### Review

# The Association of Periodontitis and Alzheimer's Disease: How to Hit Two Birds with One Stone

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Abstract. Alzheimer's disease (AD) is the leading cause of cognitive impairment in the elderly. Recent evidence suggests 13 that preventive interventional trials could significantly reduce the risk for development of dementia. Periodontitis is the 14 most common dental disease characterized by chronic inflammation and loss of alveolar bone and perialveolar attachment 15 of teeth. Growing number of studies propose a potential link between periodontitis and neurodegeneration. In the first part 16 of the paper, we overview case-control studies analyzing the prevalence of periodontitis among AD patients and healthy 17 controls. Second, we survey observational libraries and cross-sectional studies investigating the risk of cognitive decline 18 in patients with periodontitis. Next, we describe the current view on the mechanism of periodontitis linked neural damage. 19 highlighting bacterial invasion of neural tissue from dental plaques, and periodontitis induced systemic inflammation resulting 20 in a neuroinflammatory process. Later, we summarize reports connecting the four most common periodontal pathogens to 21 AD pathology. Finally, we provide a practical guide for further prevalence and interventional studies on the management of 22 cognitively high-risk patients with and without periodontitis. In this section, we highlight strategies for risk control, patient 23 information, dental evaluation, reporting protocol and dental procedures in the clinical management of patients with a risk 24 for periodontitis and with diagnosed periodontitis. In conclusion, our review summarizes the current view on the association 25 between AD and periodontitis and provides a research and intervention strategy for harmonized interventional trials and for 26 further case-control or cross-sectional studies. 27

28 Keywords: Alzheimer's disease, dental health, intervention, neurodegeneration, neuroinflammation, periodontitis, prevention

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#### INTRODUCTION

Alzheimer's disease (AD) is the leading cause of cognitive decline affecting millions of people worldwide [1]. Symptoms usually occur at in the 60s and 70s of patients, starting with the loss of episodic memory functions, impairment of visuo-spatial skills, and

orientation difficulties. AD is characterized by the 35 pathologic accumulation of hyperphosphorylated tau 36 neurofibrils and amyloid plaques in the neural tis-37 sue leading to progressive neurodegeneration [2]. 38 Histology and molecular studies revealed that the 39 pathologic process starts with the formation of extra-40 cellular amyloid deposits, and it leads to changes 41 in the production, phosphorylation, cleavage, and 42 elimination of tau. The proposed phenomenon is 43 known as the amyloid cascade theory [3]. A rel-44 atively novel idea that the early changes in the 45 misfolding process of pathologic proteins are highly 46 influenced by inflammatory mechanisms shifting the 47 anti-inflammatory processes into a pro-inflammatory 48 state. It is defined as the inflammatory hypothesis of 49 AD [4]. Seemingly, only 1-3% of AD cases are char-50 acterized by the mutation of a single gene typically 51 leading to prominent changes of amyloid metabolism 52 and resulting in the familial forms of AD [5]. In most 53 patients, genetic risk and environmental factors both 54 contribute to the pathologic process. 55

While the deterioration of cognitive abilities 56 is currently not treatable, growing body of evi-57 dence proposes the beneficial effect of personalized 58 interventional therapy on the prevention of AD. 59 A 2-year Finnish multidomain interventional trial 60 applying diet changes, regular exercise, cognitive 61 training, and monitoring of cardiovascular risk fac-62 tors demonstrated that personalized intervention 63 can significantly improve or maintain the cogni-64 tive functions of elderly individuals [6]. This has 65 been reinforced by a meta-analysis of population-66 based European and American studies founding that 67 approximately 30% of AD cases is caused by modifi-68 able conditions including diabetes, midlife obesity, 69 midlife hypertension, depression, smoking, physi-70 cal inactivity, and low educational background [7]. 71 Additional but less known factors might be impor-72 tant contributors as well such as kidney disease [8], 73 hearing loss [9], sleep apnea [10], anxiety [11], early 74 menopause [12], and epileptic seizures and epilepti-75 form activity [13]. Oral diseases might be potential 76 candidates due to the high population prevalence and 77 the interaction with inflammatory mediators [14]. 78

Periodontal disease or periodontitis (PD) is the 79 most common oral condition affecting approximately 80 20-50% of human population [15]. PD is a chronic 81 inflammatory disease of the periodontium resulting 82 in loss of perialveolar ligaments and alveolar bony 83 material. This process is primary related to the accu-84 mulation of pathogenic bacteria in subgingival dental 85 plaques [16]. The continuous inflammation results 86

in apical migration of the gingival junction forming 87 periodontal pockets serving as continuous reservoir 88 for the pathogenic bacteria. PD progresses slowly and 89 long-term outcome depends on the speed of bacterial 90 accumulation of the dental plaques [15]. Untreated 91 PD may lead to chronic inflammation and increased 92 tooth mobility [17]. The risk factors of PD are shared 93 with AD in a large extent including aging, smok-94 ing, female hormonal changes, diabetes, obesity, and 95 chronic stress [1, 15]. While it can easily lead to 96 tooth loss and therefore significantly affecting the 97 quality of life, it also associates with numerous phys-98 ical conditions. A meta-analysis of 9 studies found 99 that PD increases the risk of cardiovascular disease 100 with 19% and the elevation is more prominent at the 101 age > 65 reaching 44% relative risk [18]. A prospec-102 tive cohort study analyzing 628 subjects identified 103 that diabetic patients with severe PD have 3.2-times 104 elevated mortality in a 11-year follow-up [19]. Obser-105 vational studies also found that PD patients have 106 a significantly higher risk for developing chronic 107 kidney disease [20], respiratory diseases [21], and 108 gastrointestinal cancers [22]. Since AD and PD share 109 many risk factors and they associate with similar 110 chronic physical conditions, it is intriguing to ana-111 lyze their potential connection in the pathophysiology 112 of AD. 113

The aim of the current opinion review is to summarize the results of studies on the prevalence of cognitive decline among patients with oral diseases and prevalence of PD in AD patients. Furthermore, we also highlight the possible pathologic mechanisms linking PD and AD with the description of the potential bacterial candidates. We also propose exact promising therapeutic approaches with a practical guide, potentially serving as a basis for further interventional studies.

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### PREVALENCE OF ORAL DISEASES IN ALZHEIMER'S DISEASE

Patients with cognitive decline have a higher chance for impaired physical condition since neurodegenerative diseases like AD significantly affect self-care, motor, and autonomic functions. This leaves us with patients who are either unable or uninterested in carrying out daily hygienic tasks and in addition, some pharmacological treatment given to AD patients decrease salivary flow and increase risk of dental caries as well as xerosotomia and candidiasis [23]. In our analysis on the

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existing literature, we searched studies identifying 136 periodontal (or unhealthy dental state in general) sta-137 tus in AD patients. A search for "Periodontitis" and 138 "Alzheimer" in PubMed yielded 171 results (years 139 2000-2020), and we selected papers for review based 140 on the following inclusion criteria: 1) case-control 141 studies with at least 40 subjects; 2) papers with 142 meta-analysis method; 3) compared subject groups 143 consist of healthy controls versus patients with AD 144 spectrum disease (AD or amnestic type mild cog-145 nitive impairment (MCI)); 4) original peer-reviewed 146 articles published in academic journals with impact 147 factors. Cohort or cross-sectional studies were not 148 included. We preferred the case-control design since 149 databases on AD patients and healthy controls con-150 taining dental records are barely available. 13 studies 151 (10 case-control and 3 meta-analysis) met the pro-152 posed criteria. 153

A case-control study [24] including 70 AD patients 154 and 36 healthy controls reported that tooth loss in 155 the AD group was 2.5-times more frequent than in 156 the control group, and AD patients had 3 times more 157 filled teeth and dental caries than the control group. 158 It was found that the age and presence of AD were 159 strong predictive factors for diminished condition of 160 oral health. Poor periodontal health was also observed 161 in the AD group compared to healthy controls [24]. 162 Another study [25] investigated the oral health of 154 163 patients with neurocognitive decline (ranging from 164 MCI to AD) and concluded that PD was associated 165 with early cognitive impairment and AD, highlight-166 ing the increased number of deep periodontal pockets 167 (OR = 8.43) and dental caries (OR = 3.36) in the neu-168 rodegenerative cases compared to controls [25]. 169

A handful of systematic meta-analysis papers have 170 recently been published to help determine whether 171 the available evidence supports the higher incidence 172 of PD in dementia [26–29]. A study of Gusman et 173 al. [27] analyzed 4 reports (3 case-control and 1 174 cross-sectional) revealed significantly higher occur-175 rence of PD in dementia; however, with the removal 176 of the cross-sectional report, results appeared non-177 significant. The report of Maldonado et al. included 178 5 studies (3 case-controls and 2 cross-sectionals) 179 and demonstrated that AD patients show significantly 180 worse periodontal variables [28]. A recent paper from 181 Asher et al. analyzed 16 studies and demonstrated 182 that partial tooth loss was associated with high risk 183 of cognitive impairment. It also states that the overall 184 quality of evidence is low [29]. These reports agreed 185 that most of the reviewed studies conducted only a 186 point-analysis with various dental methodology, so it 187

was impossible to rule out the deterministic role of impaired dental status in cognitive impairment. They also concluded that well designed, large sample size, longitudinal studies were needed to confirm the correlation between the development of AD and presence of PD [30].

