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Growth of products of subsets in finite simple groups

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Abstract

We prove that the product of a subset and a normal subset inside any finite simple non-abelian group *G* grows rapidly. More precisely, if *A* and *B* are two subsets with *B* normal and neither of them is too large inside *G*, then $|AB| \ge |A||B|^{1-\epsilon}$ where $\epsilon > 0$ can be taken arbitrarily small. This is a somewhat surprising strengthening of a theorem of Liebeck, Schul, and Shalev.

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1 | INTRODUCTION

The study of growth of products of subsets in finite simple groups has been the subject of significant work in the recent decades. Part of the interest revolves around a conjecture of Liebeck, Nikolov, and Shalev [5], which claims that for any finite simple non-abelian group *G* and any set $A \subseteq G$ of size at least 2 we can write *G* as the product of *N* conjugates of *A* with $N = O(\log |G| / \log |A|)$. This conjecture generalizes an already deep theorem of Liebeck and Shalev [7], which proves it for *A* a *normal* subset, that is, a union of conjugacy classes of *G*.

In attempting to prove the conjecture, or partial cases thereof, a natural way is to show that the product of two subsets has size comparable to the product of the sizes of the two original sets. A result in this vein is the following, due to Gill, Pyber, Short, and Szabó [4, Proposition 5.2]. For any $\epsilon > 0$, there exists $\delta > 0$ such that if *G* is a finite simple non-abelian group, *A* is a subset with $|A| \leq |G|^{1-\delta}$, and *B* is a normal subset, then $|AB| \geq |A||B|^{\epsilon}$. This theorem strengthens the expansion result given in [8, Proposition 10.4] for conjugacy classes that are not too large with respect to the size of *G*. Liebeck, Schul, and Shalev later used another result of this kind to prove that for small classes, and indeed for small normal subsets, the expansion is particularly rapid.

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They proved [6, Theorem 1.3] that for any $\epsilon > 0$ there exists $\delta > 0$ such that if *G* is a finite simple non-abelian group and *A*, *B* are two normal subsets with $|A|, |B| \leq |G|^{\delta}$, then $|AB| \geq (|A||B|)^{1-\epsilon}$.

In the present paper, we prove the following.

Theorem 1.1. For any $\epsilon > 0$ there exists $\delta > 0$ such that if *G* is a finite simple non-abelian group, *A* is a subset and *B* is a normal subset with $|A|, |B| \leq |G|^{\delta}$, then $|AB| \geq |A||B|^{1-\epsilon}$.

Theorem 1.1 is a direct generalization of [6, Theorem 1.3], and it improves [4, Proposition 5.2] for sets of size at most $|G|^{\delta}$.

2 | BOUNDING CONJUGACY CLASS SIZES IN ALTERNATING GROUPS

In this section, let *G* be the alternating group of degree *r* and let $x \in G$. We define $\Delta(x)$ to be (r - t)/r where *t* denotes the number of cycles in the disjoint cycle decomposition of *x*. The purpose of this section is to show that, unlike the support of *x*, the invariant $\Delta(x)$ controls the size of the conjugacy class x^G , provided that it is small.

We will need a variant of [2, Lemma 2.3].

Lemma 2.1. For every γ and ϵ with $0 < \gamma < 1$ and $0 < \epsilon < 1$ there exists N such that for every $r \ge N$, whenever $x \in G$ satisfies $|x^G| \ge |G|^{\gamma}$, then $\Delta(x) > (1 - \epsilon)\gamma$.

Proof. Fix γ and ϵ with $0 < \gamma < 1$ and $0 < \epsilon < 1$. According to [2, Lemma 2.3], for every $\epsilon_1 > 0$ there exists N_1 such that for every $r \ge N_1$, whenever $x \in G$ satisfies $|x^G| \ge |G|^{\gamma}$, then $\Delta(x) > \gamma - \epsilon_1$. It is sufficient to choose ϵ_1 such that $\gamma - \epsilon_1 > (1 - \epsilon)\gamma$. This is the case when $\epsilon_1 < \gamma \epsilon$.

We need the following bounds of Stirling found in [1, 2.9].

Lemma 2.2. For every positive integer n, we have

$$\sqrt{2\pi n} \left(\frac{n}{e}\right)^n \leqslant n! \leqslant 2\sqrt{2\pi n} \left(\frac{n}{e}\right)^n.$$

We are now in position to prove the main result of this section.

