ZnSnN₂ in Real Space and k-Space: Lattice Constants, Dislocation Density, and Optical Band Gap

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Semiconducting II-IV nitrides exhibit tunability of optoelectronic properties similar to that of the group III nitrides, while comprising earth-abundant and potentially low-cost elements. However, the II-IV nitrides synthesis is immature, limiting the explorations. Herein, ZnSnN₂ hetero-epitaxial films grown by high-power impulse magnetron sputtering, having the quality at the level of the III-nitrides layers grown without dislocation-reducing strategies are demonstrated. This breakthrough, in addition to paving the way toward potential applications, enables reliable measurements of the ZnSnN₂ properties in real space and *k*-space. In particular, for wurtzite ZnSnN₂ films with total dislocation density of $\leq 5 \times 10^{10}$ cm⁻², the lattice parameters and the optical band gap (E_g) are determined with the accuracy not being accessible before for this material, e.g. $E_g = 1.67 \pm 0.03$ eV. Thus, looking retrospectively, the authors' data set the ZnSnN₂—and perhaps more generally the II-IV nitrides—at the stage comparable to that of the beginning of the III-nitrides rising era.

1. Introduction

The II-IV nitride semiconductors attract considerable attention, governed by the tunability of the functionalities closely related to the group III nitrides,^[1–3] widely used in optoelectronic applications such as light emitting diodes, photo detectors, solar cells, etc. Simplistically speaking, the substitution of the cation in III-nitride matrix with heterovalent II and IV cations is game changing in

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terms of the earth-abundancy of the material. More specifically, Zn-IV-N₂ with IV = Ge, Sn or Si may have a great potential for optoelectronic applications. Indeed, the direct band gap of Zn-IV-N₂ semiconductors may potentially cover the same range as that of the AlN-GaN-InN alloys,^[4] and thus have the potential to be tailored to meet application-specific band gap energies.^[1–3,5–7]

In particular, $ZnSnN_2$ exhibits excellent properties for its use as the solar light absorber in photovoltaics and photocatalysts, but is less explored compared to that of $ZnSiN_2$ and $ZnGeN_2$. According to calculations, the most energetically favorable structure for $ZnSnN_2$ is the orthorhombic Pna2₁, although the orthorhombic Pmc2₁ structure has also been identified as a possible structure for $ZnSnN_2$.^[8] In addition to the

Pna2₁, ZnSnN₂ can also be synthesized in the hexagonal P6₃mc and monoclinic structures,^[9–11] depending on the growth conditions as well as the substrate lattice-matching. Notably, the temperature-window for single crystalline growth of ZnSnN₂ is relatively narrow. For example, Gogova et al., have reported the growth of single crystalline hexagonal layers at 350 °C by magnetron sputtering on (0002) ZnO substrates providing a lattice mismatch of 4.2%.^[12] Le et al., demonstrated epitaxially grown wurtzite films by plasma-assisted molecular beam epitaxy (PAMBE) at 450 and 550 °C, using ZnO buffer layers.^[10] Thus, even though the initial demonstrations of the ZnSnN₂ epitaxy have been made, there is a room for explorations.

Importantly, the exact determinations of the ZnSnN₂ lattice parameters and the band gap remain unsettled. The lattice parameter determination of ZnSnN2 obviously depends strongly on the crystal structure of the grown film. For the hexagonal P6₃mc structure, that is wurtzite, the parameters have often been estimated from the diffraction peak positions of polycrystalline films with questionable crystalline quality. In terms of the band gap energy, there is a large spread in the data obtained by theoretical calculations (1.1-2.4 eV), predicting that both the crystal structure and the cation-disorder affect the band gap energy and the carrier concentration.^[13-15] Experimentally, the optical gap has been found to depend on the crystal structure and carrier density in the films, which are controlled by growth parameters,^[15] postgrowth annealing,^[16] and stoichiometry deviations.^[17] Moreover, the uncertainties in the band gap energy induce a chain reaction in the analysis of the defect formation energies accounting for Fermi level dependencies. In order to reliably estimate the fundamental band gap energy from optical absorption experiments, a high crystal quality of ZnSnN₂ is imperative. Indeed compositional disorder, localized states, compensation, etc. modify the optical absorption onset,^[18,19] increasing the uncertainty in the band gap determination. Additionally, the degenerate nature of most of the samples grown so far, attributed to native donor defects, in particular the Sn antisite $(Sn_{Zn})^{[20,21]}$ and/or impurities such as oxygen,^[20] cause a blueshift of the band gap due to the Burstein–Moss effect.^[22,23] Thus, the growth of highly crystalline, stoichiometric material, with minimal oxygen incorporation is imperative to pave the way not only for potential applications of this material, but also to determine its fundamental parameters in the real space and *k*-space.