In one such longitudinal study [31], the serum IG levels of bacteria (recognized as PD pathogens) were measured in 219 subjects. Although it is known that the physiological antibody responses to periodontal bacteria are influenced by many different factors beyond the clinical periodontal status (such as race/ethnicity, smoking habits, and likely also unmeasured health behaviors), the study found that patients with cognitive decline had higher serum IgG levels to common periodontal microbiota [31].

All case-control studies (see Table 1) compared cognitively impaired individuals to healthy controls with group comparisons focusing on dental status as dependent variable. All converge on a consensus of declined periodontal health in patients with AD spectrum disorders compared to their age matched controls. The cited reports used mostly retrospective approach and did not analyze cognitively normal patients with PD in a prospective follow-up. It should be noted that all studies propose statements regarding the need for further investigation, mainly prospective longitudinal to reveal the role and importance of PD in the progression of AD.

#### RISK OF COGNITIVE DECLINE IN PATIENTS WITH ORAL DISEASES

Oral diseases like caries, PD, oral cancers, orodental trauma, cleft lip and palate, and noma pose a prominent health burden for all countries, affecting approximately half of the human population [39]. Oral diseases frequently associate to higher occurrence of many physical conditions [15] and seemingly to neurological disorders as well.

To fully understand the causative direction of PD-AD link, the frequency of cognitive decline in patients with decreased oral health but normal cognitive status must be investigated. If AD patients were found to have a higher incidence of periodontal characteristic (as it was shown in the previous section of this paper), but we cannot find an increased rate of cognitive decline among patients with known oral diseases, we cannot attribute the inflammatory component of PD to the neurocognitive decline. To investigate this link, from the 171 previously 189 190 191

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| Study                         | Number of subjects                        | Major findings  |
| Aragón et al., 2018 [24]      | 70 AD, 36 Control                         | Statistically significant worse dental, periodontal,<br>salivary, and prosthetic status among AD group<br>compared to control.  |
| Holmer et al., 2018 [25]      | 154 AD, MCI, and SCD<br>cases, 69 Control | Alveolar bone loss and decreased oral health (deep<br>periodontal pockets and dental caries) was<br>statistically significant in the CI group.                                    |
| Rolim et al., 2014 [32]       | 29 AD, 30 Control                         | High prevalence of periodontal infections ( $p = 0.002$ ) in AD group compared to control.  |
| Noble et al., 2014 [31]       | 110 AD, 109 Control                       | Higher serum IgG levels against common PD bacteria in AD.   |
| Maurer et al., 2018 [33]      | 20 AD, 20 Control                         | Higher bacterial load and inflammation levels was<br>shown in AD cases compared to controls. Antibiotic<br>treatment reduced periodontitis and improved<br>cognition in AD cases. |
| Lin et al., 2020 [34]         | 209112 AD, 836448<br>Control              | Extraction of more than 4 teeth associates with higher occurrence of dementia.  |
| Cestari et al., 2016 [35]     | 25 AD, 19 MCI, 21<br>Control              | AD patients have higher IL-6 serum level, while<br>patients with PD have higher TNF-alfa. There is an<br>overlap in the cytokine profile between PD and AD.                       |
| Rai et al., 2010 [36]         | 20 AD, 32 Control                         | Higher level of cytokines in AD. Higher prevalence of<br>gingival inflammation, dental plaque, bleeding on<br>probing and larger probing pocket depth in AD.                      |
| Gatz et al., 2006 [37]        | 310 CI, 3063 Control                      | Higher occurrence of teeth loss before age 35 in dementia group.  |
| Gil Montaya et al., 2015 [38] | 180 CI, 229 Control                       | Significant association between cognitive impairment<br>and clinical attachment loss.   |

 Table 1

 Summary of case- control studies analyzing the presence of periodontitis in Alzheimer's disease spectrum patients

AD, Alzheimer's disease; MCI, mild cognitive impairment; SCD, subjective cognitive decline; PD, periodontitis; CRP, C-reactive protein; IL-6, interleukin 6; MMSE, Mini-Mental State Examination; CI, cognitive impairment.

described results we selected studies using the fol-237 lowing criteria: 1) matched-cohort or cross-sectional 238 observational studies with at least 40 subjects; 2) 239 papers with meta-analysis method; 3) compared sub-240 ject groups consist of healthy controls versus patients 241 with PD; 4) original peer-reviewed articles pub-242 lished in academic journals with impact factors. 243 We preferred the matched-cohort or cross-sectional 244 observational design since large databases contain-245 ing dental records are available on cognitively normal 246 individuals. Twelve studies met the proposed criteria 247 (see Table 2). Studies with meta-analysis were not 248 available. 249

Six studies applied retrospective approach. A 250 nationwide population based matched-cohort study 251 analyzing cognitive decline in patients with con-252 firmed dental diseases was conducted in Taiwan. 253 This impressively large study [40] included 9,291 254 patients aged 50+who had been newly diagnosed 255 with chronic PD. This sample was compared to 256 18,672 patients without PD, matched according to 257 sex, age, and index years (1:2 ratio). Both groups 258 were followed from the index date until the diag-259 nosis of AD, death, or 31 December 2013. While 260 the study found that there is no significant differ-261

ence between the groups regarding 1-year risk of AD development after chronic PD exposure, 10-year PD CP exposure was associated with a 1.707-fold increase in the risk of developing AD [40]. The Third National Health and Nutrition Examination Survey (NHANES-III) containing medical data of 2,355 individuals revealed that high level of Porphyriomonas gingivalis (P. gingivalis) antibody was significantly connected to lower performance on delayed verbal memory (OR: 3.01) [41]. A second analysis of the same cohort examined the relationship between oral health indicators (gingival bleeding, loss of periodontal attachment, loss of teeth) and cognitive function (measured with Symbol Digit Substitution Test, Serial Digit Learning Test and Story Recall test) among 5,138 participants. After covariate adjustment, gingival bleeding and loss of periodontal attachment was associated with impairment in digit substitution performance and gingival bleeding was connected to decreased ability of digit learning [42]. An impressively robust report of the National Health Insurance Service-National Health Screening Retrospective Cohort database of South Korea analyzed 10,115 patients with chronic PD and 10,115 age- and sex-matched control subjects. Severe PD

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| Study                          | Number of subjects           | Major findings  |
|--------------------------------|------------------------------|---|
| Chen et al., 2017 [40]         | 9,291 CP, 18,672 Control     | Subjects with CP had a higher risk of developing AD than those without CP.  |
| Noble et al., 2009 [41]        | 2,355 Subjects               | Higher levels of <i>P. gingivalis</i> IgG is associated with poor delayed verbal recall and subtraction regardless of socioeconomic and vascular variables.   |
| Stewart et al., 2008 [42]      | 6,693 Subjects               | Worse scores on oral health status are linked to poorer age-adjusted cognitive function.  |
| Kim et al., 2020 [43]          | 10,115 PD, 10,115<br>Control | Patients with severe periodontitis with 1-9 remaining<br>teeth had a significantly higher risk of developing AD,<br>vascular dementia, and mixed dementia.  |
| Han et al., 2020 [44]          | 411 Subjects                 | Smaller number of functional teeth and functional<br>occlusal units is linked to increased cognitive<br>impairment.   |
| Kamer et al., 2012 [45]        | 150 Subjects                 | Participants with PI with higher number of missing teeth<br>have lower mean scores on the Digital Symbol and<br>Block Design tests.   |
| Stein et al., 2010 [46]        | 144 Subjects                 | Participants with apolipoprotein ɛ4 allele and lower<br>number of teeth had lower scores of Delayed Word<br>Recall at first examination and declined at a higher<br>rate compared to those without the presence of these<br>two risk factors. |
| Kaye et al., 2010 [47]         | 597 Subjects                 | Each tooth loss per decade was linked to greater decline<br>of the Mini-Mental State Examination score and<br>spatial copying score.  |
| Sparks Stein et al., 2012 [48] | 158 Subjects                 | Baseline serum antibody levels to <i>Fusobacterium</i><br>nucleatum and <i>Prevotella intermedia</i> were<br>significantly increased in patients who later developed<br>AD compared to those who remained controls.                           |
| Kim et al., 2007 [49]          | 686 Subjects                 | Significant connection was found between having fewer teeth and dementia and AD in a 2,4-year follow-up.  |
| Arrivé et al., 2012 [50]       | 405 Subjects                 | People with 11 or more missing teeth and higher education had a higher risk of dementia.  |
| Okamoto et al., 2015 [51]      | 2,335 Subjects               | The risk of developing mild memory impairment is increased by each tooth lost.  |

Table 2

Summary of matched-cohort and cross-sectional observational studies analyzing the risk of cognitive decline in patients with oral diseases

CP, chronic periodontitis; AD, Alzheimer's disease; PD, periodontitis; PI, periodontal inflammation.

