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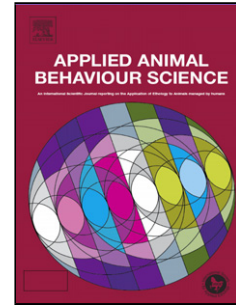
Title: Emotional contagion in dogs as measured by change in cognitive task performance

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PII: S0168-1591(14)00235-4
DOI: <http://dx.doi.org/doi:10.1016/j.applanim.2014.09.001>
Reference: APPLAN 3947

To appear in: *APPLAN*

Received date: 5-5-2014
Revised date: 4-9-2014
Accepted date: 12-9-2014



Please cite this article as: Sümegi, Z., Oláh, K., Topál, J., Emotional contagion in dogs as measured by change in cognitive task performance., *Applied Animal Behaviour Science* (2014), <http://dx.doi.org/10.1016/j.applanim.2014.09.001>

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Research highlights

- 1 Stress has an improving effect on the humans' and dogs' memory performance.
- 2 Dogs' memory performance can be affected by their owners' stress level.
- 3 Our results support for the emotional contagion between dogs and their owners.

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1 **Emotional contagion in dogs as measured by change in cognitive task performance.**

2

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12

13

14 **Abstract**

15 Domestic dogs are living with humans in a very special inter-species relationship. Previous
16 studies have shown physiological and hormonal synchronisation between dogs and their
17 owners during positive interaction. Dogs are also known to be able to discriminate human
18 emotions and they were also presupposed to have the capacity to empathise with humans.
19 Based on these results we hypothesize that the owner's emotions can be contagious to the dog
20 and stress-related emotional changes in dogs can be tracked by memory tasks because both
21 human and nonhuman studies indicate a significant effect of perceived stress on subjects'
22 cognitive performance. In the present study the owners, after having completed State Anxiety
23 Inventory and having participated in a memory task, were manipulated with either negative
24 (*Stressed owner* condition) or positive (*Non-stressed owner* condition) verbal feedback in an
25 additional task. Results indicate that the owners' self-reported anxiety significantly increased

26 in the *Stressed owner* condition due to the manipulation. We also measured the effect of the
27 different manipulations on the owners' and also on their dogs' memory performance and
28 found that in line with earlier studies the stress-evoking intervention had an improving effect
29 on the owners' memory performance. After separation from their owner (*Stressed dog*
30 condition) dogs also showed better performance in a spatial working memory task and, more
31 interestingly, task completion was also affected by the manipulation of their owners stress
32 level. These findings provide further support for the emotional contagion between dogs and
33 their owners, and suggest that measuring changes in the memory performance can be used as
34 an indicator of contagion-induced changes in dogs' stress level.

35

36

37 keywords: emotional contagion, dog-owner relationship, stress, memory

38

39

40 Research highlights

41 1 Stress has an improving effect on the humans' and dogs' memory performance.

42 2 Dogs' memory performance can be affected by their owners' stress level.

43 3 Our results support for the emotional contagion between dogs and their owners.

44

45 **1. Introduction**

46 Emotional contagion, a concept coined by Hatfield et al (1992) can be described as an
47 automatic response to perceiving another's emotional state through which a similar emotional
48 response is triggered in the observer. The phenomenon can be seen as a primitive form of
49 empathy which appears to be widespread amongst mammals. However it is widely accepted
50 that the contagion of emotional responses does not require the ability to differentiate between
51 own and other's emotions or any conscious control over emotional reactivity (Preston & de
52 Waal 2002).

53 Emotional contagion has been extensively examined in rodents (for a review see Edgar
54 et al. 2012). For example social transmission of fear response has been reported in rats
55 (Knapska et al. 2010) and pain sensitivity in mice also seems to be influenced by a
56 conspecific's pain response (Langford et al. 2006, Jeon et al. 2010). Birds may also show
57 evidence of emotional contagion, greylag geese (Wascher et al. 2008) as well as chickens
58 (Edgar et al 2011) show physiological responses while observing distressed conspecifics.
59 Regarding the empathic abilities of nonhuman primates there is evidence for contagious
60 yawning in both apes (chimpanzees - Anderson et al. 2004) and monkeys (macaques –
61 Paukner & Anderson 2006) and rapid facial reactions to the partner's emotional facial
62 expression during play has been described in orangutans (facial mimicry - Ross et al. 2008).

63 There is ample evidence that empathic-like responding is usually more pronounced
64 between familiar conspecifics than unfamiliar peers (e.g. Langford et al. 2006, Ben-Ami
65 Bartal et al. 2011, Ma et al. 2011), importantly, however, contagious behaviour can occur also
66 in heterospecific contexts. A recent study provides support for the notion of cross-species
67 contagious yawning in chimpanzees (Madsen et al. 2013) and there is ample evidence
68 suggesting emotionally connected heterospecific yawn contagion in dogs (Joly-Maschironi et
69 al. 2008, Silva et al. 2012, Romero et al. 2013).

70 Human-dog cross-species contagious yawning has a potential link with the specific
71 social-cognitive capacities of the domestic dog (Yoon & Tennie 2010). In fact, many assume
72 that dogs are socially tuned-in to humans because as a result of their unique domestication
73 process, they have developed an evolutionary novel, inter-specific type of social competence
74 which, among others, allowed for the establishment of a wide range of affiliative social
75 relationships with humans (Miklósi & Topál 2013). The relationship between the dog and its
76 owner is functionally similar to the mother-infant attachment (see Topál & Gácsi 2012 for a
77 review) which is considered essential for the development of dogs' emotional responsiveness
78 (Plutchik 1987). Moreover, a recent study has found a correlation between the owner's
79 attachment profile and the quality of the dog-owner attachment bond (Siniscalchi et al. 2013).
80 In addition to providing further support for the notion that the dog-owner relationship
81 resembles the connection between a mother and her child, these results also support the idea
82 that dogs tend to assimilate the characteristics of their owners and this is manifested in their
83 affective stance.

84 Moreover dogs and children tend to correspond in the degree to which they are able to
85 react to the challenges of human communication (see Topál et al. 2014, for a review). They
86 possess enhanced skills in reading human visual attention (e.g. Kaminski et al. 2009) and
87 show special responsiveness to human gestural communication (e.g. Lakatos et al. 2012).
88 Dogs can also learn to discriminate between different human emotional expressions (Deputte
89 & Doll 2011, Nagasawa et al. 2011; Racca et al. 2012) and respond differently to commands
90 given with emotionally different tones of voice (Ruffman & Morris-Trainor 2011). They are
91 not only sensitive to the emotional state of their owners (Morisaki et al. 2009), but their
92 behaviour can even be influenced by the owner's emotional expression (Merola et al. 2012).

93 Dogs' interspecific social- and emotional responsiveness is further supported by recent
94 investigations (Silva & Sousa 2011, Romero et al. 2013) that raised the possibility that dogs

95 have the ability to feel humans' emotional experiences ('affective empathy'). It is worth
96 mentioning, that unlike the *cognitive empathy system* which entails representing another's
97 emotional experience (deWaal 2008), *affective empathy*, is often described as an 'automatic'
98 process (Hatfield et al. 1993) stemming from an unconscious social contagion system. That is,
99 instead of being able to represent another's emotional experience (cognitive empathy) dogs
100 may have affective responses to the observed emotion of the human (i.e. feel what the human
101 feels).