Proposition 2.3. For all $\epsilon > 0$, there exists $\delta > 0$ such that whenever G is an alternating group and $x \in G$ with $|x^G| \leq |G|^{\delta}$, then

$$|G|^{\Delta(x)(1-\epsilon)} \leq |x^G| \leq |G|^{\Delta(x)(1+\epsilon)}$$

Proof. Fix $\epsilon > 0$.

We may assume that *r*, the degree of the alternating group *G*, is sufficiently large. For if $r \le c$ with a universal constant *c*, then by choosing δ less than 1/c the condition of the lemma implies that x = 1. The statement is clear for x = 1. Let us assume that $x \ne 1$.

Let δ_0 be such that $|x^G| = |G|^{\delta_0}$. We may assume that $\delta_0 > 0$, for otherwise x = 1. The upper bound of the proposition amounts to showing that $\delta_0 \leq \Delta(x)(1 + \epsilon)$. For every $\epsilon_1 > 0$, there exists

 N_1 such that whenever $r \ge N_1$ then $\Delta(x) > (1 - \epsilon_1)\delta_0$ by Lemma 2.1. Thus it suffices to choose ϵ_1 such that $1 < (1 - \epsilon_1)(1 + \epsilon)$. This is the case when $\epsilon_1 < \epsilon/(1 + \epsilon)$.

It remains to establish the lower bound of the proposition. We first prove the same statement for the symmetric group *H* of degree *r*. For each integer *i* with $1 \le i \le r$, let c_i be the number of cycles of length *i* in the disjoint cycle decomposition of *x*. We have

$$|C_H(x)| = \left(\prod_{i=1}^r c_i!\right) \left(\prod_{i=1}^r i^{c_i}\right) \leqslant \left(\sum_{i=1}^r c_i\right)! \left(\prod_{i=2}^r i^{c_i}\right) = t! \left(\prod_{i=2}^r i^{c_i}\right), \tag{1}$$

where *t* is the number of cycles in the disjoint cycle decomposition of *x*. Observe that $t = r(1 - \Delta(x))$. This and Lemma 2.2 give

$$t! \leq 2\sqrt{2\pi t} \left(\frac{t}{e}\right)^t \leq 2\sqrt{2\pi r} \left(\frac{r}{e}\right)^t = 2\sqrt{2\pi r} \left(\frac{r}{e}\right)^{r(1-\Delta(x))} =$$
$$= 2\left(\sqrt{2\pi r}\right)^{\Delta(x)} \left(\sqrt{2\pi r} \left(\frac{r}{e}\right)^r\right)^{1-\Delta(x)} \leq 2\left(\sqrt{2\pi r}\right)^{\Delta(x)} |H|^{1-\Delta(x)}.$$
$$\tag{2}$$

We have

=

$$2\left(\sqrt{2\pi r}\right)^{\Delta(x)} \le |H|^{(\varepsilon/2)\Delta(x)} \tag{3}$$

for every large enough *r*. By considering the derivative of the function $f(x) = x^{1/x}$, we see that $i^{1/i} \le e^{1/e}$ for every positive integer *i*. It follows that

$$\prod_{i=1}^{r} i^{c_i} = \prod_{i=2}^{r} i^{(ic_i)/i} \leqslant \prod_{i=2}^{r} e^{ic_i/e} = e^{(\sum_{i=2}^{r} ic_i)/e}.$$
(4)

Now $\sum_{i=2}^{r} ic_i \leq \sum_{i=2}^{r} 2(i-1)c_i = 2(\sum_{i=1}^{r} (i-1)c_i) = 2\Delta(x)r$. Applying this to (4) gives

$$\prod_{i=1}^{r} i^{c_i} \leq e^{2\Delta(x)r/e} < |H|^{(\varepsilon/2)\Delta(x)},\tag{5}$$

holding for every sufficiently large r. By (1), (2), (3), and (5), we obtain

$$|C_H(x)| < |H|^{(\epsilon/2)\Delta(x)} \cdot |H|^{1-\Delta(x)} \cdot |H|^{(\epsilon/2)\Delta(x)} = |H|^{1-\Delta(x)(1-\epsilon)}$$

Thus, $|H|^{\Delta(x)(1-\epsilon)} < |x^H|$. This proves the claim for the symmetric group *H*.