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was significantly associated to increased risk for AD (hazard ratio (HR):1.08) and other forms of dementia (HR: 1.24 for vascular dementia and 1.16 for mixed dementia) [43]. A report on the analysis of the Korean Longitudinal Study on Cognitive Aging and Dementia (KLOSCAD) included 441 participants and demonstrated that higher number of functional teeth and functional occlusal units were associated with lower odds ratio (OR) of cognitive impairment (OR: 0.955 and 0.9, respectively) [44]. A Danish report analyzing 70-year-old individuals found that subjects with periodontal inflammation, with lower number of teeth have lower mean of Digit Symbol and Block Design score [45].

Six prospective studies are available. A report of Stein et al., analyzing the results of Nun study involving 144 individuals, demonstrated that lower number of teeth (range 1–9) associate with faster decline in cognitive scores measured with Delayed Word Recall test [46]. The Veteran Affairs Dental Longitudinal 306 Study followed 597 participants during 32 years with 307 a regular, 3-yearly repeated dental examination. Each 308 tooth loss per decade was associated with increased 309 decline in Mini-Mental State Examination Score 310 (HR: 1.09). Alveolar bone loss (HR: 1.03) and prob-311 ing pocket depth (HR: 1.04) had an accelerating effect 312 as well [47]. A serum antibody experiment using the 313 Biologically Resilient Adults in Neurological Stud-314 ies database examined 158 participants. 81 developed 315 dementia and 77 remained cognitively intact in the 316 years of follow-up. Baseline antibody level against 317 Fusobacterium nucleatum and Prevotella interme-318 dia was significantly increased among individuals 319 developing dementia [48]. A cross-sectional analy-320 sis nested within a study community dwelling elderly 321 resident in South Korea revealed that fewer number 322 of teeth was significantly connected to higher risk 323 for developing AD in a 2.4-year follow-up [49]. A 324

French observation in the region of Gironde follow-325 ing elderly at the age of 66-80 in a 15-year long 326 period demonstrated 1.13 adjusted HR for number 327 of missing teeth > 11 [50]. A study of Okamoto et al. 328 examined 2,335 cognitively intact individuals in a 5-329 year long prospective setup and found 1.02 OR for 330 mild memory impairment per 1 tooth loss at baseline 331 [51] 332

While there is a clear need for further longitudinal 333 follow-up studies on patients with dental diseases, 334 the above studies already indicate that dental disor-335 ders might contribute to the development of cognitive 336 decline. Unfortunately, studies with meta-analysis on 337 the risk of cognitive impairment among patients with 338 PD are not available. Since PD affects an estimated 339 11.2% of the world's population [52], individual 340 effect of PD should be analyzed in longitudinal stud-341 ies. 342

### THE POTENTIAL LINK BETWEEN ORAL DISEASES AND NEURODEGENERATION

There are a few explanations that connect a chronic 345 peripheral inflammatory disease to degeneration of 346 the neural system, but two have been placed as the 347 central pillars of the neuroinflammatory hypothesis 348 connected to oral microbiome. These are (1) local 349 invasion of pathogens in the central nervous system 350 (CNS), and (2) bacteria related effect of inflammatory 351 proteins and activated microglia inducing amyloid 352 cascade. 353

#### 354 Local invasion of pathogens

In 1884, Robert Koch formulated the famous 355 Koch's postulates [53], which allowed microbiol-356 ogists ever since to produce absolute proof that a 357 specific microorganism causes a specific disease, 358 revolutionizing the field of microbiology. However, 359 with our advancing knowledge of human pathology, 360 especially multifactorial diseases, we now know that 361 Koch's postulates do not hold for chronic diseases 362 of possible microbial etiology, or for those that are 363 multifactorial in origin. When considering diseases 364 that begin taking effect at advanced age, a pathogen 365 acting earlier in life might cause its damage via a 366 "hit-and-run" mechanism [54] or could eventually 367 be present only at a low concentration beneath the 368 threshold needed to identify the organism at all. In 369 multifactorial diseases, the causative organism might 370 be missing if the disease is caused by some other fac-371 tors [54]. Since AD is a multifactorial disease, it is 372

intriguing to analyze the presence of specific bacteria whit a potential biological background to participate in the neurodegenerative process.

Two routes by which it might be possible for pathogenic bacteria present in the oral cavity to enter the CNS are thought to be the intravascular route and via peripheral nerves. Evidence gathered for these routes has been obtained from studies associating each specific organism with AD and will be described in further detail in the "High risk pathogens" section of this paper. Bacteraemia of oral origin can occur during many daily dental's and nondental manipulations, and when pathogenic members of the microbiota are released from their microenvironment. they can migrate through our systemic circulation intracellularly. Under the intravascular route, we can point at monocytes or macrophages for functioning as vehicles that transport the pathogens into the CNS. Maclnyte et al. [55], showed that monocytes infected with periodontal pathogens can stimulate transendothelial entry of monocytes through human brain endothelial cells, via upregulation of adhesion molecules on both receptor and cell. When Chlamydia pneumoniae infected a cell sample, researchers witnessed an increase in expression of adhesion molecules such as ICAM-1 and VCAM-1, and similarly bacterial colonization of monocytes increased integrin (LFA-1 and MAC-1) expression. With these two key components increased because of alerted molecular expression, a 3-fold rise in migration of monocytes was detected through this blood-brain barrier model. Data collected in a study on the topic [56], suggested that a compensatory response was observed to maintain barrier integrity at the adherent junction, where downregulation of junctional proteins increased permeability. A 72-hour measurement of these proteins was done [56] and found that permeability changes were transient. These finding suggest that an increased chance of transmigration of monocytes through blood-brain barrier, could be the cause of neurodegeneration.

The entry of pathogens to the CNS via the peripheral nerve route has been illustrated in Riviere's studies that showed that certain spirochete species were detected in the trigeminal ganglia and pons, highlighting the ability of oral bacteria to invade CNS via peripheral nerves [57]. This suggestion is supported by finding oral treponemas in the trigeminal ganglia in both AD and control patients [58, 59]. Treponemas were found to be concentrated in foci and their histological location was identified by PCR analysis. Although the initial point of entry can-

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Fig. 1. The intravascular route for bacterial invasion of central nervous system. A) Periodontal bacteria are able to invade alveolar capillaries and monocytes serve as transportation vehicles between alveolar and cerebral arteries. B) Infected monocytes could overexpress adhesion molecules like ICAM resulting in accelerated transendothelial entry of periodontal bacteria to neural tissue through blood-brain barrier.

not be determined, a suggestion has been laid forth 425 that Treponema originating from the oral cavity can 426 invade both peripheral nervous system and CNS [58]. 427 Another study supporting this hypothesis was inves-428 tigating the immune infiltrates in the brain induced 429 by peripheral stimulation. This was done by inject-430 ing L. monocytogenes into the oral mucosa of mice 431 and goat. After just 6 days, 47/65 (72%) of mice 432 developed signs of CNS inflammation. Further inves-433 tigation found mononuclear infiltrations all along the 434 entire trigeminal nerve from the lips to the medulla 435 [60]. 436

Once in the brain, periodontal bacteria that are rich 437 in lipopolysaccharides (LPS) are capable of stimu-438 lating cytokine production and further potentiating 439 AD neuropathology mechanisms, such as priming 440 of microglia in response to amyloid- $\beta$  (A $\beta$ ), dys-441 regulation of amyloid- $\beta$  protein precursor (A $\beta$ PP) 442 and A $\beta$  and initiating a neurotoxic loop [61] that 443 changes from physiological to pathological when 444 the intended neuroprotective functions of glial cells 445 contribute to exacerbation of AD pathology. The 446 presence of primed (activated) microglia can be 447 attributed to either local or systemic inflammatory 448 responses. These primed microglia are hypothe-449 sized to be a key part of AD neuropathology 450 via inducing a higher production of inflammatory 451 mediators and chronic overreaction to subsequent 452 stimuli [62]. 453

454 Over prolonged periods of time, such as those dur455 ing chronic PD infections, the presence of pathogenic
456 bacteria in the CNS can increase the levels of inflam457 matory products that may directly contribute to

neurodegeneration via injury of surrounding noninfected cells resulting in in neuronal loss [63].