Herein we report on single crystalline heteroepitaxially grown ZnSnN₂ layers on (0002) ZnO, employing a high-power impulse magnetron sputtering (HiPIMS) featured by high ionization of the reactive N₂ gas, thus minimizing the incorporation of N₂ in molecular form, and relatively low base pressures in the range of $10^{-8} - 10^{-9}$ mbar. As a result, we present a systematic analysis of the ZnSnN₂ crystal quality and dislocation density affecting the optical properties of the material.

2. Results and Discussions

The $ZnSnN_2$ epitaxial layers were grown with HiPIMS and nitrogen as reactive gas on nitrogen-implanted ZnO substrates. The stoichiometry of the $ZnSnN_2$ single-crystalline layers was determined by secondary electron microscopy energy dispersive X-ray spectroscopy (SEM EDS). As can be seen from Table S1, Supporting Information, all samples (A–D) were found to be stoichiometric, at least within the accuracy of the SEM EDS, and the thickness of the epitaxial layers ranged from 487 to 623 nm.

2.1. Structural Properties

Figure 1a displays a wide locked couple X-ray diffraction (XRD) scan for sample C, and compared to the nitrogen-implanted ZnO substrate (lower panel in Figure 1a). Sample C, as well as sample A, B, and D (not shown), exhibit four dominant reflections related to the thin film and substrate; i) the (0002) reflection of ZnSnN₂ located at 32.4°, and its higher order replica (0004) at 68.0°, and ii) the (0002) and (0004) reflections of ZnO at 34.44° and 72.52°, respectively. The remaining low intensity peaks, labeled with (*) in Figure 1a are related to the sample stage. The absence of other thin film related diffraction peaks indicates the wurtzite crystal structure of ZnSnN2 and an epitaxial relationship between the grown layer and ZnO substrate, with growth along the <0001 > direction. As shown in the work by Quayle et al., a random mixture of Pna2₁ and Pmc2₁ polytypes stacking in the basal plane will essentially be indistinguishable from the wurtzite structure in XRD $\theta/2\theta$ scans.^[14] The epitaxial relationship to the substrate and wurtzite crystal structure of ZnSnN₂ is on the other hand supported by employing phi scans. Six ($11\overline{2}4$) (Figure 1b) and six ($10\overline{1}4$) diffraction peaks (not shown here), all separated by 60°, confirm the sixfold symmetry of the hexagonal crystal, excluding the presence of orthorhombic phases in the layers, at least within



Figure 1. a) XRD survey scan of ZnSnN₂, represented with sample C (upper panel) in comparison with the nitrogen-implanted ZnO substrate (lower panel). b) Phi scan showing the sixfold symmetry of the $(11\overline{2}4)$ ZnSnN₂ plane.





Figure 2. a) Cross-sectional TEM image of sample A, showing the nitrogen-implanted ZnO substrate and the ZnSnN₂ epitaxial layer. Threading dislocations are observed, propagating throughout the thickness of the layer. b) The diffraction pattern confirming the wurtzite structure of the epitaxial layers.

the detection limit of the XRD. The *c*-lattice constant of $ZnSnN_2$ was calculated from the position of the (0002) reflection measured by the XRD, for example, sample A *c* = 0.551 nm.