102 Social contagion can be seen as the rudimentary mechanism that serves to synchronize
103 partners at different levels (physiological, emotional and behavioural synchronization). There
104 is some experimental evidence suggesting hormonal and physiological synchronisation
105 between owners and their pet dogs. Affiliative interactions between dogs and humans can
106 have stress relieving effects; lower cortisol level as well as increased oxytocin and dopamine
107 levels in both species (Odendaal & Meintjes 2003, Miller et al. 2009, Handlin et al. 2012).
108 Hormonal interactions between people and dogs may also occur under conditions of
109 psychological stress (e.g. after losing a competition -Wirth et al. 2006). For example, Jones
110 and Josephs(2006) investigated the hormonal changes in dog-human teams during agility
111 competition and found that in losing teams, unlike in winning ones, the owners' pre-
112 competition basal testosterone levels and their pre- to post-competition changes in
113 testosterone are significant predictors of dogs' changes in cortisol level.

114 In addition to direct measurement of hormonal changes, the effects of stress on
115 subjects' internal state can also be assessed indirectly; either by using questionnaires (e.g.
116 Frankenhaeuser et al. 1978) or by measuring changes in subjects' cognitive performance.
117 Some studies suggest that stress hormones can have an inverted-U shape effect on learning
118 and memory in both humans and nonhuman animals (McEwen & Sapolsky 1995; Belanoff et

119 al. 2001). While moderate stress has been shown to positively impact memory retention, high
120 stress levels can lead to impaired cognitive performance.

121 Although findings suggest that dogs show high responsiveness to changes in their
122 human caregiver's stress status, and there is also evidence that stress-related emotional
123 changes can be tracked by memory tasks, investigation of the association between stress-
124 induced changes in owners and their dogs as measured by changes in their memory
125 performance is lacking in the literature.

126 In the current study we investigated whether pet dogs can take over the emotional state
127 of their owners in the context of experimentally induced anxiety and whether changes in their
128 owners' affective states have an effect on dogs' memory performance. Owners' anxiety levels
129 were experimentally manipulated: they were told that they were participating in a task
130 designed to measure one aspect of their cognitive performance, a 'word list memory task'
131 (WMT). Owners were assigned either to the *Non-stressed* or the *Stressed* condition in which
132 the difficulty of the task and the amount of experimenter-delivered positive/negative verbal
133 feedback were surreptitiously manipulated. We predicted that (I) our procedure should be
134 sufficient to increase the owners' self-reported stress/anxiety in the 'stressed' condition; (II)
135 these changes should have an effect on owners' memory performance in the WMT and (III)
136 the changes in owners' affective states should be contagious to dogs and the emotional
137 contagion should be manifested in changes in the dogs' memory performance. As a control,
138 we also ran a condition in which the dog's stress level was directly manipulated (*Stressed dog*
139 condition) as opposed to being indirectly affected through the emotional state of the owner.
140 This allowed us to test whether the potential change in cognitive performance following an
141 indirect manipulation is comparable to that in case of more direct effects. We used the
142 'separation paradigm' because ample evidence suggests that separation from the owner in

143 unfamiliar environments evokes moderate stress and anxiety in dogs (see Topál & Gácsi 2012
144 for a review).

145

146

147 **2. Materials and Methods**

148

149 *2.1. Subjects*

150 52 dogs (mean age \pm SD: 3.81 \pm 1.82years, 26 males and 26 females) participated in the
151 study on a voluntary basis. Out of the 52 dogs, 37 were tested together with their owners
152 (experimental conditions; owners' mean age \pm SD: 30.5 \pm 8.4 years, 34 women and 3 men)
153 Subjects were randomly assigned to one of the following three conditions: *Stressed owner*
154 (n=19), *Non-stressed owner* (n=18), *Stressed dog* (n=15). In the subsequent sections, we refer
155 to the first two conditions as "experimental" and to the third one as "control". The dogs were
156 from 18 different breeds (8 Golden retrievers, 5 Border collies, 3-3 Fox terriers, Hungarian
157 vizslas, Labrador retrievers, 2-2 Collies, West highland terriers, 1-1 Boxer, Chihuahua,
158 Dalmatian, Havanese, Jack Russel terrier, German shepherd, Schipperke, Yorkshire terrier,
159 Poodle, Rottweiler, Shiba Inu) and 15 mongrels. Dogs' previous training experience was also
160 assessed. Out of all the participants, 33 dogs had received some sort of obedience training,
161 while 19 had never participated in any formal training. However, the distribution of "trained"
162 and "untrained" dogs did not differ significantly across conditions, with 13, 12 and 8 trained
163 dogs in the Stressed-owner, Non-stressed owner and Stressed dog conditions, respectively
164 ($\chi^2(2)=1.25$; $p=0.53$)

165

166 *2.2. Experimental arrangement*

167 The experiment took place in a room (3.9 m x 4.1 m) at the Dept. of Ethology, Eötvös
168 University, Budapest. Only a chair and some toys (a tennis ball and a rope) for the dog were
169 placed in the room. These toys were present during the whole experiment, except for the dog
170 memory tasks (see below) when only one ball as target object and 7 plastic flowerpots as
171 hiding places were used. However in the ball-carrying task (Phase 2 – see below) and during
172 the second dog memory task (Phase 3 – see below) additional balls (2-3) and containers (2)
173 were also present.

174

175 *2.3. Overview of the experimental procedure*

176 The procedure consisted of three phases for both the experimental and the control
177 conditions. In the experimental conditions the pre-manipulation phase (Phase 1) started by
178 assessing the owners' baseline anxiety level (using a state anxiety questionnaire) and their
179 memory performance (in a word list memory task) and we also measured the dogs' ability to
180 retain the location of a ball in their working memory (in an object hiding and finding task). In
181 the control condition, only the dog memory task was administered in phase 1. This was
182 followed by the manipulation (Phase 2) during which the owners in the experimental
183 conditions had to answer questions about an article they had read before and they were also
184 asked to complete collaborative tasks together with their dogs. The latter part was added to
185 the procedure to enable the transfer of stress/anxiety between the human and his/her dog.
186 Importantly, owners in the *Stressed owner* condition received mostly negative feedback, while
187 owners in the *Non-stressed owner* condition were given only positive feedback. In the
188 *Stressed dog* condition, the dog's anxiety level was manipulated by introducing a short period
189 of separation from the owner. Finally, in the test phase (Phase 3), the owners' and their dogs'
190 memory performances as well as the owners' state anxiety were re-tested using the same

191 methods as used in Phase 1. In the control condition, only dogs' memory performance was
192 assessed.

193

194 *2.4.Procedure of the experimental conditions (Stressed owner and Non-stressed owner)*

195

196 2.4.1. Phase 1 – Baseline measures

197 Right after their arrival, the owners filled out the Hungarian version of the State- and
198 Trait Anxiety Inventory (STAI; Sipos&Sipos 1983) which is widely used by psychologists to
199 measure anxiety both at a particular point in time (state) and in general (trait).

