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# Electrical Properties and Interfacial Strain in Thermally Oxidized 4H-SiC MOS Structures Under Different Post-Oxidation Annealing

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## ABSTRACT

The electrical behavior of SiO<sub>2</sub>/4H-SiC interfaces is a central challenge for the development of high-efficiency MOSFETs, where trap states and interfacial structural distortions can affect carrier mobility and device reliability. In this work, we investigate thermally grown SiO<sub>2</sub> on n-type 4H-SiC epilayers, analyzing the effects of different post-oxidation annealings (POAs) in N<sub>2</sub> and Ar on both the electrical response and the interfacial strain. MOS capacitors subjected to POA in N<sub>2</sub> exhibit a marked reduction of interface states density (D<sub>it</sub>) near the conduction band edge, together with an increase of the electron Fowler–Nordheim barrier height. These electrical signatures occur simultaneously with negative flat-band voltage shifts, suggesting the presence of an interfacial dipole rather than the presence of fixed charges only. Raman spectroscopy reveals that N<sub>2</sub>-based POA induces an increase of biaxial tensile strain at the SiO<sub>2</sub>/SiC interface, which is less pronounced in the case of POA in Ar. The observation of strain evolution and electrical properties demonstrates that nitrogen incorporation not only passivates dangling bonds but also modifies the interfacial stress state, thereby influencing barrier properties and charge distribution. These findings provide new insights to be considered in the optimization of SiO<sub>2</sub>/SiC gate stacks in 4H-SiC MOSFETs.

## 1 | Introduction

One of the keys for the success of silicon carbide (4H-SiC) over the other wide bandgap semiconductors is the possibility to form silicon dioxide (SiO<sub>2</sub>) by thermal oxidation [1]. This processing step is very important in SiC device technology. In fact, thermal oxidation followed by post-oxidation-annealing can be used for the gate insulator in metal oxide semiconductor field effect transistors (4H-SiC MOSFETs) [2], adopted as a sacrificial process to remove surface defects, or to optimize the carrier lifetime by carbon interstitials injection [3]. Consequently, thermal oxidation

of SiC has been extensively studied over the years, considering the impact of several parameters, like oxidation temperature and time, substrate doping and orientation [4–6].

The performances of 4H-SiC MOSFETs are largely determined by the properties of the SiO<sub>2</sub>/4H-SiC interface established during fabrication [2, 7]. Device parameters such as field effect channel mobility (μ<sub>FE</sub>) and specific on-resistance (R<sub>ON</sub>) [8, 9] are typically improved by applying post-oxidation annealing (POA) or post-deposition annealing (PDA) treatments of the SiO<sub>2</sub> gate dielectric in a nitric oxide (NO) or nitrous oxide (N<sub>2</sub>O) ambient in a

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temperature range of 1100°C–1300°C [10]. Nitrogen incorporation during POA/PDA is known to enhance carrier mobility by passivating dangling bonds and, consequently, reducing the density of interface states  $D_{it}$ . It has been observed that nitrogen binds directly to SiC, or it gets incorporated within the first atomic layers of the crystal [11], where it can also lead to “counter doping” effects [12, 13] contributing to the mobility improvement.

However, nitridation annealing (either in NO or N<sub>2</sub>O) induces a re-oxidation of the interface, which can introduce additional trapping centers at the SiO<sub>2</sub>/4H-SiC interface, potentially compromising the stability of the threshold voltage ( $V_{th}$ ) [14, 15]. Moreover, charge traps located in the oxide layer and in regions close to the interface influence the conduction behavior of n-channel MOSFETs [16].

For that reason, alternative “non-oxidizing” processes have been explored in literature to avoid the formation of interface carbon-related defects, including annealing in a low O<sub>2</sub> partial pressure [17], engineered nitridation of the surface [18, 19], low temperature silicon films oxidation [20] H<sub>2</sub>-based interface preparation treatments before SiO<sub>2</sub> and N<sub>2</sub> annealing [21] or alternative wide bandgap oxides configurations of the gate stack [22].

The negative flat band voltage ( $V_{FB}$ ) shift observed under certain POA conditions in NO has been attributed to a dipole layer generated by the formation of stable Si–N bonds at the interface during POA [23]. In this context, efficient passivation of interface states can be achieved also by N<sub>2</sub> annealing in low O<sub>2</sub> partial pressure [24], and the presence of oxygen during low temperature POA removes the nitridation drawbacks, while preserving the nitrogen at the interface [25].

In these works, a remaining open question is whether the  $D_{it}$  reduction and  $\mu_{FE}$  improvement are only due to the saturation of dangling bonds and counter doping, or if other structural modifications of the SiC crystal are induced by POA or PDA processes.

Other works speculated that strain/stress at SiO<sub>2</sub>/SiC interface induced during the gate oxide formation SiC can be critical for understanding the device behavior. In fact, it has been shown that thermal oxidation can lead to compressive strain in the oxide and lead to interface defects [26]. As an alternative to conventional nitridation, the introduction of foreign species (e.g. B, Ba, Sr, etc.) at the SiO<sub>2</sub>/SiC interface has been proposed to improve 4H-SiC MOSFET mobility [27, 28]. In the case of B, a structural change in SiO<sub>2</sub> near the interface caused by stress relaxation has been invoked as the driving force for  $D_{it}$  reduction, rather than a termination of dangling bonds [27]. Instead, a strain relaxation of the underlying SiC has been demonstrated in the case of the introduction of alkali and alkaline-earth elements at the SiO<sub>2</sub>/SiC interface [29].

Although all these mechanisms have been extensively investigated individually, a direct experimental correlation between interfacial strain and electrical properties of thermally oxidized SiO<sub>2</sub>/4H-SiC interfaces has not yet been established. In particular, it remains unclear whether the modifications induced by POA originate solely from chemical passivation of interface defects or also involve strain-driven charge redistribution capable of

modifying the electrostatic potential at the interface. Establishing such a correlation is essential for understanding the simultaneous evolution of interface-state density, flat-band voltage and Fowler–Nordheim barrier height.