Transmission electron microscopy (TEM) analysis of the ZnSnN₂ layers (Figure 2) also confirms the wurtzite crystal structure, as seen from the diffraction pattern based on the fast Fourier transform (FFT), in Figure 2b, indexed for the wurtzite crystal structure, while the orthorhombic structure could not be indexed. The lattice constants (a and c) of sample A were also found by employing FFT of the lattice k-space image; a = 0.346 ± 0.003 nm and $c = 0.554 \pm 0.005$ nm using ZnO lattice as an internal standard. Notably, c calculated from TEM FFT and the corresponding XRD value are in agreement. Thus, combining the data in Figures 1 and 2 we conclude on high crystalline quality of epitaxial layers grown in our experiments, even though the threading dislocations are present. Furthermore, from Figure 2a a strikingly flat and smooth top surface of the ZnSnN₂ layer can be observed. The TEM image in Figure 2a also shows a V-shaped feature from the surface, narrowing down into the layer. Notably, the nitrogenimplanted ZnO substrate contain some residual traces of structural damage inherited from the implantation, and subsequent annealing to restore the crystalline quality. A high-resolution TEM image of the ZnSnN₂/ZnO inteface is shown in Figure S1, Supporting Information.

Figure 3a shows the rocking curve (ω) scan of the ZnSnN₂ (0002) reflection in comparison to that of ZnO. High intensity, narrow peaks can be observed, with the corresponding FWHM values plotted in Figure 3c for the different samples illustrate excellent reliability of the growth. Notably, the rocking curve scan broadness is connected to the distribution of lattice plane tilts with respect to the film normal, and can be used as an estimation of the dislocation density. The FWHM for all samples is below 0.10°, indicating relatively low density of dislocations. In fact, samples A and B have FWHM below 0.05°, matching that of single crystal ZnO. These values are an order of magnitude lower of what has been reported in the literature so far, for example, 0.52° for ZnSnN2 grown on ZnO buffer, employing PAMBE,^[10] or 0.38° for ZnGeN₂ grown on GaN buffer by MBE.^[24] Notably, the use of lattice-matching substrates or buffer layers reduces dislocation densities as compared to the films grown on lattice-mismatched substrates such as, Al₂O₃ (0001). Figure 3b compares the rocking curves of the symmetrical (0002) and the asymmetrical (1124) reflections in sample C. Evidently, the FWHM of the symmetrical reflection is smaller as compared to the asymmetrical reflection. Nevertheless, the (1124) FWHM below 0.13° still indicates excellent crystalline quality. From the FWHM of the symmetric (0002) reflection measured with rocking curve scans, the upper limit of the screw threading dislocations density





Figure 3. XRD analysis of samples A-D compared to the nitrogen-implanted ZnO substrate. a) Rocking curve (ω) scan on the (0002) reflection of ZnSnN₂, b) rocking curve scan on the symmetric (0002) and anti symmetric ($11\overline{2}4$) reflection of ZnSnN₂ for sample C chosen as the lower quality limit example, and c) FWHM statistics from the locked couple- and rocking curve scans.

 $(\rho_{\rm s}),$ that is with Burgers vector along the c-axis, can be estimated as^{[25]}

$$\rho_{\rm s} = \beta_{\omega}^2 / 4.35 \, c^2 \tag{1}$$

where β_{ω} is the FWHM of the (0002) reflection measured with rocking curve scan, and assuming that the length of the corresponding Burgers vector equals the *c* lattice parameter of ZnSnN₂. Edge threading dislocations, with the density of $\rho_{\rm e}$ and Burgers vector perpendicular to the c-axis, do not distort

the (000*l*) planes; however typically $\rho_e > \rho_s$ in III-nitrides.^[26] For our samples ρ_s ranges from 1×10^7 to 1.3×10^8 cm⁻², see Table S2, Supporting Information. Importantly, by accounting for the edge- and mixed type threading dislocations, the total threading dislocation densities for the ZnSnN₂ epitaxial layers are expected to be higher, as indicated by the higher FWHM of the (1124) rocking curve in Figure 3b.

The dislocation density of $ZnSnN_2$ was also investigated using TEM analysis. From **Figure 4**a, showing the plan view surface of sample A, \approx 60 dislocations reaching the surface are observed over



Figure 4. Dislocation density TEM analysis of sample A. a) Plan view image showing \approx 60 dislocations reaching the surface. Three loops probably stemming from screw dislocations open to a shallow pit on the surface are observable. b) Bright-field image taken at two-beam conditions showing dislocations with Burgers vector (000/), that is screw-dislocations.