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## Inflammatory amyloid cascade and oral pathogens

As suggested earlier, a second mechanism exists through which periodontal pathogenic bacteria can induce further neurodegeneration and propagate AD in the brain. This mechanism does not include invasion of pathogens into the CNS directly, but the same effect is achieved through a PD derived increase of inflammatory molecules in the brain.

Cytokines are known to play a key role in any inflammatory response, and this is true for the neuroinflammation involved in modulating CNS function in AD pathology. These cytokines are relatively large hydrophilic molecules that cannot cross the blood-brain barrier directly and as such their action on the brain cannot be direct but requires intermediate molecules. The way these cytokines affect brain function has been studied intensively over the past decade. Activation of vagal afferents by cytokines offers a rapid signaling mechanism into the CNS, and this suggestion has been moved to the front of the possible mechanisms list with studies done on c-fos expression and vagotomy experiments [64]. When considering cytokine-induced hypothalamicpituitary-adrenal axis activation, peripheral cytokines have been proposed to activate the nucleus of solitary tract via vagal afferents, this message continuing to the paraventricular nucleus of the hypothalamus through a noradrenergic pathway [65]. However, a



Fig. 2. Peripheral neural route for bacterial invasion of central nervous system. A) Red complex bacteria of periodontal plaques invade axon terminals. Alveolar tissue is highly innervated by sensory branches of trigeminal nerve. B) Fibers of trigeminal nerve terminate in intracranial ganglia providing an ideal environment for the survival of periodontal bacteria. Ganglionic axons could serve as a potential route toward the brain stem nuclei for bacterial invasion.

totally exclusive neural transmission cannot account for the fact that elimination of brain cytokines repeals the key aspects of the acute phase response (such as behavioral depression or fever). It has therefore been proposed by Danzer et al. 2000 [64] that activation of the vagal afferents by peripheral cytokines will induce a brain cytokine message that is responsible for the neuroinflammatory response. There is now sufficient evidence [64] to accept that cytokines can enter the brain from circumventricular organs (bypassing the blood-brain barrier) and the choroid plexus and propagate throughout the brain by extracellular diffusion to reach their target cells. It is now tempting to suggest that the humoral pathway is responsible for the passage of cytokines into the brain and the function of the neural pathway is to further sensitize and modulate target brain areas to increase or alter the effects of diffusing cytokines [64].

The host response triggered by PD results in increased levels of inflammatory molecules such as TNF $\alpha$ , IL1 $\beta$ , IL6, IL8, and CRP. We will not go over the role of each specific chemokine in the inflammatory amyloid cascade hypothesis of AD but refer readers to a great review on this topic that was published by [66]. However, it is worth mentioning that TNF-  $\alpha$ , IL-1, and IL-6 play a role in induc-515 ing cleavage of A $\beta$ PP into A $\beta$ , and that the mere 516 presence of AB plaques increase the local concen-517 tration of these inflammatory cytokines, resulting in 518 a feedback cascade that propagates AD. Since these 519 cytokines may act on already primed glial cells result-520 ing in an amplified reaction, we can piece together 521

the "Amyloid Cascade Hypothesis" with the "Neuroinflammatory Hypothesis". A test of this combined mechanism would require examination whether PD affects the progression of AD regarding time or severity. According to the model proposed by Kamer et al. [57], periodontal bacteria induce production of pro-inflammatory cytokines and C-reactive protein (CRP) which stimulate glial cells to produce  $A\beta_{42}$  and hyperphosphorylated tau protein which results, in further production of inflammatory molecules. Thus, a vicious circle is established by the double role of these cytokines in activating pathways leading to neurodegeneration [57].

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Activated microglia (over)release many inflammatory mediators in the brain including cytokines, prostaglandins, and acute phase proteins. The dysregulated handling and metabolism of AB aggregates and ABPP is of paramount importance in AD pathogenesis. A peripheral inflammation such as periodontitis can increase cytokine production that alter the regulation of ABPP and AB. Griffin et al. [67] suggested that IL-1 is critical to the processing of ABPP and tilts the scale on the function of microglia to ensure continued AB deposition and the cyclical continuation of inflammatory response and cytokine overexpression [63]. The local CNS levels of IFNgamma and TNF $\alpha$  are also increased during chronic systemic inflammation or during bacterial colonization of CNS tissue. These inflammatory mediators also trigger A $\beta$  peptide production and alter the metabolism of AB and ABPP, in addition to inhibiting soluble ABPP secretion [68]. Beta-secretase, a protease that is responsible for cleaving ABPP



Fig. 3. The systemic inflammatory mechanism of periodontal bacteria induced neurodegenerative process. A) Bacterial lipopolysaccharides released from gingival plaques induce systemic immune response resulting in the elevation of numerous cytokines as IL-6 or IL-8. B) Cytokines may leak into neural tissue in circumventricular organs, where blood-brain barrier is absent. Chronic neural presence of cytokines could lead to a constant activation of microglia resulting in changes of amyloid clearance mechanism. Long-term stimulation of microglia associates with increased formation of extracellular amyloid plaques. Parallelly, cytokine level increases in neural tissue due to the release from microglia. The described process terminates in a vitious circle where cytokines stimulate microglia, and it releases more cytokines. Overactivation of microglia results in increased amyloid burden and amyloid plaques serve as stimulator of microglial cells.

into its toxic Aβ peptides, was shown to have
 its concentration increased by local inflammatory
 mediators [69].

In the above-mentioned vicious cycle A $\beta$  aggregation further triggers the activation of microglial cells which in response produce acute-phase proteins, complement components, prostaglandins, and cytokines that further stimulate A $\beta$  production and aggregation. This response may be more injurious than the plaques and tangles to which inflammatory processes are responding, resulting in neural damage [63]. To further increase the devastating potential of this neurotoxic loop, other proinflammatory cytokines such as IL-6 and TNF- $\alpha$  can stimulate further A $\beta$  production, aggregation, and toxicity [63].

#### 571 HIGH RISK PATHOGENS

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An observational study conducted on patients with 572 both active chronic PD and AD, suggested that after a 573 6-month period, a significant decline in cognition was 574 found among AD patients with periodontitis in com-575 parison to AD patients without active chronic PD, 576 suggesting a link between neural degeneration and 577 the presence of periodontal pathogens [14]. When 578 attempting to correlating AD with PD, we should 579 try to pinpoint the specific bacteria that provide a 580 connecting link. In a consensus report written in 581

1996, P. gingivalis, A. actinomycetemcomitans, and T. forsythia were reported to be the three critical periodontal pathogens [70]. A study was even conducted in 2009 suggesting elevated levels of TNF and antibodies against these three bacteria were found in patients with AD (72% of AD subjects were found with at least 1 antibody compared to 38% of control) [71]. Another study claimed PD to be a polybacterial consortium focusing on P. gingivalis, T. denticola, and T. forsythia [72], and a claim for correlation between these "red complex pathogens" to AD was strengthened through many studies conducted in the past decades observing more oral pathogens (or inflammatory signals that mediate oral-inflammation) in AD patients' CNS [70-72]. Since the above mentioned four bacteria form the "red complex" pathogens of PD, we highlight studies on these in relation to AD.

#### Spirochetes (Treponemas)

Spirochetes are well-known and defined periodontal pathogens with 60 different species of Treponema observed in subgingival pockets of patients with diagnosed periodontitis [73]. We can estimate that spirochetes account for 10% of the bacterial diversity found in host tissue in a periodontal environment, and when accounting for inflamed pockets, Spirochetes as a group account for 20% to 50% [74]. This number

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changes between individuals, where characteristics
such as socio-economical background or even host
genetics have a key role in the precise composition
of the microbiome [75].