In this paper, we report on the electrical properties of thermally oxidized 4H-SiC MOS structures under different POA atmospheres, combining electrical characterization (C–V, I–V and Fowler–Nordheim analysis) with depth-resolved Raman spectroscopy. Rather than focusing solely on interface states passivation, we demonstrate that the evolution of the electrical characteristics is accompanied by relevant modifications of the interfacial strain. By correlating the Raman-derived strain and electrical parameters, we show that the observed increase of the Fowler–Nordheim barrier height together with the negative flat-band voltage shift is consistent with the formation of an interfacial dipole associated with both chemical bonding and strain-induced charge redistribution. These findings provide a broader physical framework for engineering SiO<sub>2</sub>/SiC gate stacks beyond conventional interface passivation.

## 2 | Results and Discussion

While the thermal oxidation of SiC has been extensively studied in literature, considering the impact of several parameters, like oxidation temperature and time, substrate doping and orientation [4–6], most of the reported works refer to highly doped substrates. Hence, to precisely define our baseline process, we have first studied the oxidation kinetics directly on the n-type doped epilayers used for our MOS fabrication.

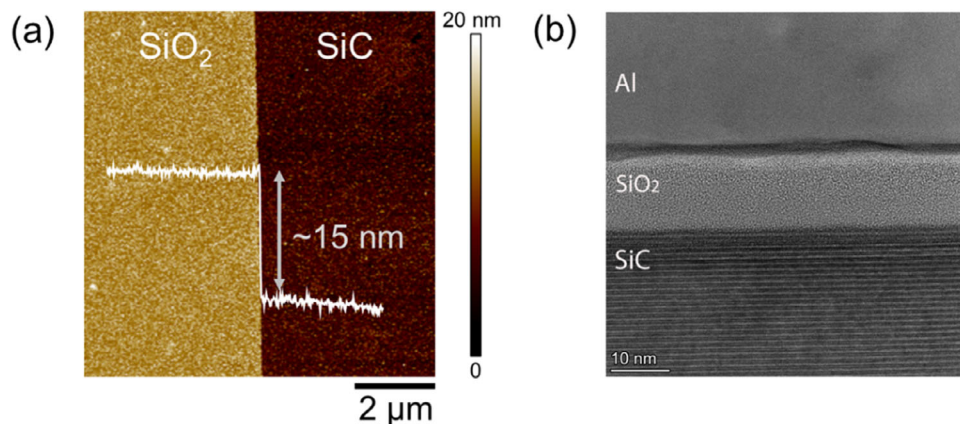
Figure 1a displays a representative AFM scan acquired on lithographic patterns to determine the oxide thickness after thermal annealing in O<sub>2</sub> at 1100°C for 2 h. A step height of ~15 nm has been measured between SiO<sub>2</sub> covered and bare 4H-SiC. Cross-sectional TEM in Figure 1b provided a further confirmation of the grown SiO<sub>2</sub> thickness. Hence, this validated AFM-based methodology has been repeated to determine the oxide thickness for all the oxidation conditions at different temperatures and times.

Figure 2a shows the thermal oxide thickness as a function of the oxidation time, for three different temperatures (1100°C, 1150°C, and 1200°C). As can be seen, the oxide thickness increases with increasing the oxidation temperature and time, following a clear trend.

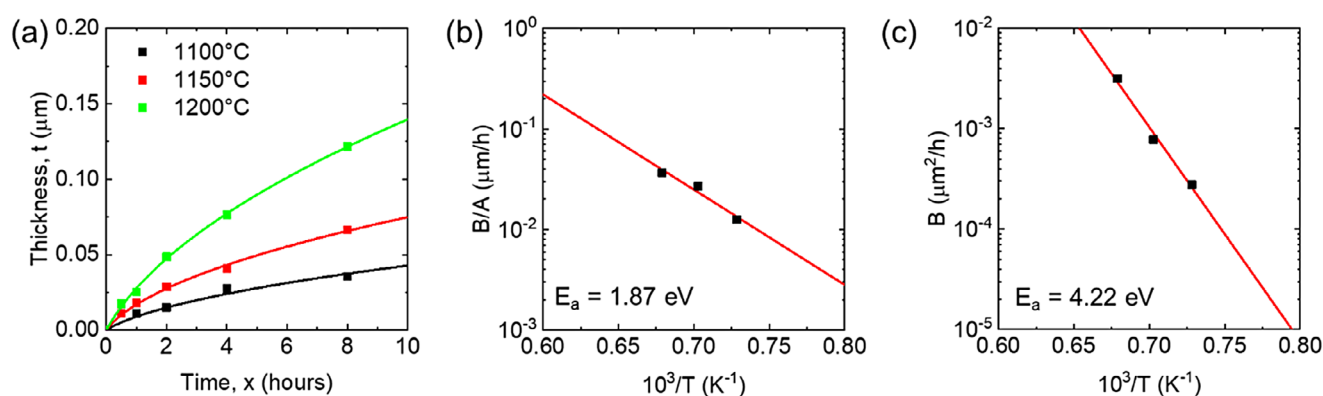
A modified Deal–Grove model, based on the established Si oxidation process, has been often invoked to describe SiC oxidation kinetics [4]. Accordingly, the oxide thickness  $x$  is given by

$$x^2 + Ax = B(t + \tau) \quad (1)$$

where  $t$  is oxidation time,  $\tau$  is related to an initial oxide thickness,  $B$  and  $B/A$  are parabolic and linear kinetic constants, respectively [4].  $B/A$  is related to the surface reaction of oxygen with SiC, while  $B$  is associated to the in-diffusion of oxidizing species through the oxide. Evidently, the experimental data were described by this model, as shown by the fits reported in Figure 2a. Figure 2b,c depicts the Arrhenius plots of the linear ( $B/A$ ) and parabolic ( $B$ ) rate constants, determined by the Deal–Grove model.