200 After this the owner and his/her dog were led into the experimental room by the
201 Experimenter (E) and were allowed to explore the room for a few minutes. Then the owner
202 made the dog sit at a predetermined starting point and the E placed seven identical brown
203 plastic flowerpots (11cm high, 14 cm in diameter) on the floor in a semicircle (Figure 1). The
204 dog was sitting equidistant from the bowls (3 meters away) while being held by the owner.
205 The E then took the target object (a tennis ball), showed it to the dog, walked straight towards
206 one hiding location, and placed the ball into the pot clearly visibly to the dog. After the hiding
207 event the dog was led out of the room by the owner, the E also left the room and they waited
208 outside for 30 seconds before re-entering the room. On re-entering the room, the dog was led
209 to the starting point by the owner and then it was released and allowed to search for the object
210 until finding it. During this the owner was allowed to encourage his/her dog, but was
211 instructed not to give any specific instructions and not to direct the dog toward any of the
212 containers. All dogs received 5 trials in a predetermined order. Two different hiding orders
213 (L3, R2, M, R3, L2 and R3, L2, M, L3, R2 respectively) were used and the order of the 5-trial
214 blocks was counterbalanced across subjects in each group. The 2 terminal pots (R1 and L1 –
215 see Figure 1) were never baited. Dogs had as much time as they needed to find the object.

216 After this the owners' memory performance was measured by Kirschbaum et al's
217 declarative memory task (Kirschbaum et al. 1996). In the learning phase of the task the
218 owners were given a list of 24 words for 5 minutes to read and memorize. This was followed
219 by a 5 minute long distraction phase, during which they had to read a scientific paper about
220 dog behaviour. Finally, owners were asked to recall those words (N=10) from the 24-words-
221 list that begin with „mo” or „ko” (depending on the list) within 2 minutes. We used two
222 different lists of words (word set A and B) and these were counterbalanced across conditions.
223 Subjects in the *Non-stressed owner* condition were provided with a reading matter in the
224 distraction phase which was easy to read and understand while subjects assigned to the
225 *Stressed owner* condition were given a more challenging text. Dogs were together with their
226 owners in the experimental room throughout the declarative memory task while the E was
227 absent during the learning and distraction phases. Dogs were allowed to explore the
228 environment, play and interact with their owners freely.

229

230 2.4.2. Phase 2 - manipulation

231 After this the E asked the owners several questions about the scientific article they had
232 read during the distraction phase of the declarative memory task. This phase lasted for
233 approximately 5 minutes. In the *Stressed owner* condition E gave mostly negative feedback
234 and sometimes pointed out that the other participants were able to tell the right answer.
235 However, in the *Non-stressed owner* condition the E gave only positive feedback and
236 sometimes praised their performance by adding that the other participants were *not* able to tell
237 the right answer.

238 This was followed by interactive situations, when owners were asked to complete
239 different kinds of collaborative tasks together with their dogs. First a ball-carrying task,
240 during which the dog had to carry balls under the direction of its owner from a container into

241 another one for 5 minutes. The containers were placed in two corners of the room and only
242 one of the containers was baited with the balls. In the next 2 minutes they had to perform
243 basic obedience tasks (sitting, laying and staying) and they also had the opportunity to show
244 other tricks. The ball-carrying and obedience tasks were also accompanied by the
245 experimenter's negative or positive feedback. In the *Non-stressed owner* condition the E
246 praised the dyads for performing the task well and did not comment the wrong performance.
247 In the Stressed owner condition the E expressed her disapproval of the dyad's bad
248 performance (in neutral speaking style) and did not comment on the instances where the dyad
249 was successful.. In the last 3-4 minutes of the manipulation the experimenter gave the text
250 back to the owner for an additional 2 and a half minutes and in the next minute she asked
251 further questions. Owners' responses received either positive (*Non-stressed* condition) or
252 negative (*Stressed* condition) reinforcement.
253 Importantly, both praise and disapproval were given by the E in a neutral tone of voice and
254 she behaved in a neutral manner throughout Phase 2.

255

256 2.4.3. Phase 3 - measuring subjects' performance after the manipulation

257 Owners were asked to fill out the same questionnaire (State- and Trait Anxiety
258 Inventory) as in Phase 1.

259 Then we repeated the object hiding and finding tasks in order to measure the dogs'
260 ability to retain the location of a ball in their working memory. We used the exact same
261 procedure as in Phase 1: first, dogs participated in the same memory task, however, they were
262 provided with the other 5-trial block than in Phase 1 (as described above in the section about
263 Phase 1). Then owners completed the same memory task as in Phase 1 with the only
264 exception that they were provided with the other set of words (A or B) and the reading
265 material in the distraction task was also different.

266

267 *2.5.Procedure in the Control condition (Stressed dog)*

268

269 *2.5.1. Phase 1 – baseline measure*

270 First, dogs participated in the same memory task as was described above in Phase 1 for
271 the other two conditions. This was followed by a 15 minute break, thus the time elapsed
272 between the first and the second memory task was the same as in the other two conditions.
273 During the break the owners and the dogs were sitting in the waiting room of the department.

274

275 *2.5.2. Phase 2 - manipulation*

276 After the break elapsed, the E introduced the dog and the owner to the experimental
277 room, then the owner left the scene and the dog was allowed to explore the room freely in the
278 presence of the E for 2.5 minutes. If the dog showed distress behaviours (see below) less than
279 20 seconds long during this period the separation was continued for additional 2.5 minutes. If
280 the dog showed signs of distress for at least 20 seconds, it was reunited with the owner and
281 phase 3 was administered. The E played with the dog or petted it depending on its
282 willingness.

283

284 *2.5.3. Phase 3: measuring dogs' performance after the manipulation*

285 Using the same procedure as in Phase 1, we repeated the object hiding and finding
286 tasks, however, dogs were provided with a different order of object hiding trials.

287

288 *2.6.Data collection*

289

290 Owners anxiety levels were measured by STAI scores consisting of two separate 20-
291 item (rated from 1 to 4) self-report scales; one scale measures state anxiety (s-STAI) and the
292 other measures trait anxiety (t-STAI, Sipos&Sipos 1983). Higher scores indicate increased
293 level of anxiety. Based on the STAI scores measured repeatedly in Phase 1 (pre-manipulation)
294 and Phase 3 (post-manipulation) we also calculated the change which indicates the effect of
295 the manipulation on owners' anxiety levels in the different conditions.

296 Owner's memory performance was measured by the number of words they could
297 recall correctly. The change in their performance was also calculated as the difference
298 between pre- and post-manipulation task performance.

299 Dog's working memory performance was calculated on the basis of the number of
300 erroneous choices (looking into an empty pot). The number of empty containers visited by the
301 dog during trials 1-5 was added up and this was used as an indicator of task performance
302 (higher scores indicates poorer memory abilities). The change in dogs' working memory
303 performance was also calculated as the difference between pre- and post-manipulation
304 measures.

305 It was also measured how intensely the dogs were encouraged by their owners during
306 the memory task. We coded the number of any kind of verbal encouragements (e.g.: Search!
307 You can go! Where is the ball? Fetch the ball!) given by the owner during the trials.

308 The owner's behaviour while interacting with his/her dog (in Phase 2 of the two
309 experimental conditions) was also analysed using the following variables: relative duration of
310 time spent with playing (i.e. any vigorous, toy-related behaviour between the dog and the
311 owner); relative duration of time spent with physical contact (i.e. any form of bodily contact);
312 number of positive (encouragement, praise etc.) and negative (prohibiting, scolding) verbal
313 feedback provided by the owner.

314 In Phase 2, the number of positive (praise, telling it is a right answer) and negative
315 (scolding, telling it is a wrong answer) verbal feedback provided by the Experimenter in
316 response to the owners' answers were also recorded.

317 In Phase 2 of the *Stressed dog* condition (control), while separated from their owners,
318 dogs' behaviour was recorded and the following five mutually exclusive behaviour categories
319 were coded:

320 Passive behaviours: standing, sitting or lying down.

321 Exploration: activity directed toward non-movable aspects of the environment, including
322 sniffing, distal visual inspection (staring or scanning), close visual inspection, or oral
323 examination.

