

## ON THE THEORY OF RELATIONS

by

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Let  $E$  be a given set and suppose that to every element  $x$  of  $E$  there corresponds a subset  $S(x)$  of  $E$  such that  $x \notin S(x)$ . For every subset  $M$  of  $E$  let

$$S(M) = \bigcup_{x \in M} S(x).$$

A subset  $M$  of  $E$  is called independent, if

$$M \cap S(M) = \emptyset.$$

Let  $E$  be a separable topological space of the second category without isolated points. For any  $x \in E$ , let  $S(x)$  be nowhere dense in  $E$ . P. ERDŐS has proved that there exists an independent set of power  $\aleph_0$ . It is not known the existence of an independent set of power  $\aleph_1$ .

We shall prove the following theorem which is a generalization of the theorem of P. ERDŐS.

**Theorem.** *Let  $E$  be a separable topological space of the second category without isolated points. Suppose that the elements of  $E$  are arranged in a given wellordering. If for every  $x \in E$  the set  $S(x)$  is nowhere dense in  $E$ , then there exists for every  $\alpha < \omega_1$  an independent subset of the type  $\alpha$  of  $E$  in the given wellordering.*

**Proof.** Let  $H$  be a subset of the second category of  $E$  and let  $\eta(H) \neq \emptyset$  be a subset of  $H$  with the following property ( $T$ ): For any open subset  $K$  of  $E$  satisfying  $\eta(H) \cap K \neq \emptyset$  the set  $\eta(H) \cap K$  is of the second category. It is known that there exists such a subset  $\eta(H)$  of  $H$ . Thus we have associated to every subset  $H$  of the second category of  $E$  a non-empty subset of  $H$  with the property ( $T$ ). If the set  $H$  has the property ( $T$ ), then we put  $\eta(H) = H$ . It is clear that a subset  $M$  of  $\eta(H)$  is nowhere dense or of the first category in  $\eta(H)$  if and only if  $M$  is nowhere dense or of the first category in  $E$ .

Consider now the ordinal numbers of the subsets of the second category of  $E$  with respect to the given wellordering of  $E$ . Let  $\varphi$  be the smallest such ordinal number. It is clear that  $\varphi$  is not confinal to an ordinal number which is smaller than  $\omega_1$ . Let  $B$  be a subset of the second category of the type  $\varphi$  of  $E$  and  $A = \eta(B)$ . It is obvious that  $A$  has the type  $\varphi$ .

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For each subset  $M$  of  $A$  let  $W(M)$  denote the set of all elements of  $A$  which are not exceeding all the elements of  $M$  in the given wellordering of  $E$  [thus  $M \subseteq W(M)$ ]. It is obvious that  $W(M)$  is of the first category if and only if  $M$  is not confinal to  $A$ . For example if  $M$  is a countable set, then  $W(M)$  is of the first category.

Suppose now that in every set  $K \subseteq A$  of the second category there is an independent set of the type  $\alpha$ , where  $\alpha < \xi < \omega_1$ . We prove that there exists in every set  $P \subseteq A$  of the second category an independent set of the type  $\xi$ . Put  $Q = \eta(P)$ .

We consider two cases

- a)  $\xi$  is an ordinal number of the first kind, i.e.  $\xi = \alpha + 1$ ,
- b)  $\xi$  is an ordinal number of the second kind.

*Ad a* Let  $\{Q_\vartheta\}_{\vartheta < \omega}$  be a countable base of  $Q$ . Then there exists in every  $Q_\vartheta$  an independent set  $H_\vartheta$  of the type  $\alpha$ . Put  $H = \bigcup_{\vartheta < \omega} H_\vartheta$ . It is obvious that  $H$  is a countable set and  $H \subset A$  holds, thus  $W(H)$  and  $S(H)$  are of the first category; i.e. the set  $Q^1 = Q - (W(H) \cup S(H))$  is not empty. Let  $t \in Q^1$ . As  $S(t)$  is nowhere dense, there exists an ordinal number  $\vartheta < \omega$ , such that  $S(t) \cap Q_\vartheta = \emptyset$ . It is easy to verify that  $H_\vartheta \cup \{t\}$  is an independent set with the type  $\alpha + 1 = \xi$ .