PCR analysis of 16 AD brains found six different 613 periodontal Treponemas (T. denticola, T. pectinovo-614 rum, T. vincenti, T. amylovorum, T. maltophilum, T. 615 medium, and T. socranskii) in 14 of the studied brain. 616 while only 4/18 of controls [58]. T. denticola and T. 617 vincenti were found in a statistically significant por-618 tion of PD patients as opposed to normal subjects [76, 619 77]. In addition, newly observed spirochetes were 620 also found in these samples (T. pecitonovorum and 621 T. socranskii) [74]. It is important to note that when 622 assessing what subtype of spirochete would be a key 623 component in the PD and AD link, we must consider 624 a possibility of coinfection of multiple treponemas, 625 and that they exhibit frequent pleomorphism in host 626 tissue [58, 78]. 627

The effects of Treponemal spirochetes on human 628 brains have been investigated for a while (the first 629 paper was published in 1913), with Treponema pal-630 lidum in its atrophic form suggested to be a major 631 cause for slowly progressive dementia, cortical atro-632 phy, and even local amyloidosis in the brain of general 633 paralysis cases [79]. In another study, 60 patients with 634 atrophic general paresis were analyzed and a correla-635 tion was found between an increase in spirochetes and 636 spirochetal plaques (mostly hippocampal and frontal 637 cortex), and the severity of cortical atrophy [80]. Tre-638 ponema infections can increase TNF productions by 639 macrophages, with spirochetal lipoproteins having 640 a key role in both local and systemic inflammation 641 induced by the bacteria. In such treponema medi-642 ated inflammations, CRP and serum amyloid A levels 643 were elevated [81], with increased CRP being corre-644 lated statistically to AD and dementia in a 25 year 645 follow up study [82]. 646

To establish a bacterial link between PD and AD, 647 evidence must be found of periodontal pathogens in 648 confirmed AD patients. In a meta-analysis, in 91.1% 649 (451/495) of AD patients were positive for Spirochete 650 markers, while all 185 control samples were nega-651 tive [73]. A strong statistical correlation was shown 652 between AD and oral Treponemas, not only because 653 there was a much higher prevalence of Treponema 654 DNA found in the brains of AD patients than con-655 trols, but there was also a much more diverse range 656 of Treponemal species [60]. While Spirochetes offer a 657 possible bacterial link between low grade oral inflam-658 matory disease and AD, more research is needed to 659 finalize a conclusion.

#### Porphyromonas gingivalis

Periodontal tissue is known to exhibit a wide range of bacterial species. Strong evidence has accumulated to suggest that *P. gingivalis* is a key player in PD. *P. gingivalis* can be found with greater frequency and at elevated levels in diseased sites [83–86]. In one study, 301 patients were examined, and a significant correlation was shown between the prevalence of *P. gingivalis* and periodontitis. Among PD patients 79% (103/130) tested positive for the pathogen, in contrast to 25% (46/181) positivity of healthy patients [87]. Statistical analysis suggested that the odds ratio for being infected with *P. gingivalis* was 11.2 times greater in patients with periodontitis than the control group.

*P. gingivalis* is known to be a very resilient pathogen, which might explain why even a low amount in the brain for a duration of over 3 decades could be enough to contribute to local inflammation [88]. Two key molecules that are essential for the survival of *P. gingivalis* and its pathogenicity are Kgp and RgpA/B. They are known to play a critical role in the inactivation of host defense, nutrient acquisition, and host colonization. In a study published in 2019, 53 middle temporal gyri samples from AD brains were analyzed and 51 (96%) tested positive for RgpB and 49 (91%) for Kgp [89].

In a study conducted to examine the possible relation between *P. gingivalis* and AD, in mice infected with oral *P. gingivalis* the production of A $\beta$  plaques was increased and colonization of the bacteria in the brain could be spotted [90]. Another study found that in 4/10 AD postmortem brain sections labelled with mouse anti-*P. gingivalis*, strong cellular attachment to the surface membrane was observed, as opposed to none in the normal brains. This might be considered a cause of AD patients having an increased risk of secondary chronic infection with *P. gingivalis* [91].

LPS from *P. gingivalis* had been shown in AD brains but not in non-AD brains [91]. It has been suggested that *P. gingivalis* and gingipains (trypsin-like cysteine proteinases) play a key role in the pathogenesis of AD by demonstrating their presence in rat brain [79] and showing that *in vivo* gingipain inhibitors block gingipain-induced neural decline, as well as significantly reduce host  $A\beta_{1-42}$  response to *P. gin-givalis* and decrease the bacterial load in the mouse brain [90].

In one study [92] finalized in 2019, 20 AD patients were examined for mental status and oral microbiota. Significant association between salivary presence of 661

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P. gingivalis and a lower score on the Mini-Mental 711 State Examination (MMSE) was found [92]. To fur-712 ther strengthen the claim that *P. gingivalis* is a key 713 mediator between PD and AD, a periodontal infec-714 tion model in an ApoE4 -/- mice was used [93] and 715 it was shown that P. gingivalis is more efficient and 716 effective in accessing the CNS than T. denticola and 717 T. forsythia. 718

### Aggregatibacter actinomycetemcomitans and Tannerella forsythia

In 1996 a consensus report [70] set out to determine
 which are the core periodontal pathogens, and two of
 the bacteria named in this report due to their common
 occurrence in PD patients were *T. forysthia* and *A. actinomycetemcomitans*.

Fast forward to the year 2019, and a group 726 of Korean researchers have suggested the exact 727 molecular mechanism through which Actinomycete-728 comitans causes its neuroinflammatory effects [94]. 729 Injection of this pathogens outer membrane vesicle 730 was shown to increase expression of TNF- $\alpha$  in mouse 731 brain [94]. The correlation between AD and TNF- $\alpha$ 732 levels was established a decade earlier when Kamer 733 et al. [71], showed that AD patients have elevated 734 levels of this inflammatory marker. The alteration 735 in host gene regulation (mainly via microRNAs that 736 originate from the outer membrane vesicle of the 737 pathogen) in response to the presence of A. actino-738 mycetecomitans indicates a possible pathway of how 739 a pathogen specific systemic inflammation would 740 increase the burden of neuroinflammation [94]. 741

The function of cytokines and chemokines in AD 742 has been listed in the work of Lee et al. [95]. If our 743 goal is to connect the neurodegenerative effect of 744 these cytokines to the increased presence of them in 745 response to a systemic bacterial assault, we need to 746 find a potential increase of inflammatory markers in 747 response to Periodontal pathogens. One study from 748 2015 showed that A. actinomycetecomitans causes 749 severe cytokine release in macrophages and induces 750 cell death [96]. The mechanism for this was proposed 751 to be via activation of NLRP3, an inflammasome 752 prone to activation from microbial stimulus. NLRP3 753 was also shown to play a role in the alteration of 754 macrophage phenotype and influence  $A\beta$  deposition, 755 both pathognomonic for AD [97]. As a conclusion 756 to the finding of the inflammasomes role in neu-757 ral decline, the Actinomycetecomitans - caspase 1-758 NLRP3 axis was suggested to represent a possible 759 novel therapeutic option for AD [97]. 760

Aside from inflammatory markers, antibody levels can also be used to assess the effect of pathogens on the neural decline seen in AD (or MCI) patients. One study [98] focusing on a group of bacteria (including *A. actinomyetecomitans*) showed that antibody levels of AD patients at baseline were matching the levels found in chronic periodontitis patients. This demonstrated that, possibly, elevated antibodies levels years before cognitive decline could be a potential marker for AD [98].

Díaz-Zúñiga et al. [98], were studying the serotype of *A. actinomyetecomitans* that has the strongest effect on the CNS. This is especially important since the same report [70] that stated that *A. actinomyetecomitans* is widespread in most PD patients, also claimed that some types of *A. actinomycetemcomitans* have a closer relationship with destructive periodontal disease than other clonal types. Serotype B was found to be that one that induces the largest inflammatory response in microglia or hippocampal cell cultures [98]. Actinomyetecomitans serotype B LPS was shown to induce neural shrinking and increase extracellular  $A\beta_{1-42}$  formation, both characteristics of AD.