324 Physical contact: any form of bodily contact with the experimenter

325 Play: any vigorous, toy- or social partner-related behaviour, including running, jumping,
326 or any physical contact with toys (chewing, biting)

327 Distress behaviours: active behaviours resulting in physical contact with the door
328 (scratching, jumping at etc.) and/or vocalising (i.e. barking, growling, howling, whining).

329 In order to exclude the possibility that dogs' affective states were directly influenced
330 by the experimenter during the manipulation phase in the two experimental conditions, a
331 coder blind to both the condition and the purpose of the study coded the perceived stress level
332 of the situation on a one-to-ten scale. Crucially, the coder did not speak the language that was
333 used throughout the experiment; therefore he could not understand the content of the
334 communication. He had to base his judgments on non-verbal gestures, tone of the voice and
335 other non-linguistic cues, which resemble the information dogs may pick up on during the
336 interaction between the experimenter and the owner.

337

338 *2.7.Data analysis*

339 First we employed a Generalized Estimating Equation for the analysis of the effect of
340 the trial (performance before vs. after the manipulation) as within-subject factor and the effect
341 of the type of the manipulation (*Stressed owner* vs. *Non-stressed owner*) as a between-
342 subjects factor on the STAI scores and the memory performance of the owners. We performed
343 the same analysis on the memory performance of the dogs with the modification that we
344 included the *Stressed dog* condition in the type of manipulation variable and the previous
345 training experience as covariate. For within-group comparisons Wilcoxon Matched-Pairs
346 Ranks tests were used for discrete variables and paired t-tests for continuous variables (play
347 and physical contact). For between-groups comparisons Mann-Whitney tests were used for
348 discrete variables and unpaired t-tests for continuous variables. In the case of STAI scores and
349 memory performances the changes due to the manipulation were calculated by subtracting the
350 'before-manipulation' values from the 'after- manipulation' values. The relationships between
351 the variables were examined by Spearman correlation.
352 SPSS version 20 software was used for statistical analyses, all tests were two-tailed and the α
353 value was set at 0.05.

354

355 3. Results

356

357 3.1. Changes in the owners' trait and state anxiety levels (pre- vs. post manipulation 358 periods)

359 The owners' trait-anxiety seemed to be stable throughout the experiment; it was not
360 influenced either by the trial (GEE, $\chi^2=1.166$ $p=0.280$) or by the type of manipulation
361 ($\chi^2=1.239$ $p=0.266$) and the interaction was also not significant ($\chi^2=0.517$ $p=0.472$). In
362 contrast, there was a significant interaction of the two main factors for the owners' state

363 anxiety (GEE, $\chi^2=27.747$ $p<0.001$) without any significant main effects (trial: $\chi^2=0.009$
364 $p=0.923$ type of manipulation: $\chi^2=1.508$ $p=0.219$).

365 Owners in the *Stressed* condition received significantly more negative ($p<0.001$) and
366 less positive ($p<0.001$) feedback than owners in the *Non-stressed* condition (Mann-Whitney
367 tests, $U_{(35)}=0.00$ for both) and these different types of manipulations affected their affective
368 status differently. Namely, owners after having received negative feedback from the
369 experimenter(*Stressed owner* condition) reported significantly greater increase in their state
370 anxiety in comparison with those who received only positive feedbacks (*Non-stressed owner*
371 condition) during the manipulation phase (Mann-Whitney test, $U_{(35)}=12.5$ $p<0.001$) (Figure
372 2).

373

374 3.2. Owners' memory performance (pre- vs. post manipulation periods - comparison 375 between the two experimental conditions)

376 There was a significant trial X type of manipulation interaction on the owners'
377 memory performance (GEE, $\chi^2=8.248$ $p=0.004$) without any main effects (trial: $\chi^2=0.268$ $p=$
378 0.605 type of manipulation: $\chi^2=0.008$ $p=0.931$). Although the initial performance did not
379 differ between the two experimental conditions (Mann-Whitney test, $U_{(35)}=125$ $p=0.169$;
380 Figure 3), the change in the number of recalled words was higher in the *Stressed owner*
381 condition compared to the *Non-stressed owner* condition (Mann-Whitney test, $U_{(35)}=91$
382 $p=0.014$;Figure 4). This suggests that moderately increased anxiety improved the participants'
383 memory performance. Moreover the owners' memory performance changed according to the
384 change in their state anxiety (s-STAI) scores as was indicated by a positive correlation
385 between them (Spearman's rank correlation test, $r_{(35)}=0.39$ $p=0.017$).

386

387 3.3. Factors potentially influencing emotional contagion between dogs and their owners

388 In order to determine whether negative feedback given by the experimenter during the
389 *Stressed* condition have the potential to become a direct stressor for the dogs, we have
390 analysed the non-Hungarian coder's ratings of perceived level of stressfulness in the
391 manipulation phase (Phase 2). Our analysis showed that based on the experimenter's non-
392 verbal gestures, tone of the voice and other non-linguistic cues a human coder cannot
393 discriminate between the *Stressed owner* and the *Non-stressed owner* conditions (Mann-
394 Whitney test, $U_{(35)}=130.5$; $p=0.175$). This finding provides indirect evidence that stressing the
395 owner by the E was not directly perceptible by the dogs.

396 We next investigated the possibility whether dogs' stress level could be influenced
397 through their owners' different behaviour in the manipulation phase of the *Stressed* vs. *Non-*
398 *stressed* condition. In fact, dogs got the opportunity to freely interact with their owners in
399 Phase 2 and thus we may assume that during this period the perception of expressive
400 behaviours of the owner can transfer emotional states from the owner to his/her dog. In line
401 with this assumption we coded and analysed the owners' behaviour while interacting with
402 their dogs. Although there was no difference between the groups regarding the time spent
403 with physical contact (two sample t-test, $t_{(35)}=0.011$ $p=0.768$), dog-owner pairs in the *Stressed*
404 *owner* condition played less than in the *Non-stressed owner* condition ($t_{(35)}=2.069$ $p=0.01$).
405 Playing seems to be a good behavioural indicator of the owners' distress, because it correlates
406 with the change in s-STAI (Spearman's rank correlation test, $r_{(35)}=-0.453$ $p=0.005$) and with
407 the change in the owners' memory performance as well ($r_{(35)}=-0.37$ $p=0.024$). Further
408 analyses showed that owners in both conditions gave more positive than negative
409 reinforcements (Wilcoxon Matched-Pairs Ranks tests, *Stressed owner* condition: $Z_{(18)}=-2.201$
410 $p=0.028$ *Non-stressed owner* condition: $Z_{(17)}=-3.726$ $p=<0.001$) and the number of negative
411 reinforcements were not significantly different between conditions (Mann-Whitney test,
412 $U_{(35)}=165$ $p=0.854$). At the same time dogs in the *Non-stressed owner* condition were

413 reinforced positively significantly more frequently than in the *Stressed owner* condition
414 ($U_{(35)}=86$ $p=0.01$). These characteristic changes of the owners' behaviour in the Stressed
415 condition could potentially contribute to the contagion of stress in dog-human relationships.