We proved above that for all $\epsilon_1 > 0$ there exists $\delta_1 > 0$ such that if $|x^H| \leq |H|^{\delta_1}$, then

$$|H|^{\Delta(x)(1-\varepsilon_1)} \leqslant |x^H|. \tag{6}$$

We fixed $\epsilon > 0$. Take $\epsilon_1 = \epsilon/2$ and $\delta < \delta_1/2$. Inequality (6) gives $|x^G| > |H|^{\Delta(x)(1-(\epsilon/2))}/2$, which is at least $|G|^{\Delta(x)(1-\epsilon)}$ for every sufficiently large *r*, by noting that $\Delta(x) \ge 1/r$. This proves the lower bound of the proposition.

3 | BOUNDING CONJUGACY CLASS SIZES IN SIMPLE CLASSICAL GROUPS

The purpose of this section is to extend Proposition 2.3 for the case when G is a simple classical group. We also record a consequence.

Let $n \ge 2$ be an integer and q a prime power. Let G be one of the classical groups $L_n^{\pm}(q)$, $PSp_n(q)$ or $P\Omega_n^{\pm}(q)$. Let $V = V_n(q^u)$ be the natural module for the lift of G where u = 2 if G is unitary and u = 1 otherwise. Let $\overline{\mathbb{F}}$ be the algebraic closure of \mathbb{F}_q and let $\overline{V} = V \otimes \overline{\mathbb{F}}$. Let $x \in G$ and let \hat{x} be a preimage of x in GL(V). In [6], the support $\nu(x)$ of x is defined to be

$$\nu(x) = \nu_{V\overline{\mathbb{F}}}(x) = \min\{\operatorname{codim}(\ker(\hat{x} - \lambda I)) : \lambda \in \overline{\mathbb{F}}^*\}$$

Define a = a(G) to be 1 if $G = L_n^{\pm}(q)$ and 1/2 otherwise.

The following is [6, Proposition 3.4].

Proposition 3.1. For any $\epsilon > 0$, there exists $\delta > 0$ such that if x is an element of a simple classical group G with $|x^G| \leq |G|^{\delta}$, then

$$q^{(2a-\epsilon)n\nu(x)} \leq |x^G| \leq q^{(2a+\epsilon)n\nu(x)}$$

For $x \in G$ where G is a simple classical group, let

$$\Delta(x) = \frac{\nu(x) \cdot 2a \cdot n \cdot \log q}{\log |G|}.$$

We may now state the main result of this section.

Proposition 3.2. For all $\epsilon > 0$ there exists $\delta > 0$ such that whenever G is an alternating group or a simple classical group and $x \in G$ with $|x^G| \leq |G|^{\delta}$, then

$$|G|^{\Delta(x)(1-\epsilon)} \leq |x^G| \leq |G|^{\Delta(x)(1+\epsilon)}$$

Proof. Fix $\epsilon > 0$. We may assume that *G* is a simple classical group with parameters *n*, *q* and *a*, by Proposition 2.3. As $|G|^{\Delta(x)} = q^{2an\nu(x)}$, the conclusion of the proposition is

$$q^{2an\nu(x)(1-\varepsilon)} \leq |x^G| \leq q^{2an\nu(x)(1+\varepsilon)}.$$
(7)

Let $\epsilon_1 > 0$ be such that $\epsilon_1 < 2a\epsilon$. Choose $\delta > 0$ for ϵ_1 such that Proposition 3.1 is satisfied. Assume that $|x^G| \leq |G|^{\delta}$. Then (7) follows from Proposition 3.1.

We will need the following technical consequence of Proposition 3.2.

Corollary 3.3. There exists $\delta > 0$ such that whenever *G* is a (finite) alternating or simple classical group and $x_1, \ldots, x_k \in G$ such that $|x_1^G| \cdots |x_k^G| \leq |G|^{\delta}$, then there exists $z \in x_1^G \cdots x_k^G$ with $\Delta(z) = \Delta(x_1) + \cdots + \Delta(x_k)$.

Proof. Choose $\delta > 0$ such that whenever *G* is an alternating group or a simple classical group and $x \in G$ with $|x^G| \leq |G|^{\delta}$, then $\Delta(x) < 1/4$. Such a δ exists by Proposition 3.2.