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an area of 355 nm \times 355 nm, providing the estimate for total dislocation density, $\rho_{\rm tot}$, of $\approx 5 \times 10^{10}$ cm⁻². In average as measured over several regions and images, $\rho_{\rm tot}$ was found to vary in the range of $1 \times 10^{10} - 5 \times 10^{10}$ cm⁻². Furthermore, $\rho_{\rm s}$ was measured by probing the dislocations with Burgers vector along the *c*-direction, as shown in Figure 4b, resulting in $\approx 5 \times 10^8$ cm⁻². Altogether, we confirm that in terms of the dislocation networks, ZnSnN₂ exhibits the same trend as for III-nitrides: i) the films are grown with relatively high density of dislocations emerging from the substrate-film interface, ii) the dominant extended defects observed in this material are threading dislocations, and iii) $\rho_e >> \rho_{\rm s}$.

2.2. Topographical Properties

The surface of the ZnSnN₂ films was evaluated employing a tuning fork scanning probe microscope (SPM), and several representative topography maps are shown in Figure 5. The single crystalline layers show remarkable flat surfaces, as also observed by the TEM. The flatness of the epitaxial layers is measured with the root-mean-square (RMS) roughness as mapped in Figure 5a-c and found to be 1.03, 0.95, and 1.51 nm, respectively, pits included. Neglecting the discrepancy due to pits results in the corresponding RMS roughness of 0.83, 0.85, and 0.61 nm, respectively. The smoothness of the growth surface is a known favorable feature of the HiPIMS growth, both predicted using atomistic simulations^[27] and observed experimentally.^[28] Interestingly, from Figure 5 there are no indications of columnar grains, typical for III-nitrides grown by sputtering,^[29,30] also supported by the absence of clear grain boundaries from the TEM investigations in Figure 2a. The pits that are observable in Figure 5 have a diameter in the range of 0.2 –2 μ m, whereas the depth of the pits are in range of 1-10 nm. Interestingly, such V-shaped surface defects (or pits), as highlighted by the hexagonal shapes in Figure 5a, are common for III-nitrides, and may occur by overgrowth caused by for example, threading dislocations^[31] or inversion domains.^[32] Notably, indications of such V-shaped feature is observable in the cross-sectional TEM image in Figure 2a, and might be caused by the propagating threading dislocation underneath.

2.3. Optical Properties

The optical absorption properties of the $ZnSnN_2$ single crystalline layers studied by transmittance and diffuse-reflectance

methods are summarized in Figure 6. The optical band gap (E_{o}) values were estimated by employing the Tauc method^[33] for absorbance and the Kubelka-Munk function^[34] for directallowed type of optical transitions, that is assuming a direct band gap of $ZnSnN_2$. In the present work, the E_g assessment relies on identification of both the linear Tauc-segment and baseline-segment,^[35] preventing a systematic underestimation of the E_{g} . Figure 6a shows Tauc plot representing results of the transmittance measurements, in which both the epilayer and the substrate were probed together, hence their mutual contributions are generally intermixed. However, this poses a minor problem for the absorption edge or E_g assessment in the case of two-layered materials with sufficiently contrasting properties, such as ZnO and ZnSnN₂. Indeed, while the absorption edge at ≈ 3.12 eV evidently stems from the nitrogen-implanted ZnO substrate, the extensive absorption tails spreading throughout the visible spectrum are apparently of the mixed nature. Nonetheless, one can observe the absorption onset of the ZnSnN₂ epilayers at ≈1.7 eV in the enlarged region of the spectrum (area marked in gray in Figure 6a), as presented in the inset. The absorption onset is then confirmed by the Tauc plot representing the diffuse reflectance measurements of ZnSnN₂ with the corresponding $E_{\rm g}$ estimations of 1.64–1.7 eV, shown in Figure 6b. From the data presented in Figure 6a,b and also summarized in Table S3, Supporting Information, it is clear that the optical band gaps from transmittance (E_g^T) and diffuse reflectance (E_g^R) measurements are in good agreement for all the samples, demonstrating E_g values within the 1.64–1.7 eV range. Notably, the estimated E_g of the ZnSnN₂ epitaxial layers are in excellent agreement with previous theoretical works on ZnSnN₂, where local octet rule preserving ordering is present in the material.^[14,36] Octet-rule-violating structures, for example, completely random distribution of cations, have been reported to decrease E_{g} significantly,^[14,37] indicating that the Zn and Sn atoms in the epitaxial layers are arranged in such a way to locally preserve the octet rule. Note that, in general, the optical absorption onsets may deviate from the energy of the fundamental band gap due to structural and doping effects. Indeed, the high-density structural defects are known to introduce Urbach tailing^[18,19] that in effect narrows the optical band gap, whereas the opposite trend, a blue-shift of the absorption edge known as Burstein-Moss effect,^[22,23] may also occur in the case of high doping caused by unintentional oxygen incorporation during growth, as well as intrinsic donor defects as the Sn_{Zn} antisite. With the measured carrier concentration for sample