416

417 3.4. Dogs' behaviour during the separation phase (*Stressed Dog condition, Phase 2*)

418 All but two dogs showed active sign of distress for less than 20 sec (0-6.6 sec.) during the
419 2.5 minutes separation thus for these subjects ($N=13$) the duration of this episode was
420 prolonged (+2.5 min.). The analysis of the relative percentage of the time spent with the
421 different behaviours shows that dogs interacted with the experimenter 29.7% (range 1.2-
422 89.9%) of the time on average. This was either physical contact ($9.6\pm 14.1\%$) or playing
423 ($20.1\pm 26.7\%$) with the experimenter. They also explored the room ($22.3\pm 7.9\%$, range 11.1-
424 34.5%) and behaved passively (30.2 ± 19.2 , range: 4.8-60.4%). Dogs spent $17.7\pm 15.6\%$ of time
425 in close proximity (<1m) of the door but showed distress behaviours on average only
426 $5.46\pm 13.1\%$ (range: 0-50%) of the total duration.

427 3.5. Dogs' memory performance (*pre- vs. post manipulation periods - comparison between* 428 *all three conditions*)

429 Analysing the dogs' memory performance we found a significant main effect of trial (pre- vs.
430 post manipulation periods: GEE, $\chi^2=7.89$; $p=0.005$), without a main effect of type of
431 manipulation ($\chi^2=1.227$; $p=0.541$) or previous training experience ($\chi^2=0.887$; $p=0.346$). More
432 importantly there was an interaction between manipulation type and trial ($\chi^2=12.464$ $p=0.002$)
433 (Figure 5). In comparison with their 'baseline' performance (Phase 1) dogs in both the
434 *Stressed owner* and the *Stressed dog* conditions showed a significant improvement in the post-
435 manipulation (Phase 3) working memory test (Wilcoxon Matched-Pairs Ranks tests, *Stressed*
436 *owner* condition: $Z_{(18)}=2.682$ $p=0.007$, *Stressed dog* condition: $Z_{(13)}=2.253$ $p=0.024$). In the
437 *Non-stressed owner* condition, however, there was no change ($Z_{(17)}=1.261$ $p=0.207$).

438 The finding that dogs' working memory performance varied as a function of the
439 manipulation in Phase 2 was further supported by the analysis of the difference between pre-
440 and post-manipulation measures. That is, the number of errors changed differently in the three
441 conditions (Kruskal Wallis test $\chi^2_{(2)}=10.641$ $p=0.0049$; pairwise comparisons with Bonferroni
442 correction: *Stressed owner* vs. *Non-stressed owner*: $p<0.05$; *Stressed dog* vs. *Non-stressed*
443 *owner*: $p<0.05$). Dogs in the *Stressed* conditions showed an improved memory performance
444 (Figure 6).

445 There is a negative correlation between the change in number of errors and the change
446 in the owners' stress level (Spearman's rank correlation test, $r_{(35)}=-0.483$ $p=0.002$) which
447 suggest that dogs' performance was affected by their owners' affective states. It is also worth
448 mentioning that dogs' change in memory performance also correlated with the relative time
449 spent with playing ($r_{(35)}=0.439$ $p=0.007$), dogs whose owners tended to play more with them
450 during the manipulation phase committed more errors when re-tested in the memory task
451 (Phase 3).

452 Dogs' better performance in the two *Stressed* conditions cannot be explained by the
453 owners' more explicit encouragement, because the number of (verbal) encouragements did
454 not differ between the pre- and post-manipulation phases (Phase 1 vs. Phase 3, Wilcoxon
455 Matched-Pairs Ranks tests, *Stressed dog* condition: $Z_{(14)}=29$ $p=0.21$; *Stressed owner* condition:
456 $Z_{(18)}=-1.122$ $p=0.262$; *Non-stressed owner* condition: $Z_{(17)}=-0.855$ $p=0.393$). Moreover there
457 is no significant differences between the three groups (Kruskall Wallis test, before the
458 manipulation: $\chi^2_{(2)}=1.56$ $p=0.46$ after the manipulation: $\chi^2_{(2)}=3.08$ $p=0.21$).

459 In addition, we analyzed whether previous training experience influenced dogs'
460 memory performance. We compared the performance of dogs that had received some sort of
461 official training (33) with those that had not (19), and found no difference either before
462 (Mann-Whitney test $U_{(51)}=259.5$ $p=0.302$) or after ($U_{(51)}=285.5$ $p=0.592$) the manipulation.

463 The change in performance was not affected by previous training either ($U_{(51)}=268.5$
464 $p=0.389$).

465

466 **4. Discussion**

467

468 In the current study we aimed to investigate the emotional contagion between dogs
469 and owners and examined whether dogs show some sign of taking over their owners'
470 affective state in a case where only the owner's affective state was manipulated. We also
471 investigated whether the effects of this kind of contagion of an emotional state (increased
472 level of stress) transfer to a different domain by affecting an aspect of cognitive performance
473 as well. It has been shown that stress and stress hormones influence cognitive performance
474 following an inverse U shape dose-response relationship in both humans (Belanoff et al.
475 2001) and nonhuman animals (Rooszendaal 2000; Salehi et al. 2010), so low to moderate
476 levels of distress have an improving effect on cognitive functions (Shors et al. 1989).
477 Psychological stress can also cause physiological changes (Chida & Hamer 2008) and it
478 mainly affects the hippocampus, the area of the declarative memory (Diamond et al. 1994).
479 Our results are in line with this notion. The analyses of our data allow us to conclude that the
480 owners' state anxiety was effectively manipulated by the experimenter (i.e. after having
481 received negative feedback, owners achieved higher state anxiety scores). The owners'
482 performance in the declarative memory task also seems to be affected by their anxiety level,
483 leading to a better performance in the *Stressed owner* condition and findings from the
484 *Stressed dog* condition indicate a similar effect of anxiety on dogs' spatial working memory.
485 Moreover, dogs' working memory performance significantly correlated with the change in the
486 owners' self-reported stress level and changed in the same direction as the owners' memory
487 performance. This raises the possibility that their owners' state anxiety is contagious to dogs

488 and the emotional contagion can be tracked by measuring changes in dogs' memory
489 performance.

490 It is important to note that owners' improved performance in a stressful situation could
491 not only be generated by the moderately increased stress level; but could also be facilitated by
492 the procedure, by the method of the manipulation. Namely, negative verbal feedback in a skill
493 performance situation can be regarded as a kind of failure, and this can inspire people to
494 perform better in the next task independent of the increased level of stress that negative
495 feedback supposedly elicits. However, the literature also provides evidence suggesting that
496 feelings of failure, when losing a competition, can cause stress hormone release (Bhatnagar &
497 Vining 2003), therefore it may not be possible to disentangle these two seemingly different
498 effects. Moreover, perceiving a situation more or less stressful depends on personality as well
499 (Wirth et al 2006).

500 One possible alternative explanation of our results could be based on the discrepancy
501 in the difficulty of the initial task. That is, owners performed more poorly in the baseline
502 phase of the *Stressed owner* condition because they had a more difficult text to read and
503 therefore they had more room for improvement by the end of the experiment. However, this is
504 not likely since there was no main effect of condition on the memory performance of owners
505 and pairwise analyses also confirm the notion that initial performance did not differ between
506 the two experimental conditions. The declining memory performance in the *Non-stressed*
507 *owner condition* can be best explained by fatigue, because participants had to read and learn a
508 lot and solve several tasks during the long time of the experiment. On the other hand they
509 probably did not feel any motivation to perform better at the end of the experiment.