Let $x_1, ..., x_k$ be elements in an alternating or simple classical group *G* such that $|x_1^G| \cdots |x_k^G| \le |G|^{\delta}$. For each *i* with $1 \le i \le k$, let $s_i = \Delta(x_i)$. Put $s = \sum_{i=1}^k s_i$.

For every *i* with $1 \le i \le k$, the inequality $|x_i^G| \le |G|^{\delta}$ implies that $s_i < 1/4$. Let *i* and *j* be two distinct indices from $\{1, \dots, k\}$. We have $|x_i^G x_j^G| \le |x_i^G| |x_j^G| \le |G|^{\delta}$, $s_i < 1/4$ and $s_j < 1/4$. As both s_i and s_j are less than 1/4, the normal set $x_i^G x_j^G$ contains a conjugacy class y^G with $y \in G$ and $\Delta(y) = s_i + s_j$ by [6, Lemma 3.5], for classical groups *G*. The same statement holds when *G* is an alternating group. As $|y^G| \le |G|^{\delta}$, we have $s_i + s_j = \Delta(y) < 1/4$. Continuing in this way, we find that there is an element $z \in G$ such that z^G is contained in $x_1^G \cdots x_k^G$, and *z* satisfies $\Delta(z) = s_1 + \cdots + s_k = s$ and *s* is less than 1/4.

4 | LOWER BOUNDS ON CONJUGACY CLASS SIZES IN SIMPLE GROUPS

Let *G* be a non-abelian finite simple group different from a sporadic group. We define the rank of *G* to be its untwisted Lie rank if it is a group of Lie type and to be its degree if it is an alternating group (and not a group of Lie type).

Lemma 4.1. Every nontrivial conjugacy class of a non-abelian finite simple group of rank r has size at least $|G|^{1/16r}$.

Proof. Let $G = G_r(q)$ be a finite simple group of Lie type of rank *r* defined over \mathbb{F}_q , the finite field of order *q*. Let *x* be an arbitrary nontrivial element in *G*. We have

$$q^{r/2} \le |x^G| \le |G| \le q^{8r^2}$$

by [3, Proposition 2.2]. The result follows in this case. Let *G* be the alternating group of degree $r \ge 5$. As the minimal index of a proper subgroup of *G* in *G* is *r*, every nontrivial conjugacy class of *G* has size at least $r > r^{1/16} \ge |G|^{1/16r}$.

The following is [6, Theorem 2.2].

Lemma 4.2. For any $\epsilon > 0$, there exists N such that if G is a non-abelian finite simple group of rank at least N and B is a nonempty normal subset of G, then B contains a conjugacy class of G of size at least $|B|^{1-\epsilon}$.

We are in position to prove the following result.

Proposition 4.3. For any $\epsilon > 0$, there exists $\delta > 0$ such that whenever $B_1, ..., B_k$ are nonempty normal subsets in a non-abelian finite simple group *G* with

$$|B_1| \cdots |B_k| \leq |G|^{\delta},$$

then there exists $z \in B_1 \cdots B_k$ such that

$$|z^G| \ge (|B_1| \cdots |B_k|)^{1-\epsilon}.$$

Proof. Fix $\epsilon > 0$. We may assume that $\epsilon < 1$. Let *G* be a non-abelian finite simple group. Let *k* be a positive integer and let $B_1, ..., B_k$ be nonempty normal subsets in *G*. For each *i* with $1 \le i \le k$, let x_i be a member of a largest conjugacy class in B_i . We may assume that each x_i is different from 1.

Assume first that |G| is bounded from above by a constant *c*. If δ is chosen to be less than 1/c, then $|G|^{\delta} < 2$, and the statement is clear. Thus from now on we may assume that |G| is unbounded. In particular, we assume that $G = G_r(q)$ is a finite simple group of Lie type of rank *r* defined over \mathbb{F}_q , the finite field of order *q*, or *G* is the alternating group of degree $r \ge 5$.

Assume first that *r* is bounded from above by a constant *c*. If δ is chosen to be less than 1/16*c*, then the statement follows from Lemma 4.1. Thus, from now on we may assume that *r* is sufficiently large, that is, *G* is a finite simple classical group whose lift acts naturally on a vector space of large enough dimension, or *G* is the alternating group of large enough degree.

