose in the same organ after a deformable 198 registration of BT and TT images, but no treatment planning systems provides this possibility 199 at the moment.

200 The effect of the dose summation technique on dose-volume parameters in combined 201 TT and BT was also investigated in our study. The EQD2 D90 of the prostate was practically 202 equal in our BDS and the conventional UDC method, but UDC overestimates the dose to 203 rectum by 37% (18.6 Gy) and underestimates the dose to urethra by 1% (0.7 Gy) and dose to 204 bladder by 7% (4.9 Gy) compared to BDS method. Besides this, the potential advantage of the 205 BDS method is that it takes into account the most exposed part of the OARs and thus sparing 206 these parts from higher doses in TT, as is shown in Figure 4. On the whole, the dose to the 207 OARs can be reduced using our alternative dose summation method.

This study is the starting point of the development of an algorithm for the summation of TT and BT biologically effective doses, which uses an artificial-intelligence-based DIR algorithm to match the critical anatomical structures in the two radiotherapy modalities. Further investigations are needed to assess whether our method predicts toxicity better than the recent UDC method.

213 Conclusions

214	Based on our biological dose summation method in IMAT with interstitial HDR BT or IMAT
215	boost treatment in prostate cancer, total dose of the prostate is higher using BT boost, than the
216	IMAT. BT boost results lower rectum, bladder and hip doses, but higher dose to the urethra.
217	UDC overestimates rectum dose and underestimates the dose to the urethra and to the bladder.
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222 223	All other authors: The authors report no proprietary or commercial interest in any product mentioned or concept discussed in this article.
224	Contributions:
225	GF: worked out the concept, did the analysis and wrote this paper.
226	PÁ: made the contouring and discussed the details of this study.
227	KJ: made the contouring.
228	CsP: supported the study.
229	TM: supported the study and discussed the details.

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311 **Tables:**

EQD2	TT + BT boost	TT + TT boost	*p-value	
D90 (Gy)	99.3 (96.8-101.9)	77.9 (76.4-78.5)	0.0034	
D ₂ (rectum) (Gy)	50.3 (29.8-65.8)	76.8 (65.8-79.3)	0.0017	
D _{0.1} (urethra) (Gy)	96.1 (95.5-96.9)	79.3 (78.6-80.4)	0.0180	
D2(bladder) (Gy)	73.1 (46.0-140.5)	78.3 (77.2-79.8)	0.1614	
D ₂ (hips) (Gy)	41.9 (33.5-58.3)	50.6 (43.6-58.1)	0.0044	

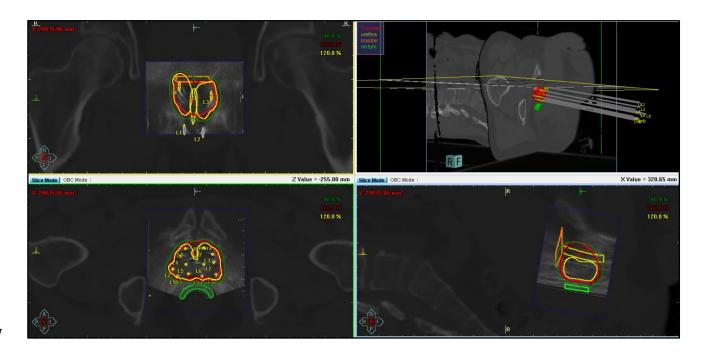
Table 1. The EQD2 total doses of intensity-modulated arc therapy plus interstitial HDR
BT boost (TT + BT boost) and intensity-modulated arc therapy plus teletherapy boost
(TT + TT boost). D90: the minimum dose delivered to 90% of prostate (Gy), D₂(rectum),
D₂(bladder), D₂(hips): the minimal dose of the most exposed 2 ccm of rectum, bladder
and hips (Gy), D_{0.1}(urethra): the minimal dose of the most exposed 0.1 ccm of urethra
(Gy). *Wilcoxon-matched pairs test.

EQD2	BDS	UDC	*p-value	
D90 (Gy)	99.3 (96.8-101.9)	100.2 (96.6-104.8)	1.0000	
D ₂ (rectum) (Gy)	50.3 (29.8-65.8)	68.9 (66.6-70.9)	0.0117	
D _{0.1} (urethra) (Gy)	96.1 (95.5-96.9)	95.4 (94.4-96.0)	0.0277	
D2(bladder) (Gy)	73.1 (46.0-140.5)	68.2 (62.9-74.0)	0.0614	

Table 2. The EQD2 total doses of intensity-modulated arc therapy plus interstitial HDR BT boost calculated by our biological dose summation (BDS) and the uniform dose conception (UDC) method. D90: the minimum dose delivered to 90% of prostate (Gy),