It is commonly accepted today *T. forsythia* constitutes a part of the "red complex pathogens" that form the most pathogenic bacterial complex in the oral cavity [72, 99]. When quantifying these bacteria, a group of researchers studied 40 patients [100] with confirmed PD and found that *T. forsythia* was found in high amounts (60%) in the PD group compared to healthy controls. When subtyping periodontitis to different clinical groups, *T. forsythia* was found to be 4-fold higher in chronic periodontitis (the subtype of PD most relevant to the link between systemic inflammatory and neural degeneration) than in aggressive PD [100]. This chronic inflammatory response to the presence of *T. forsythia* is hypothesized to play a role in the propagation of AD [101].

## CONCERNS ON THE ROLE OF PD IN COGNITIVE DECLINE

AD is a multifactorial disease where probably numerous physical conditions contribute to the pathogenesis [7–13]. Plenty of scientific studies linked cardiovascular diseases, metabolic disorders, depression, sleep problems, or epilepsy to the neurodegenerative process; however, the causative role is frequently questionable and the "which comes first" questions are barely answered [102, 103]. An

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important limiting factor for causability studies that 810 there are plenty of overlaps between the described 811 conditions and neurocognitive disorders. They fre-812 quently share similar genetic background: apo4 and 813 MTFR are crucial genes in AD and cardiovascular 814 diseases [104]: CALHM1 gene associates with higher 815 excitability of hippocampal neurons and it is a genetic 816 risk factor of AD [105]; 13 from 31 typical genes of 817 major depression alternate with the known genes of 818 AD [106]. Overlap could be demonstrated in the risk 819 studies as well: hypertension is a well-known risk 820 factor of heart attack and dementia according to the 821 Framingham study [107] and CAIDE score studies 822 [108]; while obesity associates with poor sleep qual-823 ity and insomnia [109], it is a common risk factor of 824 AD [110]. Furthermore, the common shared etiology 825 is represented well the by the socioeconomic status of 826 patients with the various proposed conditions. Peo-827 ple with higher education and better financial and 828 social background follow a healthier lifestyle and a 829 more advanced self-attention including better nutri-830 tional quality (organic foods, low intake of omega-6 831 and simple sugars, higher vitamin intake), regular 832 physical exercise regime, less amount of stress, better 833 access to adequate medical examination and screen-834 ing methods and they have more time to engage with 835 various types of social activities to maintain and fuel 836 cognitive functions. These people have lower chance 837 for developing dementia and parallelly, they are more 838 protected against cardiovascular or metabolic condi-839 tions [111]. 840

Since there is a great overlap between the genetic 841 background, risk profile and socioeconomic status 842 of patients with dementia and with physical dis-843 eases, it is intriguing to postulate that the association 844 between the described medical conditions and AD 845 is only an epiphenomenon. However, not all the 846 patients with midlife depression or severe cardiovas-847 cular diseases develop dementia [112]. Furthermore, 848 patients with AD show highly variable risk profiles 849 [113]. These observations suggests that the men-850 tioned physical conditions might have a substantial 851 or mild role in the neurodegeneration. According 852 to the current scientific view, the mild synergistic 853 role is the most acceptable [104]. It is also known 854 as bidirectional relationship: while physical condi-855 tions can change the cleavage and elimination of 856 toxic brain products, neurodegeneration associate 857 with the destruction of functionally important brain 858 areas resulting in the dysregulation of physiological 859 processes (e.g., autonomic blood pressure regulation, 860 sleep homeostasis, mood regulator neurotransmit-861

ter systems, hormone system). For instance, patients with AD suffer from various sleep disorders, seemingly patients with midlife insomnia show higher risk for dementia [114].

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Similar questions are could be raised based on the previously reviewed studies on the association of PD and AD. They share common genetic profiles (e.g., polymorphisms of IL-6 and IL-10 genes are risk factors for both conditions) [115], similar risk factors are detected (e.g., obesity, aging, smoking) [1, 15] and poor socioeconomic status associate with higher prevalence of PD [116] and AD [117]. Studies analyzing AD patients regarding the prevalence of PD (Table 1) are not able to properly address the conceptualized concerns, since patients with AD probably dedicate less attention to oral hygiene and suffer from many comorbid physical conditions. Prospective cross-sectional studies analyzing the long-term cognitive profile of PD patients (Table 2) are better candidates; unfortunately, risk profiles and socioeconomic status of these patients were not reported. While currently the exact role of PD in cognitive decline is not addressable, some reports suggest plausible association. Since not all PD patients develop AD and there are great variabilities among PD patients regarding the risk factors and extent of dental inflammation [118], causative relationship might be hypothesized. Bases on the observations showing colonization of brain tissues with common PD pathogens, bidirectional, mild synergistic effect of PD seems to be feasible in the development of cognitive decline [119]. Likely, presence of PD could exaggerate other pathologic processes via the neuroinflammatory mechanisms resulting in various types of neuropsychiatric disorders (e.g., migraine, major depression, multiple sclerosis) [120], not AD only. To properly address the direction of causative role in cognitive impairment, unified reporting is mandatory for PD studies to generate numeric databanks for large sample statistical analyses.

#### CLINICAL RECOMMENDATIONS FOR PROSPECTIVE RISK ANALYSIS AND INTERVENTIONAL STUDIES

Although numerous reports link PD to cognitive impairment, no prospective PD intervention studies have evaluated dementia outcomes. A search for "Periodontitis" and "Alzheimer" and "Treatment" in PubMed yielded 77 results (years 2000–2020).

Human studies or randomized clinical trials on the 911 treatment of PD in the prevention of dementia are 912 absent. However, based on prevalence studies and 913 the inflammatory effect of PD, it seems reasonable 914 to postulate that PD might represent an individual 915 risk factor for the development of cognitive decline. 916 Thus, we propose a clinical recommendation guide 917 for further PD interventional trials in the prevention 918 of dementia. 919

### Patients with risk of dementia without a previous diagnosis of periodontitis

#### 922 Recognition of high-risk patients

Recognition of high-risk individuals for further 023 development of cognitive impairment is manda-924 tory for modern health systems. Individuals without 925 subjective decline on cognitive functions might 926 represent a risk group for AD in the follow-927 ing cases: age>65, family history of dementia, 928 education years < 10, APOE4 carriers, smokers, diag-929 nosis of atherosclerosis, hypertension, high level of 930 low-density lipoprotein (LDL), high level of homo-931 cysteine, diagnosis of diabetes, midlife obesity [121]. 932 Patients with a diagnosis of MCI have significantly 933 elevated risk compared to previous subjects [122]. 934

#### 935 Patient information

Dental and medical professionals should strongly 936 cooperate to increase compliance of patients and 937 effectivity of potential dental care. Patients with a 938 risk of dementia should be informed that poor den-939 tal health associates with increased risk for cognitive 940 impairment. Importance of proper oral hygiene must 941 be emphasized in all medical check-ups. Patients with 942 known risk factors of dementia should consider a den-943 tal evaluation if they have not done so in the past 12 944 months (it is illustrated by a key point taken from the 945 world cohort study [123] that the risk for developing 946 dementia was 89% greater in those who had not seen 947 their dentist within the last 12 months compared to 948 those who had seen their dentist two or more times). 949 Patients should be informed that PD is often asymp-950 tomatic and does not associate with systemic signs 951 of infections (e.g., fever, flu like symptoms) or with 952 prominent changes of blood test values (e.g., leuko-953 cytosis, significantly increased CRP). Patients should 954 be also educated about the common physical signs of 955 PD as swollen gums, bleeding gums (spontaneously 956 or following brushing or flossing), pus between teeth 957 and gums, increased space between teeth and gums, 958 sensation of bad taste or bad oral odor, change in 959

tooth sensation during biting, spontaneous oral pain or mastication associated oral pain.

#### Dental evaluation

Periodontal evaluation of patients with high dementia risk should include a comprehensive examination of periodontal tissues. Dental medical history should be updated. Presence of diseases associating to higher occurrence of PD (Chediak-Higashi syndrome, agranulocytosis, leukocyte adhesion deficiency, Down syndrome, Papillon Lefevre syndrome, cyclin neutropenia) needs special attention in dental records [124]. Plaque and bleeding on probing (BoP) should be assessed by trained examiners, probing depths, and clinical attachment level (CAL) should be recorded and both full-mouth and site-specific stability should be determined. Radiography (dental status x-ray) should be applied in all suspicious cases to detect potential bone loss. Oral hygiene instruction regarding appropriate frequency, technique and use of potential aids such as interdental brushes should be tailored to patients' needs individually.