We may assume by Lemma 4.2 that for every i with $1 \le i \le k$ we have $|x_i^G| \ge |B_i|^{1-\epsilon_1}$ for any fixed $\epsilon_1 > 0$. If there exists $z \in x_1^G \cdots x_k^G$ such that

$$|z^{G}| \ge (|x_{1}^{G}| \cdots |x_{k}^{G}|)^{1-(\varepsilon/2)},$$
(8)

then

$$|z^{G}| \ge \left(|B_{1}|\cdots|B_{k}|\right)^{(1-\epsilon_{1})(1-(\epsilon/2))} \ge \left(|B_{1}|\cdots|B_{k}|\right)^{1-\epsilon_{1}}$$

whenever ϵ_1 is chosen such that $\epsilon_1 \leq \epsilon/(2-\epsilon)$.

In the rest of the proof, we will find an element $z \in x_1^G \cdots x_k^G$ such that (8) holds.

We may assume that $|x_1^G| \cdots |x_k^G| \leq |G|^{\delta_1}$ where δ_1 is a constant whose existence is assured by Corollary 3.3. Let $z \in x_1^G \cdots x_k^G$ such that $\Delta(z) = \sum_{i=1}^k \Delta(x_i)$. For each *i* with $1 \leq i \leq k$, let $s_i = \Delta(x_i)$. Put $s = \sum_{i=1}^k s_i$.

Let $\epsilon_2 > 0$ be such that $\epsilon_2 < \epsilon/(4 - \epsilon)$. Let $\delta_2 > 0$ be a constant whose existence is assured by Proposition 3.2 for ϵ_2 . Let δ be the minimum of δ_1 and δ_2 . On one hand, Proposition 3.2 gives

$$|z^G| \ge |G|^{(1-\epsilon_2)s} \tag{9}$$

and on the other,

$$|x_1^G| \cdots |x_k^G| \le |G|^{(1+\epsilon_2)\sum_{i=1}^k s_i} = |G|^{(1+\epsilon_2)s}.$$
(10)

Finally, inequality (8) is satisfied because $(1 - \epsilon_2)s > (1 + \epsilon_2)s(1 - (\epsilon/2))$.

5 | PROOF OF THEOREM 1.1

Gill, Pyber, Short, and Szabó [4, Theorem 4.3] proved the following important result.

Proposition 5.1. Let A and B be finite sets in a group G with B normal in G. Suppose that $|AB| \leq K|A|$ for some positive number K. Then there exists a nonempty subset X of A such that $|XB^k| \leq K^k|X|$ for $k \geq 1$. In particular, $|B^2| \leq K|B|$ implies that $|B^k| \leq K^k|B|$ for $k \geq 1$.

Proof of Theorem 1.1. Fix $\epsilon > 0$. We may assume that $\epsilon < 1$. Choose δ_1 satisfying the statement of Proposition 4.3 with $\epsilon/2$. Let $\delta = (\epsilon/2) \cdot (1 + (\epsilon/2))^{-1} \delta_1$. Let *G* be a non-abelian finite simple group. Let *B* be a normal subset in *G* and let *A* be a subset of *G*, both of size at most $|G|^{\delta}$. The result is clear if B = 1. Thus, assume that $B \neq 1$. Let *k* be the smallest positive integer for which $|A| \leq |B|^{(\epsilon/2)k}$. Then $|B|^{(\epsilon/2)(k-1)} \leq |A|$ and so

$$|B|^{(\varepsilon/2)k} \leq |A||B|^{\varepsilon/2} \leq |G|^{\delta}|G|^{\delta(\varepsilon/2)} = |G|^{(1+(\varepsilon/2))\delta} = |G|^{(\varepsilon/2)\delta_1}.$$
(11)

Let K > 0 be the number defined by |AB| = K|A|. Let X be a subset of A whose existence is assured by Proposition 5.1. We get

$$|B^{k}| \leq |XB^{k}| \leq K^{k}|X| \leq K^{k}|A| \leq K^{k}|B|^{(\varepsilon/2)k}$$
(12)

by Proposition 5.1. We have

$$|B^k| \ge |B|^{(1-(\varepsilon/2))k} \tag{13}$$

by (11) and Proposition 4.3. Inequalities (12) and (13) provide $|B|^{(1-(\epsilon/2))k} \leq K^k |B|^{(\epsilon/2)k}$, and so $K \geq |B|^{1-\epsilon}$. The result follows.

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