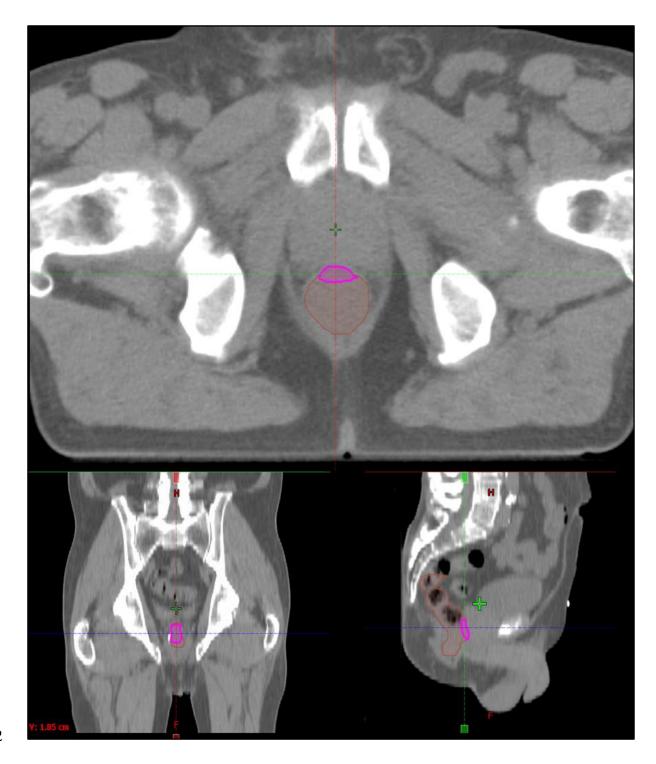
322 D₂(rectum), D₂(bladder): the minimal dose of the most exposed 2 ccm of rectum and
323 bladder (Gy), D_{0.1}(urethra): the minimal dose of the most exposed 0.1 ccm of urethra
324 (Gy). *Wilcoxon-matched pairs test.

326 Figures:



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Figure 1. The BT treatment plan on the registered TT CT and BT US sets. Top left: a coronal view, top right: 3D reconstruction, bottom left: an axial view, bottom right: a sagittal view. Thick red: prostate, thick green: rectum, thick yellow: urethra, thick orange: bladder, green, red and yellow line: the 80%, 100% and 120% isodose line.





333 Figure 2. The most exposed 2 ccm part (pink) of the rectum (brown) in an axial (up), in

a coronal (left) and in a sagittal (right) slice of the TT CT.

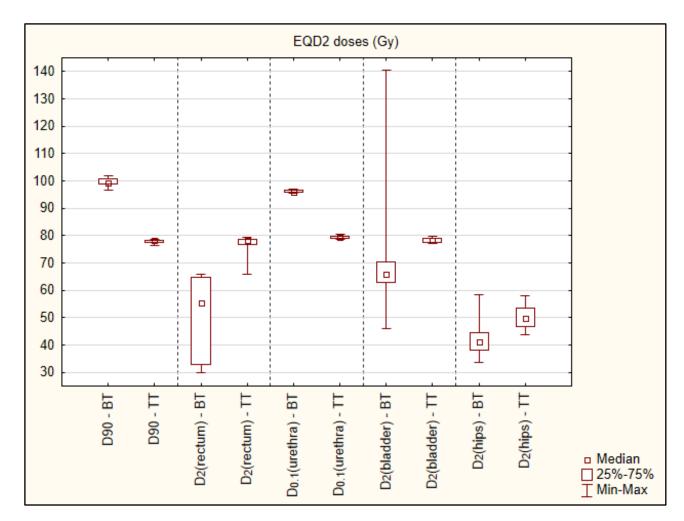
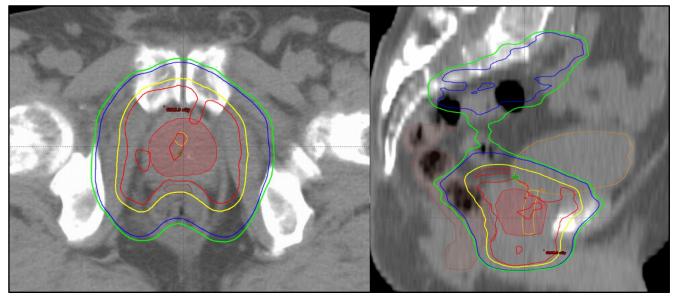


Figure 3. The EQD2 total doses of intensity-modulated arc therapy plus interstitial HDR
BT boost (BT) and intensity-modulated arc therapy plus teletherapy boost (TT). D90:
the minimum dose delivered to 90% of prostate (Gy), D₂(rectum), D₂(bladder), D₂(hips):
the minimal dose of the most exposed 2 ccm of rectum, bladder and hips (Gy),
D_{0.1}(urethra): the minimal dose of the most exposed 0.1 ccm of urethra (Gy).



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Figure 4. The most exposed 2 ccm of rectum is indicated with brown, the urethra and the bladder are contoured with yellow and orange and the prostate gland is shown with red (colorwash) in an axial (left) and a sagittal (right) CT slice in a two-step intensitymodulated arc therapy plan. Isodose lines: red: 60 Gy, yellow: 57 Gy, blue: 44 Gy and green: 41.8 Gy.