Intervention procedures should be performed to prevent biofilm formation, which is the major cause of chronic PD. This is best achieved by replacing insufficient restorations having leakage and/or overhangs, thereby creating cleansable surfaces. Reducing plaque retention can be reached by restoring natural tooth contour and contact points with the necessary restorations. Once the pro-plaque accumulating factors are eliminated regular professional dental hygiene treatment can help in maintaining the oral health at an acceptable level without having acute and chronic inflammation.

#### Risk control

Risk profile of PD should be recorded by medical and dental check ups yearly. The following risk factors must be included: smoking, diabetes, family history of PD, obesity, depression, physical inactivity. Evaluation might be performed in a cooperation with dementia care professionals using standardized diagnostic tools for mood (e.g., Geriatric Depression Scale, Beck Depression Inventory, Hamilton Rating Scale for Depression) and physical inactivity (e.g., International Physical Inactivity Questionnaire, Global Physical Activity Questionnaire). Patients with a risk factor should be informed that they might have elevated risk for the development of PD.

All patients should be informed about the potential lifestyle intervention possibilities to prevent PD. Patients with a risk for dementia who smoke tobacco 960

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should discontinue this habit. Diabetes should be 1010 strictly monitored with regular blood testing (blood 1011 sugar level, Hemoglobin A1C) and properly con-1012 trolled by a health professional trained in diabetes. 1013 Antidiabetic drugs having a potential beneficial effect 1014 in the prevention of cognitive impairment (intranasal 1015 insulin, metformin, GLP-1 agonist) should be priori-1016 tized [125]. Lifestyle interventions should be initiated 1017 in patients with obesity and physical inactivity. The 1018 nutritional intervention could be based on the Finnish 1019 Nutrition Recommendations since beneficial effect 1020 has been proved by many prospective interventional 1021 trials [126-127]. The exact nutrition protocol is char-1022 acterized in the study of Souminen et al. [128]. 1023 Mediterranean diet or Dietary Approach to Systolic 1024 Hypertension could represent reasonable choices as 1025 well [129]. Intervention plan for physical exercise 1026 protocol could be based on the 2007 ACSM/AHA 1027 recommendations. The exact strategy is described in 1028 the paper of Nelson et al. [130]. Patients with low 1029 mood should be referred to trained psychologists 1030 or psychiatrists having experience in the preven-1031 tion of cognitive decline. Behavioral therapy should 1032 be prioritized, and antidepressants might have neg-1033 ative adverse effects due to the increase of vascular 1034 events [131]. If antidepressant therapy is mandatory, 1035 citalopram might represent a reasonable choice since 1036 anti-amyloid effect has been proved in clinical tri-1037 als [132]. However, long-term treatment beyond 12 1038 weeks with antidepressants is not advised [133]. 1039

### Patients with risk of dementia and a diagnosis of periodontitis

#### 1042 Patient information

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Patients with newly diagnosed PD should be informed that there may be an increased risk for AD dementia. Patients should be informed that treatment for PD is available, and inflammation might be reduced but not completely cured. A plan to reduce the effect of possible contributors of PD (smoking, diabetes, obesity, low mood, physical inactivity) should be advised, as we have demonstrated in the "Risk control" section.

Classification and reporting of PD parameters

A consensus on the definition of periodontitis case is crucial. We propose to use the current consensus statement of the 2017 World Workshop on the Classification of Periodontal and Peri-Implant Diseases and Conditions [16]. PD could be identified in the following case: 1) interdental CAL is detectable at  $\geq 2$  non-adjacent teeth, or 2) Buccal or oral CAL > 3 mm1059 with pocketing > 3 mm is detectable at  $\geq$  2 teeth. Fur-1060 ther important criteria for case definition are that the 1061 assessed CAL is not associated with non-periodontal 1062 causes (gingival recession of traumatic origin, cervi-1063 cal dental caries, CAL on the distal surface of second 1064 molar associating with malposition or extraction 1065 of third molar, endodontic lesion draining through 1066 marginal periodontium, vertical root fracture). We 1067 propose to report if periodontitis occurs in a necrotiz-1068 ing form or associate with severe systemic diseases. 1069

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For risk analysis, description of PD status with a unified staging form is mandatory to estimate the contribution of periodontal disease in cognitive impairment. We propose to use a system based on the current staging protocol of the 2017 World Workshop on the Classification of Periodontal and Peri-Implant Diseases and Conditions (see Table 3). Our proposed score could be applied during dental visits, since the system includes easily administrable parameters measuring general PD risk as well. The major aim of the staging system is to estimate the severity of PD with measurable dental parameters and highlight parallelly the potential contributors of PD. The reporting protocol is based on the current recommendations of PD care and on the previously reported parameters of case-control and cross-sectional studies on the association of PD and AD.

#### Dental treatment

The aim of treatment of PD is to reach a stable, 1088 inflammation free stage of periodontium (supportive 1089 structures of teeth) to provide ability for proper tissue 1090 regeneration. During the treatment procedure called 1091 scaling, sites showing signs of stability or inflam-1092 mation without disease progression must undergo 1093 supragingival removal. This can be done with a 1094 variety of tools and approaches. To minimize the 1095 number of bacterial deposits, the specific features 1096 that are likely to retain plaque and calculus should be 1097 eliminated. In addition, several additional measures 1098 are proposed to minimize plaque accumulation and 1099 inflammation, including additional antimicrobials 1100 and lasers. Indicators of active disease require retreat-1101 ment including signs of inflammation (e.g., BoP) and 1102 an increase in CAL. Subgingival debridement is also 1103 recommended at sites greater than 4 mm regardless of 1104 signs of inflammation or recurrent disease, as the risk 1105 of relapse increases with deeper probing depth mea-1106 surements. Periodontal healing occurred even in the 1107 presence of calculus, provided the subgingival bacte-1108 rial plaque was removed. From this, we learned that 1109

#### Table 3

Periodontitis reporting protocol for cognitive risk analysis studies and for prospective interventional trials. Severity could be reported with clinical attachment level (CAL), radiographic bone loss (RBL), and with tooth loss (TL). Reporting of exact numbers for CAL, RBL, and TL is advised to provide possibility for correlation analysis with cognitive and neuroimaging scores. Maximum probing depth should be reported too since it is frequently used in previous risk and cohort studies. Extent and distribution of PD should be reported too to create more numeric parameters for further statistical analysis. Type of periodontitis might distinguish various outcomes. Risk profile could be easily administered and helps to identify the common contributors of periodontitis and cognitive decline

| Periodontitis reporting protocol |  |  |                               |   |   |
|----------------------------------|--|--|-------------------------------|---|---|
|                                  |  | Stage I  | Stage II                      | Stage III   | Stage IV  |
| Severity                         | Interdental CAL<br>at site of<br>greatest loss   | 1 to 2 mm  | 3 to 4 mm                     | $\geq$ 5 mm   | ≥ 5 mm  |
|                                  | RBL  | Coronal third<br>(<15%)  | Coronal third<br>(15% to 33%) | Extending to<br>mid-third of<br>root and<br>beyond      | Extending to<br>mid-third of<br>root and<br>beyond      |
|                                  | TL   | No tooth loss due to periodontitis   |                               | Tooth loss due to<br>periodontitis<br>of $\leq 4$ teeth | Tooth loss due to<br>periodontitis<br>of $\geq$ 5 teeth |
| Maximum probing depth            |  | Maximum probing depth $\leq 4$ mm<br>Maximum probing depth $\leq 5$ mm<br>Maximum probing depth $\geq 6$ mm  |                               | ~   |   |
| Extent and distribution          |  | Localized (< 30% of teeth involved)<br>Generalized (> 30% of teeth involved)<br>Molar/incisor pattern  |                               | ~   |   |
| Type of p                        | iodontitis<br>Chronic periodontitis<br>Necrotizing periodontitis<br>System disorder associated periodontitis (specify disease: ) |  |                               |   |   |
| Risk prof                        | ile  | Family history of periodontitis (yes/ no)<br>Smoking (yes, no/ cigarettes/day:)<br>Diabetes (yes-no/ controlled-uncontrolled)<br>Obesity (yes, no/ BMI:)<br>Physical inactivity (amount of moderate activity in minutes/week:)<br>Mood disorder (yes/no/ controlled- uncontrolled) |                               |   |   |