510 Another factor that could have influenced the success of the manipulation is the dogs'
511 level of training. It could be argued that since we expected the transmission of affective state
512 to happen – at least partly – during an obedience task, dogs that had gone through obedience

513 training might respond differently and may not experience that much stress (or alternatively
514 may be more attuned to the owner and therefore be more sensitive to their signals). However,
515 we have shown that the change in memory performance did not depend on the level of
516 training, therefore this explanation can be ruled out.

517 A key finding of the present study is that the anxiety experienced by the owner
518 influences their dog's behaviour and that these effects are manifested in the cognitive domain.
519 We propose that this phenomenon can be best explained by emotion contagion as the dogs'
520 performance was not directly reliant on the owner's affective state or behaviour. Dogs had to
521 solve the task on their own, therefore any change in performance had to be the result of
522 previous interactions. Since very similar effects were observed in the memory performance of
523 the owners, it is plausible to assume that the change of affective state was also similar.

524 The improvement of spatial working memory performance of dogs in the *Stressed*
525 *owner* condition was similar to that of the *Stressed dog* condition. Since there were significant
526 differences in the owners' play behaviour and the use of positive reinforcement while
527 interacting with their dogs, we may assume that the owners' affective state was transmitted at
528 least partly through these behaviour signals. Of course dogs could be influenced by other
529 sources of information, for example the owners' body language (Merola et al. 2012), facial
530 expression (Nagasawa et al. 2011; Racca et al. 2012), emotional valence of the
531 commands (Ruffman & Morris-Trainor 2011), or other unobservable behavioural signals or
532 odour cues (Prehn-Kristensen et al. 2009).

533 One of the most important questions in the literature on emotional contagion concerns
534 the problem of how these behavioural cues contribute to the transmission of emotions. Taking
535 an interspecies approach to the question can shed some further light on the matter. Non-
536 conscious mimicry of expressions has been suggested to play a key role in intraspecies cases
537 (e.g. Hatfield et al. 1993) during which the emotional expression of one individual is imitated

538 by the observer, generating a similar feeling in him/her too. However, non-conscious mimicry
539 is unlikely to work properly between individuals of a different species. Therefore it seems a
540 plausible explanation that a more sophisticated perception of the social context contributes to
541 the phenomenon and that it cannot be accounted for by such direct physiological changes. The
542 importance of a higher level of social sensitivity is also in line with findings that show that
543 less social species, such as the red-footed tortoise, are not susceptible to a related
544 phenomenon, contagious yawning (Wilkinson et al. 2011). The dog's special sensitivity to
545 human behavioural cues, however, can lead to the appearance of emotional contagion
546 between different species and may also serve similar functions as in a human-to-human
547 interaction.

548 In sum, we showed similar effects in dogs as in their owners with direct manipulation
549 of the owners only, supporting the existence of emotional contagion between two different
550 species. Recent experimental data suggest that dogs' behaviour can be influenced by the
551 pretended emotion of a human. For example they show an empathic-like response toward a
552 crying human (Custance & Mayer 2012), and react to an unfamiliar object according to the
553 owner's attitude (Merola et al. 2012). The current study extends our understanding of these
554 results since the change in the memory performance observed in dogs is unlikely to be
555 attributed to any conditioned response to the behavioural cues of the human. Furthermore, this
556 study gives further support for the idea that the real emotions of the owner can influence the
557 dog; and our results suggest that the underlying mechanism may be emotion contagion. This
558 points to the conclusion that it is possible to influence the dog's stress level via the owner
559 even in an artificial situation. We suggest that these effects are due to the special
560 domestication history of the dog that has endowed this species with a unique sensitivity to the
561 behavioural cues of humans.

562

563

564 **Acknowledgements**

565 Financial support was provided by the Hungarian Scientific Research Fund (OTKA K-
566 100695).

567

568 **Ethical standards**

569 The experiments comply with the current Hungarian laws.

570

571

572

573 **References**

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- 698

699 Figure legends

700

701 Figure 1: Experimental arrangement of the dog Spatial Working Memory task. The owner
702 made the dog sit equidistant from the 7 plastic containers serving as hiding places. The
703 positions of the containers are labelled as L(left) 1-3, R(right) 1-3 and M(middle).

704

705 Figure 2: Comparison of the owners' state-anxiety scores obtained from pre- and post-
706 manipulation phases (median, quartiles and extreme values) in the Non-stressed- and Stressed
707 owner conditions. (* $p < 0.001$)

708

709 Figure 3: Number of words recalled by the owners in the declarative memory task before and
710 after the manipulation.

711

712 Figure 4: Changes in the number of words (pre- vs. post-manipulation phases; median,
713 quartiles and extreme values) recalled by the owners in the declarative memory task. (* $p =$
714 0.014)

715

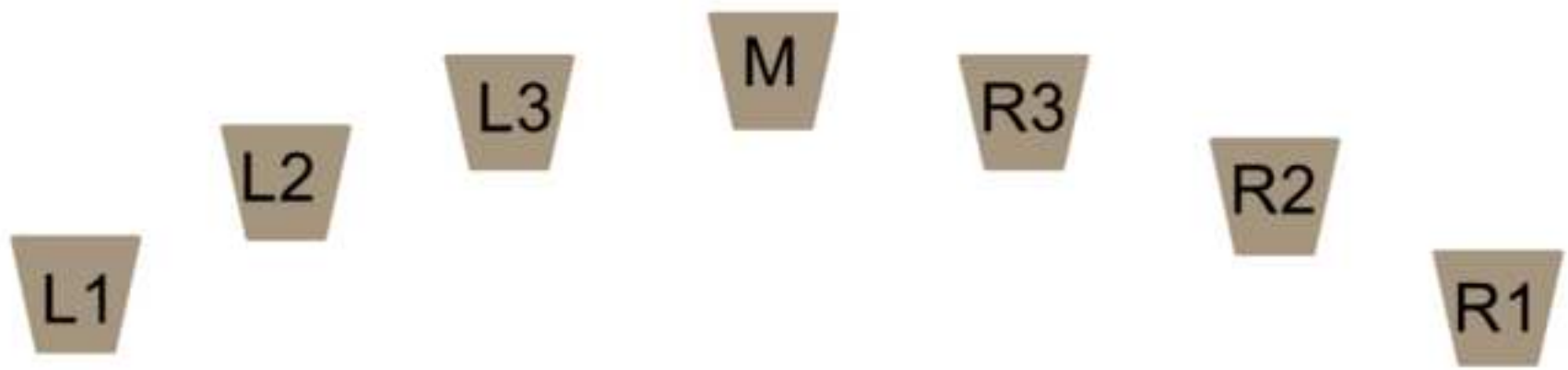
716 Figure 5: Number of erroneous choices (pre- vs. post-manipulation phases; median, quartiles
717 and extreme values) by the dogs in the memory task. (* $p < 0.05$)

718

719 Figure 6: Changes in the number of dogs' erroneous choices in the Spatial Working Memory
720 task (pre- vs. post-manipulation phases; median quartiles and extreme values. (* $p = 0.0049$)