**FIGURE 1** | (a) AFM scan of the SiO<sub>2</sub> step height on 4H-SiC showing a thickness of ~15 nm. (b) Cross-sectional TEM image of the SiO<sub>2</sub>/4H-SiC interface, confirming the thickness of the thermally grown SiO<sub>2</sub>. The images are representing the case of the oxide formed at 1100°C in O<sub>2</sub> for 2 h.



**FIGURE 2** | (a) Thermal oxide thickness as a function of the oxidation time for three different temperatures: 1100°C, 1150°C, and 1200°C. Arrhenius plot of  $B/A$  as a function of the inverse of temperature in the linear (b) and in (c) the parabolic region. The activation energies are also reported.

The activation energies found by the linear fit in a semilog scale were 1.87 and 4.22 eV, for the linear and parabolic oxidation regime, respectively. These values are higher than those reported by other authors for similar doping level [4, 5]. This result confirms that the oxidation kinetics depends strongly on the experimental annealing conditions, surface preparation and material quality.

Then, for the electrical characterization of the oxides, MOS capacitors have been fabricated on epitaxial samples, following the sequences schematically reported in Figure 3a. First, the 4H-SiC epitaxial samples have been cleaned in acetone and isopropanol, followed by a piranha etch in H<sub>2</sub>SO<sub>4</sub>:H<sub>2</sub>O<sub>2</sub> = 3:1 for 10 min at 100°C, and an immersion in diluted hydrofluoric acid HF:H<sub>2</sub>O = 1:5 for 10 min at room temperature. Then, thermal oxidation has been carried out at 1150°C for 2 h in O<sub>2</sub>, followed by appropriate post-oxidation annealing (POA) in N<sub>2</sub> (1150°C or 1200°C) or Ar (1150°C) for 2 h. After selective removal of the oxide formed on the sample back-side, e-beam evaporation of 200nm thick Al films has been carried out on both the front and back-side of the samples. Photolithography and Al wet etch were employed to define the front electrodes of the MOS capacitors of different area (see optical microscopy image in Figure 3b).

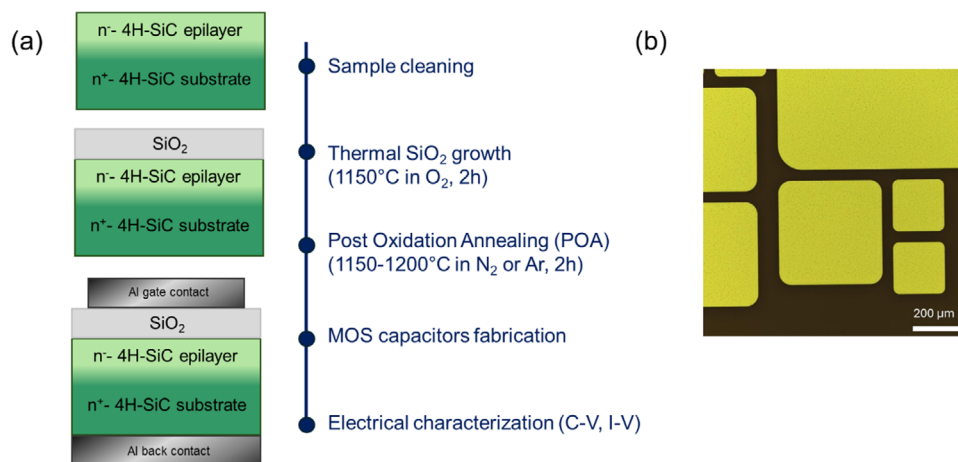
Then C-V measurements have been performed at 1kHz on the MOS capacitors to get insights into the properties of the thermally grown oxide and on the effect of the POA.

Figure 4a shows the C-V curves collected on the thermal oxide (as oxidized) and on the same oxide subjected to different POA processes at 1150°C in N<sub>2</sub> for 2 h (N<sub>2</sub>\_1150), 1200°C in N<sub>2</sub> for 2 h (N<sub>2</sub>\_1200) and 1150°C in Ar for 2 h (Ar\_1150). The curves clearly indicate that while the as oxidized sample exhibits an experimental flat-band voltage  $V_{FB}^{exp}$  close to the ideal value  $V_{FB}^{ideal}$  (dashed line), POA of the samples induces a negative shift independently of the annealing atmosphere. The  $V_{FB}^{ideal} = 0.98$  eV has been determined considering the Al work function (4.28 eV), the 4H-SiC electron affinity (3.1 eV) and the epilayer donor concentration ( $N_D = 1 \times 10^{16} \text{cm}^{-3}$ ) [30].

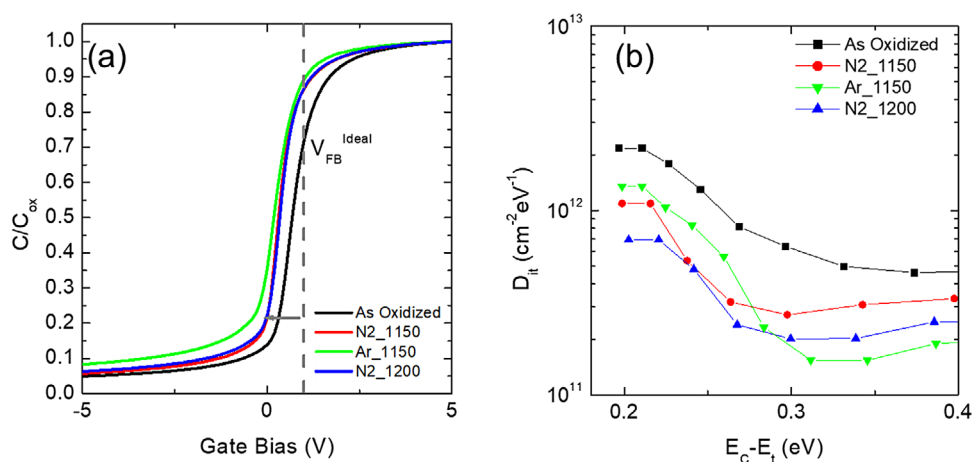
Such a negative shift is often associated to the presence of a positive fixed charge  $N_F$  given by:

$$N_F = \frac{C_{ox}}{q} (V_{FB}^{ideal} - V_{FB}^{exp}) \quad (2)$$

where  $C_{ox}$  is the accumulation capacitance,  $q$  is the electron charge.