Figure 5. Topographical measurements at a specific position in sample C applying two magnifications (a,b) and scanning different areas using the same magnification (a,c). Notably, hexagonal shaped surface defects or pits are highlighted in panel (a) with dotted lines.







Figure 6. Optical characterization and band gap estimations of the $ZnSnN_2$ epitaxial layers employing a) transmittance measurements with Taucanalysis for direct allowed transitions and b) diffuse reflectance measurements with Kubelka–Munk analysis for direct allowed transitions. The inset in (a) shows the absorption onset of the $ZnSnN_2$ material. c) The optical absorption coefficient for $ZnSnN_2$ as calculated from transmittance measurements at room temperature. The absorption of ZnO provides a reference for calibrating the absorption coefficient scale.

A of 3.02×10^{20} cm⁻³ (see Supporting Information), Burstein-Moss effect is expected to cause a blueshift in the absorption onset compared to the fundamental band gap of the epitaxial layers, correspondingly lowering the fundamental band gap as determined in this study. In both regards, the superior crystallinity of the stoichiometric epitaxial layers in the present study enhances the reliability of the E_g data. The spectral dependency of the optical absorption coefficient, α , presented in Figure 6c, was calculated from the transmittance measurements at roomtemperature, and a step-by-step illustration of the absorption coefficient estimation can be seen in Figure S2, Supporting Information. The spectral dependency of α is naturally a mix of contributions from the epitaxial ZnSnN₂ layer and the nitrogenimplanted ZnO, and the absorption of ZnO provide a reference for calibrating the absorption coefficient scale.

Finally, we note that the optical emission properties of the epitaxial $ZnSnN_2$ layers were also addressed by employing photoluminescence (PL) and catholuminescence (CL) measurements (Figures S3 and S4, Supporting Information, respectively). Both the PL and CL quantum efficiency (yield) were found to be low, probably due to non-radiative recombination channels related to the dislocations. Notably, the high efficiency

of the non-radiative recombination channels is also known for III-nitride films and can be improved in further studies applying the dislocation-reducing strategies.

3. Conclusion

In this work, the crystal quality and its impact on optical properties of ZnSnN₂ epitaxial layers were investigated. The main conclusions of this work are; i) HiPIMS growth on (0002) ZnO substrates results in superior crystal quality layers with perfect epitaxial relationship to the substrate, enabling the determination of the real space fundamental properties in this novel semiconductor: such as lattice parameters $a = 0.346 \pm 0.003$ nm and $c = 0.554 \pm 0.005$ nm. ii) Our material exhibited dislocation densities of $1 \times 10^{10} - 5 \times 10^{10}$ cm⁻², comparable to that in III-nitrides grown without dislocation-reducing strategies. iii) The optical band gap of our material was unambiguously determined to be in the range of 1.64–1.7 eV, using a combination of the transmission and diffuse reflectance approaches. Thus, we conclude that ZnSnN₂ can be grown with sufficiently high crystalline quality to be considered strain free and yield reliable

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determination of the fundamental properties, pawing the way for its use in optoelectronic applications.