721

trip



✕ Dog & Owner

Figure2

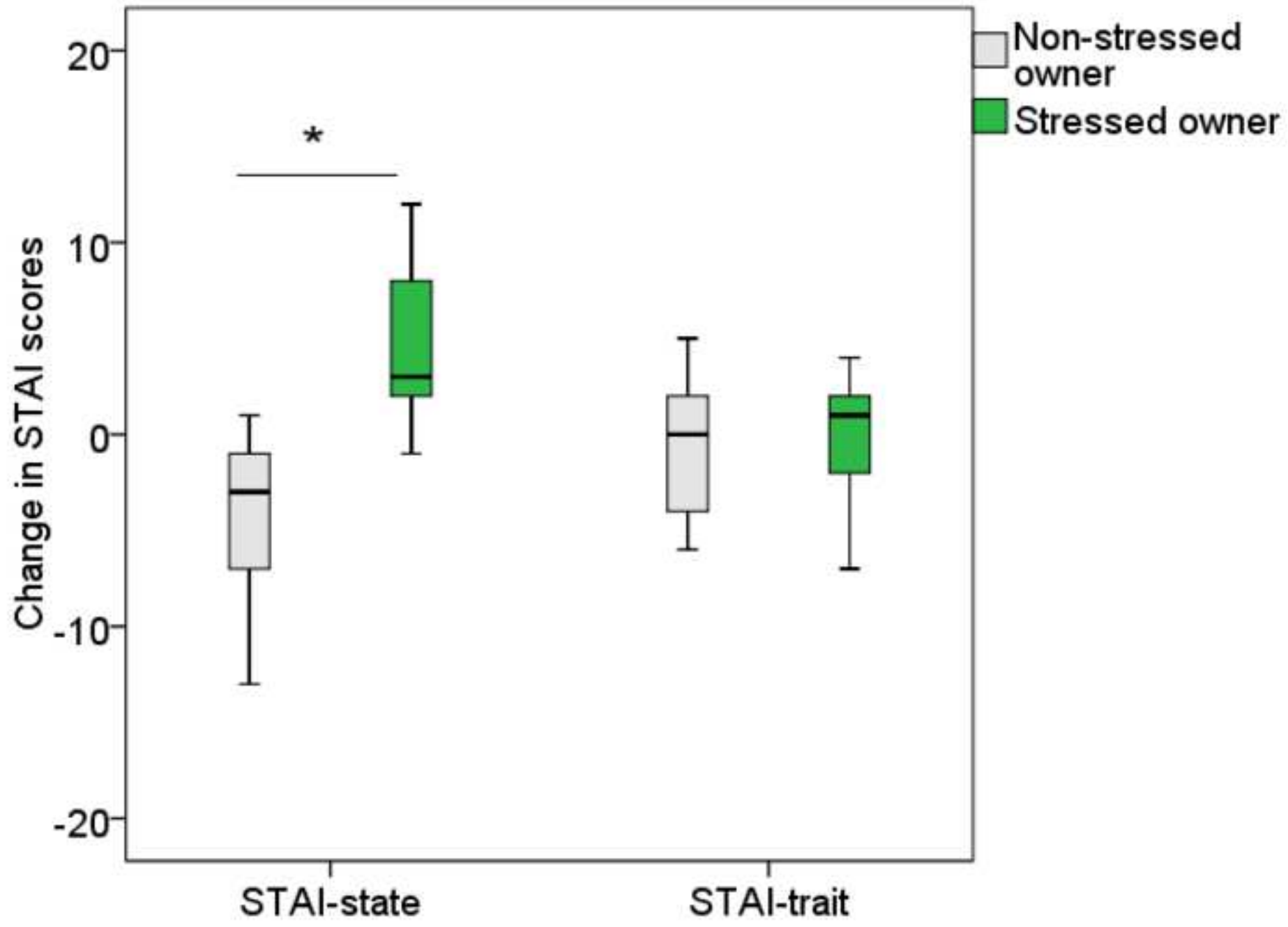
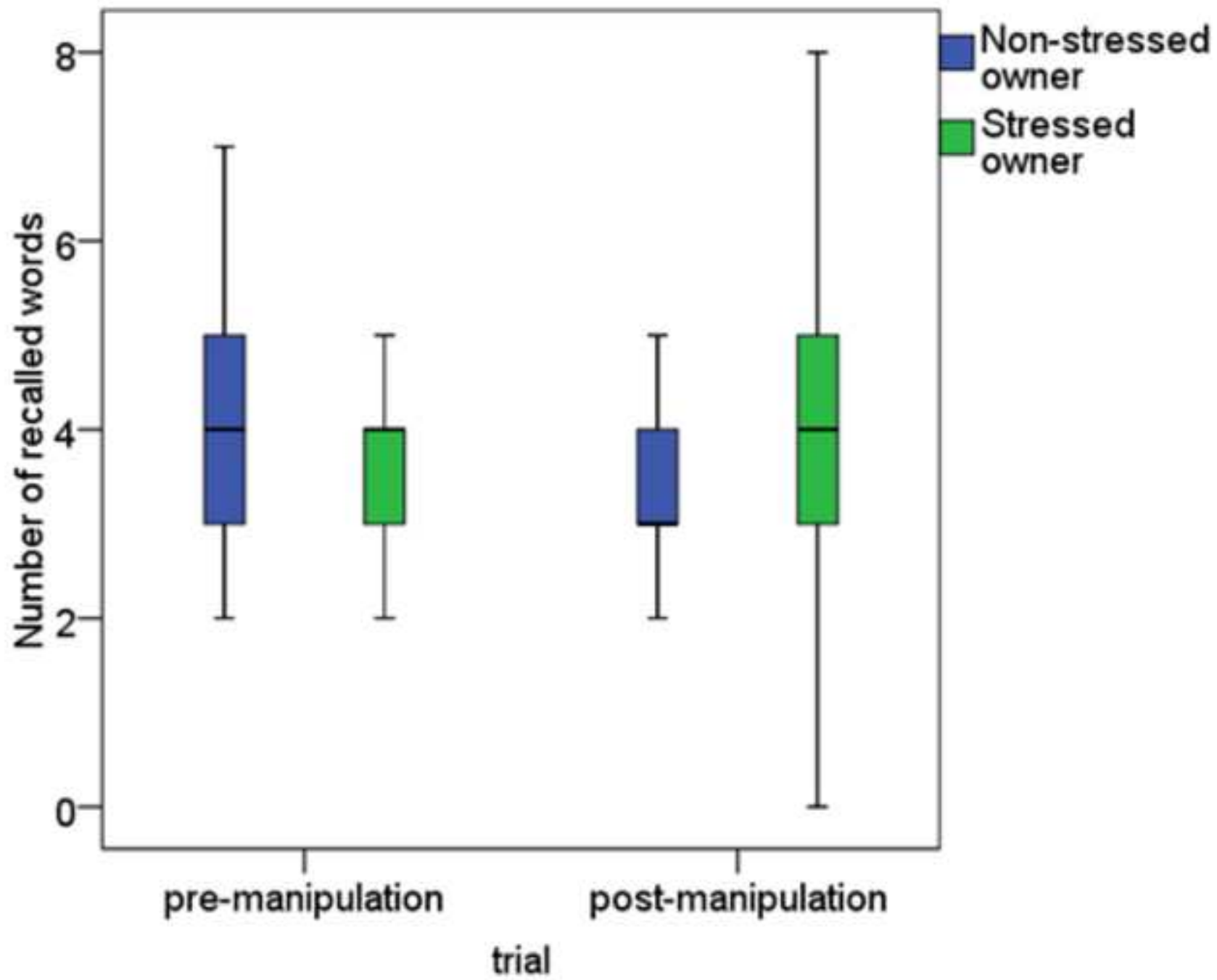