**FIGURE 3** | (a) Schematic fabrication flow-chart of the MOS capacitors, from sample cleaning to thermal oxidation up to the final electrical characterization. (b) Optical microscopy image of the fabricated Al/SiO<sub>2</sub>/4H-SiC MOS capacitors.



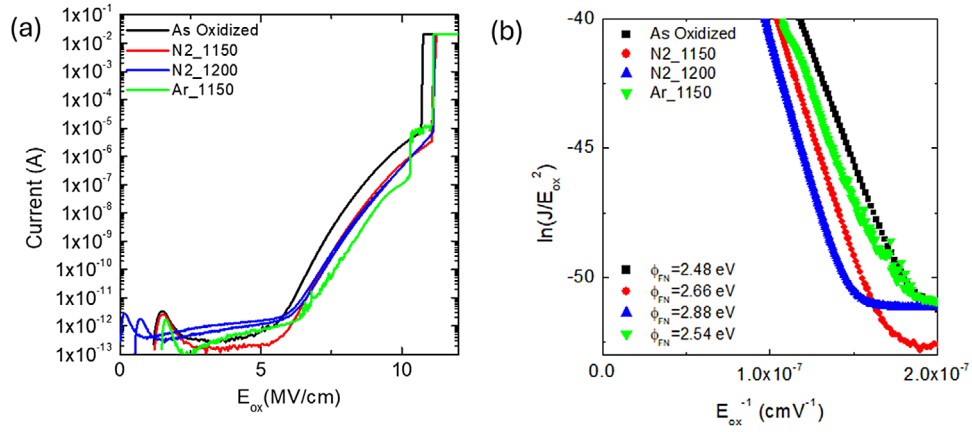
**FIGURE 4** | (a) C-V curves collected on the thermally grown SiO<sub>2</sub> (as oxidized) and on the samples subjected to subsequent POA at 1150°C in N<sub>2</sub> for 2 h (N<sub>2</sub>\_1150), 1200°C in N<sub>2</sub> for 2 h (N<sub>2</sub>\_1200) and 1150°C in Ar for 2 h (Ar\_1150). The dashed line indicates the position of the ideal flat band voltage. (b) Interface states density ( $D_{it}$ ) of the same samples determined from the previous C-V curves using the Terman's method. The decrease of the interface states density below the conduction band edge upon POA is clearly visible.

The values of  $N_F$  determined by Equation (2) are in the range  $5\text{--}6 \times 10^{11}\text{cm}^{-2}$ . This experimental finding suggests that the negative flat-band voltage shift is not related only to the nitrogen incorporation at the interface, since the Ar\_1150 sample presents a similar behavior.

Moreover, from these C-V curves, by applying the modified Terman's method proposed by Zignale et al. [31], which compares the experimental low frequency (1kHz) curve with the theoretical C-V curve, it was possible to determine the interface trap density  $D_{it}$  energy profiles (reported in Figure 4b). As can be noticed, the POA in Ar produced a reduction of the  $D_{it}$  from  $2.5 \times 10^{12}\text{cm}^{-2}\text{eV}^{-1}$  (as oxidized) down to  $1.5 \times 10^{12}\text{cm}^{-2}\text{eV}^{-1}$  (Ar\_1150), most probably due to a partial reorganization of the interface owing to the provided thermal budget. Moreover, it is known that Ar annealing can induce the decomposition and ejection of carbon byproducts formed during thermal oxidation [32], thus also explaining the observed  $D_{it}$  reduction even in a neutral

annealing ambient. On the other hand, the  $D_{it}$  reduction is more pronounced in the case POA in N<sub>2</sub>, where the incorporation of nitrogen can lead to the passivation of dangling bonds by the formation of Si–N bonds, reaching a minimum of  $D_{it} = 7 \times 10^{11}\text{cm}^{-2}\text{eV}^{-1}$ , at 0.2 eV from the bottom of the conduction band, after the process in N<sub>2</sub> at 1200°C.

Figure 5a shows the gate current vs oxide electric field curves, collected on the as oxidized sample and on the samples subjected to POA. It can be noticed that the as oxidized sample shows an onset of the gate current at lower gate bias values compared to the samples subjected to POA. This experimental evidence suggests that a variation of the energy barrier height to the electron tunnelling occurs after POA. In addition, it is interesting to note that the as oxidized sample and the samples subjected to POA in N<sub>2</sub> present similar current slopes in semi-log scale, while the sample subjected to POA in Ar exhibits a reduced slope. The estimated breakdown field also increased after POA in N<sub>2</sub>



**FIGURE 5** | (a) Gate current versus oxide electric field  $E_{ox}$  plots for the as oxidized sample and for the samples subjected to different POA conditions: 1150°C in N<sub>2</sub> for 2 h (N2\_1150), 1200°C in N<sub>2</sub> for 2 h (N2\_1200) and 1150°C in Ar for 2 h (Ar\_1150). (b) Logarithmic plot of the current density divided by the square of the oxide electric field ( $\ln(J/E_{ox}^2)$ ) as a function of the reverse oxide electric field ( $E_{ox}^{-1}$ ). From these curves the Fowler-Nordheim barrier height has been determined for the different cases, using Equations (3) and (4).

(11.1 MV/cm) compared to the as oxidized (10.7 MV/cm) and Ar\_1150 samples (10.1 MV/cm).