although calculus removal is important because it is 1110 a major plaque-retaining factor, intentional removal 1111 of root material and contaminated cement is not 1112 necessary for successful treatment. Thus, the term 1113 root planing is often referred to today as debride-1114 ment. Most studies in the 1970s and 1980s were 1115 conducted with hand tools, including curettes, scales, 1116 and hoes, and this was considered the gold stan-1117 dard. Since the 1990s, the use of powered instruments 1118 has become increasingly popular, claiming increased 1119 efficiency and effectiveness. Adverse effects after 1120 non-surgical removal may include patient discom-1121 fort, damage to the root surface, and sensitivity 1122 of the root surface. Excessive removal should be 1123 avoided at shallow probe depths (<3 mm) to avoid 1124 trauma, subsequent loss of binding, and root surface 1125 sensitivity. In the treatment of deep pockets (prob-1126 ing depth > 6 mm), surgical procedures as open-wing 1127 debridement, should be considered since they might 1128 result in greater probe depth reduction and clinical 1129 binding gains. Flap surgery might help in the cleaning 1130 of vulnerable surfaces. With soft tissue graft tech-1131

niques, gum recession could be significantly reduced, 1132 and we are able to cover exposed routes. In alveo-1133 lar tooth loss, bone grafting helps to prevent tooth 1134 loss and serves as a platform for renewal of nat-1135 ural bone tissues. Guided tissue regeneration and 1136 tissue-stimulating proteins also support the regrowth 1137 of natural alveolar bone tissues. While there are vari-1138 ety of possible treatment strategies, re-evaluation of 1139 PD stages with the proposed protocol is mandatory 1140 to accurately report therapeutic effect in prospec-1141 tive interventional trials preferably in yearly basis. 1142 Changes in PD risk profile could be easily adminis-1143 tered. 1144

#### DISCUSSION AND CONCLUSION

AD is a devastating neurodegenerative disorder and the leading cause of cognitive impairment. Recent studies demonstrated that with early recognition and proper control of risk factors, we can significantly delay the occurrence of dementia or prevention of cognitive decline is also reachable. PD is

| Patient groups   | Patients without previous   | Patients with the   |  |
|--|---|---|--|
|  | diagnosis of PD   | diagnosis of PD   |  |
| Recognition of high-risk<br>individuals for cognitive<br>decline | -age > 65<br>-family history of dementia<br>-education years (< 10)<br>-APOE4 status+<br>-smoking<br>-atherosclerosis (carotis or coronary stenosis/ wh<br>-hypertension (blood pressure > 140/90<br>-high level of low-density lipoprotein (> 100 mg/<br>-high level of homocysteine (> 15 mcmol/l)<br>-diagnosis of diabetes<br>-obesity (BMI > 25) | hite matter lesions on brain MRI)<br>(dl)   |  |
| Patient information  | -dental evaluation once/12 months<br>-risk of poor dental health regarding<br>cognitive decline<br>-proper oral hygiene techniques<br>-physical signs of PD   | -elevated risk for AD<br>-importance of dental treatment and<br>check-ups<br>-importance of lifestyle interventions   |  |
| Dental evaluation  | -anamnesis: high risk diseases for PD<br>-measuring of BoP and CAL (mandatory)<br>-dental status x-ray (if necessary)   | -measuring of CAL, BoP, TL (mandatory)<br>-dental status x-ray and description of RBL<br>(mandatory)<br>-measuring of extension (mandatory)<br>-measuring of type of PD (mandatory) |  |
| Dental intervention  | -prevention of biofilm<br>formation -subgingival debridement<br>-root planing<br>-surgery of deep-pockets   |   |  |
| Risk analysis  | -smoking<br>-diagnosis of diabetes<br>-family history of PD<br>-obesity (BMI > 25)<br>-diagnosis of depression<br>-physical inactivity (<4 × 30 min of exercise/week)   |   |  |
| Lifestyle intervention   | -smoking cessation<br>-control of diabetes<br>-nutrition plan<br>-physical exercise protocol<br>-behavioral or antidepressant therapy of mood disorders   |   |  |

 Table 4

 Summary of strategies in the management of high-risk individuals for cognitive decline with and without periodontitis

PD, periodontitis; BMI, body mass index; AD, Alzheimer's disease; CAL, clinical attachment level; BoP, bleeding on probing; TL, tooth loss; RBL, radiographic bone loss.

the most frequent dental disease sharing many modifiable risk factors with AD.

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Case-control studies revealed that PD has a higher 1154 prevalence among AD patients. However, recent 1155 meta-analyses propose that the exact role of PD 1156 in dementia is barely measurable since they differ 1157 significantly in the methodology, especially in the 1158 reporting protocol of dental status [29]. While plenty 1159 of prevalence studies use the periodontitis term [25, 1160 36, 38], exact definition of periodontitis is rarely 1161 described. Others analyze the occurrence of poor gen-1162 eral dental health among dementia patients [24, 34]; 1163 however, they also reveal periodontal parameters like 1164 tooth loss or pocket depths. In case-control studies, 1165 analysis of periodontal bacterial linked inflammatory 1166

markers is also common [31-33, 35-36], but peri-1167 odontal status is reported with various parameters 1168 or not reported at all. Matched-cohort and observa-1169 tional retro- and prospective studies clearly suggest 1170 the role of PD in neurodegenerative disorders. Unfor-1171 tunately, meta-analysis studies are not available, and 1172 comparison of results is complicated since reports use 1173 different parameters to report PD status. Exact defini-1174 tion of PD is defined only in one of these studies [40], 1175 where severity or staging of PD is not reported. In 1176 many studies, diagnostic procedure to describe PD is 1177 not highlighted and PD severity is measured only with 1178 the number of teeth [43–47, 49–51]. Some studies 1179 measured serum antibody levels against PD bacteria 1180 without proper measurement of dental status [41, 48]. 1181

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tributors of prevention trials.

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comparison available with established biomarkers

of neurodegeneration (e.g., cerebrospinal fluid, neu-

roimaging, neuropsychology). Patients with high

dementia risk might benefit from PD prevention and

treatment strategies and these can be important con-

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While there are large variety in reporting method-1182 ology by reports focusing on the link of AD and PD, 1183 physiology studies have revealed potential mecha-1184 nisms for the periodontal bacterial contribution in 1185 neurodegeneration. Seemingly, pathogens of peri-1186 odontal plaques can reach the neural tissue via 1187 an intravascular route with monocytes [55] or via 1188 the peripheral sensory alveolar branches of trigem-1189 inal nerve and its ganglia [58]. Systematic cytokine 1190 response for periodontal bacteremia was highlighted 1191 too [57], resulting in a vitious circle between cytokine 1192 release, microglia activation, and amyloid formation 1193 [68]. These possible mechanisms are reinforced by 1194 human studies finding elevated level of antibodies and 1195 cytokines against periodontal bacteria [31-33, 35-36, 1196 41, 48] and by clinical reports showing elevated 1197 occurrence of red complex pathogens of PD in human 1198 brain tissues. Seemingly, four species have prioritized 1199 role in PD-linked neurodegeneration: Spirochetes 1200 [73], P. gingivalis [91], A. actinomycetans [98], and 1201 T. forsythia [102]. Based on the previously described 1202 observations, it is intriguing to plan further studies to 1203 analyze the role of PD in cognitive impairment and 1204 to measure the effect of PD treatment in interven-1205 tional trials of AD. However, unified clinical strategy 1206 and reporting of PD status is mandatory to increase 1207 comparability and reproducibility. 1208 1209

In the current review, we provide a strategy to manage patients with elevated risk for dementia and 1210 without PD. Education of patients about PD, risk 1211 reduction and improvement of oral hygiene and pro-1212 motion of dental visits might be crucial to decrease 1213 PD and dementia burden parallelly. Since risk fac-1214 tors of AD and PD highly overlap, these strategies 1215 serve well the prevention of both diseases. In patients 1216 with PD, uniformized reporting of dental and PD 1217 status could be key for further cross-sectional risk 1218 studies and interventional trials. We propose a PD 1219 reporting protocol (Table 3) containing the follow-1220 ing features: 4-stage model for assessing severity 1221 based on three clinical parameters (CAL, RBL, TL); 1222 maximum probing depth to provide opportunity to 1223 compare results to previous reports; description of 1224 extent and PD type to reveal PD profile; and risk pro-1225 file administrable by dentists. The proposed protocol 1226 is summarized in Table 4. 1227

In conclusion, we can propose that PD might be an important contributor of neurodegeneration. Further studies are needed with prospective follow-up to measure the impact of PD status on cognitive outcome. Strict reporting of PD parameters is mandatory to reveal exact effect of dental health and to make 1257

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