Figure3



Preprint

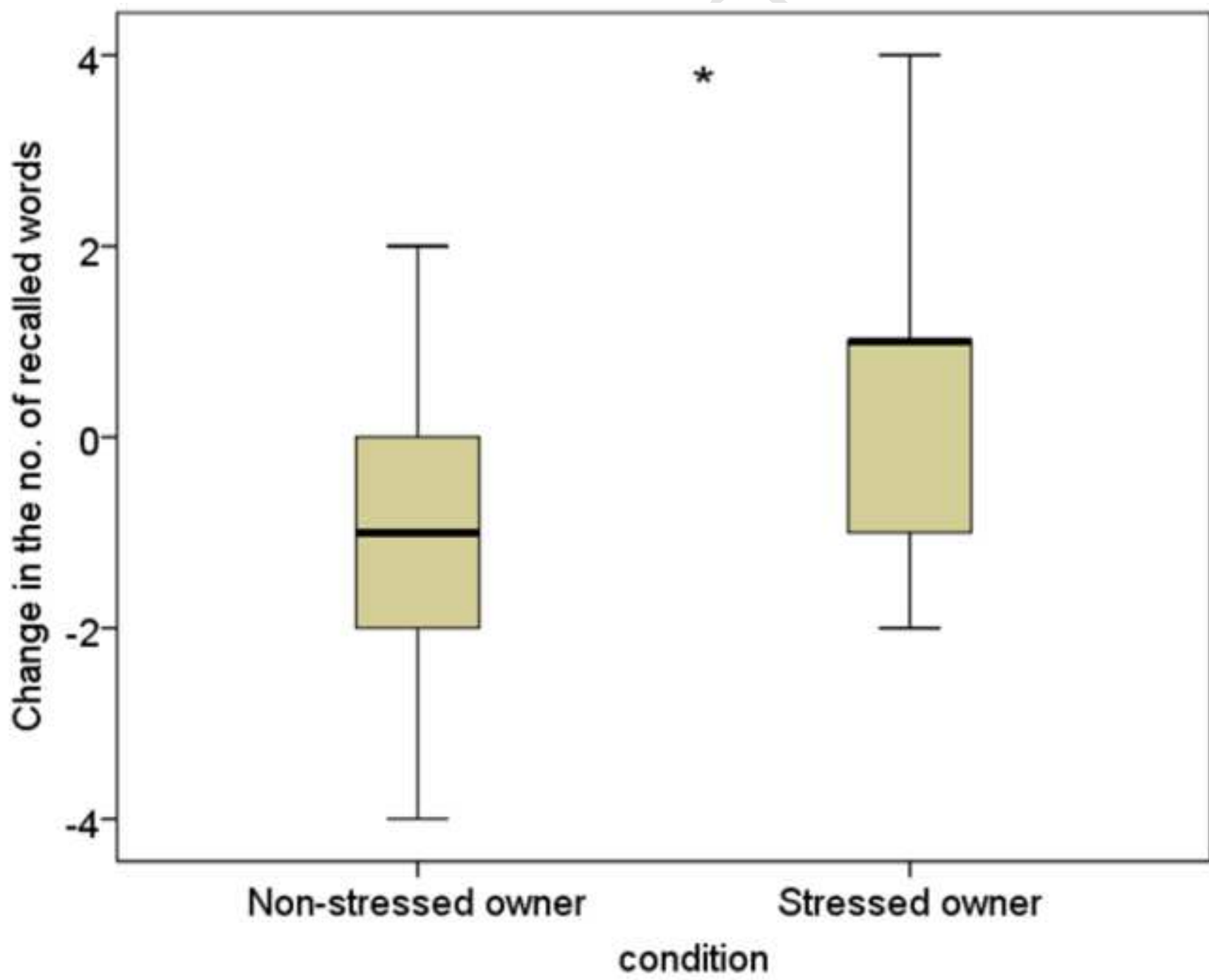


Figure 5

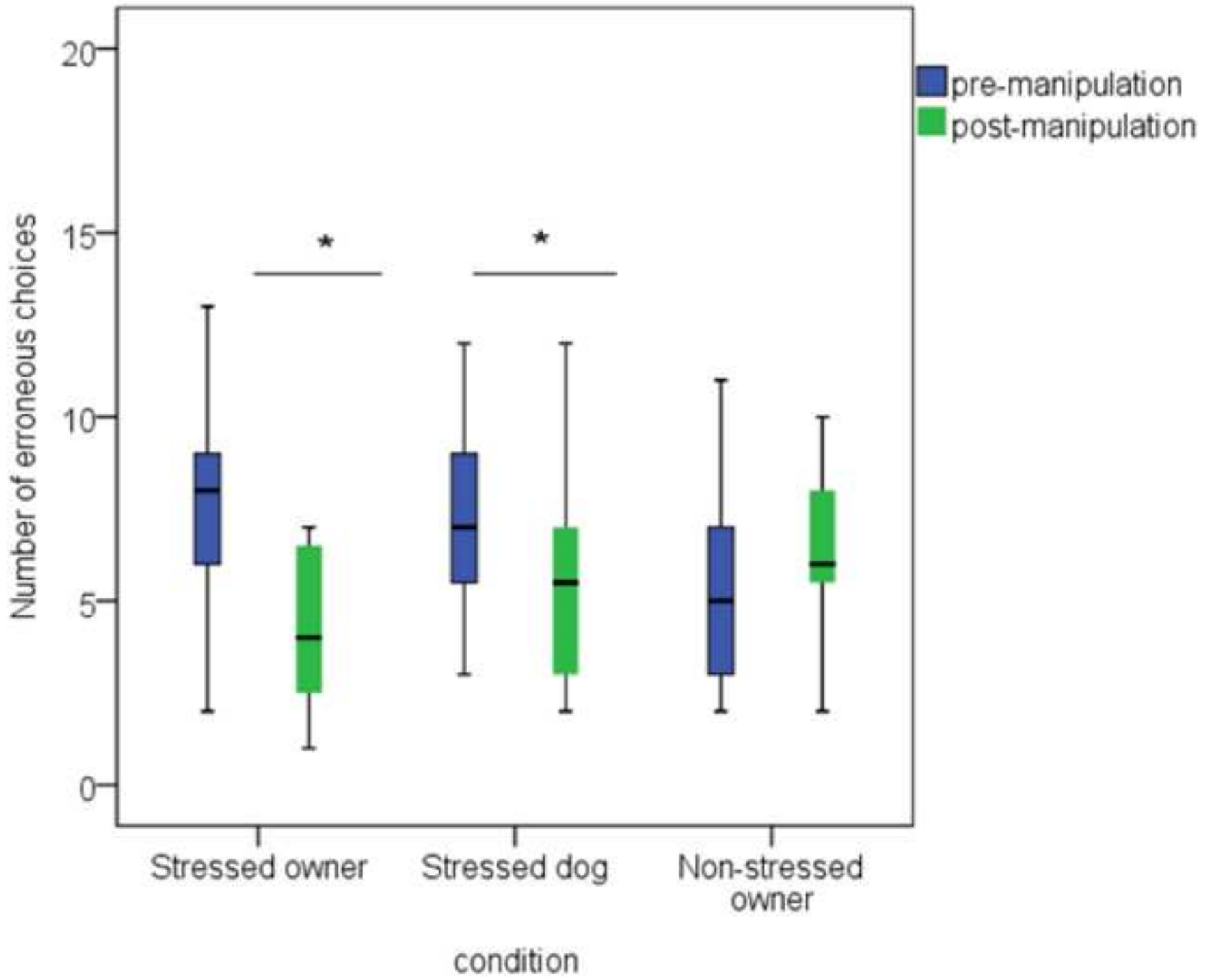


Figure6