*Ad b* In this case put  $\xi = \sum_{\lambda < \omega} \xi_\lambda$ , where  $\xi_\lambda < \xi$  for every  $\lambda < \omega$ . First prove the following

**Lemma.** Let  $\{Q_\vartheta^0\}_{\vartheta < \omega}$  be a countable base of  $Q = Q^0$  and assume that there exists in every  $Q_\vartheta^0$  an independent set  $H_\vartheta^0$  of the type  $\xi_0$ . Then there exists a set  $Q^1$  of the second category and an ordinal number  $\tau_0 < \omega$ , such that  $\eta(Q^1) = Q^1 \subseteq Q^0$ , and  $W(H_{\tau_0}^0) \cap Q^1 = H_{\tau_0}^0 \cap S(Q^1) = S(H_{\tau_0}^0) \cap Q^1 = \emptyset$ .

**Proof.** Since  $H_\vartheta^0$  is a countable set and  $H_\vartheta^0 \subset A$  holds, the sets  $W(H_\vartheta^0)$  and  $S(H_\vartheta^0)$  are of the first category; hence the set  $F^0 = \bigcup_{\vartheta < \omega} (W(H_\vartheta^0) \cup S(H_\vartheta^0))$  is also of the first category. Thus the set  $M^1 = Q^0 - F^0$  is of the second category. For every  $\vartheta < \omega$  let

$$R_\vartheta = \{x \in M^1 : S(x) \cap Q_\vartheta^0 = \emptyset\}.$$

Since the set  $S(x)$  is nowhere dense for every  $x \in E$ , we get that  $M^1 = \bigcup_{\vartheta < \omega} R_\vartheta$ .

Thus there exists an ordinal number  $\vartheta_0 < \omega$ , such that  $R_{\vartheta_0}$  is of the second category. Let  $\tau_0 = \vartheta_0$  and  $\eta(R_{\vartheta_0}) = Q^1$ . It is easy to see that the sets  $H_{\tau_0}^0$  and  $Q^1$  satisfy the requirements of the lemma.

If we start with  $Q^1$  instead of  $Q^0$ , then we get by the application of the lemma the set  $Q^2$ , the ordinal number  $\tau_1$  and the set  $H_{\tau_1}^1$  with the corresponding properties . . . , etc. Repeat this ad infinitum we get successively the sets  $Q^0, Q^1, \dots, Q^\lambda, \dots$ ; the ordinal numbers  $\tau_0, \tau_1, \dots, \tau_\lambda, \dots$  and the sets  $H_{\tau_0}^0, H_{\tau_1}^1, \dots, H_{\tau_\lambda}^\lambda, \dots$  ( $\lambda < \omega$ ), such that for every  $\lambda < \omega$  the set  $Q^\lambda$  is of the second category,  $Q^{\lambda-1} \supseteq Q^\lambda$ ,  $\eta(Q^\lambda) = Q^\lambda$  further  $H_{\tau_\lambda}^\lambda$  is an independent set of the type  $\xi_\lambda$  with the properties ( $\lambda < \gamma < \omega$ ):

- (1)  $W(H_{\tau_\lambda}^\lambda) \cap H_{\tau_\gamma}^\gamma = \emptyset$
- (2)  $H_{\tau_\lambda}^\lambda \cap S(H_{\tau_\gamma}^\gamma) = \emptyset$
- (3)  $S(H_{\tau_\lambda}^\lambda) \cap H_{\tau_\gamma}^\gamma = \emptyset$ .

Put  $H = \bigcup_{\lambda < \omega} H_{\tau_\lambda}^\lambda$ . It is easy to verify that the set  $H$  is an independent set of the type  $\xi = \sum_{\lambda < \omega} \xi_\lambda$ .

## REFERENCE

ERDŐS, P.: "Some remarks on set theory III." *Michigan Math. Journ.* 2 (1953) 51—57.

## ОБ ОДНОЙ ПРОБЛЕМЕ ТЕОРИИ ОТНОШЕНИЙ

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## Резюме

Пусть  $E$  — топологическое пространство второй категории, не содержащее изолированных точек. Каждому  $x \in E$  поставим в соответствие некоторое множество  $(x \notin) S(x) \subseteq E$ , нигде не плотное в  $E$ . Пусть  $M$  — любое подмножество  $E$  и положим

$$S(M) = \bigcup_{x \in M} S(x).$$

Множество  $M$  называется независимым, если  $M \cap S(M) = \emptyset$ . По одной теореме Р. ERDŐS-а существует независимое счетное множество. Автор доказывает, что

*если дана некоторая вполне-упорядоченность множества  $E$ , то каждому порядковому числу  $\alpha < \omega_1$  существует независимое множество, порядковый тип которого в вполне-упорядоченности  $E$  есть  $\alpha$ .*

Вопрос о том, что существует — ли независимое несчетное множество, пока не решен.