4. Experimental Section

High-Power Impulse Magnetron Sputtering: $ZnSnN_2$ thin films were grown using a Polyteknik Flextura cluster equipment. Two separate 3" metallic targets of Zn (99.995%) and Sn (99.999%) were employed for reactive sputtering in a mixture of Ar and N_2 gas. The base pressure prior to growth was below 2×10^{-8} mbar, and the deposition pressure was kept at 2×10^{-3} mbar, with a constant N_2 flow of 20 sccm. The Ar flow was adjusted to meet the deposition pressure of 2×10^{-3} mbar. The substrate temperature was kept at 350 °C, and sample rotation was 10 rpm. The Zn target was placed at the HiPIMS source, and Sn target was inserted on a conventional RF magnetron sputtering source. The HiPIMS target power (Zn-target) was kept at 44 W for all depositions, whereas the RF target power (Sn-target) was varied slightly (43–49 W) around values resulting in stoichiometric films. For the HiPIMS source, the frequency was optimized to 1400 Hz.

Secondary Electron Microscopy: Compositional analysis was undertaken employing secondary electron microscopy energy dispersive X-ray spectroscopy (SEM EDS) and secondary ion mass spectrometry (SIMS). SEM EDS measurements were conducted on a JEOL IT-300 instrument equipped with a Thermo Fisher Ultradry EDS detector. Quantification results are based on standardless phi-rho-Z analysis, with data captured using 8 kV accelerating voltage, 18% detector dead time at 108 600 cps.

Secondary Ion Mass Spectrometry: SIMS mass spectra and depth profiles were obtain using a Cameca IMS 7f microanalyzer with either 15 keV Cs⁺ or 10 keV O^{\pm} as primary ions. The depth of the sputtered crater was measured by a Veeco Dektak 8 stylus profilometer. Assuming a uniform and time-independent erosion rate, the measured crater depth was used to convert sputtering time to the sample depth.

X-Ray Diffraction: XRD was utilized for structural characterization. A Bruker AXS D8 Discover system was employed. The X-ray source was Cu K α (λ = 1.5406 Å), and a Ge (220) double bounce monochromator was implemented to filter out the K α_2 signal (λ = 1.5444 Å). The instrumental broadening of the diffraction peaks of 0.008° is included in the standard error of the values given.

Transmittance and Diffuse Reflectance: Optical absorption properties of the films were derived from the transmittance and diffuse-reflectance measurements performed at room temperature using a ThermoScientific EVO-600 UV-vis spectrophotometer.

Transmission Electron Microscopy: Transparent TEM lamella was cut by focused ion beam (FIB) in which the energy of Ga ions was reduced to 2 keV in a dual beam FEI SCIOS2 equipment. TEM was carried out in a FEI THEMIS 200 microscope equipped with an image corrector of 200 keV.

Sample Preparation: The ZnSnN2 thin films were grown on 500 µm thick single crystal (0002) ZnO commercial substrates. Prior to the growth, the O-face $(000\overline{2})$ was box-implanted with N⁺ ions in order to fabricate a highly-resistive surface layer to enable subsequent reliable electrical characterization. The substrates were implanted with N ions in two steps; i) to a dose of 1×10^{14} cm⁻³ with an energy of 36 keV, and ii) to a dose of 2×10^{14} cm⁻³ with an energy of 180 keV. After implantation, the substrates were cleaned in acetone, isopropanol and di-water, dried in N₂ flow and annealed in O₂ atmosphere at 1150 °C for 1 h to recover structural damages caused by the implantation step, and prepare a substrate surface suited for epitaxial thin film growth. In the sputter chamber, the substrates were kept at 350 °C for 30 min before deposition to stabilize the substrate temperature, since the temperature fluctuations can strongly affect the structural properties of the material. In between characterization steps, all films were contained in a nitrogen atmosphere to minimize the oxidation effects.

Supporting Information

Supporting Information is available from the Wiley Online Library or from the author.

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Conflict of Interest

The authors declare no conflict of interest.

Data Availability Statement

The data that support the findings of this study are available from the corresponding author upon reasonable request.

Keywords

band gap, dislocation density, epitaxy, high-power impulse magnetron sputtering, II-IV nitrides, lattice constants, $ZnSnN_2$

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