To get insights on this aspect, the Fowler-Nordheim (FN) plots were quantitatively analyzed (Figure 5b). In particular, the FN tunnelling current density  $J_{FN}$  is related to the electric field across the oxide  $E_{ox}$  by:

$$J_{FN} = AE_{ox}^2 \exp\left(-\frac{B}{E_{ox}}\right) \quad (3)$$

Where:

$$A = \frac{q^3 \left(\frac{m}{m_{ox}}\right)}{8\pi h \Phi_{FN}} \quad (4)$$

$$B = \frac{8\pi \sqrt{2m_{ox}\Phi_{FN}^3}}{3qh} \quad (5)$$

Here,  $m$  and  $m_{ox}$  are the free and effective electron masses, respectively, and  $q$  is the electron charge,  $h$  is the Plank constant, and  $\Phi_{FN}$  is the tunneling barrier height for electrons [33].

Since the presence of an effective charge in the oxide can act as an electrostatic screen affecting the FN barrier height, the electric field  $E_{ox}$  in the FN plots has been calculated considering the experimental flat band voltage shift:

$$E_{ox} = \frac{(V_G - V_{FB}^{exp})}{t_{ox}} \quad (6)$$

Figure 5b reports the FN plots, i.e. the logarithmic plot of the current density divided by the square of the oxide electric field as a function of the reverse oxide electric field. By the fit of the experimental curves with Equation 3, it was possible to extract the values of  $\Phi_{FN}$  for the different cases. As can be noticed, while the as oxidized sample has a barrier of 2.48 eV, the values of  $\Phi_{FN}$  increased after POA in N<sub>2</sub> (N2\_1200) up to 2.88 eV, thus suggesting

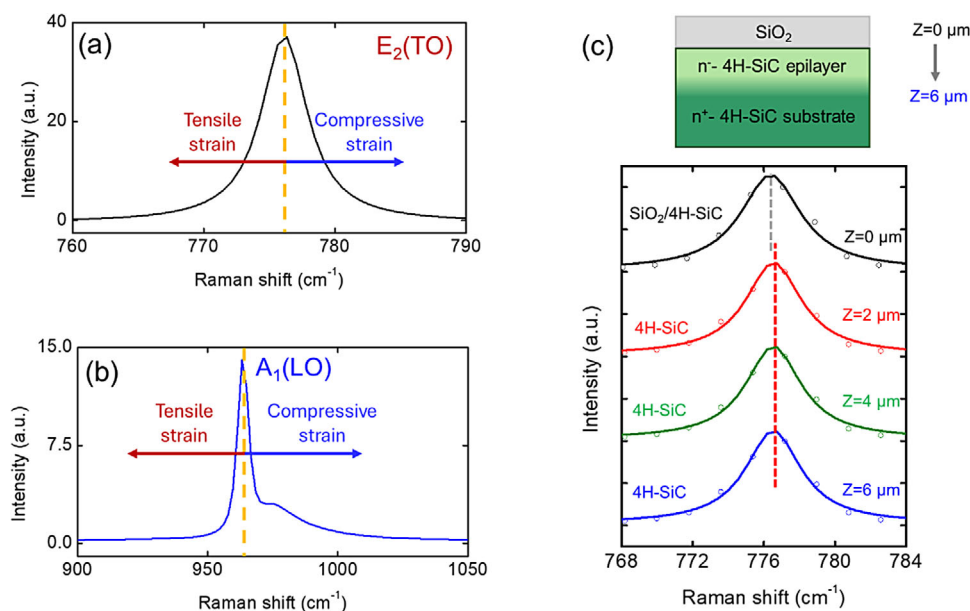
the presence of a negative charge that enhances the barrier height to the electrons' tunneling. However, the C-V curves (Figure 4a) clearly showed a negative shift of the C-V curves with respect to the theoretical one, which is inconsistent with the experimentally observed increase of the barrier height. Similarly, the Ar\_1150 sample also showed a higher barrier  $\Phi_{FN}$  (2.54eV) compared to the as oxidized, but lower than the N2\_1200 sample (2.88eV). Then, independent of the POA, the electrical behaviour of the MOS cannot be uniquely attributed to the presence of fixed charges in the oxide.

It must be pointed out that other transport mechanisms like trap assisted tunnelling (TAT), Schottky emission or Poole-Frenkel emission cannot explain our experimental data, either due to the large oxide thickness or to the weak experimental temperature coefficient of the barrier height [34].

Raman spectroscopy was employed to provide a deeper explanation of the electrical behavior of the MOS capacitors, as it represents a valid and non-invasive technique employed for the evaluation of crystalline quality, strain and doping effects on silicon carbide [35].

Figure 6a,b report the representative Raman peaks of 4H-SiC n-epilayer ( $\sim 10^{16}$  cm $^{-3}$ ) grown on a highly doped n<sup>+</sup> substrate of  $\sim 10^{19}$  cm $^{-3}$ . More specifically, the main Raman features are the optical transverse *in-plane* mode ( $E_2$ (TO)) and the optical longitudinal *out-of-plane* ( $A_1$ (LO)), visible in Figure 6a,b. These two vibrational modes are very sensitive to strain effects on SiC [36, 37]. Specifically, their red- and blue-shift correspond to tensile and compressive strain, respectively, as indicated by the arrows in Figure 6a,b.

To demonstrate that these features are sensitive to the SiC side of the SiO<sub>2</sub>/SiC interface, we carried out a spectroscopic analysis at different depths, as indicated by the scheme of Figure 6c, for the as oxidized sample (1150°C in O<sub>2</sub> for 2 h). By setting the focus on the sample surface ( $Z = 0$ ), the  $E_2$ (TO) peak is centred at  $\sim 776.40$  cm $^{-1}$ . When the focus is moved deeper in the sample (from 2 to 6  $\mu$ m), the  $E_2$ (TO) peak undergoes a blue-shift to  $\sim 776.55$  cm $^{-1}$ , i.e.



**FIGURE 6** | Representative Raman spectrum of 4H-SiC acquired by a laser wavelength excitation of 532 nm, with detail on (a) the transverse optical in-plane mode ( $E_2$ ) and (b) the longitudinal optical  $A_1(\text{LO})$ . The red and blue arrows indicate the direction of the peaks when subjected to tensile and compressive strain, respectively. (c) Scheme of the  $\text{SiO}_2/4\text{H-SiC}$  samples and the corresponding  $E_2$  (TO) peaks acquired at different depths (from 0 to 6  $\mu\text{m}$ ).

closer to the  $E_2(\text{TO})$  of the unstrained 4H-SiC. This latter indicates that the method is sensitive to the strain variation at the  $\text{SiO}_2/\text{SiC}$  interface.

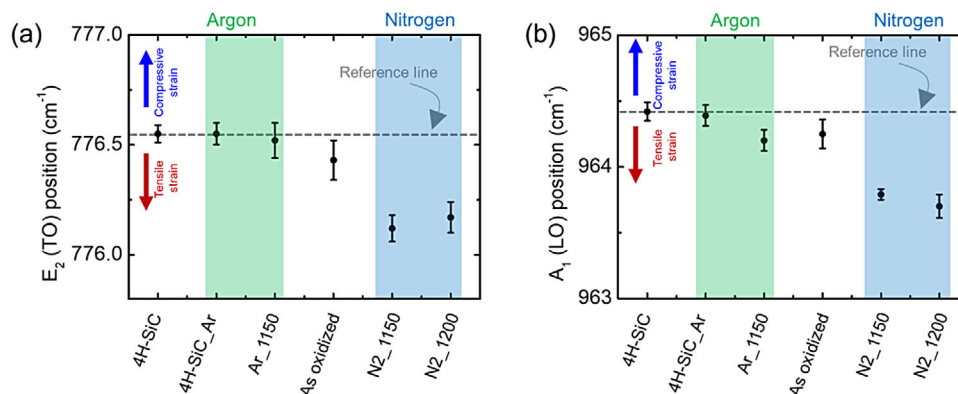
To evaluate the strain effects on 4H-SiC induced by thermal oxidation and subsequent POA, several Raman maps have been carried out on areas of  $40 \times 40 \mu\text{m}^2$ , collecting more than 6000 spectra for each sample. Here, it is important to underline that the longitudinal modes can be also dependent on doping effects, but only at high doping levels (e.g.  $10^{17}\text{--}10^{19} \text{ cm}^{-3}$ ) [38]. Hence, in our samples ( $N_D = 1 \times 10^{16} \text{ cm}^{-3}$ ) any shift of the Raman modes can be attributed to strain variations.

A statistical analysis was carried out on the different samples by fitting with Gaussian functions the main peaks for all the Raman spectra. From the distributions of the  $E_2(\text{TO})$  and  $A_1(\text{LO})$  peaks positions, the mean values and the standard deviation of the two contributions have been extrapolated. This evaluation is reported in Figure 7a,b, where we considered the starting 4H-SiC (before treatments) as the reference condition of “unstrained” sample, showing  $E_2$  and  $A_1$  peaks centered at  $776.55 \pm 0.05 \text{ cm}^{-1}$  and  $964.40 \pm 0.07 \text{ cm}^{-1}$ , respectively. A dashed line (“reference line”) serves as guide for the eye to identify the unstrained condition in Figure 7a,b. After thermal oxidation at  $1150^\circ\text{C}$  in  $\text{O}_2$  for 2 h (white area), both the  $E_2$  and  $A_1$  modes displayed a red-shift, indicating a tensile strain effect induced by the growth of the  $\text{SiO}_2$  film on the top of 4H-SiC surface. To strengthen this hypothesis, a thermal annealing in Ar atmosphere on the starting 4H-SiC reference sample was carried out at  $1150^\circ\text{C}$  for 2 h (4H-SiC\_Ar). As can be seen, the two vibrational modes observed under this annealing condition are almost unchanged with respect to the reference line. This result confirms that the strain is a consequence of the oxidation and not of the thermal budget.

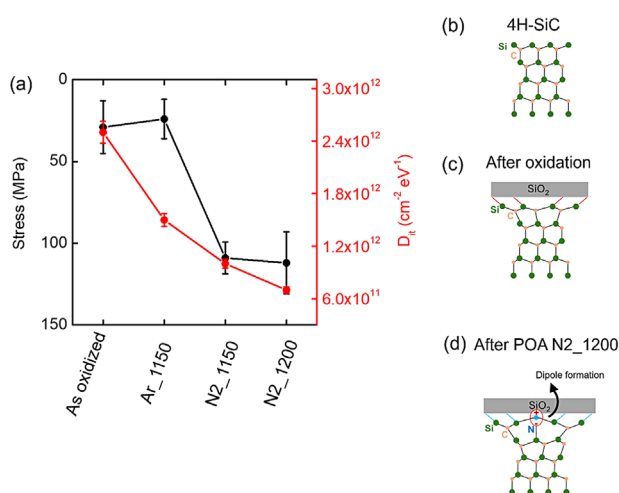
Then, the as oxidized samples were subjected to POA either in Ar (Ar\_1150) or in  $\text{N}_2$  (N2\_1150 and N2\_1200). The POA in Ar at  $1150^\circ\text{C}$  for 2 h showed an  $E_2$  peak position comparable with the reference line, and an  $A_1$  peak position close to the as oxidized sample. Differently, the POAs in  $\text{N}_2$  (N2\_1150 and N2\_1200) induced higher  $E_2$  and  $A_1$  peaks variations (higher tensile strain) compared to the untreated and to the as oxidized samples.

As proposed by Zhang et al. [37], a quantification of the stress on the samples is possible by comparing the Raman peaks of the reference 4H-SiC (unstrained) with those of the oxidized and annealed samples. In particular, the as-oxidized sample displayed a stress of  $\sim 29 \text{ MPa}$ , close to the value determined for the POA in Ar ( $\sim 24 \text{ MPa}$ ). On the other hand, the POAs in  $\text{N}_2$  showed a significantly higher stress of  $\sim 109$  and  $\sim 112 \text{ MPa}$  for N2\_1150 and N2\_1200, respectively.

The scenario emerging from the previously shown experimental results can be summarized in Figure 8. This graph highlights a systematic association between the stress obtained by Raman analysis and the interface-state density across the investigated processing conditions (As oxidized, Ar\_1150, N2\_1150 and N2\_1200). After thermal oxidation of 4H-SiC, a high density of interface states  $D_{\text{it}}$  near the conduction band edge ( $2.5 \times 10^{12} \text{ cm}^{-2} \text{ eV}^{-1}$ ) is derived by C-V analysis, thus being typically attributed to the formation of a defective non-stoichiometric interface [39]. At the same time, a tensile strain is induced by the oxidation process, as revealed by Raman spectroscopy and illustrated in Figure 8b,c. After POA, a reduction of  $D_{\text{it}}$  occurs, due to a reorganization of the interface, being this  $D_{\text{it}}$  reduction more significant after POA in  $\text{N}_2$  ( $7 \times 10^{11} \text{ cm}^{-2} \text{ eV}^{-1}$ ) than in Ar ( $1.5 \times 10^{12} \text{ cm}^{-2} \text{ eV}^{-1}$ ), due to a chemical passivation of the dangling bonds in nitrogen atmosphere. Concurrently, the



**FIGURE 7** | Raman peaks positions  $E_2$  (TO) (a) and  $A_1$  (LO) (b) for the different samples (from left to right): 4H-SiC reference (4H-SiC), thermal treatment in Ar atmosphere at 1150°C for 2 h (4H-SiC\_Ar), after thermal oxidation and subsequent POA in Ar at 1150°C for 2 h (Ar\_1150), after thermal oxidation (as oxidized), after thermal oxidation and subsequent POA in  $N_2$  environment at 1150°C for 2 h (N2\_1150) and after thermal oxidation and subsequent POA in  $N_2$  at 1200°C for 2 h (N2\_1200). The trend shown by the Raman peaks indicates an effect of the POA on the interfacial strain.



**FIGURE 8** | (a) Stress (MPa) and interface state density  $D_{it}$  (at 0.2 eV from the bottom of the conduction band) for the four samples considered in this paper (As oxidized, Ar\_1150, N2\_1150, N2\_1200). Schematic illustration of the system before oxidation (b), after oxidation (c) and after POA in  $N_2$  at 1200°C (d).

near-surface atoms of 4H-SiC are forced to stretch outward (increase in tensile strain) to mechanically accommodate the contraction induced by incorporation of nitrogen, as illustrated in Figure 8d.

Clearly, establishing a quantitative correlation between interface state density and stress would require more systematic experiments for the acquisition of a large number of data, which is out of the scope of this paper. Nevertheless, our results preliminarily demonstrate that near-interface lattice modifications must be considered for a complete description of the  $SiO_2/SiC$  system subjected to nitrogen-based annealings.

The C-V curves of the samples subjected to POA in  $N_2$  or Ar exhibit a negative flat-band voltage shift with respect to the ideal value, suggesting the presence of a net positive fixed charge in the system in the order of  $5\text{--}6 \times 10^{11} \text{ cm}^{-2}$ . However, at the same time an increase of the electron Fowler–Nordheim barrier heights are

found by the I-V analyses, which would be consistent with the presence of a negative charge. Clearly, this unexpected electrical behavior cannot be uniquely attributed to the presence of fixed charges in the oxide.

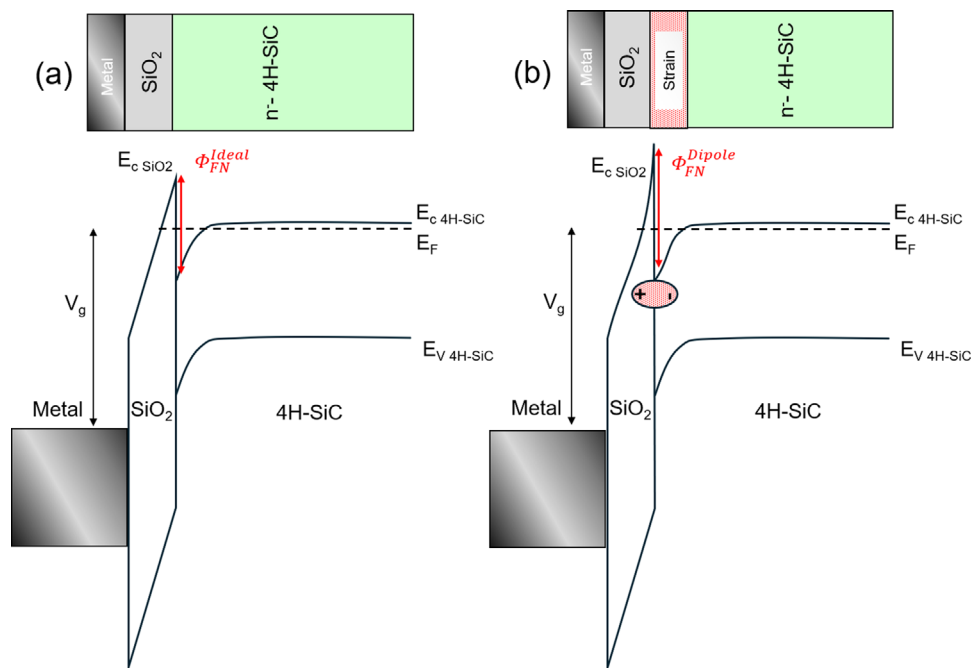
Hence, as previously hypothesized for other systems [40–42], also in our case the presence of an interfacial dipole must be invoked to explain the experimental results. Such a dipole arises from the interfacial lattice deformation and charge redistribution occurring after POA and is stronger in the case of POA in  $N_2$  due to the chemical nature of the Si–N bond.

As a matter of fact, Raman spectroscopy reveals that nitrogen-based POA exhibits a more pronounced increase of both in-plane and out-of-plane tensile strain at the  $SiO_2/SiC$  interface respect to the POA in Ar, due to the presence of chemically reactive species.

This scenario is schematically illustrated in Figure 9, showing the MOS band diagram without (Figure 9a) and with (Figure 9b) an interfacial dipole.

Recent theoretical investigations have clarified that two distinct dipole contributions can coexist at the  $SiO_2/SiC$  interface: an intrinsic dipole associated with the abrupt oxide/semiconductor transition and structural rearrangements, and an additional extrinsic dipole induced by nitrogen incorporation [43]. In this framework, the dipole observed after Ar POA can be ascribed primarily to the intrinsic component, originating from interfacial bonding asymmetry and strain-induced charge redistribution in the absence of foreign species.

Conversely, in the case of  $N_2$  POA, the formation of Si–N bonds introduce an additional, chemically-driven dipole due to the higher electronegativity of nitrogen, leading to a more pronounced charge separation at the interface. The combined effect of intrinsic and nitrogen-induced dipoles provides a consistent explanation for the larger increase in the Fowler–Nordheim barrier height observed after  $N_2$  annealing, with the magnitude of this effect being further enhanced by the higher tensile strain revealed by Raman analysis.



**FIGURE 9** | Schematic MOS interface band diagram without (a) and with (b) an interfacial dipole that increases the FN electron barrier height. The upper part of the figure schematically shows the MOS structures (not in scale).

Kil et al. [23] estimated a dipole charge density in the order of  $1 \times 10^{14} \text{ cm}^{-2}$  after PDA in  $\text{NO}$ , which is consistent with the typical density of nitrogen atoms revealed in  $\text{NO}$ -nitridated  $\text{SiO}_2/\text{SiC}$  interfaces. However, in our case, the different POA atmosphere ( $\text{N}_2$ ) and annealing temperature ( $1200^\circ\text{C}$ ) suggest a lower interfacial nitrogen incorporation and, hence, less pronounced dipole effect.

### 3 | Conclusion

In this work, the impact of different post-oxidation annealings of thermal oxide on both the electrical behavior and the interfacial strain of  $\text{SiO}_2/4\text{H-SiC}$  MOS structures has been studied.

Nitrogen annealing in  $\text{N}_2$  at  $1150^\circ\text{C}$ – $1200^\circ\text{C}$  reduces the interface states density and increases the Fowler–Nordheim tunneling barrier height, confirming the beneficial role of nitrogen incorporation toward interface passivation. The simultaneous negative  $V_{\text{FB}}$  shift, and barrier height increase can be explained by invoking the formation of an interfacial dipole layer, rather than a simple variation of fixed charges. In fact, Raman spectroscopy revealed that these electrical improvements are associated with an increase in tensile strain at the  $\text{SiO}_2/\text{SiC}$  interface, after POA in  $\text{N}_2$ . In contrast, Ar annealing has a lower impact on the strain and on electrical parameters.

Hence, the main outcome of this work is that  $\text{N}_2$ -based POA simultaneously modifies the chemical bonding, the interfacial strain and the electrostatic potential of the  $\text{SiO}_2/4\text{H-SiC}$  interface. The experimental correlation found between strain and electrical parameters suggests that strain-induced charge redistribution contributes to the formation of an interfacial dipole, providing a broader physical framework for understanding the electrical

behavior of SiC MOS interfaces beyond conventional defect passivation.

### 4 | Experimental Section/Methods

N-type 4H-SiC (0001) epilayers with a doping concentration of  $N_D = 1 \times 10^{16} \text{ cm}^{-3}$ , grown onto highly doped substrates were used in these experiments. Thermal oxidation in “dry”  $\text{O}_2$  at atmospheric pressure was studied in the temperature range  $1100^\circ\text{C}$ – $1200^\circ\text{C}$ . After the oxidation process, the grown oxide thickness, determined by measuring the step height of lithographic patterns, was measured employing a Bruker Icon Dimension system for Atomic Force Microscopy (AFM) imaging in tapping mode (silicon tips with a nominal curvature radius of 10 nm and a frequency of 300 kHz). On selected samples, a more accurate inspection of the oxide thickness and the  $\text{SiO}_2/\text{SiC}$  interface flatness has been carried out by Transmission Electron Microscopy (TEM), employing an aberration-corrected Titan Themis 200 microscope by Thermo Fisher for high-resolution transmission electron microscopy (HR-TEM). Then, metal oxide semiconductor (MOS) capacitors have been fabricated defining Al contacts on the oxide and a large area back contact on the sample rear. More details on MOS capacitors’ fabrications are reported in the text. The MOS capacitors were then electrically characterized by capacitance-voltage (C-V) and current-voltage (I-V) measurements performed to assess the  $\text{SiO}_2/\text{SiC}$  interface electrical properties using a Keysight B1500A Parameter Analyzer connect to an MPI TS200 HP probe station. Different POA conditions were employed before the formation of metal electrodes and electrical characterizations. All the oxidation and post-oxidation annealing were carried out in an atmospheric pressure Expertech CTR-200 horizontal furnace. A set of 10 MOS capacitors has been measured for each annealing condition. The reported results are representative of the average

behavior observed in the different annealing conditions. Finally, the strain induced by thermal oxidation processes was estimated by Raman spectroscopy characterization using a Renishaw inVia confocal microscope with an excitation laser wavelength of 532 nm combined with a 100× objective, and a grating of 1800 l/mm.

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## Conflicts of Interest

The authors declare no conflicts of interest.

## Data Availability Statement

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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